

Digitized by Arya Samaj Foundation Chennai and eGangotri

# Review of Res Faculty of Sci. Math. Series

## Vol 11 1981

CC-0. In Public Domain. Gurukul Kangri Collection, Haridwar









131252





YU ISSN 0350--140X

УНИВЕРЗИТЕТ У НОВОМ САДУ

---



**ЗБОРНИК РАДОВА**  
**ПРИРОДНО-МАТЕМАТИЧКОГ**  
**ФАКУЛТЕТА**

11

СЕРИЈА ЗА МАТЕМАТИКУ

(GK)



131252

НОВИ САД  
1981.



---

REDAKCIJSKI SAVET  
MAIN EDITORIAL BOARD

M. OBRADOVIĆ, F. GAÁL, N. CARIĆ, O. HADŽIĆ, S. STOJANOVIĆ

SERIJA ZA MATEMATIKU  
MATHEMATICS SERIES

GLAVNI I ODGOVORNI UREDNIK

EDITOR IN CHIEF

O. HADŽIĆ

UREDNIK

EDITOR

Z. STOJAKOVIĆ

REDAKCIJA

REDACTION

B. STANKOVIĆ, M. PRVANOVIĆ, J. UŠAN, K. GILEZAN, D. HERCEG

SEKRETAR

EDITORIAL SECRETARY

D. ČEREVIČKI

SVU KORESPONDENCIJU I RUKOPISE RADOVA SLATI NA SLEDEĆU ADRESU:  
UNIVERZITET U NOVOM SADU, PRIRODNO-MATEMATIČKI FAKULTET,  
INSTITUT ZA MATEMATIKU, 21000 NOVI SAD, UL. DR ILIJE DJURIČIĆA 4,  
JUGOSLAVIJA.

ALL THE CORRESPONDENCE AND MANUSCRIPT SHOULD BE SENT TO THE  
FOLLOWING ADDRESS:

UNIVERSITY OF NOVI SAD, FACULTY OF SCIENCE, DEPARTMENT OF  
MATHEMATICS, 21000 NOVI SAD, DR ILIJE DJURIČIĆA 4, YUGOSLAVIA.

УНИВЕРЗИТЕТ У НОВОМ САДУ

---



# ЗБОРНИК РАДОВА ПРИРОДНО-МАТЕМАТИЧКОГ ФАКУЛТЕТА

11

СЕРИЈА ЗА МАТЕМАТИКУ

(GK)



131252

НОВИ САД  
1981.



# REVIEW OF RESEARCH

FACULTY OF SCIENCE

11

MATEMATICS SERIES

*Na osnovu mišljenja Pokrajinskog sekretarijata za obrazovanje, nauku i kulturu, br. 413-58/73. od 20. februara 1973. godine, ova knjiga je oslobođena plaćanja posebnog poreza na promet i usluga u prometu.*

---

*Izdaje: Prirodno-matematički fakultet u Novom Sadu*

*ul. dr Ilije Djuričića 4, 21000 Novi Sad*

*Štampa: Fakultet tehničkih nauka, OOUR "ŠTAMPARIJA ZA IZDAVAČKU DELATNOST"  
Novi Sad*

*Tiraž: 520*

*Samoupravna interesna zajednica za naučni rad SAP Vojvodine delimično je učestvovala u finansiranju ove publikacije.*

## CONTENTS - SADRŽAJ

|   |  |
|---|--|
| BOGOLJUB STANKOVIĆ,<br>DJURDJICA TAKAČI | EQUATION OF OSCILLATION OF A VISCO-<br>ELASTIC BAR (II) ..... 1  |
| OLGA HADŽIĆ                             | ON A COMMON FIXED POINT IN BANACH<br>AND RANDOM NORMED SPACES..... 11                                      |
| OLGA HADŽIĆ                             | A GENERALIZATION OF KAKUTANI'S<br>FIXED POINT THEOREM IN PARANORMED<br>SPACES ..... 19                     |
| OLGA HADŽIĆ,<br>LJILJANA GAJIĆ          | THEOREM ON ALMOST CONTINUOUS SELEC-<br>TION PROPERTY AND ITS APPLICATIONS.. 29                             |
| STEVAN PILIPOVIĆ                        | A NOTE ON THE SPACE $D_A$ ..... 39   |
| STEVAN PILIPOVIĆ,<br>ARPAD TAKAČI       | SOLVABILITY OF CONVOLUTION EQUATIONS<br>IN $H\{M_p\}$ ..... 45   |
| ENDRE PAP                               | ON SEMIGROUP VALUED ADDITIVE EXHAUSTIVE<br>SET FUNCTIONS ..... 59  |
| MIRKO BUDINČEVIĆ                        | UNBOUNDED SOLUTIONS OF A NONLINEAR<br>DIFFERENTIAL EQUATION ..... 71                                       |
| MILA STOJAKOVIĆ                         | A COMMON FIXED POINT THEOREM OF A<br>FAMILY OF MAPPINGS IN PROBABILISTIC<br>LOCALLY CONVEX SPACES ..... 77 |
| ILIJA KOVAČEVIĆ                         | A NOTE ON QUOTIENT SPACES AND<br>PARACOMPACTNESS ..... 89  |
| ZORAN IVKOVIĆ                           | ON THE PREDICTION OF A FUNCTIONAL<br>OF GAUSSIAN RANDOM PROCESS ..... 101                                  |
| DRAGOSLAV HERCEG                        | A UNIFORMLY CONVERGENT SCHEME<br>WITH QUASI-CONSTANT FITTING<br>FACTORS ..... 105                          |



|   |   |     |
|---|---|-----|
| DRAGOSLAV HERCEG,<br>RELJA VULANOVIĆ                      | SOME FINITE-DIFFERENCE SCHEMES<br>FOR A SINGULAR PERTURBATION<br>PROBLEM ON A NON-UNIFORM MESH.....   | 117 |
| KATARINA SURLA  | ON ALTERNATIVE NONSTATIONARY ITERA-<br>TIVE PROCEDURE .....   | 135 |
| KATARINA SURLA  | ON AN APOSTERIORI ERROR ESTIMATION<br>IN SOLVING SOME CLASSES OF<br>OPERATORS EQUATIONS .....   | 149 |
| MILEVA PRVANOVIĆ  | WEYL-OTSUKI SPACES OF THE SECOND AND<br>THIRD KIND .....  | 161 |
| IRENA ČOMIĆ   | CONNECTIONS BETWEEN THE DOUBLE ALTER-<br>NATED ABSOLUTE DIFFERENTIAL OF CURVA-<br>TURE TENSORS OF THE FINSLER SPACE AND INDUCED<br>CURVATURE TENSORS OF ITS SUBSPACE..... | 177 |
| JAN DJURAS  | CONNEXIONS IN f-MANIFOLDS .....   | 189 |
| DJERDJI NADJ-FÜHRER                                       | ON THE ORTHOGONAL SPACES OF THE<br>SUBSPACES OF A RIEMANN-OTSUKI<br>SPACE .....   | 201 |
| JOVANKA NIKIĆ   | ON A STRUCTURE $\Phi$ SATISFYING<br>$(\Phi^2+1)(\Phi^2-a)=0$ .....  | 209 |
| GEORGI ČUPONA,<br>SINIŠA CRVENKOVIĆ,<br>GRADIMIR VOJVODIĆ | SUBALGEBRAS OF COMMUTATIVE SEMIGROUP<br>SATISFYING THE LAW $x^r = x^{r+m}$<br>.....   | 217 |
| JANEZ UŠAN,<br>BRANIMIR ŠEŠELJA                           | TRANSITIVE n-ARY RELATIONS AND<br>CHARACTERIZATIONS OF GENERALIZED<br>EQUIVALENCES .....  | 231 |
| KORIOLAN GILEZAN  | SOME PROPERTIES OF LINEAR OPERATORS<br>OF DISCRETE FUNCTIONS .....  | 247 |

|                                       |   |
|---------------------------------------|---|
| KORIOLAN GILEZAN                      | A NOTE ON GENERALIZED PSEUDO-BOOLEAN<br>FUNCTIONAL EQUATIONS WITH CONSTANT<br>COEFFICIENTS AND $n$ VARIABLES .....253   |
| STOJAN BOGDANOVIĆ                     | SEMIGROUPS IN WHICH SOME BI-IDEAL<br>IS A GROUP .....261  |
| BRANIMIR ŠEŠELJA<br>GRADIMIR VOJVODIĆ | FUZZY GENERALIZED EQUIVALENCE<br>RELATIONS AND PARTITIONS .....267  |
| BRANIMIR ŠEŠELJA,<br>JANEZ UŠAN       | STRUCTURE OF GENERALIZED EQUIVA-<br>LENCES CONTAINED IN $(2, n\bar{A}_1)$ -RT RELATIONS ..275   |
| PATKO TOŠIČ                           | ТИПИ БАЗИСОВ ДЛЯ ОДНОЙ МОДИФИКАЦИИ<br>АЛГЕБРЫ ЛОГИКИ ..... 287  |
| DĀNUT MARCU                           | NOTE ON THE SPANNING TREES OF<br>A CONNECTED DIGRAPH .....297   |
| DRAGICA ČEREVIČKI                     | BIBLIOGRAPHY OF ARTICLES PUBLISHED<br>IN THE "ZBORNIK RADOVA PRIRODNO-MATE-<br>MATIČKOG FAKULTETA. NOVI SAD .<br>SERIJA ZA MATEMATIKU" (REVIEW OF RESEARCH<br>FACULTY OF SCIENCE. NOVI SAD.MATHEMATICS<br>SERIES) ..... 305 |





## EQUATION OF OSCILLATION OF A VISCOELASTIC BAR (II)

*Bogoljub Stanković and Djurdjica Takači*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

### INTRODUCTION

In paper [5] the following equation was analysed:

$$(1) \quad \partial_t^2 u(t, x) - \partial_x^2 u(t, x) + \int_0^t \partial_t^2 u(t-\tau, x) G(\tau) d\tau = 0$$

with the initial conditions:

$$(2') \quad u(0, x) = 0, \quad (2'') \quad \partial_t u(0, x) = \delta(x)$$

where

$$(3) \quad \{G(t)\} = \sum_{i=1}^{\infty} a_i e^{i\alpha} = \left\{ \sum_{i=1}^{\infty} a_i \frac{t^{i\alpha-1}}{\Gamma(i\alpha)} \right\}, \quad a_1 > 0, \quad 0 < \alpha < 1.$$

The solution of equation (1) with condition (2'), which is a continuous function for  $t \geq 0$ ,  $x \neq 0$ , having a line of symmetry  $x=0$ , is:

$$(4) \quad u(x) = \frac{1}{2} e^{-|x|} \sum_{i=0}^{\infty} c_i e^{i\alpha-1} ; \quad c_0 = 1$$

the coefficients  $c_i$  can be obtained in the following way:



$$\begin{aligned}
 c_1 &= \frac{1}{2} a_1 \\
 (5) \quad c_2 &= \frac{1}{2} (a_2 - (a_1/2)^2) \\
 &\quad \dots \dots \dots \\
 c_i &= \frac{1}{2} (a_i - \sum_{j=1}^{i-1} c_{i-j} c_j).
 \end{aligned}$$

Solution (4) satisfies condition (2'') too, if we have  $i_1 \alpha - 1 > 0$ , where  $i_1$  is the index of coefficients  $c_i$  such that  $i_1 > 1$ ,  $c_i = 0$  for  $1 < i < i_1$  and  $c_{i_1} \neq 0$ .

This result is a generalization of the result from [3] in which problem (1), (2) was observed for:

$$(6) \quad G(t) = 2\lambda \frac{t^{\alpha-1}}{\Gamma(\alpha)} + \lambda^2 \frac{t^{2\alpha-1}}{\Gamma(2\alpha)} + R(t), \quad \lambda > 0, \quad 0 < \alpha < 1,$$

where either  $R(t) \equiv 0$  or  $R(0) = R'(0) = 0$  and  $R''(t)$  is "sufficiently small" for  $t \in [0, T]$ ,  $R(t) \in C^\infty$ .

This paper is a continuation of paper [5]. Our aim is to estimate the difference between the solution of equation (1) with conditions (2) when  $G(t)$  is given by (6) and the solution when in the expression for  $G(t)$  the remainder  $R(t)$  is omitted (Proposition 3.). In Proposition 4 we observe a more general expression for  $G(t)$ ; we construct the approximation of the solution of problem (1), (2) and estimate the distance from solution (4).

#### ESTIMATION OF THE COEFFICIENTS $c_i$

In order to obtain the error's estimation which has been named before, let us observe a relation between the coefficients  $c_i$  from (4) and  $a_i$  from (3).

PROPOSITION 1. *Let us suppose that the following inequalities for coefficients  $a_i$  hold:*

$$(7) \quad r^{-i} (-1)^{i-1} \binom{r}{i} \leq (-1)^{i-1} a_i \leq (-1)^{i-1} s^{-i} \binom{s}{i}$$

for two real numbers  $r, s$ , such that  $0 < s < r < 1$ . Then for coefficients  $c_i$  we have:

$$(8) \quad r^{-1}(-1)^{i-1} \binom{r/2}{i} \leq (-1)^{i-1} c_i \leq (-1)^{i-1} \binom{s/2}{i} s^{-i}.$$

*P r o o f.* The function  $(-1)^{i-1} \frac{1}{x^i} \binom{x}{i} = \frac{(1-x)(2-x)\dots(i-1-x)}{i! x^{i-1}}$  is monotonically decreasing for each

$i \geq 2$  in the interval  $0 < x < 1$ , hence relation (7) makes sense. For  $i=1$  (8) holds; this follows at once from (5). Suppose that (8) holds for  $i=n-1$ ,  $n \geq 2$ , then

$$\begin{aligned} (-1)^{n-1} c_n &= \frac{1}{2} \left[ (-1)^{n-1} a_n + \sum_{j=1}^{n-1} (-1)^{n-j-1} c_{n-j} (-1)^{j-1} c_j \right] \geq \\ &\geq \frac{1}{2} \left[ (-1)^{n-1} r^{-n} \binom{r}{n} + (-1)^n r^{-n} \sum_{j=0}^n \binom{r/2}{n-j} \binom{r/2}{j} + \frac{2(-1)^{n-1}}{r^n} \binom{r/2}{n} \right] \\ &\geq (-1)^{n-1} r^{-n} \binom{r/2}{n}. \end{aligned}$$

In the same manner one proves the other inequality in (8).

**PROPOSITION 2.** Let us suppose that for the coefficients  $a_i$  from (3) the following inequalities hold:  $|a_i| \leq b_i$ ,  $i=1, 2, \dots$ ,  $b_i \geq 0$ . Also, we suppose that there exists  $r > 0$  such that for each  $z$ ,  $|z| \leq r$  the following conditions are satisfied:

a) the series  $\sum_{i=1}^{\infty} b_i z^i = K(z)$  converges;

b)  $\tilde{G}(z) = \sum_{i=1}^{\infty} a_i z^i \neq -1$

Then  $c_i \leq M/r^i$ ,  $M = \sqrt{1 + K(r)}$

*P r o o f.* It follows from the fact that the function  $1 + \tilde{G}(z)$  is regular, different from zero in the circle  $\{z; |z| \leq r\}$  and from Cauchy inequalities.



COROLLARY. *Provided that  $|a_i| \leq C\gamma^i$  for  $i \geq 1$  and that there exists  $r > 0$  such that  $\gamma < 1/r$  and  $C < (1-\gamma r)/\gamma r$ , then  $|c_i| \leq \sqrt{2}/r^i$ .*

P r o o f. Let us show that the conditions of Proposition 2 are satisfied for  $|z| \leq r$ :

- a)  $|K(z)| = \left| \sum_{i=1}^{\infty} b_i z^i \right| \leq C\gamma r \sum_{i=0}^{\infty} (\gamma r)^i \leq C\gamma r / (1-\gamma r)$  ;
- b)  $|\tilde{G}(z)| \leq K(|z|) \leq C\gamma r / (1-\gamma r) < 1$ ,  $\tilde{G}(0) = 0$  and it follows  
 $\tilde{G}(z) \neq -1$  ;
- c)  $M = \sqrt{1 + K(|z|)} \leq \sqrt{2}$  .

#### APPROXIMATION OF THE SOLUTION OF EQUATION (1) AND THE MEASURE OF APPROXIMATION

It is known from [5] that the solution of problem (1), (2) for  $G(t)$  given by (6) and  $R(t) \equiv 0$  is:

$$(9) \quad u_{\lambda}(x) = \frac{1}{2} \ell \exp(-|x|s) \exp(-\lambda|x|s^{1-\alpha}) \quad \text{or}$$

$$(10) \quad u_{\lambda}(x, t) = \frac{1}{2} \ell \begin{cases} (t-|x|)^{-1} \phi(0, -(1-\alpha), -\lambda|x|(t-|x|)^{-(1-\alpha)}), & t > |x| \\ 0, & t \leq |x| \end{cases}$$

where  $\phi$  is Wright's function [6].

For two elements  $f$  and  $g$  from  $C$  let us denote  
 $f \leq_T g \Leftrightarrow f(t) \leq g(t)$  ,  $0 \leq t \leq T$ .

PROPOSITION 3. *Let us suppose that:*

- a)  $G(t)$  is given by relation (3) where  $a_1 = 2\lambda$ ,  $a_2 = \lambda^2$ ,  $\lambda > 0$  ;
- b) The coefficients  $a_i$ ,  $i \geq 1$ , satisfy the conditions of the Corollary to Proposition 2 and

c)  $\alpha > 1/k$ , where  $k > 2$  such that  $c_i = 0$  for  $2 \leq i < k$  and  $c_k \neq 0$ .

Then

$$(11) \quad u(x) = u_\lambda(x) \exp(-|x| \sum_{i=k}^{\infty} c_i \ell^{i\alpha-1})$$

is the solution of equation (1) with conditions (2). For  $|x| \leq t \leq T$  ( $\lambda|x|$ ) $^{1/\sigma} + |x| \equiv T_1(|x|)$  and  $0 \leq t \leq T$ ,  $\sigma = 1 - \alpha$ , we have

$$(12) \quad |u(x) - u_\lambda(x)| \leq \frac{v|x|^{1-1/\sigma} \lambda^{-1/\sigma} T_1^{\delta+1}}{2\delta(\delta+1)} \left( \frac{T^{2n}}{(2n)!} C(2n+1) + \frac{T^{2n+1}}{(2n+1)!} C(2n+2) \right) \cdot \sum_{k=0}^{\infty} \frac{(|x| v T_1^\delta)^k}{\Gamma(k+2) \Gamma((k+1)\delta)}$$

where

$$(13) \quad C(k) = \frac{1}{\sigma\pi} \Gamma\left(\frac{k}{\sigma}\right) \cdot \cos^{-k/\sigma} \frac{\sigma\pi}{2}; \quad \delta = k\alpha - 1; \quad v \geq \frac{M}{r^k} \sum_{j=0}^{\infty} \frac{T_1^{j\alpha}}{r^j \Gamma(j\alpha+1)}.$$

**P r o o f.** The assumptions of Proposition 3 imply the conditions of Proposition 2 from [5], hence there exists a solution of form (4) which is a continuous function for  $t \geq 0$ ,  $x \neq 0$  having a line of symmetry  $x = 0$  and satisfying conditions (2). The coefficients  $c_i$  are given by (5). Since  $c_2 = \frac{1}{2} (a_2 - (a_1/2)^2) = 0$ , it follows that  $k \geq 3$ . The coefficients  $a_i$  satisfy the conditions of the Corollary to Proposition 2 and so  $|c_i| \leq \sqrt{2}/r^i$ .

The functions  $u(x)$  and  $u_\lambda(x)$  are continuous, so we can use the inequality of the form  $\leq_T$ . From (11) follows:

$$|u(x) - u_\lambda(x)| \leq_T |u_\lambda(x)| \left| \exp(-|x| \sum_{i=k}^{\infty} c_i \ell^{i\alpha-1}) - 1 \right|$$

Using (10) and [1] we have

$$(14) \quad u_\lambda(t, x) = \frac{1}{2} \ell(\lambda|x|)^{-1/\sigma} \left[ (\lambda|x|)^{-1/\sigma} (t-|x|) \right]^{-1} \phi(0, -\sigma, -(\lambda|x|)^{-1/\sigma} (t-|x|)^{-\sigma}) \leq \frac{1}{2} \ell^2(\lambda|x|)^{-1/\sigma} \left( \frac{T^{2n}}{(2n)!} C(2n+1) + \frac{T^{2n+1}}{(2n+1)!} C(2n+2) \right) = \frac{1}{2} \ell^2 Q(\lambda)$$



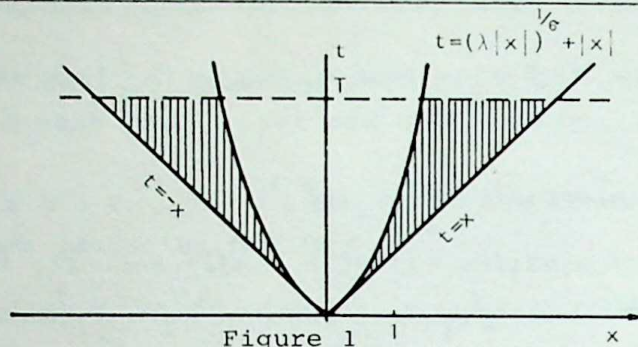


Figure 1

for  $|x| \leq t \leq T(\lambda|x|)^{1/\sigma} + |x| = T_1$  or (Fig. 1)  $0 \leq (\lambda|x|)^{-1/\sigma} \cdot (t - |x|) \leq T$  and  $C(k)$  is given by (13).

Applying the results from [2], we have

$$|\exp(-|x|) \sum_{i=k}^{\infty} c_i \ell^{i\alpha-1} - I| \leq T^{\Omega_{\delta, \nu}} \ell^{\delta}, \quad \text{where}$$

$$(15) \quad \Omega_{\delta, \nu} = |x| \nu \Gamma(\delta) \sum_{k=0}^{\infty} \frac{(\nu|x| T_1^{\delta})^k}{\Gamma(k+2) \Gamma((k+1)\delta)}$$

for  $0 \leq t \leq T$ .

#### REMARK

This approximation is suitable for  $0 \leq T \leq 1$ . If  $T > 1$ , one can use the following estimation for the function  $\phi$  ([4]):

$$(16) \quad t^{-1} \phi(0, -\sigma, t^{-\sigma}) \leq \frac{1}{\sigma \pi} \cos^{-1/\sigma} \left( \frac{\sigma \pi}{2} \right) \Gamma(1/\sigma) = K(\sigma).$$

The coefficients  $a_1$  and  $a_2$  are not always supposed to have such a special form as in Proposition 3. We kept them in this form in order to show the influence of the neglecting of addend  $R(t)$  in function  $G(t)$  on the solution of equation (1), as was done in [3].

We are going to show only one statement of a more general type:

PROPOSITION 4. *Let us suppose that:*

- a)  $G(t)$  is given by (3), where  $a_1 > 0, a_2 > (a_1/2)^2$  ;  
 b) Coefficients  $a_i, i \geq 1$ , satisfy the conditions of the Corollary to Proposition 2;  
 c)  $1/3 < \alpha < 1/2$  .

Then  $\tilde{u}_{k-1}(x) = \frac{1}{2} \ell \exp(-|x| \sum_{i=0}^{k-1} c_i \ell^{i\alpha-1})$  is a solution of problem (1), (2) and

$$(17) \quad |u(x) - \tilde{u}_{k-1}(x)| \leq T \frac{1}{2} \ell^3 \Omega(c_1) \frac{K(1-2\alpha)}{(|x|c_2)^{1/(2\alpha-1)}} \prod_{i=3}^{k-1} (1 + \ell^{i\alpha-1} \Omega_{i\alpha-1}(|x|c_i) \cdot \Omega_{\delta, \nu} \ell^{\delta})$$

for  $|x| \leq t \leq T(\lambda|x|)^{1/\sigma} + |x| \leq T_1(|x|)$  and  $0 \leq t \leq T$  .

(The notations are as in Proposition 3.)

**P r o o f.** Let us observe that

$$|\exp(-|x|c_2 \ell^{2\alpha-1})| = |t^{-1} \phi(0, -(1-2\alpha), -|x|c_2 t^{2\alpha-1})| \leq \frac{K(1-2\alpha)\ell}{(|x|c_2)^{1/2\alpha-1}}$$

and from [4]

$$\begin{aligned} |\ell \exp(-|x|c_i \ell^{i\alpha-1})| &= |\ell + \ell \sum_{k=1}^{\infty} (-|x|c_i \ell^{i\alpha-1})^k| \\ &\leq T^{\ell + \Omega_{i\alpha-1}(|x|c_i) \ell^{i\alpha}} . \end{aligned}$$

## NUMERICAL EXAMPLE

Let us suppose that the coefficients  $a_i$  satisfy the conditions of Proposition 3 and the inequalities  $|a_i| \leq C\gamma^i, i \geq 1$ ; (for  $\lambda = \gamma < 1/r$  and  $C < (1/\gamma r) - 1$  the coefficients  $c_i$  from the Corollary to Proposition 3 satisfy  $|c_i| < \sqrt{2}/r^i$ ). Then the measure of approximation, can be expressed for  $|x|=1$ :



|                       |           | $ u(x) - u_\lambda(x)  \leq$ |                         |                        |                        |
|-----------------------|-----------|------------------------------|-------------------------|------------------------|------------------------|
| $\lambda$             | $1/r$     | $T_1 = 1$                    | $(T=10^{-5})$           | $T_1=2$                | $T_1=10$               |
| $\alpha=1/2$<br>$k=3$ | $10^{-2}$ | $10^{-1}$                    | $3.5611 \cdot 10^{-7}$  | $1.5570 \cdot 10^1$    | $2.7395 \cdot 10^2$    |
|                       | $10^{-3}$ | $10^{-2}$                    | $3.4500 \cdot 10^{-8}$  | $1.3772 \cdot 10^0$    | $1.5594 \cdot 10^1$    |
|                       | $10^{-4}$ | $10^{-3}$                    | $3.4291 \cdot 10^{-9}$  | $1.3639 \cdot 10^{-1}$ | $1.5283 \cdot 10^0$    |
|                       | $10^{-5}$ | $10^{-4}$                    | $3.2744 \cdot 10^{-10}$ | $1.3654 \cdot 10^{-2}$ | $1.5254 \cdot 10^{-1}$ |
|                       | $10^{-6}$ | $10^{-5}$                    | $3.2744 \cdot 10^{-11}$ | $1.3654 \cdot 10^{-3}$ | $1.5251 \cdot 10^{-2}$ |
|                       | $10^{-7}$ | $10^{-6}$                    | $3.2744 \cdot 10^{-12}$ | $1.3654 \cdot 10^{-4}$ | $1.5251 \cdot 10^{-3}$ |
| $\alpha=1/2$<br>$k=5$ | $10^{-2}$ | $10^{-1}$                    | $3.6529 \cdot 10^{-3}$  | $7.5038 \cdot 10^0$    |                        |
|                       | $10^{-3}$ | $10^{-2}$                    | $2.0021 \cdot 10^{-4}$  | $6.1189 \cdot 10^{-1}$ | $2.6787 \cdot 10^2$    |
|                       | $10^{-4}$ | $10^{-3}$                    | $1.9884 \cdot 10^{-5}$  | $6.1168 \cdot 10^{-2}$ | $2.5642 \cdot 10^1$    |
|                       | $10^{-5}$ | $10^{-4}$                    | $1.9870 \cdot 10^{-6}$  | $6.1091 \cdot 10^{-3}$ | $2.5544 \cdot 10^0$    |
|                       | $10^{-6}$ | $10^{-5}$                    | $1.9870 \cdot 10^{-7}$  | $6.1091 \cdot 10^{-4}$ | $2.5544 \cdot 10^{-1}$ |
|                       | $10^{-7}$ | $10^{-6}$                    | $1.9870 \cdot 10^{-8}$  | $6.1091 \cdot 10^{-5}$ | $2.5544 \cdot 10^{-2}$ |

## REFERENCES

- [1] Lj.Gajić, B.Stanković, *Some properties of Wright's function*, Publ. de l'Inst.Math., N.s., t. 20 (34), (1976), pp. 91-98.
- [2] D.Herceg, B.Stanković, *Approximate solution of the operator linear differential equation II*, Publ. de l'Inst.Math. N.s, t. 22 (36), (1977), pp. 77-86.
- [3] А.А.Локшин В.Е.Рок, *Автомодельные решения волновых уравнений с запаздывающим временем*, Успехи математических наук, Т. 33, Вып. 6 (204) (1980), 221-222.
- [4] B.Stanković, *Approximate solution of the operator linear differential equation I*, Publ. de l'Inst. Math., N. s., t. 21 (35), (1977), pp. 185-196.

- [5] B. Stanković, *Equation of oscillation of a viscoelastic bar*, Zbornik radova PMF, Novi Sad, knjiga 10, (1980), pp. 1-12.
- [6] E.M. Wright, *The generalized Bessel function of order greater than one*, Quart. J. Math. Oxford series, 2, (1940), pp. 36-48.

## REZIME

## JEDNAČINA OSCILACIJE ŽILAVOELASTIČNOG ŠTAPA (II)

Ovaj rad je nastavak rada [5]. U radu je ocenjena razlika rešenja jednačine

$$\partial_t^2 u(t, x) - \partial_x^2 u(t, x) + \int_0^t \partial_t^2 u(t-\tau, x) G(\tau) d\tau = 0$$

sa početnim uslovima

$$u(0, x) = 0 \quad \partial_t u(0, x) = \delta(x)$$

kada  $G(t)$  ima oblik

$$G(t) = 2\lambda t^{\alpha-1}/\Gamma(\alpha) + \lambda t^{2\alpha-1}/\Gamma(2\alpha) + R(t)$$

i rešenja koje se dobija kada se u tom izrazu zanemari  $R(t)$  (Tvrdjenje 3)

U tvrdjenju 4 posmatran je izraz  $G(t)$  oblika:

$$G(t) = \sum_{i=1}^{\infty} a_i t^{i\alpha} ;$$

formirana su aproksimativna rešenja koja takodje zadovoljavaju početni uslov i ocenjena je greška.





*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu  
knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

---

# ON A COMMON FIXED POINT IN BANACH AND RANDOM NORMED SPACES

*Olga Hadžić*

*Prirodno-matematički fakultet. Institut za matematiku  
21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

In [1] the following common fixed point theorem is proved.

**THEOREM A** *Let  $S$  and  $T$  be continuous mappings of a complete metric space  $(X, d)$  into itself. Then  $S$  and  $T$  have a common fixed point in  $X$  if and only if there exists a continuous mapping  $A : X \rightarrow SX \cap TX$ , which commutes with  $S$  and  $T$  and satisfies the inequality*

$$d(Ax, Ay) \leq q d(Sx, Ty) \quad \text{for every } x, y \in X,$$

where  $0 \leq q < 1$ . Indeed  $S, T$  and  $A$  then have a unique common fixed point.

We shall prove in this note a common fixed point theorem if  $(X, \| \cdot \|)$  is a Banach space,  $S$  and  $T$  are linear mappings,

$$\|Ax - Ay\| \leq \|Sx - Ty\| \quad \text{for every } x, y \in X,$$

and in iterate  $A^m$  ( $m \in \mathbb{N}$ ) is  $\psi$ -densifying. Here  $S, T$  and  $A$  are defined on  $X$  and  $AX \subseteq SX \cap TX$ . If  $S = T = \text{Id}|_X$ , from our Theorem follows the result from [2] for nonexpansive mapping  $A$ . First, we shall give some definitions [4]. Let  $(X, \| \cdot \|)$  be a Banach space,  $2^X$  the set of all subsets of  $X$ , and let  $N \subseteq 2^X$  be such that  $Q \in N$  implies  $\overline{\text{co}} Q \in N$ . Further, let  $(U, \leq)$  be a partially ordered set. A mapping  $\psi : N \rightarrow U$  is a measure of noncompactness if and only if



$$\psi(\overline{Q}) = \psi(Q) \quad \text{for every } Q \in N.$$

The measure  $\psi$  is monotone if  $Q_1 \subseteq Q_2$  ( $Q_1, Q_2 \in N$ ) implies  $\psi(Q_1) \leq \psi(Q_2)$ , and 2-regular if for every totally bounded set  $Q \in N$  the relation  $\psi(Q) = 0$  holds. The measure  $\psi$  is algebraically semi-additive if for every  $Q_1, Q_2 \in N$  the inequality  $\psi(Q_1 + Q_2) \leq \psi(Q_1) + \psi(Q_2)$  holds. Let  $M \subseteq X$  and  $F: M \rightarrow X$ . The mapping  $F$  is  $\psi$ -densifying iff  $Q \subseteq M$  implies  $Q \in N$ ,  $F(Q) \in N$  and:

$$\overline{Q} \text{ is not compact} \Rightarrow \psi(F(Q)) \neq \psi(Q).$$

In the following text we shall suppose that the set  $U$  is totally ordered and  $\psi$  is monotone, 2-regular and algebraically semi-additive, where  $N$  is the set of all bounded subsets of Banach space  $X$ . Let  $X' = \{\lambda y \mid \lambda \in (0, 1), y \in AX\}$ .

**THEOREM 1.** *Let  $(X, \|\cdot\|)$  be a Banach space,  $S$  and  $T$  linear, continuous mappings from  $X$  into  $X$ . Let  $A$  be a continuous mapping from  $X$  into  $SX \cap TX$  such that  $AX$  is bounded and that the following two conditions are satisfied:*

$$1. \quad \|Ax - Ay\| \leq \|Sx - Ty\| \quad \text{for every } x, y \in X.$$

2. *There exists  $m \in N$  such that  $A^m|_{X'}$  is  $\psi$ -densifying. If  $A$  commutes with  $S$  and  $T$  then there exists  $x \in X$  such that*

$$x = Tx = Sx = Ax.$$

**P r o o f.** Suppose that  $\{r_n\}_{n \in N}$  is a sequence of real numbers from  $(0, 1)$  such that  $\lim_{n \rightarrow \infty} r_n = 1$ . For every  $n \in N$  let  $A_n x = r_n Ax$ ,  $x \in X$ . Let us show that for every  $n \in N$  there exists  $x_n \in X$  such that:

$$(1) \quad x_n = A_n x_n = Sx_n = Tx_n.$$

First, from  $AX \subseteq SX \cap TX$  and the fact that  $S$  and  $T$  are linear it follows that:

$$A_n X \subseteq SX \cap TX.$$

Further,  $A_n Sx = r_n ASx = r_n SAx$  and  $SA_n x = S(r_n Ax) = r_n SAx$  for every  $x \in X$ , and so  $A_n$  and  $S$  are commutative and similarly  $A_n$  and  $T$ . Since for every  $x, y \in X$ ,  $\|A_n x - A_n y\| \leq r_n \|Sx - Ty\|$ , it follows that all the conditions of Theorem A are satisfied. So for every  $n \in \mathbb{N}$  there exists  $x_n \in X$  such that (1) holds. From (1) we have that  $x_n - Ax_n = (r_n - 1)Ax_n$  and since  $AX$  is bounded and  $\lim_{n \rightarrow \infty} r_n = 1$  it follows that  $\lim_{n \rightarrow \infty} (x_n - Ax_n) = 0$ . Let us prove that:

$$\lim_{n \rightarrow \infty} (x_n - A^m x_n) = 0.$$

First we shall show that for every  $k, n \in \mathbb{N}$

$$\|A^k x_n - A^{k+1} x_n\| \leq \|x_n - Ax_n\|.$$

We use induction in  $k$ . For  $k=1$  and  $n \in \mathbb{N}$  we have:

$$\|Ax_n - A^2 x_n\| \leq \|Sx_n - TAx_n\| = \|Sx_n - ATx_n\| = \|x_n - Ax_n\|.$$

Suppose that for some  $k$  and every  $n \in \mathbb{N}$ :

$$\|A^k x_n - A^{k+1} x_n\| \leq \|x_n - Ax_n\|.$$

Then:

$$\begin{aligned} \|A^{k+1} x_n - A^{k+2} x_n\| &\leq \|S(A^k x_n) - T(A^{k+1} x_n)\| = \|A^k (Sx_n) - \\ &- A^{k+1} (Tx_n)\| = \|A^k x_n - A^{k+1} x_n\| \leq \|x_n - Ax_n\|. \end{aligned}$$

Now:

$$\|x_n - A^m x_n\| \leq \sum_{s=0}^{m-1} \|A^s x_n - A^{s+1} x_n\| \leq m \|x_n - Ax_n\| \quad \text{for every } n \in \mathbb{N},$$

and since  $\lim_{n \rightarrow \infty} (x_n - Ax_n) = 0$ , it follows that  $\lim_{n \rightarrow \infty} (x_n - A^m x_n) = 0$ .

Let us prove that there exists a convergent subsequence  $\{x_{n_k}\}_{k \in \mathbb{N}}$ .

Let  $y_n = x_n - A^m x_n$  for every  $n \in \mathbb{N}$ . Then:

$$\psi[\{x_n | n \in \mathbb{N}\}] \leq \psi[\{y_n | n \in \mathbb{N}\}] + \psi[\{A^m x_n | n \in \mathbb{N}\}]$$



since the measure  $\psi$  is monotone and algebraically semi-additive. Since  $\lim_{n \rightarrow \infty} y_n = 0$  and the measure  $\psi$  is 2-regular it follows that  $\psi[\{y_n | n \in \mathbb{N}\}] = 0$ . Consequently:

$$\psi[\{x_n | n \in \mathbb{N}\}] \leq \psi[\{A^m x_n | n \in \mathbb{N}\}]$$

Hence, as  $A^m|X'$  is  $\psi$  densifying it follows that the set  $\overline{\{x_n | n \in \mathbb{N}\}}$  is compact. Suppose that  $\lim_{k \rightarrow \infty} x_{n_k} = y^*$ . Then  $y^* = \lim_{k \rightarrow \infty} x_{n_k} = A(\lim_{k \rightarrow \infty} x_{n_k}) = S(\lim_{k \rightarrow \infty} x_{n_k}) = T(\lim_{k \rightarrow \infty} x_{n_k})$ , and so  $y^*$  is a common fixed point for the mappings  $A, S$  and  $T$ .

A special 2-regular, monotone and algebraically semi-additive measure  $\psi$  is Kuratowski's measure of noncompactness  $\alpha$  defined on bounded subsets  $A \subseteq X$  by

$$\alpha(A) = \inf\{\varepsilon | \varepsilon > 0, \text{ there exists a finite cover } A \text{ of the set } A \text{ such that } \text{diam}(B) \leq \varepsilon, \text{ for every } B \in A\}.$$

From Theorem 1 we obtain the following Corollary.

**COROLLARY 1.** *Let  $X, T, S$  and  $A$  be as in Theorem 1, where  $\alpha = \psi$ . Then there exists a common fixed point for  $A, S$  and  $T$ .*

If  $A^m X$  is relatively compact, then  $A^m$  is  $\alpha$  densifying. This special case can be generalized to random normed spaces  $(X, F, t)$  with continuous T-norm  $t$ .

A triplet  $(X, F, t)$  is a Menger space iff  $X$  is an arbitrary set,  $F: X \times X \rightarrow \Delta$ , where  $\Delta$  denotes the set of all distribution functions  $F$ , and  $t$  is a T-norm so that the following conditions are satisfied (we write  $F(p, q) = F_{p, q}$  for every  $p, q \in X$ ):

1.  $F_{p, q}(x) = 1$  for every  $x \in \mathbb{R}^+$  iff  $p = q$ .
2.  $F_{p, q}(0) = 0$  for every  $p, q \in X$ .
3.  $F_{p, q} = F_{q, p}$  for every  $p, q \in X$ .
4.  $F_{p, r}(x+y) \geq t(F_{p, q}(x), F_{q, r}(y))$  for every  $p, q, r \in X$  and every  $x, y \geq 0$ .

The  $(\varepsilon, \lambda)$  topology is introduced by the  $(\varepsilon, \lambda)$  neighbourhoods of  $v \in X$ :

$$U_v(\varepsilon, \lambda) = \{u \mid F_{u,v}(\varepsilon) > 1-\lambda\}, \quad \varepsilon > 0, \quad \lambda \in (0, 1).$$

In [3] the following Theorem is proved.

**THEOREM B.** *Let  $(X, F, t)$  be a complete Menger space with continuous T-norm  $t$ , and let  $S$  and  $T$  be continuous mappings  $X$  into  $X$ . Then  $S$  and  $T$  have a common fixed point in  $X$  if and only if there exists a continuous mapping  $A$  of  $X$  into  $SX \cap TX$  which commutes with  $S$  and  $T$  and satisfies the following two conditions:*

(i) *For every  $x, y \in X$*

$$F_{Ax, Ay}(\varepsilon) \geq F_{Sx, Ty}\left(\frac{\varepsilon}{q}\right) \text{ for every } \varepsilon > 0, \text{ where } q \in (0, 1).$$

(ii) *There exists  $x_0 \in X$  such that  $\sup_{\varepsilon} \inf_{n \in \mathbb{N}} F_{Ax_n, Ax_0}(\varepsilon) = 1$ .*

$$\begin{aligned} \text{where } \{x_n\}_{n \in \mathbb{N}} \text{ is such that } Ax_{2n-2} &= Sx_{2n-1}, Ax_{2n-1} = \\ &= Tx_{2n} \text{ for every } n \in \mathbb{N}. \end{aligned}$$

Indeed  $S, T$  and  $A$  then have a unique common fixed point.

Let  $S$  be a real or complex linear space and  $\Delta^+$  be the set of all distribution functions  $F$  such that  $F(0) = 0$ . A random normed space is an ordered triple  $(S, F, t)$ , where  $t$  is a T-norm stronger than  $T_m$ :  $T_m(u, v) = \max\{u+v-1, 0\}$  and  $F$  is a mapping of  $S$  into  $\Delta^+$ , so that the following conditions are satisfied (we shall denote  $F(p)$  by  $F_p$ ):

1.  $F_p = H \Leftrightarrow p = 0$  ( $0$  is the neutral element in  $S$ ).
2.  $F_{\lambda p}(x) = F_p\left(\frac{x}{|\lambda|}\right)$ , for every  $p \in S$ ,  $x \in R$  and  $\lambda \in K \setminus \{0\}$   
where  $K$  is the scalar field.
3.  $F_{p+q}(x+y) \geq t(F_p(x), F_q(y))$ , for every  $p, q \in S$  and every  $x, y \in R$ .

The  $(\varepsilon, \lambda)$ -topology in  $(S, F, t)$  is introduced by the family of  $(\varepsilon, \lambda)$ -neighbourhoods of  $v \in S$ :  $U_v(\varepsilon, \lambda) = \{u \mid u \in S, F_{u-v}(\varepsilon) > 1-\lambda\}$



where  $\epsilon > 0$  and  $\lambda \in (0,1)$  and if T-norm  $t$  is continuous then  $S$  is, in the  $(\epsilon, \lambda)$ -topology, a Hausdorff linear topological space. Every random normed space is a Menger space if we take  $F_{u,v} = F_{u-v}$ , for every  $u, v \in S$ .

From Theorem B it is easy to obtain the following Corollary in which  $(X, F, t)$  is a random normed space.

**COROLLARY 2.** *Let  $(X, F, t)$  be a complete random normed space with continuous T-norm  $t$  and let  $S$  and  $T$  be continuous mappings from  $X$  into  $X$ . If  $A$  is a continuous mapping from  $X$  into  $SX \cap TX$  which commutes with  $S$  and  $T$ , if  $AX$  is bounded in  $(\epsilon, \lambda)$  topology and if*

$$F_{Ax-Ay}(\epsilon) \geq F_{Sx-Ty}\left(\frac{\epsilon}{q}\right) \quad \text{for every } \epsilon > 0$$

*and every  $x, y \in X$ , where  $q \in (0,1)$  then  $S, T$  and  $A$  have a unique common fixed point.*

Using the similar idea as in the proof of Theorem 1 we shall prove the following common fixed point theorem.

**THEOREM 2.** *Let  $(X, F, t)$  be a complete random normed space with continuous T-norm  $t$ ,  $S$  and  $T$  be linear continuous mappings from  $X$  into  $X$ . Further let  $A$  be a continuous mapping which commutes with  $S$  and  $T$  such that  $AX \subseteq SX \cap TX$ ,  $AX$  is bounded in the  $(\epsilon, \lambda)$ -topology and  $A^m X$  is relatively compact. If for every  $x, y \in X$  and every  $\epsilon > 0$  :*

$$F_{Ax-Ay}(\epsilon) \geq F_{Sx-Ty}(\epsilon)$$

*then there exists  $x \in X$  such that  $x = Tx = Sx = Ax$ .*

**P r o o f.** As in the proof of Theorem 1, using Corollary 2, we conclude that, for every  $n \in \mathbb{N}$ , there exists  $x_n \in X$  such that

$$x_n = A_n x_n = Sx_n = Tx_n$$

and  $\lim_{n \rightarrow \infty} (x_n - Ax_n) = 0$ . Let us prove that  $\lim_{n \rightarrow \infty} (x_n - A^m x_n) = 0$ . Similarly as in Theorem 1 it follows that for every  $k \in \mathbb{N}$ , every  $n \in \mathbb{N}$  and every  $\varepsilon > 0$ :

$$F_{A^k x_n - A^{k+1} x_n}(\varepsilon) \geq F_{x_n - Ax_n}(\varepsilon).$$

Further from the definition of a random normed space it follows:

$$\begin{aligned} F_{x_n - A^m x_n}(\varepsilon) &\geq t(F_{x_n - Ax_n}(\frac{\varepsilon}{2}), F_{Ax_n - A^m x_n}(\frac{\varepsilon}{2})) \geq \\ &\geq t(F_{x_n - Ax_n}(\frac{\varepsilon}{2}), t(F_{Ax_n - A^2 x_n}(\frac{\varepsilon}{4}), F_{A^2 x_n - A^m x_n}(\frac{\varepsilon}{4}))) \geq \\ &\geq t(F_{x_n - Ax_n}(\frac{\varepsilon}{2}), t(F_{x_n - Ax_n}(\frac{\varepsilon}{4}), t(F_{x_n - Ax_n}(\frac{\varepsilon}{2^3}), \dots \\ &\dots, F_{x_n - Ax_n}(\frac{\varepsilon}{2^{m-1}}))))). \end{aligned}$$

Since  $t(1,1) = 1$ ,  $t$  is continuous and

$$\lim_{n \rightarrow \infty} F_{x_n - Ax_n}(\frac{\varepsilon}{2^s}) = 1, \quad s = 1, 2, \dots, m-1,$$

it follows that  $\lim_{n \rightarrow \infty} F_{x_n - A^m x_n}(\varepsilon) = 1$  for every  $\varepsilon > 0$ , which means that  $\lim_{n \rightarrow \infty} x_n - A^m x_n = 0$ . The rest of the proof is similar to that of Theorem 1.

## REFERENCES

- [1] B.Fisher, *Mappings with a Common Fixed Point*, Math. Sem. Notes, Kobe University, Japan, Vol. 7, No. 1, (1979), 81-84.
- [2] D.Göhde, *Über Fixpunkte bei stetigen Selbstabbildungen mit kompakten Iterierten*, Math.Nachr., 28 (1964), 45-55.
- [3] O.Hadžić, *On Common Fixed Point in Probabilistic Metric Spaces*, Math. Sem. Notes, Kobe University, Japan. Vol. 10(1982), 31-39.



- [4] B.N.Sadovskii, *Predelnokompaktnye i upotnjajusche operatori*, UMN, 28, 1 (163) (1972), 81-146.
- [5] A.N.Šerstnev, *The notion of random normed spaces*, DAN SSSR, 149, (2) (1963), 280-283.

## REZIME

O ZAJEDNIČKOJ NEPOKRETNOSTI TAČKI U BANAHOVIM  
I SLUČAJNIM NORMIRANIM PROSTORIMA

U ovom radu su dokazane sledeće dve teoreme.

TEOREMA 1. Neka je  $(X, || \cdot ||)$  Banachov prostor,  $S$  i  $T$  linearna preslikavanja iz  $X$  u  $X$ . Neka je  $A$  neprekidno preslikavanje  $X$  u  $SX \cap TX$  tako da je  $AX$  ograničen skup i da su zadovoljeni sledeći uslovi, gde je  $X' = \{\lambda y \mid \lambda \in (0,1), y \in AX\}$ .

1.  $||Ax - Ay|| \leq ||Sx - Ty||$  za svako  $x, y \in X$ .
2. Postoji  $m \in \mathbb{N}$  tako da je  $A^m \mid X' \psi$  kondenzujuće preslikavanje, gde je mera nekompaktnosti  $\psi$  monotona, 2-regularna i algebarski semiaditivna.

Ako preslikavanje  $A$  komutira sa preslikavanjima  $S$  i  $T$  tada postoji  $x \in X$  tako da je  $x = Tx = Sx = Ax$ .

TEOREMA 2. Neka je  $(X, F, t)$  kompletan slučajan normirani prostor sa neprekidnom  $T$ -normom  $t$ ,  $S$  i  $T$  linearna neprekidna preslikavanja iz  $X$  u  $X$ . Dalje, neka je  $A$  neprekidno preslikavanje, koje komutira sa  $S$  i  $T$  tako da je  $AX \subseteq SX \cap TX$ ,  $AX$  je ograničeno u  $(\epsilon, \lambda)$ -topologiji i  $A^m X$  je relativno kompaktan skup. Ako za svako  $x, y \in X$  i svako  $\epsilon > 0$  važi nejednakost  $F_{Ax-Ay}(\epsilon) \geq F_{Sx-Ty}(\epsilon)$  tada postoji  $x \in X$  tako da je  $x = Tx = Sx = Ax$ .

A GENERALIZATION OF KAKUTANI'S FIXED POINT  
 THEOREM IN PARANORMED SPACES

*Olga Hadžić*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

ABSTRACT

In this paper the following theorem is proved:

Suppose that  $(X, || \cdot ||_1^*)$  and  $(Y, || \cdot ||_2^*)$  are paranormed spaces,  $Z$  is a compact and convex subset of  $X$ ,  $K$  is a compact and convex subset of  $Y$ ,  $f$  is an upper semicontinuous mapping from  $Z$  into the set  $R(Y)$  of all closed and convex subsets  $M \subset K$ ,  $M \neq \emptyset$  and  $p: K \rightarrow Z$  is a continuous mapping. If  $f(Z)$  and  $p(\text{co } f(Z))$  satisfy the Zima condition then there exists  $x_0 \in Z$  so that  $x_0 \in p(f(x_0))$ .

From this Theorem two Corollaries are obtained.

1. Let  $E$  be a linear space over the real or complex number field. The function  $|| \cdot ||^*: E \rightarrow [0, \infty)$  will be called paranorm if and only if :

1.  $||x||^* = 0 \Leftrightarrow x = 0$ .
2.  $||-x||^* = ||x||^*$ , for every  $x \in E$ .
3.  $||x+y||^* \leq ||x||^* + ||y||^*$ , for every  $x, y \in E$ .
4. If  $||x_n - x_0||^* \rightarrow 0$ ,  $\lambda_n \rightarrow \lambda$  then  $||\lambda_n x_n - \lambda x_0||^* \rightarrow 0$ .

The function  $\rho: E \times E \rightarrow [0, \infty)$  defined by  $\rho(x, y) = ||x - y||^*$  is the distance function on  $E$ . If  $(E, \rho)$  is the complete metric space then it is a Fréchet space. Further  $(E, || \cdot ||^*)$  is a topological vector space in which the fundamental system of neighborhoods of zero in  $E$  is given by the family  $\{U_\epsilon\}_{\epsilon > 0}$  where:



$$U_\epsilon = \{x | x \in E, \|x\|^* < \epsilon\}.$$

In [8] the following Theorem is proved, where  $(E, \|\cdot\|^*)$  is paranormed space.

**THEOREM 1.** *Let  $K$  be a bounded, closed and convex subset of  $E$  and  $A: K \rightarrow K$  be a completely continuous operator on  $K$ . If there exists a number  $C > 0$  such that:*

$$\|\lambda x\|^* \leq C\lambda \|x\|^*, \text{ for every } 0 \leq \lambda \leq 1 \text{ and every } x \in A(K) - A(K) \text{ then there exists an element } p \in K \text{ with } A(p) = p.$$

The above fixed point theorem can be applied [8] in the proof of the existence of a solution of the infinite system of integral equations:

$$x_i = \int_0^t f_i(s, A_{i1}(x_1), A_{i2}(x_2), \dots, A_{in_i}(x_{n_i})) ds, \quad i=1, 2, \dots.$$

In [3] and [4] some fixed point theorems for multivalued mappings in paranormed spaces are proved.

**DEFINITION 1.** *Let  $(E, \|\cdot\|^*)$  be a paranormed space and  $K$  be a nonempty subset of  $E$ . If there exists  $C(K) > 0$  such that:*

$$\|\lambda x\|^* \leq C(K)\lambda \|x\|^*, \text{ for every } 0 \leq \lambda \leq 1 \text{ and every } x \in K-K$$

*we say that  $K$  satisfies the Zima condition.*

In this paper we shall prove a generalization of Kakutani's fixed point theorem in paranormed space which is similar to the Lemma from [5].

2. Let  $X, Y$  be topological spaces. We shall denote by  $2^Y$  the family of all nonempty subsets of  $Y$ . Let  $f: X \rightarrow 2^Y$ . The mapping  $f$  is called upper semicontinuous if for each open subset  $G$  of  $Y$ , the set:

$$\{x | x \in X, f(x) \subset G\}$$

is open in  $X$ . If  $K \subset Y$  and  $Y$  is a topological vector space we shall denote by  $R(K)$  the family of all nonempty, closed and convex subsets of  $K$ .

Now, we shall prove the following fixed point theorem.

**THEOREM 2.** Suppose that  $(X, || ||_1^*)$  and  $(Y, || ||_2^*)$  are paranormed space,  $Z$  is a compact and convex subset of  $X$ ,  $K$  is a compact and convex subset of  $Y$ ,  $f$  is an upper semicontinuous mapping from  $Z$  into  $R(K)$  and  $p: K \rightarrow Z$  is a continuous mapping. If  $f(Z)$  and  $p(\text{co } f(Z))$  satisfy the Zima condition then there exists  $x_0 \in Z$  such that  $x_0 \in p(f(x_0))$ .

**Proof.** In the proof we shall use some ideas from [6]. Since  $Z$  is compact for every  $\epsilon > 0$  there exists a finite  $\epsilon$ -net of the set  $Z$ ,  $\{x_{\epsilon,1}, x_{\epsilon,2}, \dots, x_{\epsilon,n(\epsilon)}\}$ . As in [6], let the family  $\{\omega_{\epsilon,i}(x)\}_{i=1}^{n(\epsilon)}$  be defined by:

$$\omega_{\epsilon,i}(x) = \frac{g_{\epsilon,i}(x)}{\sum_{j=1}^{n(\epsilon)} g_{\epsilon,j}(x)} \quad (i=1,2,\dots,n(\epsilon)), x \in Z$$

where  $g_{\epsilon,i}(x) = \max\{\epsilon - ||x - x_{\epsilon,i}||_1^*, 0\}$  ( $i=1,2,\dots,n(\epsilon)$ ),  $x \in Z$ .

Further, let  $y_{\epsilon,i} \in f(x_{\epsilon,i})$  ( $i=1,2,\dots,n(\epsilon)$ ) and, as in [6]:

$$f_{\epsilon}(x) = \sum_{i=1}^{n(\epsilon)} \omega_{\epsilon,i}(x) y_{\epsilon,i}, \quad x \in Z.$$

Since the set  $K$  is convex it follows that the mapping  $f_{\epsilon}$  is a continuous mapping from  $Z$  into  $K$ . Indeed  $f_{\epsilon}(Z) \subseteq \text{co } f(Z)$ . Further  $p \cdot f_{\epsilon}: Z \rightarrow Z$  is a continuous mapping and since  $p \cdot f_{\epsilon}(Z) \subseteq p(\text{co } f(Z))$  it follows that the mapping  $h_{\epsilon} = p \cdot f_{\epsilon}$  satisfies all the conditions of Theorem 1. So for every  $\epsilon > 0$  there exists  $x_{\epsilon} \in Z$  such that  $x_{\epsilon} = h_{\epsilon}(x_{\epsilon})$  and so:

$$(1) \quad x_{\epsilon} = p \cdot f_{\epsilon}(x_{\epsilon})$$

Suppose that  $\lim_{n \rightarrow \infty} \epsilon_n = 0$  and let  $u_n = f_{\epsilon_n}(x_{\epsilon_n})$  ( $n \in \mathbb{N}$ ). Since  $u_n \in K$  ( $n \in \mathbb{N}$ ) and the set  $K$  is compact there exists a convergent



subsequence  $\{u_{n_k}\}_{k \in \mathbb{N}}$  of the sequence  $\{u_n\}_{n \in \mathbb{N}}$  and let  $u = \lim_{k \rightarrow \infty} u_{n_k}$ . If  $x = p(u)$  we shall prove that  $u \in f(x)$ . Since  $u_{n_k} = f_{\varepsilon_{n_k}}(x_{\varepsilon_{n_k}})$ , for every  $k \in \mathbb{N}$  from (1) we obtain that:

$$(2) \quad p(u_{n_k}) = p \cdot f_{\varepsilon_{n_k}}(x_{\varepsilon_{n_k}}) = x_{\varepsilon_{n_k}}, \quad k \in \mathbb{N}.$$

The mapping  $p$  is continuous and so from (2) we obtain that:

$$\lim_{k \rightarrow \infty} p(u_{n_k}) = p(\lim_{k \rightarrow \infty} u_{n_k}) = p(u) = \lim_{k \rightarrow \infty} x_{\varepsilon_{n_k}} = x.$$

Let  $\delta$  be an arbitrary positive number. We shall prove that  $u \in f(x) + \bar{U}_\delta$  which implies that  $u \in f(x)$ . Let:

$$G_\delta = f(x) + \frac{U_\delta}{C(f(Z))}.$$

Since the mapping  $f$  is upper semicontinuous there exists  $\xi > 0$  so that  $f(V_\xi(x)) \subseteq G_\delta$ , where  $V_\xi(x) = \{z \mid z \in Z, \|z - x\|_1^* < \xi\}$ . As in [6] it follows from  $\lim_{k \rightarrow \infty} \varepsilon_{n_k} = 0$  and  $\lim_{k \rightarrow \infty} x_{\varepsilon_{n_k}} = x$  that there exists a natural number  $n_0$  such that for  $k \geq n_0$  we have that  $x_{\varepsilon_{n_k}, i} \in V_\xi(x)$ , for every  $i \in \{1, 2, \dots, n(\varepsilon_{n_k})\}$  and the following implication:

$$(3) \quad \omega_{\varepsilon_{n_k}, i}(x_{\varepsilon_{n_k}, i}) > 0 \Rightarrow y_{\varepsilon_{n_k}, i} \in f(x_{\varepsilon_{n_k}, i}) \subseteq f(V_\xi(x)) \subseteq G_\delta.$$

Since the set  $f(Z)$  satisfies the Zima condition it follows easily that:

$$\frac{\text{co}(U_\delta \cap (f(Z) - f(Z)))}{C(f(Z))} \subseteq \bar{U}_\delta.$$

Since  $u_{n_k} = f_{\varepsilon_{n_k}}(x_{\varepsilon_{n_k}})$ , for every  $k \in \mathbb{N}$ , from the definition of the mapping  $f_{\varepsilon_{n_k}}$  it follows that:

$$(4) \quad u_{n_k} = \sum_{i=1}^{n(\epsilon)} \omega_{\epsilon_{n_k}, i} (x_{\epsilon_{n_k}, i}) y_{\epsilon_{n_k}, i}.$$

Let us suppose now that  $k \geq n_0$ . From (3) and (4) we obtain that:

$$u_{n_k} = \sum_{i: \omega_{\epsilon_{n_k}, i} (x_{\epsilon_{n_k}, i}) > 0} \omega_{\epsilon_{n_k}, i} (x_{\epsilon_{n_k}, i}) y_{\epsilon_{n_k}, i}, \quad \text{for every } k \geq n_0.$$

and so:

$$u_{n_k} \in f(x) + \text{co} \left( U_{\frac{\delta}{C(f(Z))}} (f(Z) - f(Z)) \right) \subseteq f(x) + U_{\delta}.$$

since  $G_{\delta} = f(x) + U_{\frac{\delta}{C(f(Z))}}$  and the set  $f(x)$  is convex.

From the relation  $u_{n_k} \in f(x) + U_{\delta}$ , for every  $k \geq n_0$  it follows that  $u \in f(x) + \bar{U}_{\delta}$  which completes the proof.

If  $X=Y$ ,  $K=Z$ ,  $p = \text{Id}|_Z$  and  $X$  is a normed space from Theorem 2 we obtain the fixed point theorem from [6].

3. Now, we shall prove a Corollary from Theorem 2. First, we shall give the definition of the generalized contraction [7].

DEFINITION 2. Let  $(X, d)$  be a metric space and  $T: X \rightarrow X$ . The mapping  $T: X \rightarrow X$  is a generalized contraction if and only if:

$d(Tx, Ty) \leq L(r, s) d(x, y)$ , for every  $x, y \in X$  such that  $r \leq d(x, y) \leq s$ , where the function  $L$  is defined for every  $(r, s) \in (0, \infty)$  such that  $r \leq s$  and  $L(r, s) < 1$ .

If  $X$  is complete, then a generalized contraction  $T: X \rightarrow X$  has one and only fixed point  $x$ .



COROLLARY 1. Let  $(X, || \cdot ||_1^*)$  and  $(Y, || \cdot ||_2^*)$  be paranormed spaces,  $X$  be complete,  $Z$  be a compact and convex subset of  $X$ ,  $K$  be a compact and convex subset of  $Y$ ,  $f$  be an upper semi-continuous mapping from  $Z$  into  $R(K)$ ,  $p: K \rightarrow K_1$  ( $K_1 \subseteq X$ ) be a continuous mapping and  $T: Z \rightarrow K_2$  ( $K_2 \subseteq X$ ) be a generalized contraction so that the following conditions are satisfied:

(i) The sets  $T(Z) + p(\overline{\text{co}} f(Z))$  and  $f(Z)$  satisfy the Zima condition, and  $TZ + p(\overline{\text{co}} f(Z)) \subseteq Z$ .

(ii) The set  $(\text{Id} - T)^{-1} p(\overline{\text{co}} f(Z))$  is bounded.

Then there exists  $x \in Z$  such that  $x \in Tx + p(f(x))$ .

P r o o f. Since  $T(Z) + p(\overline{\text{co}} f(Z)) \subseteq Z$  and  $T$  is a generalized contraction for every  $y \in p(\overline{\text{co}} f(Z))$  there exists  $Ry \in Z$  such that  $Ry = TRY + y$ . Let us prove that the mapping  $R: p(\overline{\text{co}} f(Z)) \rightarrow Z$  is continuous. Suppose that  $\{x_n\}_{n \in \mathbb{N}} \subseteq p(\overline{\text{co}} f(Z))$  and  $\lim_{n \rightarrow \infty} x_n = x$ . If, on the contrary, the mapping  $R$  is not continuous then there exists  $\epsilon > 0$  and a sequence  $\{n_k\}_{k \in \mathbb{N}} \subseteq \mathbb{N}$  such that  $||Rx_{n_k} - Rx||_1^* \geq \epsilon$ , for every  $k \in \mathbb{N}$  ( $n_k \geq k$ , for every  $k \in \mathbb{N}$ ). Since the set  $(\text{Id} - T)^{-1} p(\overline{\text{co}} f(Z))$  is bounded there exists  $M > 0$  so that  $||Ry||_1^* \leq M$ , for every  $y \in p(\overline{\text{co}} f(Z))$ . As in [2] it follows that:

$$||Rx_{n_k} - Rx||_1^* \leq L(\epsilon, 2M) ||Rx_{n_k} - Rx||_1^* + ||x_{n_k} - x||_1^*.$$

Further since  $\{||Rx_{n_k} - Rx||_1^*\}_{k \in \mathbb{N}} \subseteq [\epsilon, 2M]$ , there exists a subsequence  $\{x_{n_k(r)}\}_{r \in \mathbb{N}}$  such that:

$$m = \lim_{r \rightarrow \infty} ||Rx_{n_k(r)} - Rx||_1^*$$

and so  $m \leq L(\epsilon, 2M)m < m$  which is a contradiction. Let us define the mapping  $g: \overline{\text{co}} f(Z) \rightarrow Z$  in the following way  $g(x) = Rp(x)$ , for every  $x \in \overline{\text{co}} f(Z)$ . Then all the conditions of Theorem 2 are satisfied for  $\overline{\text{co}} f(Z)$  instead of  $K$  and  $g$  instead of  $p$ . So there exists  $x \in Z$  such that  $x \in g(f(x))$ . This means that  $x = g(u)$ , where  $u \in f(x)$ . From  $x = g(u)$  it follows that  $x = Rp(u)$  which implies that:



$x = Rp(u) = TRp(u) + p(u) = Tx + p(u)$ ,  $u \in f(x)$  and so:

$$x \in Tx + pf(x).$$

REMARK: If, in Theorem 2,  $X$  and  $Y$  are complete paranormed spaces, and  $K$  and  $Z$  satisfy the Zima condition it is enough to suppose that  $Z$  and  $K$  are closed and convex and that the set  $\overline{f(Z)}$  is compact. Namely, in this case the set  $\overline{co} f(Z)$  is a compact and convex subset of  $K$  and also the set  $\overline{co} p(\overline{co} f(Z)) \subseteq Z$ . Then we can apply Theorem 2 taking for the set  $K$  the set  $\overline{co} f(Z)$  and for the set  $Z$  the set  $\overline{co} p(\overline{co} f(Z))$ . Indeed, it remains to show that  $f(\overline{co} p(\overline{co} f(Z))) \subseteq \overline{co} f(Z)$  since we have  $p(\overline{co} f(Z)) \subseteq \overline{co} p(\overline{co} f(Z))$ .

From  $p(K) \subseteq Z$  it follows that  $\overline{co} p(\overline{co} f(Z)) \subseteq Z$  and so  $f(\overline{co} p(\overline{co} f(Z))) \subseteq f(Z) \subseteq \overline{co} f(Z)$ . Similarly as in [1] we shall prove the following Corollary from Theorem 2.

COROLLARY 2. Suppose that  $(X, || \cdot ||_1^*)$  and  $(Y, || \cdot ||_2^*)$  are complete paranormed spaces,  $Z$  is a closed and convex subset of  $X$ ,  $K$  is a closed and convex subset of  $Y$ ,  $K$  and  $Z$  satisfy the Zima conditions,  $f$  is an upper semicontinuous mapping from  $Z$  into  $R(K)$ ,  $p: K \rightarrow Z$  is a continuous mapping and the following conditions are satisfied:

- (i) There exists  $C \subseteq Z$  such that  $C \subseteq \overline{co} p(\overline{co} f(C))$ .
- (ii) For every  $Q \subseteq Z$  such that:  $\overline{co} Q = Q$  we have the following implication:

$$\overline{co} p(\overline{co} f(Q)) = Q \Rightarrow Q \text{ is compact.}$$

Then  $\text{Fix}(p \cdot f) \neq \emptyset$ .

PROOF. Let the family  $F$  be defined in the following way:  $F = \{Q, Q \subseteq Z, C \subseteq Q, Q \text{ is closed and convex and } p(\overline{co} f(Q)) \subseteq Q\}$ . Since  $Z$  is closed and convex and  $p(\overline{co} f(Z)) \subseteq Z$  it follows that  $Z \in F$  and so  $F \neq \emptyset$ . First, we shall prove that:

$$Q \in F \Rightarrow \overline{co} p(\overline{co} f(Q)) \in F$$



Since the set  $\overline{\text{cop}}(\overline{\text{co}} f(Q))$  is closed and convex it remains to show that  $C \subseteq \overline{\text{co}} p(\overline{\text{co}} f(Q))$  and that:

$$(5) \quad p(\overline{\text{co}} f(\overline{\text{co}} p(\overline{\text{co}} f(Q)))) \subseteq \overline{\text{co}} p(\overline{\text{co}} f(Q)).$$

From  $Q \in F$  it follows that  $C \subseteq Q$  and so  $f(C) \subseteq f(Q)$ . From this we have the following implications:

$$\begin{aligned} \overline{\text{co}} f(Q) \subseteq \overline{\text{co}} f(C) &\Rightarrow p(\overline{\text{co}} f(Q)) \subseteq p(\overline{\text{co}} f(C)) \Rightarrow \overline{\text{co}} p(\overline{\text{co}} f(Q)) \subseteq \\ &\subseteq \overline{\text{co}} p(\overline{\text{co}} f(C)) \end{aligned}$$

and since  $\overline{\text{co}} p(\overline{\text{co}} f(C)) \subseteq C$  we conclude that  $\overline{\text{co}} p(\overline{\text{co}} f(Q)) \subseteq C$ .

Let us prove relation (5). We have the following implications:

$$\begin{aligned} \overline{\text{co}} p(\overline{\text{co}} f(Q)) \subseteq Q &\Rightarrow f(\overline{\text{co}} p(\overline{\text{co}} f(Q))) \subseteq f(Q) \Rightarrow \\ &\Rightarrow \overline{\text{co}} f(\overline{\text{co}} p(\overline{\text{co}} f(Q))) \subseteq \overline{\text{co}} f(Q) \Rightarrow \\ &\Rightarrow p(\overline{\text{co}} f(\overline{\text{co}} p(\overline{\text{co}} f(Q)))) \subseteq p(\overline{\text{co}} f(Q)) \subseteq \overline{\text{co}} p(\overline{\text{co}} f(Q)) \end{aligned}$$

and so from  $Q \in F$  it follows that  $\overline{\text{co}} p(\overline{\text{co}} f(Q)) \in F$ . Let us denote

by  $K_0$  the set  $\bigcap_{Q \in F} Q$ . Since  $Q \in F$  implies that  $C \subseteq Q$ , we have

that  $C \subseteq \bigcap_{Q \in F} Q = K_0$  and so  $K_0$  is a nonempty, closed and convex

subset of  $Z$ . From  $p(\overline{\text{co}} f(Q)) \subseteq Q$  for every  $Q \in F$  it follows that

$$\bigcap_{Q \in F} p(\overline{\text{co}} f(Q)) \subseteq \bigcap_{Q \in F} Q$$

and so  $p(\bigcap_{Q \in F} \overline{\text{co}} f(Q)) \subseteq \bigcap_{Q \in F} Q$ . Since  $\overline{\text{co}} \bigcap_{i \in I} A_i \subseteq \bigcap_{i \in I} \overline{\text{co}} A_i$

where  $A_i \in K$ , for every  $i \in I$  we have that:

$$p(\bigcap_{Q \in F} \overline{\text{co}} f(Q)) \supseteq p(\overline{\text{co}} \bigcap_{Q \in F} f(Q))$$

which implies that:

$$p(\overline{\text{co}} f(\bigcap_{Q \in F} Q)) \subseteq p(\overline{\text{co}} \bigcap_{Q \in F} f(Q)) \subseteq \bigcap_{Q \in F} Q$$

and so it follows that  $p(\overline{\text{co}} f(K_0)) \subseteq K_0$ . Since  $K_0$  is closed, convex,  $K_0 \supseteq C$  and  $p(\overline{\text{co}} f(K_0)) \subseteq K_0$  we conclude that  $K_0 \in F$  and so  $\overline{\text{co}} p(\overline{\text{co}} f(K_0)) \in F$ . Further  $K_0 = \bigcap_{Q \in F} Q$  and so  $K_0 \subseteq \overline{\text{cop}}(\overline{\text{co}} f(K_0))$ .

Since, on the other hand,  $p(\overline{\text{co}} f(K_0)) \subseteq K_0$  implies that  $\overline{\text{co}} p(\overline{\text{co}} f(K_0)) \subseteq K_0$  we conclude that  $K_0 = \overline{\text{co}} p(\overline{\text{co}} f(K_0))$ . From (ii) it follows that the set  $K_0$  is a compact subset of  $Z$ . Now, we can apply Theorem 2 taking for the set  $Z$  the set  $K_0$ , for the set  $K$  the set  $\overline{\text{co}} f(K_0)$ ,  $f$  is  $f|_{K_0}$  and  $p$  is  $p|_{\overline{\text{co}} f(K_0)}$ . Since the mapping  $p|_{\overline{\text{co}} f(K_0)}$  maps  $\overline{\text{co}} f(K_0)$  into the set  $K_0$  all the conditions of Theorem 2 are satisfied and so there exists  $x_0 \in K_0$  such that  $x_0 \in p(f(x_0))$ .

## REFERENCES

- [1] J. Daneš, *Some fixed point theorems*, *Comm. Math. Univ. Carolinae*, 9, (1969), 223-235.
- [2] O. Hadžić, *Fixed point theorems for multivalued mappings in topological vector spaces*, *Glasnik matematički*, Vol. 15, (35) (1980), 113-119.
- [3] O. Hadžić, *On multivalued mappings in paranormed spaces*, *Comm. Math. Univ. Carolinae* 22, 2 (1981), 129-136.
- [4] O. Hadžić and Lj. Gajić, *Some generalizations of Schauder's fixed point theorem in paranormed spaces*, *Matematički vesnik knjiga 5* (18) (33), 1981, 43-50.
- [5] Chung-Wei Ha, *Minimax and Fixed Point Theorems*, *Math. Ann.*, Band 248, Heft 1, (1980), 73-77.
- [6] Л. В. Канторович, Г. П. Акилов, *Функциональный анализ*, "Наука", Москва, 1977.
- [7] T. Riedrich, *Vorlesungen über nichtlineare Operatorengleichungen*, Teubner Verlag, 1976.
- [8] K. Zima, *On Schauder's fixed point theorem with respect to paranormed space*, *Comm. Math. Prace Matematyczne*, 19, (1977), 421-423.



## REZIME

UOPŠTENJE TEOREME KAKUTANIJA O NEPOKRETNOSTI TAČKI  
U PARANORMIRANIM PROSTORIMA

U ovom radu dokazana je sledeća teorema.

TEOREMA.      *Neka su  $(X, \| \cdot \|_1^*)$  i  $(Y, \| \cdot \|_2^*)$  paranormirani prostori,  $Z$  je kompaktan i konveksan podskup od  $X$ ,  $K$  je kompaktan i konveksan podskup od  $Y$ ,  $f$  je od gore poluneprekidno preslikavanje  $Z$  u  $R(K)$  i  $p: K \rightarrow Z$  je neprekidno preslikavanje. Ako  $f(Z)$  i  $p(\text{co } f(Z))$  zadovoljavaju Zimin uslov tada postoji  $x_0 \in Z$  tako da je  $x_0 \in p(f(x_0))$ .*

A THEOREM ON ALMOST CONTINUOUS SELECTION  
PROPERTY AND ITS APPLICATIONS

Olga Hadžić and Ljiljana Gajić  
Prirodno-matematički fakultet, Institut za matematiku  
21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija.

## 1. INTRODUCTION

E. Michael and C. Pixley proved the following Theorem which unifies and generalizes some previously known results about the almost continuous selection property.

THEOREM 1. [8] Let  $X$  be paracompact,  $Y$  be a Banach space,  $Z \subseteq X$  with  $\dim_X Z \leq 0$  and  $\phi: X \rightarrow F(Y)$  a lower semicontinuous mapping with  $\phi(x)$  convex for all  $x \in X \setminus Z$ . Then  $\phi$  admits a selection.

In this paper we shall prove that a similar result holds also if  $X$  is a normal topological space and  $Y$  is a paranormed space.

First, we shall give some notations and definitions. Let:  $2^Y = \{S | S \subseteq Y, S \neq \emptyset\}$  and  $F(Y) = \{S | S \in 2^Y \text{ and } S \text{ is closed in } Y\}$ . A mapping  $\phi: X \rightarrow 2^Y$  is lower semicontinuous (l.c.s.) if and only if the set  $\{x | x \in X, \phi(x) \cap V \neq \emptyset\}$  is open in  $X$  for every open  $V$  in  $Y$ . A selection for a mapping  $\phi: X \rightarrow F(Y)$  is a continuous mapping  $f: X \rightarrow Y$  such that  $f(x) \in \phi(x)$  for all  $x \in X$ . Finally, if  $Z \subseteq X$  then  $\dim_X Z \leq 0$  means that  $\dim E \leq 0$  for every set  $E \subseteq Z$  which is closed in  $X$ .

Let  $E$  be a linear space over the real or complex number field. The function  $\|\cdot\|^*: E \rightarrow [0, \infty)$  will be called a paranorm if and only if:

- $\|x\|^* = 0 \iff x = 0$ .
- $\|-x\|^* = \|x\|^*$ , for every  $x \in E$ .
- $\|x+y\|^* \leq \|x\|^* + \|y\|^*$ , for every  $x, y \in E$ .
- If  $\|x_n - x_0\|^* \rightarrow 0$ ,  $\lambda_n \rightarrow \lambda_0$  then  $\|\lambda_n x_n - \lambda_0 x_0\|^* \rightarrow 0$ .



Then we say that  $(E, \| \cdot \|_*)$  is a paranormed space. The space  $E$  is also a topological vector space in which the fundamental system of neighbourhoods of zero in  $E$  is given by the family  $\{U_\epsilon\}_{\epsilon > 0}$  where  $U_\epsilon = \{x | x \in E, \|x\|_* < \epsilon\}$ .

In [9] the following fixed point theorem is proved.

**THEOREM 2.** *Let  $K$  be a bounded, closed and convex subset of  $E$  and  $T: K \rightarrow K$  be a completely continuous operator on  $K$ . If there exists a number  $C(K) > 0$  such that:*

$$(1) \quad \|\lambda x\|_* \leq C(K) \lambda \|x\|_*, \text{ for every } 0 \leq \lambda < 1 \text{ and } x \in K-K$$

*then there exists an element  $p \in K$  such that  $Tp = p$ .*

In [9] Zima has given an example of the space  $E$  and of the set  $K$  such that the relation (1) is satisfied.

**DEFINITION** *Let  $(E, \| \cdot \|_*)$  be a paranormed space and  $K$  be a nonempty subset of  $E$ . If there exists  $C(K) > 0$  such that (1) holds we say that  $K$  satisfies the Zima condition.*

Some fixed point theorems in paranormed spaces are proved in [3].

## 2. AN ALMOST CONTINUOUS SELECTION THEOREM

First, we shall prove the following Lemma.

**LEMMA 1.** *Let  $(Y, \| \cdot \|_*)$  be a paranormed space,  $K$  be a compact and convex subset of  $Y$  which satisfies the Zima condition. Then for every  $\epsilon > 0$  there exists  $\delta > 0$  so that:*

$$\text{co}((U_\delta + C) \cap K) \subseteq C + U_\epsilon$$

*for every closed and convex subset  $C$  of  $K$ .*

**P r o o f:** Let  $\delta > 0$  be such that  $U_\delta + U_\delta \subseteq U_{\frac{\epsilon}{C^2(K)}}$ . Since

the set  $C$  is closed and  $K$  is compact there exists a finite set  $F = \{x_1, x_2, \dots, x_n\} \subseteq C$  so that

$$C \subseteq \bigcup_{i=1}^n \{x_i + U_\delta\}.$$



Then  $(C + U_\delta) \cap K \subseteq \bigcup_{i=1}^n \left\{ \left( x_i + U_{\frac{\epsilon}{C^2(K)}} \right) \cap K \right\}$ .

Let  $\{\beta_k\}_{k=1}^n$  be a partition of the unity subordinated to the open covering  $\left\{ \left( x_i + U_{\frac{\epsilon}{C^2(K)}} \right) \right\}_{i=1}^n$ . Suppose now that  $z \in \text{co}\{(C + U_\delta) \cap K\}$ .

Then there exist  $\gamma_i \geq 0$  ( $i=1,2,\dots,m$ ) and  $z_j \in \{(C + U_\delta) \cap K\}$  ( $j=1,2,\dots,m$ ) so that  $z = \sum_{j=1}^m \gamma_j z_j$ . Further, for every  $j \in \{1,2,\dots,m\}$  let:

$$c_j = \sum_{k=1}^n \beta_k(z_j) x_k.$$

Then from the fact that  $C$  is convex it follows that  $c_j \in C$ , for every  $j \in \{1,2,\dots,m\}$  and so  $c = \sum_{j=1}^m \gamma_j c_j \in C$ . Now, we shall prove

that  $z - c \in U_\epsilon$ , which implies that  $z \in U_\epsilon + C$ . Indeed, since the set  $K$  satisfies the Zima condition it follows that:

$$\begin{aligned} \|z - c\|^* &= \left\| \sum_{j=1}^m \gamma_j z_j - \sum_{j=1}^m \gamma_j c_j \right\|^* \leq C(K) \sum_{j=1}^m \gamma_j \|z_j - c_j\|^* = \\ &= C(K) \sum_{j=1}^m \gamma_j \left\| z_j - \sum_{k=1}^n \beta_k(z_j) x_k \right\|^* = C(K) \sum_{j=1}^m \gamma_j \left\| \sum_{k=1}^n \beta_k(z_j) (z_j - x_k) \right\|^* \leq \\ &\leq C^2(K) \sum_{j=1}^m \gamma_j \left( \sum_{\beta_k(z_j) \neq 0} \beta_k(z_j) \|z_j - x_k\|^* \right) \leq \\ &\leq C^2(K) \sum_{j=1}^m \gamma_j \left( \sum_{\beta_k(z_j) \neq 0} \beta_k(z_j) \frac{\epsilon}{C^2(K)} \right) = \epsilon \end{aligned}$$

which means that  $z - c \in U_\epsilon$ .

**THEOREM 3.** Let  $X$  be a normal topological space,  $(Y, \|\cdot\|^*)$  be a paranormed space,  $Z \subseteq X$  so that  $\dim_X Z \leq 0$  and  $\phi: X \rightarrow F(Y)$  be a lower semicontinuous mapping so that  $\phi(x)$  is convex, for every  $x \in X \setminus Z$ . If  $\phi(X) \subseteq K$  where  $K$  is a compact and convex subset of  $Y$  and  $K$  satisfies the Zima condition then  $\phi$  has the almost continuous selection property which means that for every  $\epsilon > 0$  there exists a continuous mapping  $f: X \rightarrow K$  such that  $f(x) \in U_\epsilon + \phi(x)$ , for every  $x \in X$ .

**Proof:** For every  $\epsilon > 0$  we shall denote the set  $U_\epsilon + \phi(x)$  by  $B_\epsilon(\phi(x))$  for every  $x \in X$ . Let us prove that there exists  $f: X \rightarrow K$  such that  $f(x) \in B_\epsilon(\phi(x))$ , for every  $x \in X$ . From Lemma it



follows that for  $\varepsilon > 0$  there exists  $\delta > 0$  so that for every  $x \in X \setminus Z$ :

$$\text{co}((U_\delta + \phi(x)) \cap K) \subseteq U_\varepsilon + \phi(x) = B_\varepsilon(\phi(x)) .$$

Since  $\{U_\delta + y\}_{y \in K}$  is an open covering of the set  $K$  and  $K$  is compact, there exists a finite subset  $\{y_1, y_2, \dots, y_n\} \subseteq K$  such that:

$$K \subseteq \bigcup_{i=1}^n (y_i + U_\delta) .$$

Further, for every  $k=1, 2, \dots, n$  let  $U_{y_k} \subseteq X$  be defined in the following way:

$$U_{y_k} = \{x | x \in X, y_k \in B_\delta(\phi(x))\} .$$

Since the mapping  $\phi$  is lower semicontinuous it follows that every set  $U_{y_k}$  is an open subset of  $X$ . Since  $X$  is a normal topological space there exists an open covering  $\{V_{y_k}\}_{k=1}^n$  such that  $\bar{V}_{y_k} \subseteq U_{y_k}$  for every  $k \in \{1, 2, \dots, n\}$ . Further let:

$$F_x = \{y_k | y_k \in K, x \in \bar{V}_{y_k}\} , \text{ for every } x \in X .$$

From the definition of  $F_x$  it follows that for every  $x \in X$   $F_x \subseteq B_\delta(\phi(x))$ . Let  $S = X \setminus Z$  and for every  $s \in S$ :

$$G_s = \{x | x \in X, \text{co } F_s \subseteq B_\varepsilon(\phi(x))\} \setminus \bigcup_{y_k \notin F_s} \bar{V}_{y_k} .$$

Every set  $G_s$  is nonempty, since  $s \in G_s$ . Namely:

$$\text{co } F_s \subseteq \text{co}(B_\delta(\phi(s)) \cap K) \subseteq B_\varepsilon(\phi(s)) .$$

Using Lemma 1 we conclude that for every  $s \in S$  the set  $G_s$  is open. Further, for every  $x \in G_s$  we have that  $F_x \subseteq F_s$  since  $x \notin \bar{V}_{y_k}$  if  $y_k \notin F_s$ . Let  $G = \bigcup_{s \in S} G_s$  and  $E = X \setminus G$ . Since  $G$  is open the set  $E$  is closed and  $\dim E \leq 0$ . For the relatively open covering  $\{V_{y_k} \cap E\}_{k=1}^n$  of  $E$  there exists a relatively open, disjoint covering  $\{D_{y_k}\}_{k=1}^n$  such that  $D_{y_k} \subseteq V_{y_k} \cap E$  and let  $W_{y_k} = V_{y_k} \cap (D_{y_k} \cup G)$  for every  $k=1, 2, \dots, n$ . Now, we shall define the mapping  $f: X \rightarrow K$  in the following way:

$$f(x) = \sum_{k=1}^n p_{y_k}(x) y_k , \text{ for every } x \in X$$



and  $\{p_{y_k}\}_{k=1}^n$  is the partition of the unity subordinated to  $\{W_{y_k}\}_{k=1}^n$ . It is obvious that the mapping  $f$  is continuous. Let  $x \in E$ . Then there exists one  $y_k$  such that  $x \in D_{y_k}$  and so:

$$f(x) = y_k \in B_\delta(\phi(x)) \cap K \subseteq B_\epsilon(\phi(x)).$$

Suppose that  $x \in G = \bigcup_{s \in S} G_s$  and let  $x \in G_s$ , for  $s \in S$ . Then from the definition of the mapping  $f$  it follows that  $f(x) \in \text{co } F_x$ . Further,  $x \in G_s$  implies  $F_x \subset F_s$  and so:

$$f(x) \in \text{co } F_x \subseteq \text{co } F_s \subset B_\epsilon(\phi(x)).$$

Using Theorem 3 we can formulate the following fixed point theorem for multivalued mapping in paranormed space. Some fixed point theorems for multivalued mappings in paranormed spaces are proved in [3].

**THEOREM 4.** *Let  $Y$  be a complete paranormed space,  $K$  be a compact and convex subset which satisfies the Zima condition ( $K \subseteq Y$ ),  $Z \subseteq K$  with  $\dim Z \leq 0$  and  $\phi : K \rightarrow F(K)$  be a lower semicontinuous mapping such that  $\phi(x)$  is convex, for every  $x \in K \setminus Z$ . Then there exists at least one fixed point of the mapping  $\phi$ .*

**P r o o f:** Since every compact topological space is normal, from Theorem 3 it follows that there exists a continuous mapping  $f : K \rightarrow K$  such that all the conditions of Theorem 2 are satisfied and so there exists  $x \in K$  so that  $x = f(x)$ . Then  $x$  is a fixed point of the mapping  $\phi$ .

**COROLLARY 1.** *Let  $Y$  be a complete paranormed space,  $K$  be a closed and convex subset of  $Y$ ,  $\phi : K \rightarrow F(K)$  be a lower semicontinuous mapping such that  $\overline{\phi(K)}$  is compact,  $\overline{\text{co } \phi(K)}$  satisfies the Zima condition and there exists  $M \subseteq \overline{\text{co } \phi(K)}$  such that  $\dim_Y M \leq 0$ . If  $\phi(x)$  is convex, for every  $x \in \overline{\text{co } \phi(K)} \setminus M$  then there exists  $x \in K$  such that  $x \in \phi(x)$ .*

**P r o o f:** We shall apply Theorem 4 taking for the set  $K$  the set  $\overline{\text{co } \phi(K)}$ . Since  $Y$  is a complete paranormed space and  $\overline{\phi(K)}$  is complete, it follows [6] that the set  $\overline{\text{co } \phi(K)}$  is also compact. Further, from  $\phi(K) \subset K$  it follows that  $\overline{\text{co } \phi(K)} \subset K$ , since  $K$  is closed and convex. This implies  $\overline{\text{co } \phi(K)} \subset K$  and  $\overline{\text{co } \phi(K)} \subseteq \overline{\text{co } \phi(K)}$ . So we



have that:

$$\phi : \overline{co} \phi(K) \rightarrow F(\overline{co} \phi(K))$$

and all the conditions of Theorem 4 are satisfied, which implies that there exists  $x \in \overline{co} \phi(K)$  such that  $x \in \phi(x)$ .

**COROLLARY 2.** *Let  $Y$  be a complete paranormed space,  $K$  be a closed and convex subset of  $Y$ ,  $\phi : K \rightarrow F(K)$  be a lower semicontinuous mapping such that  $\overline{co} \phi(K)$  satisfies the Zima condition and that the following two conditions are satisfied:*

(i) *There exists a nonempty set  $C \subset K$  such that  $C \subset \phi(C)$  and  $M \subset C$  with  $\dim_Y M \leq 0$  so that  $\phi(x)$  is convex for every  $x \in K \setminus M$ .*

(ii) *If  $Q = \overline{co} Q \subset K$  and  $Q = \overline{co} \phi(Q)$  then  $Q$  is compact. Then there exists  $x \in K$  so that  $x \in \phi(x)$ .*

**P r o o f:** The proof is similar to the proof of the Theorem from [1]. Let  $A = \{Q | Q \subset K, Q = \overline{co} Q, C \subset Q, \phi(Q) \subset Q\}$ . Then  $A \neq \emptyset$  and  $Q \in A$  implies  $\overline{co} \phi(Q) \in A$ . Let  $C_0 = \bigcap_{Q \in A} Q$ . Since  $C \subset C_0$ ,  $C_0$  is a nonempty, closed and convex subset of  $K$ . Further,  $C_0 = \overline{co} \phi(C_0)$  and from (ii) it follows that  $C_0$  is compact. Since  $M \subset C$  and  $C \subset C_0$  we conclude that  $M \subset C_0$  and  $K \setminus M \supseteq C_0 \setminus M$ . This implies that  $\phi|_{C_0}$  satisfies all the conditions of Theorem 4 and there exists  $x \in K$  such that  $x \in \phi(x)$ .

**R e m a r k:** From the proof of Theorem 3 it is easy to see that we can suppose that  $Y$  is a topological vector space,  $K$  is such that for every open neighbourhood  $V$  of zero in  $Y$  there exists an open neighbourhood  $U$  of zero in  $Y$  such that for every closed and convex subset  $C$  of  $K$ :

$$co((U+C) \cap K) \subseteq V+C$$

and  $\phi$  is a lower semicontinuous mapping from  $X$  into  $K$  such that, for every open neighbourhood  $U$  of zero in  $Y$  the set:

$$T = \{x | x \in X, C' \subseteq U + \phi(x)\}$$

is open, where,  $C'$  is a compact subset of  $Y$ .

Now, we shall give an example of topological vector space  $Y$  such that for every neighbourhood  $U$  of  $Y$  there exists a neighbourhood  $C_0$ . In Public Domain. Gurukul Kangri Collection, Haridwar



neighbourhood  $V$  of  $Y$  such that  $\text{co}((V+C) \cap K) \subseteq C+U$ , where  $K$  is a compact and convex subset of  $Y$  and  $C$  be an arbitrary closed and convex subset of  $K$ .

First, we shall give some notations and notions from [7] and [2]. A linear mapping  $\phi$  of a topological semifield  $E$  into another  $F$  is said to be positive if  $\phi(x) \geq 0$  in  $F$ , for every  $x \in E$  with  $x \geq 0$ . Let  $\| \cdot \|$  be a mapping of a linear space  $X$  over  $E$  into a topological semifield  $E$  and  $\phi$  be a continuous positive linear mapping of  $E$  into itself. The triplet  $(X, \| \cdot \|, \phi)$  is called a paranormed space over  $E$  and  $\| \cdot \|$  a  $\phi$ -paranorm on  $X$  over  $E$  if the following conditions are satisfied:

- (P1)  $\|x\| \geq 0$ , for every  $x \in X$ .
- (P2)  $\|\lambda x\| = |\lambda| \|x\|$ , for every real  $\lambda$  and every  $x \in X$ .
- (P3)  $\|x+y\| \leq \phi(\|x\| + \|y\|)$ , for every  $x, y \in X$ .

DEFINITION A set  $K, K \subseteq X$ , where  $(X, \| \cdot \|, \phi)$  is a  $\phi$  paranormed space, is said to be of type  $\phi$  if and only if for every  $n \in \mathbb{N}$ , every  $x_1, x_2, \dots, x_n \in K$  and every  $\lambda_i, 0 \leq \lambda_i < 1$  ( $i=1, 2, \dots, n$ ) such that  $\sum_{i=1}^n \lambda_i = 1$ , we have:

$$\left\| \sum_{i=1}^n \lambda_i x_i \right\| \leq \sum_{i=1}^n \lambda_i \phi(\|x_i\|)$$

In [7] is proved that every topological vector space is a  $\phi$ -paranormed space over a topological semifield  $R_\Delta$ . We shall use in the further text the following notation:

$$U_{\mu, \varepsilon} = \{x \mid x \in X, \|x\|(t) < \varepsilon, \text{ for every } t \in \mu\}$$

where  $\mu$  is a finite subset of  $\Delta$  and  $\varepsilon > 0$ . Then  $X$  is a topological vector space in which  $\{U_{\mu, \varepsilon}\}_{\varepsilon > 0, \mu \in \Delta}$  is the base of the fundamental system of zero in  $E$ . Similarly as in Lemma 1 we shall prove the following Lemma.

LEMMA 2. Let  $(Y, \| \cdot \|, \phi)$  be a paranormed space,  $K$  be a compact and convex subset of  $Y$  of type  $\phi$ . Then for every  $U \in \{U_{\mu, \varepsilon}\}$  there exists  $V \in \{U_{\mu, \varepsilon}\}$  such that:



$$\text{co}((V+C) \cap K) \subseteq C+U$$

for every closed and convex subset  $C$  of  $K$ .

**P r o o f:** Let  $U = U_{\mu, \varepsilon}$ ,  $\mu \in \Delta$  and  $\varepsilon > 0$ . Since the mapping  $\phi$  is linear and continuous it follows that  $N_1 = (\phi^2)^{-1}(U_{\mu, \varepsilon})$  is a neighbourhood of zero in  $R_\Delta$  and let  $\mu' \in \Delta$  and  $\varepsilon' > 0$  be such that:

$$U_{\mu', \varepsilon'} \subseteq \{x \mid \|x\| \in N_1\}.$$

Let  $\mu'' \in \Delta$  and  $\varepsilon'' > 0$  be such that:

$$U_{\mu'', \varepsilon''} + U_{\mu'', \varepsilon''} \subseteq U_{\mu', \varepsilon'}.$$

We shall prove that  $\text{co}((C + U_{\mu'', \varepsilon''}) \cap K) \subseteq C + U_{\mu, \varepsilon}$ . Let  $z$  and  $c$  be as in Lemma 2, where we take  $U_{\mu'', \varepsilon''}$  instead of  $U_\delta$  and  $U_{\mu', \varepsilon'}$  instead of  $U_{\frac{\varepsilon}{C^2(K)}}$ . Then we have that  $t \in \mu$  implies:

$$\begin{aligned} \|z-c\|(t) &= \left\| \sum_{j=1}^m \gamma_j z_j - \sum_{j=1}^m \gamma_j c_j \right\|(t) \leq \\ &\leq \sum_{j=1}^m \gamma_j \phi(\|z_j - c_j\|)(t) = \\ &= \sum_{j=1}^m \gamma_j \phi\left(\sum_{k=1}^n \beta_k(z_j) \phi(\|z_j - x_k\|)\right)(t) \leq \\ &\leq \sum_{j=1}^m \gamma_j \left(\sum_{k=1}^n \beta_k(z_j) \phi^2(\|z_j - x_k\|)(t)\right) = \\ &= \sum_{j=1}^m \gamma_j \left(\sum_{k=1}^n \beta_k(z_j) \phi^2(\|z_j - x_k\|)(t)\right) \\ &\quad \beta_k(z_j) \neq 0 \end{aligned}$$

Since  $\beta_k(z_j) \neq 0$  implies that  $z_j - x_k \in U_{\mu', \varepsilon'}$  and so  $\|z_j - x_k\| \in N_1$  we have that

$$\|z-c\|(t) \leq \sum_{j=1}^m \gamma_j \sum_{k=1}^n \beta_k(z_j) \varepsilon = \varepsilon \text{ and so } z-c \in U_{\mu, \varepsilon}.$$

$$\beta_k(z_j) \neq 0$$

Using this Lemma we can formulate the following fixed point theorem.

**THEOREM 5.** *Let  $Y$  be a complete  $\phi$  paranormed space,  $K$  be a compact, convex subset of type  $\phi$  of  $Y$  and  $Z \subset K$  with  $\dim_Y Z \leq 0$ . Further, let  $\phi: K \rightarrow F(K)$  be a lower semicontinuous mapping such that  $\phi(x)$  is convex, for every  $x \in K \setminus Z$  and the set  $\{x \mid x \in K, C \subseteq U + \phi(x)\}$  is open, for every  $C \subseteq Y$  be compact and  $U$  be an arbitrary open neighbourhood of zero in  $Y$ . Then there exists at least one fixed point of the mapping  $\phi$ .*

#### REFERENCES

- [1] J. DANEŠ, *Some fixed point theorems*, *Comment.Math.Univ.Carolineae*, 9(1968), 223-235
- [2] O.Hadžić, *On the admissibility of topological vector spaces*, *Acta Sci.Math.*, Tomus 42, Fasc. 1-2(1980), 81-85.
- [3] O.Hadžić, *On multivalued mappings in paranormed spaces*, *Comment.Math.Univ.Carolineae*, 22, 1 (1981), 129-136.
- [4] O.Hadžić, *Some fixed and almost fixed point theorems for multivalued mappings in topological vector spaces*, *Nonlinear Analysis, Theory, Methods and Applications Vol. 5, No. 9, (1981), 1009-1019.*
- [5] O.Hadžić, Lj.Gajić, *A fixed point theorem for multivalued mappings in topological vector spaces*, *Fund.Math.*, CIX (1980), 163-167.
- [6] O.Hadžić, Lj.Gajić, *Some generalizations of Schauder fixed point theorem in paranormed spaces*, *Matematički Vesnik knjiga 5(18)(33), 1981, 43-50.*
- [7] S.Kasahara, *On Formulations of Topological Linear Spaces by Topological Semifields*, *Math.Jap.* 19 (1974), 121-134.
- [8] E.Michael, C.Pixley, *A unified theorem on continuous selections*, *Pacific Journal of Mathematics*, Vol. 87, No. 1, 1980, 187-188.



- [9] K.Zima, *On the Schauder's fixed point theorem with respect to paranormed spaces*, *Comm. Math.*, 19 (1977), 421-423.

# REZIME

## TEOREMA O OSOBINI GOTOVO NEPREKIDNE SELEKCIJE I PRIMENA

U ovom radu dokazano je uopštenje teoreme Michaela i Pixleya o gotovo neprekidnoj selekciji u paranormiranim prostorima.

*Zbornik radova Prirodno-matematičkog fakulteta-Univerzitet u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

---

# A NOTE ON THE SPACE $\mathcal{D}'_A$

*Stevan Pilipović*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

1. In [4] a subspace  $\mathcal{D}'_A \subset \mathcal{D}'$  is investigated. It is shown that the elements of  $\mathcal{D}'_A$  may be uniquely expanded into a Hermitte series. First the space  $A$  is defined as the space of functions defined on  $\mathbb{R}$  such that  $f \in A$  iff for some  $a > 4$ ,  $F(x)e^{-x^2/a} \in L_2(\mathbb{R})$

Furthermore, the set  $\mathcal{D}'_A$  is defined such that  $f \in \mathcal{D}'_A$  iff there exist  $k \in \mathbb{N}_0$ ,  $F \in A$  such that

$$(1) \quad f = D^k(F)$$

where  $D$  is the tempered derivative given in [1] with

$$(2) \quad D^k(F) = \exp(-x^2/4) (\exp(x^2/4)F)^{(k)}, \quad D^0(F) = F$$

and  $k$ -th derivative is in the distributional sense. It is shown that  $\mathcal{D}'_A$  is a subspace of  $\mathcal{D}'$ , and an estimate of Hermitte coefficients of elements from  $\mathcal{D}'_A$  is given.

In this paper, using the elementary approach, we shall characterize the elements from  $\mathcal{D}'_A$ . Also, we shall introduce the convergence in  $\mathcal{D}'_A$  and characterize it. In this way we shall show that  $\mathcal{D}'_A$  is identical with the space of the  $K'(M_p)$ -type, introduced in [2], for the special sequence  $(M_p)$ .

2. Let us put

$$(3) \quad M_p(x) = e^{x^2/(4-1/p)}, \quad p=1,2,\dots$$



THEOREM 1.  $f \in \mathcal{D}'_A$  iff there exist  $p \in \mathbb{N}, m \in \mathbb{N}_0$  and bounded measurable functions  $f_j, 0 \leq j \leq m$ , such that

$$(4) \quad f = \sum_{j=0}^m (M_p f_j)^{(j)}.$$

P r o o f. Let  $f \in \mathcal{D}'_A$ . This means that there exist  $k \in \mathbb{N}_0$  and  $F \in A$  such that (1) holds.

The tempered integral given in [1] is defined on the space of locally integrable functions by

$$(5) \quad S^0(G) = G; \quad S(G) = e^{-x^2/4} \int_0^x e^{t^2/4} G(t) dt; \quad S^k(G) = S(S^{k-1}G)$$

As  $A \subset L_1^{loc}$ , if we put  $F_1(x) = S(F(x))$ , then

$$\begin{aligned} |F_1(x)| &\leq e^{-x^2/4} \left| \int_0^x F(t) e^{-t^2/a} e^{+t^2/a} e^{+t^2/4} dt \right| \leq \\ &\leq e^{-x^2/4} \left( \int_0^x (|F(t)| e^{-t^2/a})^2 dt \right)^{1/2} \left( \int_0^x e^{2(t^2/a + t^2/4)} dt \right)^{1/2} \leq \\ &\leq K \sqrt{x} e^{x^2/a} \quad \text{where } K = \left( \int_R (|F(t)| e^{-t^2/a})^2 dt \right)^{1/2}. \end{aligned}$$

There exists  $p \in \mathbb{N}$  such that  $a > 4 + 1/p$ . This implies that for a suitable constant  $K_0$

$$|F_1(x)| \leq K_0 M_p(x) \text{ i.e. } M_{p+1}^{-1}(x) F_1(x) \in L_2$$

Since  $D(f) = f' + x \cdot f/2$  and  $D(S(F)) = F$ , the members of the expression  $D^{k+1}(F_1) = f$  are of the form  $c_{j,\ell} x^j (F_1(x))^{(\ell)}$ ,  $j \leq k+1$ ,  $\ell \leq k+1$  and  $c_{j,\ell}$  are real numbers.

After using Leibnitz's formula we obtain that in  $D^{k+1}(F_1)$  the members are of the form  $\tilde{c}_{j,\ell} (x^j F_1(x))^{(\ell)}$  with some other coefficients  $\tilde{c}_{j,\ell}$ . In the same way as for  $F_1(x)$ , we can prove that  $|x^j F_1(x)| \leq K_j \cdot M_p(x)$ . By putting

$$f_\ell = \sum_{j \leq k+1} \tilde{c}_{j,\ell} x^j F_1(x) \quad \text{we get}$$

$$f(x) = \sum_{\ell \leq k+1} (M_{p+1}^{-1}(x) f_\ell(x))^{(\ell)}$$

which means that  $f$  has the representation of the form (4).

Let us now assume that  $f$  has the representation of the form (4). We denote  $M_p f_j = F_j$ . Since  $M_{p+1}^{-1} F_j \in L_2$ , similarly as in the first part of that proof, it follows that  $S(x^r F_j(x) M_{p+3}^{-1}(x)) \in L_2$  for any  $r \in \mathbb{N}_0$ . From the formula

$$(6) \quad f' = D(f) - x \cdot f/2 \quad \text{and} \quad x' D(f) = D(xf) - f,$$

taking operator  $S$  enough times, we obtain that

$$\sum_{j \leq m} (F_j(x))^{(j)} = D^k(H(x))$$

for some  $k \in \mathbb{N}$ , and some function  $H(x)$  such that

$$M_{p+p_0}^{-1}(x) H(x) \in L_2.$$

The integer  $p_0$  depends on the number of applications of operator  $S$ . So we have proved that  $f \in \mathcal{D}'_A$ .

Let us define the convergence structure in the space  $\mathcal{D}'_A$ .

We say that the sequence  $(f_n)$  from  $\mathcal{D}'_A$  converges to  $f \in \mathcal{D}'_A$  iff there exist a sequence of functions  $(F_n)$ , a function  $F$ ,  $k \in \mathbb{N}_0$  and  $a > 0$  such that

$$(7) \quad D^k(F_n) = f_n, \quad D^k(F) = f$$

and  $(e^{-x^2/a} F_n(x))$  is the sequence from  $L_2$  which converges to  $e^{-x^2/a} F(x)$  in the  $L_2$  norm.

**THEOREM 2.** *The sequence  $(f_n)$  from  $\mathcal{D}'_A$  converges to  $f \in \mathcal{D}'_A$  iff there exist  $p \in \mathbb{N}, m \in \mathbb{N}_0$ , sequences of bounded measurable functions  $(F_{j,n}), 0 \leq j \leq m$ , and bounded measurable functions  $F_j, 0 \leq j \leq m$ , such that*



$$F_{j,n} \rightarrow F_j \quad \text{almost everywhere}$$

$$(8) \quad f_n = \sum_{j \leq m} (M_p F_{j,n})^{(j)} \quad f = \sum_{j \leq m} (M_p F_j)^{(j)}.$$

*P r o o f.* If condition (7) is satisfied we put  $F_{1,n} = S(F_n)$  and  $F_1 = S(F)$ . From the estimate

$$\begin{aligned} |F_{1,n}(x) - F_1(x)| &\leq e^{-x^2/4} \left( \int_0^x |F_{1,n}(t) - F_1(t)|^2 e^{-2t^2/a} dt \right)^{1/2} \\ &\cdot \left( \int_0^x e^{2(t^2/a + t^2/4)} dt \right)^{1/2} \end{aligned}$$

it follows that  $(F_{1,n}(x))$  converges almost everywhere to  $F_1$ .

Similarly, as in Theorem 1, observing the expressions  $D^{k+1}F_{1,n}$  and  $D^{k+1}F_1$  we can prove that (8) holds.

Let us suppose that (8) holds. If we denote

$$F_j = M_p(x) \cdot f_j \quad \text{and} \quad F_{j,n} = M_p(x) \cdot f_{j,n}$$

similarly as in the proof of Theorem 1, we can show that

$$x^r F_{j,n} M_{p+3}^{-1}(x) \in L_2 \quad \text{and} \quad x^r F_j M_{p+3}^{-1}(x) \in L_2,$$

$0 \leq j \leq m; r \in N_0$ . From the Lebesgue theorem it follows that

$$x^r F_{j,n} M_{p+3}^{-1}(x) \xrightarrow{L_2} x^r F_j M_{p+3}^{-1}(x),$$

In the same way as in the proof of Theorem 1, using the formulae of (6) and applying  $S$  sufficiently many times we get the assertion.

As the tempered derivative  $D$  characterizes the space  $S'$  which is proved in [1], from Theorems 1. and 2. we get

**COROLLARY.** (i)  $f \in \mathcal{D}'_A$  iff for some  $a > 4$ ,  $m \in N_0$  and a bounded continuous function  $F(x)$

$$f = (e^{x^2/a} F(x))^{(m)}$$

(ii) The sequence  $(f_n) \in \mathcal{D}'_A$  converges to  $f \in \mathcal{D}'_A$  iff there exist bounded continuous functions  $F_n$ ,  $n \in N$ , a bounded continuous function  $F$ ,  $a > 4$ , and  $m \in N_0$ , such that

$$f_n = (e^{x^2/a_{F_n(x)}})^{(m)}, \quad f(x) = (e^{x^2/a_{F(x)}})^{(m)}$$

and  $(F_n(x))$  converges to  $F(x)$  almost uniformly.

**P r o o f.** We only have to apply the operator  $S$  sufficiently many times in (4) and (8).

3. In [2] the spaces of the type  $K'(M_p)$  are introduced and investigated.

Using the theory from [2] and [3], from (4) and (8) directly it follows that spaces  $\mathcal{D}'_A$  and  $K'(\exp(-x^2/(4+1/p)))$  are identical both in a set theoretical and a topological sense.

#### REFERENCES

- [1] P. Antosik, J. Mikusiński, R. S. Sikorski, *Theory of distributions*, PWN, Warsaw, 1973.
- [2] I. M. Gelfand, B. E. Shilov, *Generalized Functions*, Vol. 2. Acad. Pr., New York and London, 1968.
- [3] L. Kitchens, C. Swartz, *Convergence in the dual of certain  $K(M_p)$ -spaces*, *Colloquium Mathematicum*, Vol XXX, Fasc. 1, (1974), 149-155.
- [4] Z. Sadlok, Z. Tyc, *Remarks on Rapidly Increasing Distributions*, *Bull. De L'Acad. Pol. des Scien. Ser. des Sci. math.* Vol. XXVII, No. 11-12, 1979, 833-837.

#### REZIME

#### PRILOZI TEORIJI PROSTORA $\mathcal{D}'_A$

U ovom radu, koristeći elementarni pristup, karakterišemo elemente iz  $\mathcal{D}'_A$  uvedenog u [4]. Takođe uvodimo konvergenciju u prostor  $\mathcal{D}'_A$  i karakterišemo je. Tako pokazujemo da je  $\mathcal{D}'_A$  identičan sa prostorom  $K'(M)$ - tipa uvedenog u [2], za specijalan niz  $(M_p(x))$ .



Z

R

vo

to

va

H

H

H

M

M

M

M

vo

(1

wh

by

if

re

of

of

co

at

*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*

*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

## SOLVABILITY OF CONVOLUTION EQUATIONS IN $H^{\sim}\{M_p\}$

*Stevan Pilipović and Arpad Takači*

*Prirodno-matematički fakultet. Institut za matematiku*

*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

### ABSTRACT.

We obtain estimates for the Fourier transform of convolutors on the space  $H^{\sim}\{M_p\}$  introduced in [7]. This enables us to prove that the convolution equation (1) in  $H^{\sim}\{M_p\}$  is solvable in  $H^{\sim}\{M_p\}$  iff it is solvable in  $K^{\sim}(M_p)$  for each  $p \geq p_0(v)$ .

### INTRODUCTION

We introduced the space  $H^{\sim}\{M_p\}$  in [7]. We showed that  $H^{\sim}\{M_p\}$  can be obtained as the inductive limit of the spaces  $H^{\sim}(M_p)$ ,  $p=1,2,\dots$ , defined in [10]. Some examples of the space  $H^{\sim}\{M_p\}$  were analysed in: [10] and [5] for  $M_p(x) := M(px)$  where  $M(x)$  is a fixed convex function; in [1], [8], [9] and [4] for  $M_p(x) := p \cdot |x|^s$  where  $s$  is a fixed natural number; and in [6] for  $M_p(x) := |x|^p$ .

In the third part of this paper we prove that the convolution equation

$$(1) \quad S * U = V,$$

where  $S$  belongs to the space of convolutors on  $H^{\sim}\{M_p\}$ , denoted by  $O_C^{\sim}(H^{\sim}\{M_p\})$ , is solvable by  $U$  in  $H^{\sim}\{M_p\}$  for arbitrary  $V \in H^{\sim}\{M_p\}$  iff it is solvable in each  $K^{\sim}(M_p)$ ,  $p \geq p_0(v) \in \mathbb{N}$ . We obtain this result from some assertions in [7] (here given in the first part of the paper), and from the estimates for the Fourier transform of the convolutor  $S$  (proved in the second part of the paper). Of course, we use the well-known results on surjectivity of equation (1) given in [1], [9] and [5].



As in [7] we are considering the one dimensional case, but with some simple modifications the results of this paper can be used for the  $n$ -dimensional case.

## 1. SOME NOTIONS AND ASSERTIONS FROM [7]

Throughout the paper we denote by  $\{m_p(x)\}_{p \in \mathbb{N}}, x \geq 0$ , a sequence of continuous increasing functions for  $x \geq 0$  which satisfy  $m_p(0) = 0$ ,  $m_p(\infty) = \infty$  and  $m_p(x) \leq m_{p+1}(x)$  for each  $p=1,2,\dots$  and  $x \geq 0$ . Putting

$$(2) \quad M_p(x) := \int_0^{|x|} m_p(t) dt, \quad p=1,2,\dots, \quad x \in \mathbb{R}$$

we obtain another sequence of functions. Each  $M_p(x)$  is an even convex function and increases to infinity faster than any linear function when  $|x| \rightarrow \infty$ . This implies that its dual function in the sense of Young ([3])

$$M_p^*(y) = \int_0^{|y|} m_p^{-1}(t) dt$$

is finite for arbitrary  $y \in \mathbb{R}$ ;  $m_p^{-1}(x)$ ,  $x \geq 0$ , is the inverse function for  $m_p(x)$ .

Our main assumption on the sequence  $\{M_p(x)\}_{p \in \mathbb{N}}$  is

(A) For each  $p \in \mathbb{N}$  there exist  $X_p \geq 0$  and  $p' \in \mathbb{N}$  such that

$$M_p(px) \leq M_{p'}(x) \quad \text{for } |x| \geq X_p.$$

Let us denote the smallest  $p'$  for which this inequality holds for large  $|x|$  by  $r(p)$ . Observe that this condition is satisfied in the mentioned spaces of the type  $H^j\{M_p\}$ .

**DEFINITION 1.** The vector space of smooth functions  $\phi(x)$  on  $\mathbb{R}$  with the property

$$\gamma_p(\phi) := \sup\{|\phi^{(j)}(x)| \cdot \exp(M_p(x)); x \in \mathbb{R}, 0 \leq j \leq p\} < \infty$$



for each  $p \in \mathbb{N}$ , topologized with the sequence of norms  $\{\gamma_p\}_{p \in \mathbb{N}}$  is denoted by  $H\{M_p\}$ .

$H\{M_p\}$  is a space of the type  $K\{\exp(M_p(x))\}$  from [2]. The dual of  $H\{M_p\}$ , denoted by  $H^{\sim}\{M_p\}$ , is a proper subspace of the space of distributions  $\mathcal{D}'$ .

Following [10] and [5], we denote by  $K(M_p)$  the space of smooth functions  $\phi(x)$  on  $\mathbb{R}$  with the property

$$\rho_{p,k}(\phi) := \sup\{|\phi^{(j)}(x)| \cdot \exp(M_p(kx)); x \in \mathbb{R}, 0 \leq j \leq k\} < \infty$$

for each  $k=1,2,\dots$  and fixed  $p \in \mathbb{N}$ . We have

**THEOREM 1.** *The spaces  $H\{M_p\}$  and  $\text{proj}K(M_p)$  are topologically isomorphic. The spaces  $H^{\sim}\{M_p\}$  and  $\text{ind}K^{\sim}(M_p)$  are topologically isomorphic when  $H^{\sim}\{M_p\}$  and each  $K^{\sim}(M_p)$  are endowed with strong topology.*

Naturally,  $\text{proj}K(M_p)$  stands for the projective limit of the spaces  $K(M_p)$ ; an analogous meaning has  $\text{ind}K^{\sim}(M_p)$ .

The convolution between  $S \in H^{\sim}\{M_p\}$  and  $\phi \in H\{M_p\}$  is defined in the usual way

$$(S * \phi)(x) := \langle S(y), \phi(x-y) \rangle$$

and it is a smooth function which defines a regular element from  $H^{\sim}\{M_p\}$ . We are mainly interested in those distributions  $S$  from  $H^{\sim}\{M_p\}$  for which the function  $(S * \phi)(x)$  is in  $H\{M_p\}$  whenever  $\phi(x)$  is from  $H\{M_p\}$ .

**DEFINITION 2.** *The distribution  $S \in H^{\sim}\{M_p\}$  is a convolution operator - convolutor iff the mapping  $S * : \phi \rightarrow S * \phi$  is continuous and maps  $H\{M_p\}$  into itself.*

We denote the space of convolutors on  $H^{\sim}\{M_p\}$  by  $O^{\sim}(H^{\sim}\{M_p\})$ . It is known that if  $1 \leq p \leq q$  then  $K^{\sim}(M_p) \subset K^{\sim}(M_q)$  and  $O^{\sim}_C(K^{\sim}(M_q)) \subset O^{\sim}_C(K^{\sim}(M_p))$ .



THEOREM 2. The distribution  $S \in H'\{M_p\}$  is a convolutor on  $H'\{M_p\}$  iff for each  $p \in \mathbb{N}$  there exist  $m \in \mathbb{N}_0$  and a continuous function on  $\mathbb{R}$ ,  $F(x)$ , with the property

$$\|F(x) \cdot \exp(m_p(x))\|_{L_\infty} < \infty, \text{ such that } S(x) = D^m F(x).$$

The symbol "D" stands for the distributional derivative. Theorem 2 together with the representation of the convolutors on  $K'(M_p)$  for fixed  $p \in \mathbb{N}$  (see [10]) implies

$$(3) \quad \bigcap_{p=1}^{\infty} O'_C(K'(M_p)) = O'_C(H'\{M_p\}).$$

This set - theoretical equality will be essential in the proof of Theorem 6.

## 2. THE FOURIER TRANSFORMATION ON $H'\{M_p\}$

The Fourier transformation  $\hat{\phi}$  of  $\phi \in H\{M_p\}$  defined by

$$(F\phi(x))(\zeta) := \hat{\phi}(\zeta) := \int_{\mathbb{R}} \exp(-ix\zeta) \cdot \phi(x) dx$$

is an entire analytic function of the complex variable  $\zeta$ . Let us denote by  $H\{M_p\}$  the set of entire analytic functions  $\psi(\zeta)$  with the property  $F\phi = \psi$  for some  $\phi \in H\{M_p\}$ . In Theorem 3 we shall prove that the Fourier transformation is a topological isomorphism from  $H\{M_p\}$  onto  $H\{M_p\}$ . In order to characterize  $H\{M_p\}$ , we shall use the following normed spaces introduced in [10]:

$$W_{M,A}^k := \{\phi \in C^\infty \mid \sup\{|\phi^{(j)}(x)| \cdot \exp(M(x/A))\}; \quad x \in \mathbb{R}, \\ 0 \leq j \leq k\} < \infty\},$$

$$W_k^{M,A} := \{\psi \in \mathcal{U} \mid \sup\{(1+|x|)^k \cdot |\psi(x+iy)| \cdot \exp(-M(Ay))\}; \\ x+iy \in \mathbb{C}\} < \infty\}$$



where  $M(x)$  is a convex function of the form (2),  $k$  is a non-negative integer,  $A$  a positive constant,  $C^\infty$  is the space of smooth functions on  $\mathbb{R}$  and  $\mathcal{U}$  is the space of entire analytic functions on  $\mathbb{C}$ .

We shall also need the normed space  $H(M_p)$ , the space of smooth functions  $\phi(x)$  on  $\mathbb{R}$  such that  $\gamma_p(\phi) < \infty$  for fixed  $p \in \mathbb{N}$ . Observe that  $H(M_p) = W_{M_p,1}^p$ .

From the proof of Theorems 1 and 2 in [3], page 20, the following inclusions hold:

$$(4) \quad F(W_{M,A}^k) \subset W_k^{M,A+d},$$

$$(5) \quad F(W_{k+2}^{M,A}) \subset W_{M,A+d}^k$$

for arbitrary  $d > 0$ . Let us prove

LEMMA 1. *The following equalities hold both in the set - theoretical and topological sense:*

$$(a) \quad \bigcap_{p=1}^{\infty} W_p^{M^*,1+d} = \bigcap_{p=1}^{\infty} W_p^{M^*,1}$$

$$(b) \quad \bigcap_{p=1}^{\infty} W_{M_{p+2}}^p = \bigcap_{p=1}^{\infty} W_{M_p}^p$$

for arbitrary  $d > 0$ .

*P r o o f.* We shall prove only part a), since the proof of part b) is similar to that of a).

It is clear that  $W_p^{M^*,1} \subset W_p^{M^*,1+d}$  hence  $\bigcap_{p=1}^{\infty} W_p^{M^*,1} \subset \bigcap_{p=1}^{\infty} W_p^{M^*,1+d}$  also in the topological sense.

In order to prove the opposite inclusion, let us show that there exists  $p_1 = p_1(p,d) \in \mathbb{N}$  for given  $p \in \mathbb{N}$  and  $d > 0$ , such



that for sufficiently large  $|y|$

$$(6) \quad -M^*(y) \leq -M_{p_1}^*((1+d)y).$$

This inequality implies a) in a set - theoretical sense; if the mentioned spaces are endowed with the projective topology, using the same inequality one obtains a) also in a topological sense. So, let  $p$  and  $d$  be given. From condition (A) follows the existence of  $p_1 \in \mathbb{N}$  such that for sufficiently large  $|x|$ :  $M_p((1+d)x) \leq M_{p_1}(x)$ . Turning to the dual functions in the sense of Young, we obtain (6).

Since in the set - theoretical sense

$$H\{M_p\} = \bigcap_{p=1}^{\infty} H(M_p)$$

we at once get

$$(7) \quad H\{M_p\} = F\left(\bigcap_{p=1}^{\infty} H(M_p)\right) \subset \bigcap_{p=1}^{\infty} F(H(M_p))$$

Let us prove that the inclusion in (7) can be replaced by the equality.

LEMMA 2. *We have in the set - theoretical sense*

$$H\{M_p\} = \bigcap_{p=1}^{\infty} F(H(M_p)).$$

*Proof.* Let  $\psi \in \bigcap_{p=1}^{\infty} F(H(M_p))$ ; from (4) we obtain that  $\psi(\zeta)$  is an entire analytic function and that it increases on the  $\xi(= \operatorname{Re} \zeta)$  - axis faster than any power of  $1/|\xi|$ . Its inverse Fourier transformation.

$$(8) \quad (\mathcal{F}^{-1}\psi)(\xi)(x) := \phi(x) := \frac{1}{2\pi} \cdot \int_{\mathbb{R}} \exp(ix\xi) \cdot \psi(\xi) d\xi$$

is a smooth function on  $\mathbb{R}$ . From (5) and (8) we get

$$2\pi \cdot \phi(x) = (\mathcal{F}\psi(-\xi))(x) \in \bigcap_{p=1}^{\infty} W_{M_{p+2}, 1+2d}^p.$$

Hence by Lemma 1, part b), we obtain  $2\pi \cdot \phi(x) \in H\{M_p\}$ , and this implies  $\psi(\zeta) \in H\{M_p\}$ .

We can now prove

**THEOREM 3.** a) *The elements from  $H\{M_p\}$  are entire analytic functions  $\psi(\zeta)$  which satisfy*

$$h_p(\psi) := \sup \{ (1+|\xi|)^P \cdot |\phi(\xi+i\eta)| \cdot \exp(-M_p^*(\eta)); \xi+i\eta \in \mathbb{C} \} < \infty$$

for each  $p \in \mathbb{N}$ .

b) *If the topology on  $H\{M_p\}$  is given by the set of seminorms  $\{h_p\}_{p \in \mathbb{N}}$ , the Fourier transformation is a topological isomorphism from  $H\{M_p\}$  onto  $H\{M_p\}$ .*

**P r o o f.** a) Follows from Lemmas 1 and 2.

b) The space  $H\{M_p\}$  is of the type

$Z\{(1+|\xi|)^P \cdot \exp(-M_p^*(\eta))\}$  introduced in [2], hence it is a Frechet space. Since the Fourier transformation is a surjective mapping from  $H\{M_p\}$  onto  $H\{M_p\}$  by its definition, we can use the open mapping theorem, which asserts just what we want to prove.

The dual space  $H^{\sim}\{M_p\}$  of  $H\{M_p\}$  is the space of Fourier transformations of the distributions from  $H^{\sim}\{M_p\}$  defined by the Parseval formula

$$\langle FS, F\phi \rangle := 2 \cdot \pi \langle S, \check{\phi} \rangle$$

where  $S \in H^{\sim}\{M_p\}$ ,  $\phi \in H\{M_p\}$  and  $\check{\phi}(x) := \phi(-x)$ .

In the case when  $S$  is a convolutor, we have the following

**THEOREM 4.** *The Fourier transformation of  $S \in O'_C(H\{M_p\})$  denoted by  $\hat{S}(\xi)$  is a function which can be analytically continued on the whole complex plane  $\mathbb{C}$  and it has the following property: for each  $p \in \mathbb{N}$  there exists a positive*



number  $c$  and a natural number  $n$  so that

$$(9) \quad |\hat{S}(\xi + i\eta)| \leq c \cdot (1 + |\xi|)^n \cdot \exp(M_p^*(\eta)).$$

Conversely, if for an entire analytic function  $\hat{S}(\zeta)$  for each  $p \in \mathbb{N}$  there exist  $c > 0$  and  $n \in \mathbb{N}$  so that (9) holds, then there exists a convolutor  $S$  on  $H'\{M_p\}$  such that  $FS = \hat{S}$ .

**P r o o f.** Let  $S \in H'\{M_p\}$ . From Theorem 2 follows that  $\hat{S}(\zeta) = (i\zeta)^m \hat{F}(\zeta)$  where for given  $p \in \mathbb{N}$ ,  $m$  and  $F(x)$  are chosen as in Theorem 2. Observe that the rate on increase in infinity of the function  $F(x)$  implies that  $\hat{F}(\zeta) := (FF)(\zeta)$  is an entire analytic function. From [3], page 21 follows

$$|\hat{F}(\xi + i\eta)| \leq c_1 \cdot \exp(M_p^*((1+d)\eta))$$

for some  $c_1 = c_1(d, p)$ ,  $0 < d < 1$ . Using condition (A) we can choose  $p_1 \in \mathbb{N}$ ,  $p_1 < p$  (except maybe for finitely many) so that for sufficiently large  $|\eta|$

$$M_p^*((1+d)\eta) \leq M_{p_1}^*(\eta)$$

and this implies the estimate (9) for  $p_1$  in the place of  $p$ . We can choose  $p$  so that the corresponding  $p_1$  come across a sub-sequence of the sequence of natural numbers and this observation finishes the proof of necessity of condition (9).

Let us suppose now that  $\hat{S}(\zeta)$  is an entire analytic function which satisfies (9). From [10], Bemerkung IV.2, it follows that  $S(x) = \frac{1}{2 \cdot \pi} (\hat{S}(-\xi))(x)$  is the finite sum of the distribution derivatives of continuous functions  $F_j(x)$ , i.e.

$$(10) \quad S(x) = \sum_{j=1}^m D^j F_j(x) \quad \text{where}$$

$F_j(x) = 0(\exp(-M_p(kx)))$  when  $|x| \rightarrow \infty$  and  $k > 0$  does not depend on  $j$ .

Again using condition (A), we can find a suitable  $p_1 \in \mathbb{N}$ ,  $p_1 < p$ , such that



$$F_j(x) = O(\exp(-M_{p_1}(x))) \text{ when } |x| \rightarrow \infty \text{ for each } j, 0 \leq j \leq m.$$

Integrating, if necessary, each term in (10) sufficiently many times, we can reduce the sum in (10) to one single term, i.e.

$$S(x) = D^m F(x)$$

where  $F(x)$  is continuous function on  $\mathbb{R}$  such that

$$F(x) = O(\exp(-M_{p_1}(x))) \text{ when } |x| \rightarrow \infty.$$

As in the first part of the proof, we can choose  $p$  such that the corresponding  $p_1$  come across a sub-sequence of the sequence of natural numbers.

So, we have proven Theorem 4 for each  $p \in \mathbb{N}$  except maybe for the first finitely many; but if it holds for some  $p$ , then it holds for each  $p'$  smaller than  $p$ .

Theorem 4 implies that if  $S$  is a convolutor on  $H^{-}\{M_p\}$  then the mapping  $S * : \psi \rightarrow \hat{S} * \psi$  ( $\hat{S} := F S$ ) is a continuous linear mapping from  $H\{M_p\}$  into  $H\{M_p\}$ . Hence, if  $T \in H^{-}\{M_p\}$ , one can define the product  $\hat{S} \cdot \hat{T}$  by

$$\langle \hat{S} \cdot \hat{T}, \psi \rangle := \langle \hat{T}, \hat{S} \cdot \psi \rangle \text{ where } \hat{T} := F T \text{ and } \psi \in H\{M_p\}.$$

It is easy to prove that  $F(S * T) = F S \cdot F T$ .

### 3. SOLVABILITY OF (1) IN $H^{-}\{M_p\}$

Our task is to characterize the surjective convolutors on  $H^{-}\{M_p\}$ , and, what turns out to be equivalent with surjectivity, to find those convolutors on  $H^{-}\{M_p\}$  which have fundamental solutions in  $H^{-}\{M_p\}$ . Various "slowly decreasing functions" play an important role in this part. In [5] the following definition is given:

**DEFINITION 3.** An entire analytic function  $F(\zeta)$  is called an  $M_q$ -slowly decreasing function ( $q \in \mathbb{N}$ ) if it satis-



fies an inequality of the form

$$(11) \quad \sup\{|F(\xi+w)|; |w| \leq \rho(\log(1+|\xi|)) ; w \in \mathbb{R}\} \geq \\ \geq C_0 \cdot (1+|\xi|)^{-N_0}, \quad \xi \in \mathbb{R},$$

for some positive constants  $C_0$  and  $N_0$ , and

$$(12) \quad \rho(x) := A \cdot \frac{x}{M_q^{-1}(x)} + B, \quad x > 0$$

for some  $A > 0$  and  $B \in \mathbb{R}$ .

If (11) holds for  $\rho(x) = \text{const}$ ,  $F(\zeta)$  is called extremely slowly decreasing.

It is easy to show that  $\frac{x}{M_q^{-1}(x)} \leq M_q^{*-1}(x)$  for  $x > 0$ .

Since this function tends to infinity when  $x$  does, there exist positive numbers  $A_1$  and  $L_1$  such that

$$(13) \quad \rho(x) \leq \rho_1(x) := A_1 \cdot M_q^{*-1}(x) \quad \text{for } x \geq L_1.$$

The sign "-1" stands for the inverse function.

The following theorem gives a sufficient condition for an entire analytic function to be extremely slowly decreasing.

**THEOREM 5.** Let  $F(\zeta)$  be an entire analytic function which is  $M_q$ -slowly decreasing for some  $q \in \mathbb{N}$ . Let  $p \in \mathbb{N}$  be larger than  $r(\max\{|A_1|, q\})$ , ( $A_1$  from (13)) and let us suppose that  $F(\zeta)$  satisfies an estimate (9) for some  $c, n$  and this  $p$ . Then  $F(\zeta)$  is extremely slowly decreasing.

**P r o o f.** The property of  $p$  implies that the number

$$A_2 := \sup\left\{\frac{M_q^*(x)}{M_q^*(x/A_1)}, x \geq L_1\right\} + 1$$

is finite. Let us take  $L \geq L_1$  so large that  $\rho_1(\log(1+|\xi|)) > 1$  for each  $\xi$  with  $|\xi| > L$ . Let us fix  $\xi$  with  $|\xi| > L$  and define

$$\beta := \frac{\log \rho}{\log(M_p^{*-1}(A_2 \cdot M_q^*(\rho/A_1))) - \log \rho}$$

where  $\rho := \rho_1(\log(1+|\xi|)) > 1$ . The definition of  $A_2$  implies  $\beta > 0$  and let us put

$$\bar{R} := \rho^{\frac{\beta+1}{\beta}}$$

As in [4], we apply Hadamard's Three Circles Theorem on the function  $F(\xi+\lambda w)$  ( $\lambda$ - complex variable) for the circles with radiuses  $1, \rho, \bar{R}$  and  $\gamma := \frac{\log(\bar{R}/\rho)}{\log \bar{R}} = \frac{1}{\beta+1}$ . All the time,  $w$  is a complex parameter. So we have

$$(14) \quad \sup\{|F(\xi+w)|; |w| \leq 1\} \geq \frac{(\sup\{|F(\xi+\rho w)|; |w| \leq 1\})^{1+\beta}}{(\sup\{|F(\xi+\bar{R}w)|; |w| \leq 1\})^\beta}$$

Using (9) we obtain

$$\begin{aligned} |F(\xi+\bar{R}w)| &= |F(\xi+\bar{R} \cdot \text{Re} w + i \cdot \bar{R} \cdot \text{Im} w)| \leq \\ &\leq c \cdot (1+|\xi|)^n \cdot (1+\bar{R})^n \cdot \exp(M_p^*(\bar{R})) \leq c' \cdot c \cdot (1+|\xi|)^n \cdot \exp(2 \cdot M_p^*(\bar{R})) \end{aligned}$$

where we have put  $c' := \sup\{(1+\bar{R})^n \cdot \exp(-M_p^*(\bar{R})) ; \bar{R} \in \mathbb{R}\} < \infty$

Since we have constructed  $\bar{R}$  so that  $M_p^*(\bar{R}) = A_2 \cdot M_q^*(\rho/A_1)$  we have

$$(15) \quad \sup\{|F(\xi+\bar{R}w)|; |w| \leq 1\} \leq C \cdot (1+|\xi|)^{n+A_2}$$

for some  $C > 0$ . Returning to (14) using (11) we obtain the statement for  $|\xi| \geq L$ .

Using the Maximum Principle we obtain for  $|\xi| \leq L$

$$\sup\{|F(\xi+w)|; |w| \leq 1\} \geq C_1 > 0$$

and this together with (15) gives

$$\sup\{|F(\xi+w)|; |w| \leq 1\} \geq C_2 (1+|\xi|)^{-(N_0+n+2A_2)}$$

i.e.  $F(\zeta)$  is extremely slowly decreasing.



If we suppose that instead of the condition (A) the stronger condition

(A') Let  $p, p' \in \mathbb{N}$  and  $p' > p$ . For each  $\bar{C} > 0$  there exists  $X_p > 0$  such that  $M_p(\bar{C} \cdot x) \leq M_{p'}(x)$  for  $|x| \geq X_p$

is satisfied, then in the same way as Theorem 5 we may prove the following Theorem 5', which generalized Theorem 3 from [4].

**THEOREM 5'.** *Let  $F(\zeta)$  be an entire analytic function which satisfies an estimate (9) for some  $c, n$  and  $p$ . If  $F(\zeta)$  is  $M_q$ -slowly decreasing for some natural number  $q, 1 \leq q < p$ , then it is extremely slowly decreasing.*

Theorems 4 and 5 combined with relation (3) imply

**THEOREM 6.** *If the Fourier transform  $\hat{S}$  of the distribution  $S \in O'_C(H'\{M_p\})$  is  $M_q$ -slowly decreasing for some  $q \in \mathbb{N}$  then  $\hat{S}$  is  $M_p$ -slowly decreasing for each  $p \in \mathbb{N}$ .*

Let us prove now

**THEOREM 7.** *The following conditions are equivalent, provided that  $S \in H'\{M_p\}$*

- (s<sub>1</sub>)  $\hat{S}$  is  $M_q$ -slowly decreasing for some  $p \in \mathbb{N}$ , ( $\hat{S} := FS$ );
- (s<sub>2</sub>)  $S$  has a fundamental solution in  $H'\{M_p\}$ ;
- (s<sub>3</sub>)  $S * H'\{M_p\} = H'\{M_p\}$ .

**P r o o f.** Since Dirac's measure is in  $H'\{M_p\}$ , we have (s<sub>3</sub>)  $\Rightarrow$  (s<sub>2</sub>). If  $S$  has a fundamental solution in  $H'\{M_p\}$ , in view of Theorem 1 it belongs to some  $K'(M_p)$ . The Theorem in [5], page 2, states, among other things, that the convolver  $S$  on  $K'(M_p)$  has a fundamental solution in  $K'(M_p)$  iff  $\hat{S}$  is a  $M_p$ -slowly decreasing function. Hence (s<sub>2</sub>)  $\Rightarrow$  (s<sub>1</sub>). Finally, if  $\hat{S}$  is  $M_p$ -slowly decreasing for some  $p \in \mathbb{N}$ , by Theorem 6 and the mentioned theorem from [5], it is surjective on  $K'(M_p)$  for each  $p=1, 2, \dots$ . But, by Theorem 1 the union of the spaces  $K'(M_p)$



is just  $H'\{M_p\}$ . i.e.  $(s_1) \Rightarrow (s_3)$ .

Let us turn to the convolution equation (1). We suppose that it is a convolutor on  $O'_C(H'\{M_p\})$ , hence by (3) it is a convolutor on each space  $K'(M_p)$ . If  $X'$  is one of the spaces  $H'\{M_p\}$  or  $K'(M_p)$ ,  $p=1,2,\dots$ , we say that (1) is solvable in  $X'$  iff for each  $V \in X'$  there exists an  $U \in X'$  so that (1) holds.

**THEOREM 8.** *The convolution equation (1) is solvable in  $H'\{M_p\}$  iff it is solvable in each  $K'(M_p)$ ,  $p=1,2,\dots$*

**P r o o f.** Let  $V \in H'\{M_p\}$  be given and let us denote by  $p_0$  the smallest integer for which  $V \in K'(M_{p_0})$  (see Theorem 1). If (1) is solvable in  $H'\{M_p\}$ , the implication  $(s_3) \Rightarrow (s_1)$  from Theorem 7 shows that  $S := FS$  is  $M_p$ -slowly decreasing for some  $p \in \mathbb{N}$ , and by Theorem 6 it is  $M_p$ -slowly decreasing for each  $p \in \mathbb{N}$ . This implies that (1) is solvable in  $K'(M_p)$  for each  $p \geq p_0$ . The converse is obvious in view of the implication  $(s_1) \Rightarrow (s_3)$ .

## REFERENCES

- [1] I. Cioranescu, *Sur les solutions fondamentales d'ordre fini de croissance*, Math. Annalen, Band 211, Heft 1 (1974), 37-46.
- [2] I. M. Gel'fand and G. E. Shilov, *Generalized Functions, Volume 2*, Academic Press, New York, 1968.
- [3] I. M. Gel'fand and G. E. Shilov, *Generalized Functions, Volume 3*, Academic Press, New York, 1967.
- [4] O. V. Grudzinski, *Examples of Solvable and Non-Solvable Convolution Equations in  $K'_p$ ,  $p \geq 1$* , Pac. J. Math., Volume 80, 2 (1979), 561-574.
- [5] O. V. Grudzinski, *Convolutions - Gleichungen in Räumen von Beurling Distributionen endlicher Ordnung*, Habilitationsschrift, Kiel, 1980.



- [6] S. Pilipović and A. Takači, *Convolution Equations in the Countable Union of Exponential Distributions*, Zbornik radova PMF u Novom Sadu, br. 10 (1980), 63-70.
- [7] S. Pilipović and A. Takači, *The Space  $H^{\sim}\{M_p\}$  and Convolutors*, Proceedings of the Moscow Conference on Generalized Functions 1980, Moscow, 1981, 415-426.
- [8] S. Sznauder and Z. Zielezny, *Solvability of Convolution Equations in  $K_1'$* , Proc. Amer. Math. Soc. 57, (1976), 103-106.
- [9] S. Sznauder and Z. Zielezny, *Solvability of Convolution Equations in  $K_p'$ ,  $p > 1$* , Pac. J. Math., Volume 63 (1976), 539-544.
- [10] J. Wloka, *Über die Gurewič - Hörmanderschen Distributionsräume*, Math. Annalen, Band 160, Heft 5 (1965), 321-362.

## REZIME

REŠIVOST KONVOLUCIONIH JEDNAČINA U  $H^{\sim}\{M_p\}$ 

U radu su dati potrebni i dovoljni uslovi za rešivost konvolucione jednačine

$$S * U = V$$

u prostoru  $H^{\sim}\{M_p\}$  ( $| \cdot |$ ).

Dokazana je teorema.

TEOREMA. Neka je  $S$  konvolutor na  $H^{\sim}\{M_p\}$ . Sledeći uslovi su ekvivalentni:

- (a) Preslikavanje  $S*:H^{\sim}\{M_p\} \rightarrow H^{\sim}\{M_p\}$  je surjektivno ;
- (b)  $S$  ima fundamentalno rešenje u  $H^{\sim}\{M_p\}$ ;
- (c) Furijeova transformacija konvolutora  $S$  (koja je cela analitička funkcija) je  $M_p$ -sporo opadajuća funkcija za neko  $p \in \mathbb{N}$ .

*Zbornik radova Prirodno-matematičkog fakulteta-Univerzitet u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

---

ON SEMIGROUP VALUED ADDITIVE  
EXHAUSTIVE SET FUNCTIONS

*Endre Pap*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

1. INTRODUCTION

Using the functional from [4] defined on a semigroup we shall introduce the notions of the variation and of the semivariation for semigroup valued additive set functions. We shall prove that these notions have the usual properties.

By the Diagonal Theorem from [4] we shall prove, in quite an elementary way, two theorems on the uniform boundedness of a family of regular additive exhaustive set functions on a Borel algebra (i.e. on a  $\sigma$ -algebra generated by the open subsets of a compact Hausdorff topological space). Namely, if the domain is not a  $\sigma$ -algebra, the Nikodym boundedness theorem, in general, is not true (see [2]). But there are known uniform boundedness theorems in which the initial boundedness conditions are given on some subfamilies which are not  $\sigma$ -algebras (for example in [5]). In the stated theorems this subfamily is the family of open sets.



## 2. THE VARIATION AND SEMIVARIATION

Let  $X$  be a commutative semigroup with the neutral element  $0$  endowed with a triangle functional  $f$  such that

$$f(x+y) \leq f(x) + f(y),$$

$$f(x+y) \geq f(x) - f(y) \quad \text{and}$$

$$f(0) = 0 \quad (\text{see } [4]).$$

## REMARK 1.

The topology of a uniform semigroup is generated by a family of special pseudometrics - H.Weber [7]. It follows from this construction, that there exists a family of triangle functions on every uniform semigroup - E.Pap [6].

Let  $\Sigma$  be an algebra of subsets of the set  $S$ . A set function  $\mu$  defined on the algebra  $\Sigma$  with the values in  $X$  is additive if whenever  $E_1$  and  $E_2$  are disjoint members of  $\Sigma$  then

$$\mu(E_1 \cup E_2) = \mu(E_1) + \mu(E_2).$$

We suppose that there exists  $B \in \Sigma$  such that  $\mu(B) = 0$ . It follows from additivity, by the preceding assumption, that  $\mu(\emptyset) = 0$ .

A set function  $\mu : \Sigma \rightarrow X$  is said to be exhaustive (f-exhaustive) if  $\lim_{n \rightarrow \infty} f(\mu(E_n)) = 0$  for every sequence  $(E_n)$  of disjoint members of  $\Sigma$ .

The variation of an additive set function  $\mu : \Sigma \rightarrow X$  is the nonnegative set function  $|\mu|$  defined for  $E \in \Sigma$  by

$$|\mu|(E) = \sup_{\pi} \sum_{A \in \pi} f(\mu(A))$$

where the supremum is taken over all partitions  $\pi$  of  $E$  into a finite number of pairwise disjoint members of  $\Sigma$ .

Obviously  $f(\mu(E)) \leq |\mu|(E)$  for each  $E \in \Sigma$  and  $|\mu|(\emptyset) = 0$ .

**PROPOSITION 1.** *If  $\mu : \Sigma \rightarrow X$  is an additive set function on an algebra  $\Sigma$ , then  $|\mu|$  is also additive on  $\Sigma$ .*

**P r o o f.** Let  $E_1$  and  $E_2$  be two disjoint sets from  $\Sigma$ . If  $|\mu|(E_1 \cup E_2) < \infty$ , then for  $\varepsilon > 0$  we can choose finite systems  $\{A_i^1\}$  and  $\{A_i^2\}$  of pairwise disjoint sets from  $\Sigma$  such that  $A_i^1 \subset E_1$  and  $A_i^2 \subset E_2$  and

$$|\mu|(E_i) \leq \sum_j f(\mu(A_j^i)) + \varepsilon \quad \text{for } i=1,2.$$

Then we have

$$\begin{aligned} |\mu|(E_1) + |\mu|(E_2) &\leq \sum_j f(\mu(A_j^1)) + \sum_j f(\mu(A_j^2)) + 2\varepsilon \leq \\ &\leq |\mu|(E_1 \cup E_2) + 2\varepsilon. \end{aligned}$$

Since  $\varepsilon > 0$  was arbitrary we obtain the finite superadditivity of  $|\mu|$ , i.e.

$$(1) \quad |\mu|(E_1) + |\mu|(E_2) \leq |\mu|(E_1 \cup E_2).$$

On the other hand, we take partition  $\{A_j\}$  of  $E_1 \cup E_2$  into a finite number of pairwise disjoint members of  $\Sigma$ . We take  $A_j^1 = E_1 \cap A_j$  and  $A_j^2 = E_2 \cap A_j$ . Then we have

$$\sum_j f(\mu(A_j)) \leq \sum_j f(\mu(A_j^1)) + \sum_j f(\mu(A_j^2)) \leq |\mu|(E_1) + |\mu|(E_2).$$

Hence

$$|\mu|(E_1 \cup E_2) \leq |\mu|(E_1) + |\mu|(E_2).$$

By the preceding inequality and (1) we obtain the additivity of  $|\mu|$ . The last inequality implies also the additivity in the case  $|\mu|(E_1 \cup E_2) = \infty$ .

REMARK 2.

$|\mu|$  is superadditive in general, i.e.

$$|\mu|\left(\bigcup_{i \in I} E_i\right) \geq \sum_{i \in I} |\mu|(E_i).$$



This follows in the same way as in [3], p.35.

An additive set function  $\mu : \Sigma \rightarrow X$  is said to be of bounded variation if  $|\mu|(S) < \infty$ .

If  $\mu$  is of bounded variation then it is also bounded (f-bounded), but in general the converse is not true (for example  $X = L^\infty[0,1]$ ,  $\Sigma$  is a  $\sigma$ -algebra of Lebesgue measurable subsets of  $[0,1]$  and  $\mu(E) = \chi_E$ ).

The semivariation of an additive set function  $\mu : \Sigma \rightarrow X$  is the nonnegative set function  $\|\mu\|$  defined for  $E \in \Sigma$  by

$$\|\mu\|(E) = \sup\{f(\mu(H)) \mid H \in \Sigma, H \subseteq E\}.$$

Obviously:

- (i)  $\|\mu\|(\emptyset) = 0$  (ii)  $f(\mu(E)) \leq \|\mu\|(E)$  for each  $E \in \Sigma$   
 (iii)  $\|\mu\|(E) \leq |\mu|(B)$  for each  $E \in \Sigma$ , (iv)  $\|\mu\|(F) \leq \|\mu\|(E)$  for  $F \subseteq E$  and  $F, E \in \Sigma$ .

PROPOSITION 2. If  $\mu : \Sigma \rightarrow X$  is an additive set function on an algebra  $\Sigma$ , then  $\|\mu\|$  is subadditive on  $\Sigma$ , i.e.

$$\|\mu\|(E_1 \cup E_2) \leq \|\mu\|(E_1) + \|\mu\|(E_2)$$

for every  $E_1, E_2 \in \Sigma$  such that  $E_1 \cap E_2 = \emptyset$ .

P r o o f. Let  $E_1$  and  $E_2$  be two disjoint sets from  $\Sigma$ . Let  $H$  be an arbitrary subset of  $E_1 \cup E_2$ . Then we have

$$\begin{aligned} f(\mu(H)) &= f(\mu(H \setminus E_1 \cup H \setminus E_2)) \leq f(\mu(H \setminus E_1)) + \\ &\quad + f(\mu(H \setminus E_2)) \leq \|\mu\|(E_1) + \|\mu\|(E_2). \end{aligned}$$

Hence the desired inequality follows.

An additive set function  $\mu : \Sigma \rightarrow X$  is said to be of bounded semivariation if  $\|\mu\|(S) < \infty$ . By the definition we obtain directly that the semivariation of the additive set function  $\mu : \Sigma \rightarrow X$  is bounded iff  $\mu$  is bounded.



## REMARK 3.

The semivariation is usually defined for a Banach space valued additive set function as

$$\sup\{|x^*\mu|(E) \mid x^* \in X^*, \|x^*\| \leq 1\}$$

where  $|x^*\mu|$  is the variation of  $x^*\mu$  (see [2]), or, equivalently as

$$\sup\{\|\sum_{A_n \in \pi} \epsilon_n \mu(A_n)\|\}$$

where the supremum is taken over all partitions  $\pi$  of  $E$  into finitely many disjoint members of  $\Sigma$  and over all finite collections  $\{\epsilon_n\}$  satisfying  $|\epsilon_n| \leq 1$  ([3], p.51 or Proposition 11.a. from [2], p.4. Our definition of the semivariation, in the case of Banach space valued additive set functions, is equivalent, in the sense of the norm, to the usual one (Proposition 11.b. p.4. from [2]).

**PROPOSITION 3.** *An additive set function  $\mu: \Sigma \rightarrow X$  is exhaustive iff  $\lim_{n \rightarrow \infty} \|\mu\|(E_n) = 0$  for every sequence  $(E_n)$  of disjoint members of  $\Sigma$ .*

**P r o o f.** Let  $\mu$  be an exhaustive additive set function. Suppose that  $\|\mu\|$  does not satisfy the proposition. Then there exist  $\delta > 0$ , a sequence  $(E_n)$  of disjoint members of  $\Sigma$  for which

$$\|\mu\|(E_n) \geq \delta$$

holds. For each  $n$  and  $\epsilon$  such that  $\delta > \epsilon > 0$  there is  $H_n \in \Sigma$  such that  $H_n \subset E_n$  and

$$\|\mu\|(E_n) \leq f(\mu(H_n)) + \epsilon.$$

Then the sequence  $(H_n)$  consists of disjoint members of  $\Sigma$  such that

$$f(\mu(H_n)) \geq \delta - \epsilon > 0.$$



holds for each  $n$ , which contradict the exhaustivity of  $\mu$ . The converse implication follows from the inequality

$$f(\mu(E)) \leq \|\mu\|(E) \quad \text{for each } E \in \Sigma.$$

**THEOREM 1.** *An exhaustive additive set function  $\mu: \Sigma \rightarrow X$  on an algebra  $\Sigma$  of subsets of  $S$  is of bounded semivariation.*

**P r o o f.** Let us suppose that the theorem is not true. Then there exists  $H_1 \in \Sigma$  such that

$$(2) \quad f(\mu(H_1)) \geq 1 + 2f(\mu(S))$$

Since

$$f(\mu(H_1)) - f(\mu(S \setminus H_1)) \leq f(\mu(H_1) + \mu(S \setminus H_1)) = f(\mu(S)) ,$$

we obtain

$$f(\mu(S \setminus H_1)) \geq 1 .$$

Since

$$\|\mu\|(S) \leq \|\mu\|(H_1) + \|\mu\|(S \setminus H_1) ,$$

either  $\|\mu\|(H_1)$  or  $\|\mu\|(S \setminus H_1)$  is infinite. If  $H_1$  is with unbounded semivariation we put  $E_1 = H_1$ , otherwise we take  $E_1 = S \setminus H_1$  we have that  $E_1$  has the unbounded semivariation and  $f(\mu(E_1)) \geq 1$ . Now we take  $E_1$  instead of  $S$  and repeat the preceding procedure.

We obtain  $E_2 \in \Sigma$  and  $E_2 \subset E_1$  such that the semivariation of  $E_2$  is unbounded and  $f(\mu(E_2)) \geq 2$ . By iterating we construct a nonincreasing sequence  $(E_n)$  from  $\Sigma$  such that the semivariation of  $E_n$  is unbounded for each  $n$  and

$$(3) \quad f(\mu(E_n)) \geq n .$$

Let  $F_n = E_n \setminus E_{n+1}$ . Since  $\mu(E_n \setminus E_{n+1}) + \mu(E_{n+1}) = \mu(E_n)$  we obtain

$$f(\mu(F_n)) \geq f(\mu(E_{n+1})) - f(\mu(E_n)) .$$

Then, by (3), it follows that  $f(\mu(F_n)) \geq 1$  for some disjoint subsequence of  $(F_n)$  from  $\Sigma$ , which contradicts the exhaustive of  $\mu$ .

### 3. UNIFORM BOUNDEDNESS ON BOREL SETS

In this section  $\Sigma$  is a  $\sigma$ -algebra of subsets of a set  $S$ . An additive set function  $\mu: \mathcal{B} \rightarrow X$  defined on the collection  $\mathcal{B}$  of Borel sets of a compact Hausdorff topological space  $T$  is regular on a Borel set  $B$  if for every  $\epsilon > 0$  there exists a compact set  $K \subset B$  and an open set  $O \supset B$  such that

$$\|\mu\|(\mathcal{O} \setminus K) < \epsilon.$$

A set function  $\mu: \Sigma \rightarrow X$  is f-superadditive if for every sequence  $(E_n)$  of disjoint members of a  $\sigma$ -algebra  $\Sigma$

$$\lim_{n \rightarrow \infty} f(\mu(\bigcup_{i=1}^n E_i)) \leq f(\mu(\bigcup_{i=1}^{\infty} E_i))$$

holds. We shall use the following.

DIAGONAL THEOREM. ( $|4|$ ). If  $x_{ij} \in X$  ( $i, j \in \mathbb{N}$ ) and

$$\lim_{j \rightarrow \infty} f(x_{ij}) = 0 \quad \text{for } i=1, 2, \dots,$$

then there exist an infinite set  $I$  of positive integers and a subset  $J$  (finite or infinite) of  $I$  such that, for all  $i \in I$ , we have

$$\sum_{j \in J} f(x_{ij}) < \infty$$

$$f\left(\sum_{j \in J} x_{ij}\right) \geq \frac{1}{2} f(x_{ii}).$$

(where  $J$  is infinite)

$$f\left(\sum_{j \in J} x_{ij}\right) \stackrel{\text{def}}{=} \lim_{n \rightarrow \infty} f\left(\sum_{s=1}^n x_{ij_s}\right),$$

$(j_s)$  is the increasing sequence of all elements of  $J$ .

THEOREM 2. Let  $(\mu_\alpha)_{\alpha \in A}$  be a family of  $X$ -valued regular additive and f-superadditive set functions defined on the Borel subsets of a compact Hausdorff topological space  $T$ . If



$$\{\mu_\alpha(O) \mid \alpha \in A\}$$

is bounded on every open set  $O$ , then the family  $(\mu_\alpha)_{\alpha \in A}$  is uniformly bounded, i.e.

$$\sup\{f(\mu_\alpha(B)) \mid \alpha \in A, B \in \mathcal{B}\} < \infty.$$

*P r o o f.* Since the general case can be reduced to the proof for the sequence, we take a sequence  $(\mu_n)$  instead of the family.

To prove the uniform boundedness of  $(\mu_n)$ , it suffices to prove that every point in  $T$  belongs to an open set  $O$  on which

$$\sup_{n, A} \{f(\mu_n(A)), n \in \mathbb{N}, A \subset O\} < \infty$$

Suppose that this is not true. Then there exists  $x \in T$  such that  $\sup_{n \in \mathbb{N}} \|\mu_n\|(O)$  is not bounded for every open set  $O$  such that  $x \in O$ .

Let  $O$  be an open set which contains  $x$ . Then there exists a Borel set  $B \subset O$  and  $n_1 \in \mathbb{N}$  such that

$$(4) \quad f(\mu_{n_1}(B)) > 4 + 2 \sup_n f(\mu_n(\{x\})).$$

Since  $\mu_{n_1}$  is regular, there exists a compact set  $K_O \subset B$  such that

$$(5) \quad \|\mu_{n_1}\|(O \setminus K_O) < 1.$$

Then, by the inequalities

$$f(\mu_{n_1}(K_O)) + f(\mu_{n_1}(B \setminus K_O)) \geq f(\mu_{n_1}(B)),$$

$$f(\mu_{n_1}(B \setminus K_O)) \leq \|\mu_{n_1}\|(B \setminus K_O) \leq \|\mu_{n_1}\|(O \setminus K_O),$$

(4) and (5) we obtain

$$f(\mu_{n_1}(K_O)) > 3 + 2 \sup_n f(\mu_n(\{x\})).$$

Let  $K_1 = K_O \cup \{x\}$ . Then we have

$$f(\mu_{n_1}(K_1)) > 3 + \sup_n f(\mu_n(\{x\})).$$

By the regularity of  $\mu_{n_1}$  there exists an open set  $U$  such that  $O \supset U \supset K_1$  and

$$\|\mu_{n_1}\| (U \setminus K_1) < 1.$$

Hence, by the inequality

$$f(\mu_{n_1}(U)) \geq f(\mu_{n_1}(K_1)) - f(\mu_{n_1}(U \setminus K_1)),$$

we obtain

$$(6) \quad f(\mu_{n_1}(U)) > 2 + \sup_n f(\mu_n(\{x\})).$$

We find, again by regularity, an open set  $W$  such that  $\{x\} \subset W \subset U$  and  $\|\mu_{n_1}\| (W \setminus \{x\}) < 1$ . Let  $H$  be an open set such that  $x \in H \subset \bar{H} \subset W$ . Then we have

$$(7) \quad f(\mu_{n_1}(\bar{H})) \leq \|\mu_{n_1}\| (\bar{H} \setminus \{x\}) + f(\mu_{n_1}(\{x\})) \leq \\ \leq \|\mu_{n_1}\| (W \setminus \{x\}) + f(\mu_{n_1}(\{x\})) < 1 + \sup_n f(\mu_n(\{x\})).$$

If we take that  $E_1 = U \setminus \bar{H}$ , then we have  $E_1 \subset 0$ ,  $E_1 \cap H = \emptyset$ . By the inequality

$$f(\mu_{n_1}(E_1)) + f(\mu_{n_1}(\bar{H})) \geq f(\mu_{n_1}(U)),$$

(6) and (7), we obtain

$$f(\mu_{n_1}(E_1)) > 1.$$

Using the same procedure, the fact that  $x \in H$  and that the sequence  $(\mu_n)$  is unbounded on  $H$ , and taking in the inequality (4)  $5 + 2 \sup_n f(\mu_n(\{x\}))$  instead of  $4 + 2 \sup_n f(\mu_n(\{x\}))$ , we obtain an integer  $n_2 > n_1$ , open sets  $E_2, H_1 \subset H$  such that  $E_1 \cap E_2 = \emptyset$ ,  $E_2 \cap H_1 = \emptyset$ ,  $x \in H_1$  and  $f(\mu_{n_2}(E_2)) > 2$ . Continuing, we obtain a sequence of positive integers  $(n_k)$  and a sequence of pairwise disjoint open sets  $(E_k)$  such that

$$(8) \quad f(\mu_{n_k}(E_k)) > k \quad \text{for every } k \in \mathbb{N}.$$

Now we take  $x_{ij} = \mu_{n_i}(E_j)$  for  $i, j \in \mathbb{N}$ . Then we have for each  $i \in \mathbb{N}$



$$\lim_{j \rightarrow \infty} f(x_{ij}) = 0.$$

Then there exist, by the Diagonal Theorem, an infinite set  $I \subset \mathbb{N}$  and its subset  $J$  such that

$$(9) \quad f\left(\sum_{j \in J} x_{ij}\right) \geq \frac{1}{2} f(x_{ii})$$

for each  $i \in I$ . Since  $\mu_{n_i}$  are additive and  $f$ -superadditive we have

$$\lim_{n \rightarrow \infty} f\left(\sum_{s=1}^n \mu_{n_i}(E_{j_s})\right) = \lim_{n \rightarrow \infty} f\left(\mu_{n_i}\left(\bigcup_{s=1}^n E_{j_s}\right)\right) \leq f\left(\mu_{n_i}\left(\bigcup_{s=1}^{\infty} E_{j_s}\right)\right)$$

(if  $J$  is finite we need only the first equality). Then by (9) and (8) we obtain

$$f\left(\mu_{n_i}\left(\bigcup_{j \in J} E_j\right)\right) > \frac{1}{2}$$

for each  $i \in I$ , which is a contradiction with the boundedness of  $(\mu_n)$  on every open set.

Using the main part of the proof of Theorem 2 we obtain a uniform boundedness - type theorem connected with the variation.

**THEOREM 3.** Let  $(\mu_\alpha)_{\alpha \in A}$  be a family of  $X$ -valued regular additive set functions defined on the Borel subsets of a compact Hausdorff topological space  $T$ . If  $\mu_\alpha$  is of bounded variation on every open set, for each  $\alpha \in A$ , then the family is uniformly bounded.

**P r o o f.** The proof is verbatim the same as up to (9). If  $J$  is infinite, we have

$$\begin{aligned} \lim_{n \rightarrow \infty} f\left(\sum_{s=1}^n \mu_{n_i}(E_{j_s})\right) &\leq \lim_{n \rightarrow \infty} \sum_{s=1}^n f(\mu_{n_i}(E_{j_s})) \leq \\ &\leq \lim_{n \rightarrow \infty} \sum_{s=1}^n |\mu_{n_i}|(E_{j_s}) \leq |\mu_{n_i}|\left(\bigcup_{j \in J} E_j\right) \end{aligned}$$

where  $(j_s)$  is the increasing sequence of all elements of  $J$ . By (9) and (8) we have

$$|\mu_{n_i}|\left(\bigcup_{j \in J} E_j\right) > \frac{1}{2}$$

for every  $i \in I$  which is a contradiction.



## REFERENCES

- [1] J.K.Brooks, *On a Theorem of Dieudonne*, *Advances in Math.* 36, 165-168, (1980).
- [2] J.Diestel, J.J.Uhl, *Vector Measures*, AMS, *Math. surveys* 15, 1977.
- [3] N.Dinculeanu, *Vector Measures*, Pergamon Press, 1967.
- [4] E.Pap, *A generalization of the diagonal theorem on a block-matrix*, *Mat. vesnik* 11 (26), 1974, 66-71.
- [5] E.Pap, *Uniform boundendness of family of triangle semigroup valued set functions*, *Zbornik radova PMF u Novom Sadu*, 10, 1980.
- [6] E.Pap, *Funkcionalna analiza (English summary)*, Institute of Mathematics, Novi Sad, 1982.
- [7] H.Weber, *Fortsetzung von Massen mit Werten in uniformen Helbgruppen*, *Arch. der Math.* 27, (1976), 412-423.

## REZIME

O ADITIVNOJ EKSHAUSTIVNOJ FUNKCIJI SKUPA SA  
VREDNOSTIMA U POLUCRUPU

U radu se ispituje funkcija skupa  $\mu$  sa vrednostima u komutativnoj polugrupi  $X$  sa neutralnim elementom  $0$  i trougaonom funkcionalom  $f$ , za koju važi:  $f(x+y) \leq f(x) + f(y)$ ,  $f(x+y) \geq f(x) - f(y)$  i  $f(0) = 0$ . Izdvajaju se aditivne i ekshaustivne funkcije skupa na algebri  $\Sigma$  skupova. Uvode se ne-negativne funkcije skupa, pridružene funkciji skupa sa vrednostima u polugrupi  $\mu$ , varijacija  $|\mu|$  i poluvarijacija  $||\mu||$ .

Pomoću Dijagonalne teoreme iz [4], dokazuju se dve teoreme o uniformnoj ograničenosti:

Teorema 2. Neka je  $(\mu_\alpha)_{\alpha \in A}$  familija  $X$  vrednosnih regularnih aditivnih i  $f$ -superaditivnih funkcija skupa definisanih na Borelovim podskupovima kompaktnog Hausdorfovog topološkog prostora  $T$ . Ako je  $\{\mu_\alpha(0) | \alpha \in A\}$  ograničeno na svakom otvorenom skupu  $O$ , tada je familija  $(\mu_\alpha)_{\alpha \in A}$  i uniformno ograničena na svim Borelovim skupovima.



Teorema 3. Neka je  $(\mu_\alpha)_{\alpha \in A}$  familija  $X$  vrednosnih regularnih aditivnih funkcija skupa definisanih na Borelovim podskupovima kompaktnog Hausdorfovog topološkog prostora  $T$ . Ako je  $\mu_\alpha$  ograničene varijacije na svakom otvorenom skupu, za svako  $\alpha \in A$ , tada je familija uniformno ograničena.

*Zbornik radova Prirodno-matematičkog fakulteta-Univerzitet u Novom Sadu*  
*knjiga 11 (1981)*

*Review od Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

---

# UNBOUNDED SOLUTIONS OF A NONLINEAR DIFFERENTIAL EQUATION

*Mirko Budinčević*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

Consider an initial value problem

$$(1) \quad y'' = f(x)y^\lambda; \quad y(a) = b_0, \quad y'(a) = b_1$$

where  $\lambda > 1$ ,  $a > 0$ , and  $f(x)$  is continuous and positive for  $x > a$ .

By an unbounded solution of (1) is meant a solution possessing the property

$$y(x) \rightarrow \infty \quad \text{for} \quad x \rightarrow \omega - 0$$

where  $\omega$  is some positive real number.

Such solutions were first considered by R.H.Fowler in 1931, [1], for  $f(x) = x^r$ ,  $r$  real.

J.Karamata and V.Marić [2] proved the existence of unbounded solutions of (1) for any  $b_0 > 0$ ,  $b_1 > 0$  provided that

$$(2) \quad f(x) \geq m > 0, \quad x > 0.$$

If (2) holds for  $a \leq x \leq b$  only, then the above result is still valid but only for some appropriately chosen values of  $b_1$ .

The interest for unbounded solutions has been renewed by E.Hille (cf. [3], [4], [5]) who proved the existence of these for the Thomas-Fermi ( $f(x) = x^{-1/2}$ ;  $\lambda = 1.5$ ) and Emden ( $f(x) = x^{1-m}$ ,  $\lambda = m$ ,  $m > 1$ ) equations occurring in various applications, with  $b_0 \geq 0$ ,  $b_1 \geq 0$  and  $b_0 + b_1 > 0$ .



Hille's result is generalized by L.E. Bobisud [6] for the equation

$$(3) \quad y'' = f(x)g(y)$$

where  $f, g$  are continuous and positive for  $x > 0, y > 0$ ; if

$$(4) \quad \int_a^\infty \left( \int_a^x g(u) du \right)^{-1/2} dx < \infty$$

and

$$f'(x) f^{-3/2}(x) \rightarrow 0 \quad \text{for } x \rightarrow \infty.$$

A special case  $b_1 = 0$  ( $b_0 > 0$ ) is studied by S.B. Eliason [7].

V. Marić and M. Skendžić [8] proved an existence theorem for unbounded solutions of the equation (3) for  $b_0 > 0, b_1 \geq 0$  and under less restrictive conditions on  $f(x)$ :

$$f(x) \geq h(x), \quad \int_a^\infty \sqrt{h(x)} dx = \infty$$

where  $h(x)$  is a positive, continuous, decreasing function which tends to zero for  $x \rightarrow \infty$ ;  $g(u)$  satisfies (4).

In the present we shall prove the existence of unbounded solutions for  $y'(a) = b_1 < 0$ . To do this we shall first prove two lemmas.

LEMMA 1. Let  $y(x), Y(x)$  be non-negative solutions of the initial value problems

$$\begin{aligned} y'' &= yf(x, y), & y(a) &= Y(a) \\ Y'' &= YF(x, Y), & Y'(a) &< Y'(a) \end{aligned}$$

where  $f, F$  are continuous functions such that  $0 < f(u, v) < F(u, v)$  for  $a \leq u \leq b$  and  $v > 0$ , and let  $F(u, v)$  be a strictly increasing function of  $v$  for each  $u$ .

Then  $y(x) < Y(x)$  and  $y'(x) < Y'(x)$  for  $a < x \leq b$ .

P r o o f. For  $a \leq x \leq b$  we have

$$y(x) = y(a) + (x-a)y'(a) + \int_a^x (x-s)y(s)f(s, y(s)) ds$$

$$y(x) = y(a) + (x-a)y'(a) + \int_a^x (x-s)y(s)f(s, y(s))ds$$

$$Y(x) = Y(a) + (x-a)Y'(a) + \int_a^x (x-s)Y(s)F(s, Y(s))ds$$

So that

$$(5) \quad Y(x) - y(x) = (x-a)(Y'(a) - y'(a)) + \int_a^x (x-s)(Y(s)F(s, Y(s)) - y(s)f(s, y(s)))ds.$$

Since  $y'(a) < Y'(a)$  there exists  $\epsilon > 0$  such that  $Y(x) - y(x) > 0$  for  $a < x < a + \epsilon$ . Suppose  $Y(x) \leq y(x)$  for some  $x \in (a, b]$ . Then there exists  $c \in (a, b]$  such that  $Y(x) - y(x) > 0$  for  $a < x < c$  and  $Y(c) = y(c)$ . Since  $y(x)$  and  $Y(x)$  are non-negative,  $Y(x)F(x, Y(x)) - y(x)f(x, y(x)) > 0$  for  $a < x < c$ . Putting  $x=c$ , in (5) the left hand side is zero and the right hand side is positive, which is a contradiction.

Thus  $y(x) < Y(x)$  for  $a < x \leq b$ . Moreover

$$y'(x) = y'(a) + \int_a^x y(s)f(s, y(s))ds$$

$$Y'(x) = Y'(a) + \int_a^x Y(s)F(s, Y(s))ds.$$

Hence  $y'(x) < Y'(x)$  for  $a \leq x \leq b$ .

LEMMA 2. Suppose  $y_1(x)$  and  $y_2(x)$  are two positive solutions of

$$y'' = yF(x, y); \quad y_1(a) = y_2(a); \quad y_1'(a) < y_2'(a)$$

where  $F$  satisfies the same conditions as in Lemma 1. Then  $y_2(x) - y_1(x) \geq (x-a)(y_2'(a) - y_1'(a))$  for  $x \geq a$ .

P r o o f. According to Lemma 1.  $y_2(x) = y_1(x)$ . Therefore

$$y_2''(x) - y_1''(x) = y_2(x)F(x, y_2(x)) - y_1(x)F(x, y_1(x)) \geq 0.$$

So  $y_2'(x) - y_1'(x) \geq y_2'(a) - y_1'(a)$  and hence, after integration over  $[a, x]$ , the proof is finished.

The consequence of this Lemma is that the positive, decreasing solution defined for all  $x > a$  of the initial value problem

$$y'' = yF(x, y); \quad y(a) = b_0; \quad y'(a) = b_1 < 0$$



(provided that it exists) is unique and all other are not bounded (including both i.e. such that  $y(x) \rightarrow \infty$ ,  $x \rightarrow \omega - 0$ , or  $y(x) \rightarrow \infty$ ,  $x \rightarrow \infty$ ).

The existence of such solutions is proved by P.K.Wong [9] as follows: there exists a positive decreasing solution  $y(x)$  which tends, for  $x \rightarrow \infty$ , to a positive constant iff there is a  $\beta > 0$  such that  $\int_0^\infty xF(x, \beta)dx$  converges. The divergence of that integral for all  $\beta > 0$  is, on the other hand, a necessary and sufficient condition for the existence of a solution tending to zero for  $x \rightarrow \infty$ .

When  $y'(a) > b_1$ , corresponding solutions, if they are defined for all  $x \geq a$ , are not slower than a linear function. By some restriction on  $F$ , existence of solutions such that  $y \sim kx$ ,  $x \rightarrow \infty$  was proved by P.Waltman [10].

Denote by  $y(x)$  the positive solutions of the initial value problems

$$(6) \quad y'' = yF(x, y) \quad ; \quad y(a) = b_0 \quad ; \quad y'(a) = b_1$$

and let  $b_\infty$  stand for the initial slope of the unique positive decreasing bounded solution of (6) (defined for all  $x \geq a$ ). Then we prove the following.

**THEOREM**      *If the initial value problem*

$$y'' = YF(x, Y) \quad ; \quad Y(x_0) = b_0 \quad ; \quad Y'(x_0) = 0$$

*has unbounded solutions for each  $x_0$ , then any positive solution  $y(x)$  of (6), satisfying  $y'(a) > b_\infty$ , is unbounded too.*

**P r o o f.** First take  $b_\infty < b_1 < 0$ , then according to Lemma 2 for the solution  $y(x)$  there exists a point  $x_0 > a$  such that  $y(x_0) = y(a) = b_0$ . Since the solution is convex,  $y'(x_0) > y'(x_0) = 0$  and then, by Lemma 1, is unbounded.

If  $b_1 \geq 0$ , one may take  $x_0 = a$  and repeat the argument.

#### Acknowledgment

The author would like to thank professor V.Marić for his helpful suggestions concerning this paper.



## REFERENCES

- [1] Fowler, R.H., *Further studies on Emden's and similar differential equations*. *Quart.J.Math. Oxford Ser. 2* (1931), 239-288.
- [2] Karamata, J. and Marić, V., *On some solutions of the differential equation  $y''=f(x)y^\lambda$* , *Annual Review of the Faculty of Arts. and Nat. Sci. Novi Sad V* (1960), 414-422.
- [3] Hille, E., *Some aspects of the Thomas-Fermi equation*. *J. Analyse Math.* 23 (1970), 147-170.
- [4] Hille, E., *Aspects of Emden's equation*, *J. Fac. Sci. Univ. Tokyo, Sect.*, 1, 17 (1970), 11-30.
- [5] Hille, E., *Pseudo-poles in the theory of Emden's equation*, *Proc. Nat. Acad. Sci. USA*, 69 (1972) 1271-1272.
- [6] Bobisud, L.E., *The distance to vertical asymptotes for solutions of second order differential equations*. *Michigan Math. J.* 19 (1972) 277-283.
- [7] Eliason, S.B., *Vertical asymptotes and bounds for certain solutions of second order diff. equations*, *SIAM J. Math. Anal.* 3, (1972), 474-484.
- [8] Marić, V., and Skendžić, M., *Unbounded solutions of the generalized Thomas-Fermi equation*, *Math. Balkanica* 3 (1973), 312-320.
- [9] Wong, P.K., *Existence and asymptotic behavior of proper solutions of a class of second order differential equations*, *Pacific J. Math.*, 13 (1963), 737-760.
- [10] Waltman, P., *On the asymptotic behavior of solutions of an n-th order equation*, *Mon. für Math.* 69. Band, 5 (1965), 427-430.

## REZIME

## NEOGRANIČENA REŠENJA NELINEARNE DIFERENCIJALNE JEDNAČINE

U ovom radu je pokazano kada početni problem

$$y'' = yF(x, y), \quad y(a) = b_0, \quad y'(a) = b_1$$

ima neograničeno rešenje za  $b_1 < 0$ .



Zborn

Revi

ndit

ings

we s

real

is a

tion

Fi  
p

(LCE

ons

E 1 >

T-na

# A COMMON FIXED POINT THEOREM OF A FAMILY OF MAPPINGS IN PROBABILISTIC LOCALLY CONVEX SPACES

*Mila Stojaković*

*Fakultet tehničkih nauka. Institut za primenjene osnovne  
discipline, 21000 Novi Sad, ul. Veljka Vlahovića 3, Jugoslavija*

In this paper a theorem which gives the necessary and sufficient condition for the existence of a unique fixed point for the mappings  $S, T$  and  $A$  (defined in Theorem 2) is proved.

First we shall give some definitions and notations which we shall use later.

DEFINITION 1 [1]. Let  $X$  be a linear space over the real or complex field  $K$  and for every  $i$  in the index set  $I$  there is a function  $F^i : X \rightarrow \Delta^+$ , where  $\Delta^+$  is the family of distribution functions  $F$  such that  $F(0) = 0$ . We shall denote  $F^i(p)$  by  $F_p^i$  ( $i \in I, p \in X$ ).

$(X, \{F_p^i\}_{i \in I}, t)$  is called a locally convex probabilistic space (LCP-space) if and only if for each  $i \in I$  the following conditions are satisfied:

1.  $F_0^i = H$ , where  $H(\varepsilon) = \begin{cases} 0, & \varepsilon \leq 0 \\ 1, & \varepsilon > 0 \end{cases}$ ,
2.  $F_{\lambda p}^i(\varepsilon) = F_p^i(\frac{\varepsilon}{|\lambda|})$  for every  $\lambda \in K, p \in X, \varepsilon > 0, (\lambda \neq 0)$
3.  $F_{p+q}^i(\varepsilon_1 + \varepsilon_2) \geq t(F_p^i(\varepsilon_1), F_q^i(\varepsilon_2))$  for every  $p, q \in X$ ,

$\varepsilon_1 > 0, \varepsilon_2 > 0$ , where the mapping  $t : [0, 1] \times [0, 1] \rightarrow [0, 1]$  is a  $T$ -norm [2].



The  $(\epsilon, \lambda)$ - topology is introduced by the following definition.

DEFINITION 2 [1]. A net  $\{p_d\}_{d \in D}$  converges to 0 if and only if for each  $i \in I$ , every  $\epsilon > 0$  and every  $\lambda \in (0, 1)$  there exists  $d_0 \in D$  such that

$$F_{p_d}^i(\epsilon) \geq 1 - \lambda \quad \text{if } d \geq d_0.$$

In what follows we suppose that

$$F_p^i = H \quad \text{for each } i \in I \text{ if and only if } p = 0.$$

In a similar way one can introduce the notion of a Cauchy sequence and the notion of completeness.

Some fixed point theorems in probabilistic spaces are proved in [1], [2].

THEOREM 1 [3]. Let  $S$  and  $T$  be continuous mappings of a complete normed space  $(X, \|\cdot\|)$  into itself. Then  $S$  and  $T$  have a common fixed point in  $X$  if and only if there exists a continuous mapping  $A$  of  $X$  into  $SX \cap TX$  which commutes with  $S$  and  $T$  and satisfies the inequality

$$\|Ax - Ay\| \leq \alpha \|Sx - Ty\|$$

for every  $x, y \in X$ , where  $0 < \alpha < 1$ .  $S$ ,  $T$  and  $A$  then have a unique common fixed point.

THEOREM 2.  $(X, \{F^i\}_{i \in I}, t)$  be a sequentially complete probabilistic locally convex space with continuous  $T$ -norm  $t$  and  $S$  and  $T$  be continuous mappings of  $X$  into  $X$ .

The mappings  $S$  and  $T$  have a unique common fixed point in  $X$  if and only if there exists a continuous mapping  $A: X \rightarrow SX \cap TX$  which commutes with  $S$  and  $T$  so that  $AX$  is a probabilistic bounded subset of  $X$  and satisfies the following conditions:

1. For every  $i \in I$  there exists  $q(i) > 0$  and  $f(i) \in I$  such that

$$F_{Ax-Ay}^i(\epsilon) \geq F_{Tx-Sy}^{f(i)}\left(\frac{\epsilon}{q(i)}\right)$$

for every  $\epsilon > 0$  and every  $x, y \in X$ .

2. For every  $i \in I$  there exist numbers  $n_i \in \mathbb{N}$  and  $Q(i) \in (0, 1)$  such that

$$q(f^n(i)) \leq Q(i) < 1$$

for every  $n > n_i$ .

3. For every  $i \in I$  there exists  $g(i) \in I$  such that

$$F_x^{f^n(i)}(\epsilon) \geq F_x^{g(i)}(\epsilon)$$

for every  $\epsilon > 0$ , every  $x \in X$  and every  $n \in \mathbb{N}$ .

Then there exists one and only one element  $x^* \in X$  such that  $Ax^*$  is the unique common fixed point for the mappings  $A, S$  and  $T$ .

**P r o o f.** First, let us prove the necessity of the conditions 1, 2, and 3. Let  $z \in X$  be such an element that

$$z = Az = Sz = Tz.$$

The mapping  $A$  is defined by  $Ax = z$  for all  $x \in X$ .

The mapping  $A$  commutes with  $S$  and  $T$  since

$$A(Sx) = Ay = z, \quad S(Ax) = Sz = z$$

and

$$A(Tx) = Av = z, \quad T(Ax) = Tz = z.$$

Condition 1 will be satisfied because

$$F_{Ax-Ay}^i(\epsilon) = F_{z-z}^i(\epsilon) = 1 \geq F_{Tx-Sy}^i\left(\frac{\epsilon}{q(i)}\right)$$

for all  $x, y \in X$ , i.e.  $f(i) = i$  for all  $i \in I$  and  $q(i)$  is any element from  $(0, 1)$ .

Since  $f(i) = i$ , condition 3 is also satisfied, i.e.

$$F_x^{f^n(i)}(\epsilon) = F_x^i(\epsilon)$$



for all  $x \in X$  and all  $\varepsilon > 0$ . We have that  $g(i) = i$ ,  $i \in I$ .

Now, we shall prove that conditions 1, 2 and 3 are sufficient. We form a sequence  $\{x_n\}_{n \in \mathbb{N} \cup \{0\}}$  such that

$$Ax_{2n-2} = Sx_{2n-1}, \quad Ax_{2n-1} = Tx_{2n}$$

for all  $n \in \mathbb{N}$ . Such a sequence always exists because  $AX \subset TX \cap SX$ .

First, we prove that the sequence  $\{Ax_n\}_{n \in \mathbb{N} \cup \{0\}}$  is a Cauchy sequence. It is easy to see that

$$\begin{aligned} F_{Ax_{2n}-Ax_{2n-1}}^i(\varepsilon) &\geq F_{Tx_{2n}-Sx_{2n-1}}^{f(i)}\left(\frac{\varepsilon}{q(i)}\right) = \\ &= F_{Ax_{2n-1}-Ax_{2n-2}}^{f(i)}\left(\frac{\varepsilon}{q(i)}\right) \geq F_{Tx_{2n-2}-Sx_{2n-1}}^{f^2(i)}\left(\frac{\varepsilon}{q(i)q(f(i))}\right) \geq \\ &\geq F_{Ax_{2n-2}-Ax_{2n-3}}^{f^2(i)}\left(\frac{\varepsilon}{q(i)q(f(i))}\right) \geq \dots \geq \\ &\geq F_{Ax_1-Ax_0}^{f^{2n-1}(i)}\left(\frac{\varepsilon}{\prod_{s=0}^{2n-2} q(f^s(i))}\right) \end{aligned}$$

for all  $\varepsilon > 0$ ,  $i \in I$ ,  $n \in \mathbb{N}$ . Also we have that

$$\begin{aligned} F_{Ax_{2n+1}-Ax_{2n}}^i(\varepsilon) &= F_{Ax_{2n}-Ax_{2n+1}}^i(\varepsilon) \geq \dots \geq \\ &\geq F_{Ax_1-Ax_0}^{f^{2n}(i)}\left(\frac{\varepsilon}{\prod_{s=0}^{2n-1} q(f^s(i))}\right) \end{aligned}$$

for all  $\varepsilon > 0$ ,  $i \in I$ ,  $n \in \mathbb{N}$ . So for every  $n \in \mathbb{N}$  we have

$$F_{Ax_n-Ax_{n-1}}^i(\varepsilon) \geq F_{Ax_1-Ax_0}^{f^{n-1}(i)}\left(\frac{\varepsilon}{\prod_{s=0}^{n-2} q(f^s(i))}\right)$$

for all  $\varepsilon > 0$ ,  $i \in I$ .

We see that for all  $m > k$

$$\begin{aligned} F_{Ax_{2k}-Ax_{2m+1}}^i(\epsilon) &\geq F_{Sx_{2m+1}-Tx_{2k}}^{f(i)}\left(\frac{\epsilon}{q(i)}\right) = \\ &= F_{Ax_{2m}-Ax_{2k-1}}^{f(i)}\left(\frac{\epsilon}{q(i)}\right) \geq \dots \geq F_{Ax_0-Ax_{2m+1-2k}}^{f^{2k}(i)}\left(\frac{\epsilon}{\prod_{s=0}^{2k-1} q(f^s(i))}\right). \end{aligned}$$

If  $2k > 2m+1$  then we have

$$\begin{aligned} F_{Ax_{2k}-Ax_{2m+1}}^i(\epsilon) &\geq F_{Ax_{2k-1}-Ax_{2m}}^{f(i)}\left(\frac{\epsilon}{q(i)}\right) \geq \dots \geq \\ &\geq F_{Ax_{2k-2m-1}-Ax_0}^{f^{2m+1}(i)}\left(\frac{\epsilon}{\prod_{s=0}^{2m} q(f^s(i))}\right) \end{aligned}$$

for every  $\epsilon > 0$ .

From the last two inequalities we can prove easily that for  $n=2k$ ,  $p=2m+1$  or for  $n=2k+1$ ,  $p=2m+1$  the following is satisfied

$$F_{Ax_{n+p}-Ax}^i(\epsilon) \geq F_{Ax_0-Ax_p}^{f^n(i)}\left(\frac{\epsilon}{\prod_{s=0}^{n-1} q(f^s(i))}\right).$$

When  $n=2k$ ,  $p=2m$  or  $n=2k+1$ ,  $p=2m$  we have

$$\begin{aligned} F_{Ax_{n+p}-Ax_n}^i(\epsilon) &= F_{Ax_{n+p}-Ax_{n+1}+Ax_{n+1}-Ax_n}^i\left(\frac{\epsilon}{2} + \frac{\epsilon}{2}\right) \geq \\ &\geq t(F_{Ax_{n+p}-Ax_{n+1}}^i\left(\frac{\epsilon}{2}\right), F_{Ax_{n+1}-Ax_n}^i\left(\frac{\epsilon}{2}\right)) \geq \dots \geq \\ &\geq t(F_{Ax_{p-1}-Ax_0}^{f^{n+1}(i)}\left(\frac{\epsilon}{2 \prod_{s=0}^n q(f^s(i))}\right), F_{Ax_1-Ax_0}^{f^n(i)}\left(\frac{\epsilon}{2 \prod_{s=0}^{n-1} q(f^s(i))}\right)). \end{aligned}$$

Now we shall prove that for every  $\epsilon > 0$ ,  $\lambda \in (0,1)$  and  $i \in I$  there exists  $N(i, \epsilon, \lambda)$  such that



$$F_{AX_{n+p}-AX_n}^i(\epsilon) > 1 - \lambda$$

for every  $n \geq N(i, \epsilon, \lambda)$  and  $p \in \mathbb{N}$ .

The set  $AX$  is probabilistic bounded which means that

$$\sup_{\epsilon} D_{AX}^i(\epsilon) = 1$$

for all  $i \in I$  and so for each  $\lambda \in (0, 1)$ , there exists  $\epsilon_i(\lambda) > 0$  such that

$$D_{AX}^i(\epsilon_i(\lambda)) > 1 - \lambda.$$

Since

$$D_{AX}^i(\epsilon_i) = \sup_{\delta < \epsilon_i} \inf_{u, v \in AX} F_{u-v}^i(\delta)$$

it follows that:

$$\sup_{\delta < \epsilon_i} \inf_{u, v \in AX} F_{u-v}^i(\delta) > 1 - \lambda,$$

and we have that

$$\inf_{u, v \in AX} F_{u-v}^i(\epsilon_i(\lambda)) \geq \inf_{u, v \in AX} F_{u-v}^i(\delta)$$

which implies

$$\inf_{u, v \in AX} F_{u-v}^i(\epsilon_i(\lambda)) \geq \sup_{\delta < \epsilon_i} \inf_{u, v \in AX} F_{u-v}^i(\delta) > 1 - \lambda.$$

$$\text{Let for every } i \in I: \epsilon(i) = \frac{Q^{n_i+1}(i)\epsilon}{\prod_{s=0}^{n_i} q(f^s(i))}, \text{ for every } \epsilon > 0.$$

Let  $n > n_i$ . Then we have

$$\begin{aligned} \frac{\epsilon}{\prod_{s=0}^{n-1} q(f^s(i))} &= \frac{\epsilon}{\prod_{s=0}^{n_i} q(f^s(i)) q(f^{n_i+1}(i)) \dots q(f^{n-1}(i))} \geq \\ &> \frac{\epsilon}{\prod_{s=0}^{n_i} q(f^s(i)) Q^{n_i+1}(i)} = \frac{\epsilon(i)}{\prod_{s=0}^{n_i} q(f^s(i)) Q^{n_i+1}(i)} \end{aligned}$$

The mapping  $t$  is continuous and since  $t(1,1)=1$ , for  $\lambda \in (0,1)$  there exists  $r \in (0,1)$  so that for all  $x \geq r$  and all  $y \geq r$  we have  $t(x,y) > 1 - \lambda$ . Let  $\varepsilon_i(r)$  be such that

$$\inf_{u,v \in AX} F_{u,v}^{g(i)}(\varepsilon_i(r)) > r.$$

Suppose that  $r > 1 - \lambda$ . Further, let  $n(i,r) \in \mathbb{N}$  be such that

$$\frac{\varepsilon(i)}{2Q^n(i)} \geq \varepsilon_i(r),$$

for every  $n \geq n(i,r)$ .

If  $n = 2k$ ,  $p = 2m+1$  or  $n = 2k+1$ ,  $p = 2m+1$  and  $n \geq n(i,r) + n_i$  it follows that:

$$F_{Ax_{n+p}-Ax_n}^i(\varepsilon) \geq F_{Ax_o-Ax_p}^{f^n(i)}\left(\frac{\varepsilon(i)}{Q^n(i)}\right)$$

$$\text{where } \varepsilon(i) = \frac{Q^{n_i+1}(i)\varepsilon}{n_i \prod_{s=0}^{n_i} q(f^s(i))}.$$

Furthermore

$$F_{Ax_o-Ax_p}^{f^n(i)}\left(\frac{\varepsilon(i)}{Q^n(i)}\right) \geq F_{Ax_o-Ax_p}^{f^n(i)}\left(\frac{\varepsilon(i)}{2Q^n(i)}\right) \geq F_{Ax_o-Ax_p}^{g(i)}(\varepsilon_i(r)) > r > 1 - \lambda.$$

If  $n = 2k$ ,  $p = 2m$  or  $n = 2k+1$ ,  $p = 2m$  and  $n > n(i,r) + n_i$  then

$$\begin{aligned} F_{Ax_{n+p}-Ax_n}^i(\varepsilon) &\geq t(F_{Ax_1-Ax_o}^{g(i)}\left(\frac{\varepsilon(i)}{2Q^n(i)}\right), F_{Ax_{p-1}-Ax_o}^{g(i)}\left(\frac{\varepsilon(i)}{2Q^n(i)}\right)) \geq \\ &\geq t(r,r) > 1 - \lambda. \end{aligned}$$

So we have proved that the sequence  $\{Ax_n\}_{n \in \mathbb{N}}$  is a Cauchy sequence and since  $X$  is sequentially complete, there exists  $x^* \in X$  such that

$$\lim_{n \rightarrow \infty} Ax_n = x^*.$$



According to the construction of the sequence  $\{x_n\}_{n \in \mathbb{N}}$  we have

$$\lim_{n \rightarrow \infty} Sx_{2n+1} = \lim_{n \rightarrow \infty} Tx_{2n} = x^*.$$

Since

$$\lim_{n \rightarrow \infty} Ax_n = x^*$$

we have the following implications

$$\begin{aligned} \lim_{n \rightarrow \infty} Ax_{2n} = x^* &\Rightarrow T(\lim_{n \rightarrow \infty} Ax_{2n}) = Tx^* \Rightarrow \lim_{n \rightarrow \infty} TAx_{2n} = Tx^* \Rightarrow \\ &\Rightarrow A(\lim_{n \rightarrow \infty} Tx_{2n}) = Tx^* \Rightarrow A(\lim_{n \rightarrow \infty} Tx_{2n-1}) = Tx^* \Rightarrow Ax^* = Tx^*. \end{aligned}$$

Also

$$\begin{aligned} \lim_{n \rightarrow \infty} Ax_{2n-1} = x^* &\Rightarrow S(\lim_{n \rightarrow \infty} Ax_{2n-1}) = Sx^* \Rightarrow \lim_{n \rightarrow \infty} Sx_{2n-1} = \\ &= Sx^* \Rightarrow A(\lim_{n \rightarrow \infty} Sx_{2n-1}) = Sx^* \Rightarrow A(\lim_{n \rightarrow \infty} Sx_{2n-2}) = \\ &= Sx^* \Rightarrow Ax^* = Sx^*, \end{aligned}$$

and it follows that

$$Ax^* = Sx^* = Tx^*.$$

Now we shall show that  $Ax^*$  is a fixed point of the mapping

A.

$$\begin{aligned} F_{Ax^*-A^2x^*}^1(\varepsilon) &\geq F_{Sx^*-TAx^*}^{f(i)}\left(\frac{\varepsilon}{q(i)}\right) = F_{Sx^*-ATx^*}^{f(i)}\left(\frac{\varepsilon}{q(i)}\right) = \\ &= F_{Ax^*-A^2x^*}^{f(i)}\left(\frac{\varepsilon}{q(i)}\right) \geq \dots \geq F_{Ax^*-A^2x^*}^{f^n(i)}\left(\frac{\varepsilon}{\prod_{s=0}^{n-1} q(f^s(i))}\right) \geq \\ &\geq F_{Ax^*-A^2x^*}^{f^n(i)}\left(\frac{\varepsilon(i)}{Q^n(i)}\right) \geq F_{Ax^*-A^2x^*}^{g(i)}\left(\frac{\varepsilon(i)}{Q^n(i)}\right) \end{aligned}$$

$$\text{where } \varepsilon(i) = \frac{Q^{n_i+1}(i)\varepsilon}{\prod_{s=0}^{n_i} q(f^s(i))}, \quad (n > n_i).$$

We have that

$$F_{Ax^* - A^2 x^*}^{g(i)} \left( \frac{\varepsilon}{Q^n(i)} \right) \rightarrow 1, \text{ for } n \rightarrow \infty$$

and so

$$F_{Ax^* - A^2 x^*}^i(\varepsilon) = 1$$

for all  $\varepsilon > 0$  and all  $i \in I$ . This implies that

$$Ax^* = A^2 x^* = A(Ax^*),$$

i.e.  $Ax^*$  is a fixed point of the mapping  $A$ .

It is easy to see that  $Ax^*$  is a fixed point of the mappings  $S$  and  $T$

$$S(Ax^*) = A(Sx^*) = A(Ax^*) = Ax^*$$

and

$$T(Ax^*) = A(Tx^*) = A(Ax^*) = Ax^*.$$

Now we shall show that  $Ax^*$  is a unique fixed point of the mappings  $A$ ,  $S$  and  $T$ . If we suppose that  $x_1$  is another common fixed point of the mappings  $A$ ,  $S$  and  $T$ , we have that

$$F_{Ax^* - Ax_1}^i(\varepsilon) \geq F_{Sx^* - Tx_1}^{f(i)} \left( \frac{\varepsilon}{q(i)} \right) = F_{Ax^* - x_1}^{f(i)} \left( \frac{\varepsilon}{q(i)} \right) \geq$$

$$\geq \dots \geq F_{Ax^* - Ax_1}^{f^n(i)} \left( \frac{\varepsilon(i)}{Q^n(i)} \right) \geq F_{Ax^* - Ax_1}^{g(i)} \left( \frac{\varepsilon(i)}{Q^n(i)} \right) \rightarrow 1$$

for  $n \rightarrow \infty$  and  $\varepsilon(i) = \frac{Q^{n_i+1}(i)\varepsilon}{\prod_{s=0}^{n_i} q(f^s(i))}$  and for all  $i \in I$ , which means

that

$$F_{Ax^* - x_1}^i(\varepsilon) = F_{Ax^* - Ax_1}^i(\varepsilon) = 1$$

for all  $\varepsilon > 0$  and for  $i \in I$ . From the last equality we have that

$$Ax^* = x_1,$$

which means that  $Ax^*$  is the unique fixed point for the mappings  $A$ ,  $S$  and  $T$ .



## REFERENCES

- [1] O. Hadžić: *Fixed Point for Mappings of Probabilistic Locally Convex Spaces*, *Bull. Math. Soc. de Roumanie*, Tome 22(70) nr. 2, 1978, 287-292.
- [2] V. Istratescu, *Introducere in teoria spatiilor metrice probabiliste cu aplicatii*, Editura Tehnică, Bucuresti, 1974.
- [3] B. Fisher, *Mappings with a Common Fixed Point*, *Math. Sem. Notes, Kobe University, Japan*, Vol. 7, No. 1, (1979), 81-84.

## REZIME

TEOREME O ZAJEDNIČKOJ NEPOKRETNOSTI TAČKE FAMILIJE  
PRESLIKAVANJA U VEROVATNOSNIM LOKALNO KONVEKSNIM  
PROSTORIMA

$(X, \{F_i\}_{i \in I}, t)$  je sekvencijalno kompletan verovatnosno lokalno konveksni prostor sa neprekidnom T-normom  $t$ . U radu je dokazana teorema u kojoj je dat potreban i dovoljan uslov za postojanje jedinstvene nepokretne tačke za dva preslikavanja  $S$  i  $T$  gde je  $S: X \rightarrow X$ ,  $T: X \rightarrow X$ . Teorema glasi: Neprekidna preslikavanja  $S$  i  $T$  imaju jedinstvenu zajedničku nepokretnu tačku u  $X$  ako i samo ako postoji neprekidno preslikavanje  $A: X \rightarrow SX \cap TX$  koje je komutativno sa  $S$  i  $T$ ,  $AX$  je verovatnosno ograničen podskup od  $X$  i zadovoljeni su sledeći uslovi:

1. Za svako  $i \in I$  postoji  $q(i) > 0$  i  $f(i) \in I$  tako da je

$$F_{Ax-Ay}^i(\varepsilon) \geq F_{Tx-Sy}^{f(i)}\left(\frac{\varepsilon}{q(i)}\right)$$

za svako  $\varepsilon > 0$  i svako  $x, y \in X$ .

2. Za svako  $i \in I$  postoje brojevi  $n_i \in \mathbb{N}$  i  $Q(i) \in (0, 1)$  tako da je

$$q(f^n(i)) \leq Q(i) \leq 1 \quad \text{za svako } n > n_i$$

3. Za svako  $i \in I$  postoji  $g(i) \in I$  tako da je

$$F_x^{f^n(i)}(\epsilon) > F_x^{g(i)}(\epsilon)$$

za svako  $\epsilon > 0$ , svako  $x \in X$  i svako  $n \in \mathbb{N}$ .

Tada postoji jedan i samo jedan elemenat  $x^* \in X$  takav da je  $Ax^*$  jedinstvena nepokretna tačka za preslikavanja  $A$ ,  $S$  i  $T$ .





*Zbornik radova Prirodno-matematičkog fakulteta-Univerzitet u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

## A NOTE ON QUOTIENT SPACES AND PARACOMPACTNESS

*Ilija Kovačević*

*Fakultet tehničkih nauka. Institut za primenjene  
osnovne discipline, 21000 Novi Sad, ul. Veljka  
Vlahovića 3, Jugoslavija*

### ABSTRACT

The aim of the present paper is to study some properties of space  $\mathcal{D}$ , where  $\mathcal{D}$  is an almost-upper semicontinuous decomposition of a topological space  $X$  with quotient topology.

The notation is standard except that  $\alpha(A)$  will be used to denote the interior of the closure of  $A$ .

### 1. DEFINITIONS AND SOME KNOWN RESULTS

DEFINITION 1.1. A subset of a space is said to be regularly open iff it is the interior of some closed set or equivalently iff it is the interior of its own closure. A set is said to be regularly closed iff it is the closure of some open set or equivalently iff it is the closure of its own interior,  $|1|$ .

A subset is regularly open iff its complement is regularly closed.

DEFINITION 1.2. A space  $X$  is said to be almost regular iff for any regularly closed set  $F$  and any point  $x \notin F$ , there exist disjoint open sets containing  $F$  and  $x$  respectively,  $|10|$ .



DEFINITION 1.3. A space  $X$  is said to be almost normal iff for every pair of disjoint sets  $A$  and  $B$ , one of which is closed and the other regularly closed, there exist disjoint open sets  $U$  and  $V$  such that  $A \subset U$ ,  $B \subset V$ , [12].

DEFINITION 1.4 A subset  $A$  of a space  $X$  is  $\alpha$ -nearly compact (N-closed) iff every  $X$ -regularly open cover of  $A$  has a finite subcovering, [11].

DEFINITION 1.5. A space  $X$  is locally nearly compact iff each point of  $X$  has an open neighbourhood  $U$  such that  $\bar{U}$  is  $\alpha$ -nearly compact, [2].

DEFINITION 1.6 A space  $X$  is nearly paracompact iff every regularly open cover of  $X$  has a locally finite open refinement, [12].

DEFINITION 1.7. Let  $X$  be a topological space and  $A$  a subset of  $X$ . The set  $A$  is  $\alpha$ -nearly paracompact iff every  $X$ -regularly open cover of  $A$  has an  $X$ -open  $X$ -locally finite refinement which covers  $A$ , [6].

DEFINITION 1.8. A function  $f: X \rightarrow Y$  is said to be almost continuous iff for each point  $x \in X$  and each open neighbourhood  $V$  of  $f(x)$  in  $Y$ , there exists an open neighbourhood  $U$  of  $x$  in  $X$  such that  $f(U) \subset \alpha(V)$ , [9].

A function is almost continuous iff the inverse image of every regularly open set is open, [9].

DEFINITION 1.9. A function  $f: X \rightarrow Y$  is said to be almost closed (almost-open) iff for every regularly closed (regularly open) set  $F$  of  $X$   $f(F)$  is closed (open) in  $Y$ , [9].

DEFINITION 1.10. A decomposition  $\mathcal{D}$  of a topological space  $X$  is almost-upper semicontinuous iff for each  $D$  in  $\mathcal{D}$  and each regularly open set  $U$  containing  $D$  there exists an open set  $V$  such that  $D \subset V \subset U$  and  $V$  is the union of members of  $\mathcal{D}$ , [7].



**THEOREM 1.1** A space  $X$  is almost regular iff for each point  $x \in X$  and each regularly open set  $V$  containing  $x$ , there exists a regularly open set  $U$  such that  $x \in U \subset \bar{U} \subset V$ , [10].

**THEOREM 1.2.** Let  $\mathcal{D}$  be a decomposition of a topological space  $X$  and let  $\mathcal{D}$  have a quotient topology. A decomposition  $\mathcal{D}$  is almost-upper semicontinuous iff the projection  $P$  of  $X$  onto  $\mathcal{D}$  is almost closed, [7].

**THEOREM 1.3.** Let  $X$  be a topological space. Let  $\mathcal{D}$  be an almost-upper semicontinuous decomposition of  $X$  whose members are  $\alpha$ -nearly compact subsets of  $X$  and let  $\mathcal{D}$  have a quotient topology. Then  $\mathcal{D}$  is, respectively, Hausdorff or almost regular, provided  $X$  has a corresponding property, [7].

**THEOREM 1.4.** If  $A$  is an  $\alpha$ -nearly paracompact subset of a Hausdorff space  $X$  and  $x$  a point  $X \setminus A$ , then there are disjoint regularly open neighbourhoods of  $x$  and  $A$ , [5].

**THEOREM 1.5.** If  $f$  is an almost closed and continuous mapping of a normal (almost normal) space  $X$  onto a space  $Y$ , then  $Y$  is normal (almost normal), [4].

**THEOREM 1.6.** Let  $X$  be a nearly paracompact almost regular space. If  $f: X \rightarrow Y$  is an almost continuous, almost closed surjection, such that  $f^{-1}(y)$  is  $\alpha$ -nearly compact for each point  $y \in Y$ , then  $Y$  is nearly paracompact almost regular, [3].

**THEOREM 1.7.** If  $f$  is an almost closed and continuous mapping of a Hausdorff paracompact space  $X$  onto a  $T_1$  space  $Y$ , then  $Y$  is paracompact regular, [4].

**THEOREM 1.8.** If  $X$  is an almost regular topological space,  $A$  an  $\alpha$ -nearly paracompact subset,  $U$  a regularly open neighbourhood of  $A$ , then there exists a regularly open neighbourhood  $V$  of  $A$  such that  $A \subset V \subset \bar{V} \subset U$ , [5].

**THEOREM 1.9.** If a mapping  $f: X \rightarrow Y$  is almost continuous and almost closed, then for each regularly closed (regularly



open) set  $F$  of  $Y$ ,  $f^{-1}(F)$  is regularly closed (regularly open) in  $X$ ,  $|3|$ .

THEOREM 1.10. A surjection mapping  $f: X \rightarrow Y$  is almost closed iff for any subset  $B$  of  $Y$  and any regularly open set  $U \subset X$  containing  $f^{-1}(B)$ , there exists an open set  $V$  in  $Y$  such that  $B \subset V$  and  $f^{-1}(V) \subset U$ ,  $|8|$ .

## 2. SOME CHARACTERIZATIONS OF QUOTIENT SPACES AND PARACOMPACTNESS

THEOREM 2.1. Let  $X$  be a Hausdorff space. Then for any disjoint subsets  $A$  and  $B$ , where  $A$  is  $\alpha$ -nearly paracompact,  $B$  is  $\alpha$ -nearly compact, there exist disjoint regularly open sets  $U$  and  $V$  such that  $A \subset U$  and  $B \subset V$ .

P r o o f. For each point  $x \in B$ , by Theorem 1.4, there exist disjoint regularly open sets  $U_x$  and  $V_x$  such that  $A \subset U_x$ ,  $x \in V_x$ . The family

$$\mathcal{V} = \{V_x : x \in B\}$$

is a cover of  $B$  by regularly open sets of  $X$ . Since  $B$  is  $\alpha$ -nearly compact, there exist a finite number of points  $x_1, x_2, \dots, x_n$  in  $B$  such that

$$B \subset V_{x_1} \cup V_{x_2} \cup \dots \cup V_{x_n}.$$

Let

$$U = U_{x_1} \cap U_{x_2} \cap \dots \cap U_{x_n} \quad \text{and} \quad V_1 = V_{x_1} \cup V_{x_2} \cup \dots \cup V_{x_n}.$$

Now, we have  $A \subset U$ ,  $B \subset V_1$  and  $U \cap V_1 = \emptyset$ . Let  $V = \alpha(V_1)$ . Now,  $U$  and  $V$  are regularly open subsets of  $X$  such that  $A \subset U$ ,  $B \subset V$  and  $U \cap V = \emptyset$ .

THEOREM 2.2. If  $f$  is an almost closed continuous mapping of a regular space  $X$  onto a space  $Y$  such that  $f^{-1}(y)$  is  $\alpha$ -nearly paracompact for each point  $y \in Y$ , then  $Y$  is regular.



**P r o o f.** Since, in regular space, every  $\alpha$ -nearly paracompact subset is  $\alpha$ -paracompact, then  $f^{-1}(y)$  is  $\alpha$ -paracompact for each point  $y \in Y$ . Let  $y \in Y$  and  $V$  be an open set containing  $y$ . Then  $f^{-1}(V)$  is an open set in  $X$  containing  $f^{-1}(y)$ . Since  $X$  is regular and  $f^{-1}(y)$  is  $\alpha$ -paracompact there exists a regularly open set  $U$  in  $X$  such that

$$f^{-1}(y) \subset U \subset \bar{U} \subset f^{-1}(V).$$

Since  $f$  is almost closed, then, by Theorem 1.10, there exists an open set  $W$  in  $Y$  such that  $y \in W$  and  $f^{-1}(W) \subset U$ . Therefore, we have

$$y \in W \subset f(U) \subset f(\bar{U}) \subset V.$$

Since  $f$  is almost closed and  $\bar{U}$  is regularly closed,  $f(\bar{U})$  is closed. Hence we have

$$y \in W \subset \alpha(W) \subset \bar{W} \subset V.$$

We have, that for every point  $y \in Y$  and every open neighbourhood  $V$  of  $y$  there exists an open set  $W$  such that  $y \in W \subset \bar{W} \subset V$ , hence  $Y$  is regular.

**COROLLARY 2.1.** *Regularity is preserved under perfect mappings.*

**THEOREM 2.3.** *If  $f$  is an almost closed almost continuous mapping of an almost regular space  $X$  onto a space  $Y$  such that  $f^{-1}(y)$  is  $\alpha$ -nearly paracompact for each point  $y \in Y$ , then  $Y$  is almost regular.*

**P r o o f.** Let  $y \in Y$  and  $V$  be a regularly open set of  $Y$  containing  $y$ . Then, since  $f$  is almost closed and almost continuous, by Theorem 1.9,  $f^{-1}(V)$  is a regularly open set in  $X$  containing  $f^{-1}(y)$ . Since  $X$  is almost regular and  $f^{-1}(y)$  is  $\alpha$ -nearly paracompact, then, by Theorem 1.8, there exists a regularly open set  $U$  in  $X$  such that

$$f^{-1}(y) \subset U \subset \bar{U} \subset f^{-1}(V).$$

Since  $f$  is almost closed, then there exists an open set  $W$  in  $Y$  such that  $y \in W$  and  $f^{-1}(W) \subset U$ . Therefore, we have

$$y \in W \subset f(U) \subset f(\bar{U}) \subset V.$$



Since  $f$  is almost closed,  $f(\bar{U})$  is closed. Hence we have

$$y \in W \subset \alpha(W) \subset \bar{W} \subset V.$$

Since  $\overline{\alpha(W)} = \bar{W}$ , then  $\alpha(W)$  is a regularly open set containing  $y$  such that  $y \in \alpha(W) \subset \overline{\alpha(W)} \subset V$ , hence, by Theorem 1.1,  $Y$  is almost regular.

**COROLLARY 2.2.** *If  $f$  is an almost closed, almost continuous mapping of an almost regular spaces  $X$  onto a space  $Y$  such that  $f^{-1}(y)$  is  $\alpha$ -nearly compact for each point  $y \in Y$ , then  $Y$  is almost regular, [5].*

**P r o o f.** Every  $\alpha$ -nearly compact is  $\alpha$ -nearly paracompact.

**THEOREM 2.4.** *Let  $X$  be a topological space. Let  $\mathcal{D}$  be an almost-upper semicontinuous decomposition of  $X$  whose members are  $\alpha$ -nearly paracompact subsets of  $X$  and let  $\mathcal{D}$  have a quotient topology. If  $X$  is regular, then the projection  $P$  of a space  $X$  onto a space  $\mathcal{D}$  is closed. If  $X$  is Hausdorff (regular, almost regular), then  $\mathcal{D}$  is  $T_1$  (regular, almost regular).*

**P r o o f.** Let  $X$  be a regular. Now, we have that for every point  $A \in \mathcal{D}$ ,  $A$  is an  $\alpha$ -nearly paracompact subset in  $X$ . Since every  $\alpha$ -nearly paracompact subset in regular space is  $\alpha$ -paracompact, then  $A$  is an  $\alpha$ -paracompact subset in  $X$ . Let  $U$  be an open neighbourhood of  $A$  in  $X$ . Since  $A$  is  $\alpha$ -paracompact, there exists an open neighbourhood  $W$  of  $A$  such that

$$A \subset W \subset \bar{W} \subset U.$$

Now,  $\alpha(W)$  is a regularly open neighbourhood of  $A$ . Since  $\mathcal{D}$  is almost-upper semicontinuous, then there exists an open set  $V$  such that  $A \subset V \subset \alpha(W)$  and  $V$  is the union of members of  $\mathcal{D}$ . Now, we have that for each  $A \in \mathcal{D}$  and each open set  $U$  containing  $A$ , there exists an open set  $V$  such that  $A \subset V \subset U$  and  $V$  is the union of members of  $\mathcal{D}$ , hence  $\mathcal{D}$  is an upper semicontinuous decomposition of  $X$ . Since  $\mathcal{D}$  is an upper semicontinuous decomposition of



$X$ , the projection  $P$  of a space  $X$  onto a space  $\mathcal{D}$  is closed. If  $X$  is regular (almost regular) then  $\mathcal{D}$  is, by Theorem 2.2 (Theorem 2.3) regular (almost regular).

Now, let  $X$  be a Hausdorff space. Let  $A$  be any point of  $\mathcal{D}$ . Then the set  $A$  is an  $\alpha$ -nearly paracompact subset in  $X$ . By Theorem 1.4  $A$  is closed in  $X$ . Since a subset  $F$  of  $\mathcal{D}$  is closed iff  $P^{-1}(F)$  is closed in  $X$ , then the point  $A \in \mathcal{D}$  is closed in  $\mathcal{D}$ , hence  $\mathcal{D}$  is  $T_1$ .

**THEOREM 2.5.** *Let  $X$  be a topological spaces. Let  $\mathcal{D}$  be an almost-upper semicontinuous decomposition of  $X$  whose members are  $\alpha$ -nearly paracompact subset of  $X$  and let  $\mathcal{D}$  have a quotient topology. If  $X$  is a Hausdorff paracompact space, then  $\mathcal{D}$  is paracompact regular.*

**P r o o f.** Since  $X$  is a Hausdorff paracompact space, then  $X$  is regular. By Theorem 2.4,  $\mathcal{D}$  is regular. Since  $X$  is a Hausdorff space, then  $\mathcal{D}$  is  $T_1$ . Since every Hausdorff paracompact space is normal,  $X$  is normal. Since the projection of a space  $X$  onto a space  $\mathcal{D}$  is almost closed and continuous, it follows easily from Theorem 1.7, that  $\mathcal{D}$  is a regular and paracompact space.

**THEOREM 2.6.** *Let  $X$  be a topological spaces. Let  $\mathcal{D}$  be an almost-upper semicontinuous decomposition of  $X$  whose members are  $\alpha$ -nearly compact subsets of  $X$  and let  $\mathcal{D}$  have a quotient topology. If  $X$  is paracompact regular, then  $\mathcal{D}$  is paracompact regular.*

**P r o o f.** Since the members of  $\mathcal{D}$  are  $\alpha$ -nearly compact subsets of  $X$  and the projection  $P$  of  $X$  onto  $\mathcal{D}$  is almost closed and continuous, then  $\mathcal{D}$  is paracompact regular.

**THEOREM 2.7.** *Let  $X$  be a topological space. Let  $\mathcal{D}$  be an almost-upper semicontinuous decomposition of  $X$  and let  $\mathcal{D}$  have a quotient topology. If  $X$  is normal (almost normal), then  $\mathcal{D}$  is normal (almost normal).*



*P r o o f.* Since the projection  $P$  of a space  $X$  onto a space  $\mathcal{D}$  is almost closed and continuous, then, by Theorem 1.5  $\mathcal{D}$  is normal (almost normal).

**THEOREM 2.8.** *Let  $X$  be a topological space. Let  $\mathcal{D}$  be an almost-upper semicontinuous decomposition of  $X$  whose members are  $\alpha$ -nearly compact subsets of  $X$  and let  $\mathcal{D}$  have a quotient topology. If  $X$  is nearly paracompact almost regular, then  $\mathcal{D}$  is nearly paracompact almost regular.*

*P r o o f.* Since the members of  $\mathcal{D}$  are  $\alpha$ -nearly compact subsets of  $X$  and the projection  $P$  of  $X$  onto  $\mathcal{D}$  is almost closed and continuous, then, by Theorem 1.6,  $\mathcal{D}$  is nearly paracompact almost regular.

**LEMMA 2.1.** *If  $f : X \rightarrow Y$  is an almost closed almost continuous mapping of a space  $X$  onto a space  $Y$ , then the image of every  $\alpha$ -nearly compact subset in  $X$  is an  $\alpha$ -nearly compact subset in  $Y$ .*

*P r o o f.* Let  $K$  be any  $\alpha$ -nearly compact subset in  $X$ . Let

$$U = \{U_\alpha : \alpha \in I\}$$

be any  $Y$ -regularly open cover of  $f(K)$ . Then

$$\{f^{-1}(U_\alpha) : \alpha \in I\}$$

is an  $X$ -regularly open cover of  $K$ . Since  $K$  is  $\alpha$ -nearly compact, there exists a finite subset  $I_0$  of  $I$  such that

$$K \subset U\{f^{-1}(U_\alpha) : \alpha \in I_0\}.$$

Now, we have

$$f(K) \subset U\{U_\alpha : \alpha \in I_0\},$$

hence  $f(K)$  is  $\alpha$ -nearly compact.

**THEOREM 2.9.** *Let  $f : X \rightarrow Y$  be an almost closed almost continuous mapping of a space  $X$  onto a space  $Y$  such that  $f^{-1}(y)$  is  $\alpha$ -nearly compact for each point  $y \in Y$ . If  $X$  is a Hausdorff*



locally nearly compact space, then  $Y$  is locally nearly compact Hausdorff.

*P r o o f.*  $Y$  is a Hausdorff space. We shall show that  $Y$  is locally nearly compact. Let  $y$  be any point of  $Y$ . Since  $X$  is locally nearly compact Hausdorff, for each point  $x \in f^{-1}(y)$ , there exists a regularly open neighbourhood  $K_x$  such that  $\bar{K}_x$  is  $\alpha$ -nearly compact. Now, the family

$$K = \{K_x : x \in f^{-1}(y)\}$$

is an  $X$ -open cover of  $f^{-1}(y)$ . Since  $f^{-1}(y)$  is  $\alpha$ -nearly compact, then there exist a finite number of points  $x_1, x_2, \dots, x_n$  in  $f^{-1}(y)$  such that

$$f^{-1}(y) \subset \cup \{K_{x_i} : i=1, 2, \dots, n\}.$$

Let

$$K = \cup \{\bar{K}_{x_i} : i=1, 2, \dots, n\}.$$

$f^{-1}(y) \subset K^0$ . Since  $f$  is almost closed, then there exists an open set  $V_y$  containing  $y$  such that  $f^{-1}(V_y) \subset K^0$ . Hence, we have

$$y \in V_y \subset f(K^0) \subset f(K).$$

Since  $f$  is almost continuous and almost closed and  $K$  is  $\alpha$ -nearly compact, then  $f(K)$  is  $\alpha$ -nearly compact. Since  $Y$  is Hausdorff  $f(K)$  is closed. Therefore we have

$$\bar{V}_y \subset f(K).$$

Since, every  $Y$ -regularly closed subset of an  $\alpha$ -nearly compact subset is  $\alpha$ -nearly compact, then  $\bar{V}_y$  is  $\alpha$ -nearly compact.

Now,  $V_y$  is a  $Y$ -open neighbourhood of  $y$  such that  $\bar{V}_y$  is  $\alpha$ -nearly compact, hence  $Y$  is locally nearly compact.

**COROLLARY 2.3.** *Let  $f: X \rightarrow Y$  be an almost closed almost continuous surjection with  $N$ -closed point inverses. If  $X$  is locally compact Hausdorff, then  $Y$  is locally nearly compact Hausdorff, [8].*



**THEOREM 2.10.** *Let  $X$  be a topological space. Let  $\mathcal{D}$  be an almost-upper semicontinuous decomposition of  $X$  whose members are  $\alpha$ -nearly compact subsets of  $X$  and let  $\mathcal{D}$  have a quotient topology. If  $X$  is locally nearly compact Hausdorff, then  $\mathcal{D}$  is locally nearly compact Hausdorff.*

**P r o o f.** Since the members of  $\mathcal{D}$  are  $\alpha$ -nearly compact subsets of  $X$  and the projection  $P$  of  $X$  onto  $\mathcal{D}$  is almost closed and continuous, then, by Theorem 2.9,  $\mathcal{D}$  is locally nearly compact Hausdorff.

#### REFERENCES

- [1] Arya, S.P., *A note on nearly paracompact spaces*, *Matematički vesnik* 8 (23) 1971, 113-115.
- [2] Carnahan, D., *Locally nearly compact spaces*, *Boll.Un.Mat.Ital.* (4) 6, 1972, 146-153.
- [3] Kovačević, I., *Almost continuity and nearly (almost) paracompactness*, *Publ. De L'Inst. Math. Belgrade*, 30 (44) 1981.
- [4] Kovačević, I., *Continuity and paracompactness*, to appear.
- [5] Kovačević, I., *Locally nearly paracompact spaces*, *Publ. De L'Inst. Math.*, Belgrade, 29 (43), 1981.
- [6] Kovačević, I., *On nearly paracompact spaces*, *Publ. De L'Inst. Math.*, Belgrade. 25 (39) 1979, 63-69.
- [7] Kovačević, I., *Some properties of nearly compact and almost compact spaces*, *Mathematica Balkanica* 7 (24) 1977. 195-200.
- [8] Noiri, T., *N-closed sets and almost closed mappings*, *Glasnik matematički*, 10 (30), 1975, 341-345.
- [9] Singal, M.K. and A.R.Singal, *Almost continuous mappings*, *Yokohoma Math. J.* 16, 1968, 63-73.
- [10] Singal, M.K. and S.P.Arya, *On almost regular spaces*, *Glasnik matematički* 4 (24) 1969, 89-99.
- [11] Singal, M.K. and A.Mathur, *On nearly compact spaces-II*, *Boll.Un.Math. Ital.*, (4) 9, 1974, 670-678.

- [12] Singal, M.K. and S.P.Arya, *On nearly paracompact spaces*, *Matematički vesnik* 6 (21) 1969, 3-16.

## REZIME

## KOLIČNIK PROSTORI I PARAKOMPAKTNOST

Cilj rada je ispitivanje osobina prostora  $\mathcal{D}$ , gde je  $\mathcal{D}$  skoro polu-neprekidno razlaganje prostora  $X$  sa količnik topologijom.



at  
ona  
{ξ(  
ξ(  
on

wit

of  
ons  
tic  
det  
anc

But  
b (t  
the  
and

*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

# ON THE PREDICTION OF A FUNCTIONAL OF GAUSSIAN RANDOM PROCESS

*Zoran A. Ivković*

*Prirodno-matematički fakultet, Institut za matematiku*  
*Beograd, Studentski trg 16, Jugoslavija*

Let  $\{\xi(t), t \in T\}$  be a real Gaussian process (centered at the expectation:  $E\xi(t) = 0$ ) and let  $\eta$  be a integrable functional measurable with respect to  $\sigma$ -field  $F(T)$  generated by  $\{\xi(t), t \in T\}$ . It is well-known that the conditional expectation  $\hat{\xi}(t) = E(\xi(t) | F(S))$ ,  $S \subset T$ , coincides with the projection of  $\xi(t)$  on the Hilbert space  $H^1(S)$  spanned on  $\{\xi(t), t \in S\}$ .

**THEOREM.** *The functional  $\hat{\eta} = E(\eta | F(S))$  is measurable with respect to  $\sigma$ -field  $F_{\hat{\xi}}$  generated by  $\{\hat{\xi}(t), t \in T\}$ .*

**P r o o f.** The conditional distribution (given  $F(S)$ ) of  $\eta$  is determined by the family of the conditional distributions of the vectors  $(\xi(t_1), \dots, \xi(t_n))$ ,  $t_1, \dots, t_n \in T$ . The conditional distribution of  $(\xi(t_1), \dots, \xi(t_n))$  is Gaussian, so it is determined by the mean vector  $(\xi(t_1), \dots, \xi(t_n))$  and the covariance matrix

$$B = \|b(t_i, t_j)\|, \quad i, j = 1, \dots, n,$$

$$b(t_i, t_j) = E((\xi(t_i) - \hat{\xi}(t_i))(\xi(t_j) - \hat{\xi}(t_j)) | F(S)).$$

But  $\xi(t) - \hat{\xi}(t)$  is independent (for Gaussian process) of  $F(S)$ . So  $b(t_i, t_j)$  is the constant  $E((\xi(t_i) - \hat{\xi}(t_i))(\xi(t_j) - \hat{\xi}(t_j)))$ . In this way the conditional distribution of  $\eta$  depends only of  $\hat{\xi}(t)$ ,  $t \in T$ , and its conditional expectation  $\hat{\eta}$  depends only of  $\hat{\xi}(t)$ ,  $t \in T$ .



Theorem is closely related to [2], pp.73-78, but puts in evidence the evaluation of  $\hat{\eta}$  by  $\{\hat{\xi}(t)\}$ .

Example 1. Let  $H^n(S)$  be the linear closure of all polynomials of the variables  $\xi(t)$ ,  $t \in S$ , the degree not greater than  $n$ . It is shown in [2] that  $\eta \in H^n(T)$  implies  $\hat{\eta} \in H^n(S)$ . We precise this result showing that  $\hat{\eta}$  belongs to the linear closure of all polynomials of the variables  $\hat{\xi}(t)$ ,  $t \in T$ , the degree not greater than  $n$ . For this it is enough to see that

$$\begin{aligned} E(\xi(t_1) \dots \xi(t_n) | F(S)) & \text{ is a polynomial of } \\ \hat{\xi}(t_1), \dots, \hat{\xi}(t_n) & \text{ of the degree } n: \\ E(\xi(t_1) \dots \xi(t_n) | F(S)) = \\ = \frac{1}{(2\pi)^{n/2} (\det B)^{1/2}} \int \dots \int x_1 \dots x_n \exp\{-\frac{1}{2} \sum_{i,j} B_{ij} (x_i - \\ - \hat{\xi}(t_i)) (x_j - \hat{\xi}(t_j))\} dx_1 \dots dx_n = \frac{1}{(2\pi)^{n/2} (\det B)^{1/2}} \int \dots \\ \dots \int \prod_{k=1}^n (u_k + \hat{\xi}(t_k)) \exp\{-\frac{1}{2} \sum_{i,j} B_{ij} u_i u_j\} du_1 \dots du_n = \\ = P_n(\hat{\xi}(t_1), \dots, \hat{\xi}(t_n)) \cdot (B_{ij} \text{ is the cofactor of } b(t_i, t_j)). \end{aligned}$$

Observe that

$$\frac{\partial}{\partial x_k} P_n(x_1, \dots, x_k, \dots, x_n) = P_{n-1}(x_1, \dots, x_{k-1}, x_{k+1}, \dots, x_n).$$

#### APPLICATION TO THE PREDICTION

Let  $T = [t_0, \infty)$   $S = [t_0, s]$ ,  $t_0 < s$ . Then for fixed  $t, t > s$ ,  $\hat{\xi}(s, t) = E(\xi(t) | F_S)$ , (we put  $F(S) = F_S$ ), is the best (in the sense of the minimal variance) prediction of  $\xi(t)$  by  $\{\xi(u), u \in [t_0, s]\}$ .  $\hat{\xi}(s, t)$  coincides, for Gaussian process, with the best linear prediction which is widely elaborated for stationary process  $\{\xi(t), -\infty < t < \infty\}$ . In the terms of predication problem Theorem says



that the prediction  $\hat{\eta}$  of an integrable functional  $\eta$  of  $\{\xi(u), u \in [s, \infty)\}$  by  $\{\xi(u), u \in [t_0, s]\}$  is the functional of  $\{\hat{\xi}(s, u), u \in [s, \infty)\}$

Example 2. Prediction of the time over a level by Gaussian process. Supposing the continuity of  $\{\xi(t), t \geq 0\}$  the functional  $\eta = \int_s^t I(\xi(u) > c) du$ , ( $I(\cdot)$  is the indicator function), is the time over the level  $c$  by the process  $\{\xi(u)\}$  during the time  $[s, t]$ . The prediction of  $\eta$  by  $\{\xi(u), u \leq s\}$  is

$$\hat{\eta} = E(\eta | F_s) = \int_s^t P(\xi(u) > c | F_s) du = \int_s^t [1 - \Phi(\frac{c - \hat{\xi}(s, u)}{\sqrt{b(u, u)}})] du,$$

where  $\Phi(\cdot)$  is the distribution function of a standard Gaussian variable.

Example 3. The problem of the prediction of the process  $\{\zeta(t), t \geq 0\}$ ,  $\zeta(t) = f(\xi(t))$ , where  $f(\cdot)$  is a non-random function, is posed in [1]. In the case  $f(x) = x^2$  and the differentiable process  $\{\xi(t), t \geq 0\}$  for which

$$\hat{\xi}(s, t) = \sum_{j=0}^{N-1} a_j(s, t) \xi^{(j)}(s),$$

(such process belongs to so called  $N$ -tuple Markov processes), the explicit formula for  $\hat{\zeta}(s, t)$  in the terms of  $\zeta(s), \dots, \zeta^{(N-1)}(s)$  is given.

But generally, because the conditional distribution of  $\xi(t)$  given  $F_s$  is Gaussian with the parameters  $\hat{\xi}(s, t)$  and  $b(t, t)$ , we have simple

$$\begin{aligned} \hat{\zeta}(s, t) = E(f(\xi(t)) | F_s) &= \frac{1}{\sqrt{2\pi b(t, t)}} \int f(x) \exp\left\{-\frac{1}{2} \frac{(x - \hat{\xi}(t, s))^2}{b(t, t)}\right\} dx = \\ &= g(\hat{\xi}(t, s)). \end{aligned}$$

For instance, if  $\{\xi(t)\}$  is as in [1] and  $f^{-1}(\cdot)$  is the differentiable function, we find  $\hat{\zeta}(s, t)$  in terms of  $\zeta(s), \dots, \zeta^{(N-1)}(s)$ .



## REFERENCES

- [1] Hida, T. and Kallianpur, G.: *The square of a Gaussian Markov process and non-linear prediction*, *J. of Mult. An.* 5, 1975, pp. 451-461.
- [2] Розанов, Ю. А.: *Марковские случайные поля*, М., 1981.

## REZIME

O PREDVIDJANJU FUNKCIONALA GAUSOVOG  
SLUČAJNOG PROCESA

Pokazuje se da je predviđjanje funkcionala Gausovog procesa  $\{\xi(t), t \in T\}$  funkcional od linearnog predviđjanja  $\{\hat{\xi}(s, t), t \in T\}$ .

## A UNIFORMLY CONVERGENT SCHEME WITH QUASI-CONSTANT FITTING FACTORS\*

*Dragoslav Herceg*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

### 1. INTRODUCTION

Consider the problem

$$\begin{aligned} \text{(BVP)} \quad Lu(x) &:= -\varepsilon^2 u''(x) + g^2(x)u(x) = f(x), \quad x \in (0,1) \\ u(0) &= A, \quad u(1) = B. \end{aligned}$$

Here  $\varepsilon$  is a small positive constant,  $A$  and  $B$  are given constants,  $g$  and  $f$  are in  $C^1[0,1]$ ,  $g(0) = g(1)$  and  $g(x) \geq \gamma$  on  $[0,1]$  for some positive constant  $\gamma$ .

Under these assumptions (BVP) has a unique solution  $u \in C^3[0,1]$  which in general displays a boundary layer at  $x=0$  and  $x=1$  for "small"  $\varepsilon$ ,  $|1|, |2|, |3|$ .

We want to solve the above problem by difference approximations on a non-uniform mesh which has more mesh points in the boundary regions than away from the boundary layers. In the case when the mesh is uniform our difference scheme is the same as in [2].

Throughout the paper we shall let  $C, C_1, \dots$  denote positive constants that may take different values in different formulas, but that are always independent of  $\varepsilon$  and  $hk_i$ ,  $i=1,2,\dots,n$ . We assume that the parameter  $\varepsilon$  satisfies  $0 < \varepsilon \leq \varepsilon_0$  where  $\varepsilon_0$  is a positive constant.

### 2. DIFFERENCE APPROXIMATION FOR (BVP).

Consider a non uniform mesh

$$I_h = \{x_0=0, x_j=x_{j-1}+hk_j : j=1,2,\dots,n\}$$

\* Work on this paper was in part supported by the Self-Management Community of Interest for Scientific Research of Vojvodina.



with  $n=2m$ ,  $m \in \mathbb{N}$ ,  $k_j \in \mathbb{R}$ ,  $j=1,2,\dots,n$ ,  $h^{-1} = \sum_{j=1}^n k_j$ .

We want to choose  $k_1, k_2, \dots, k_n$  in such a way that the following conditions are satisfied:

$$\begin{aligned} 1 \leq k_j \leq k_{j+1}, \quad j=1,2,\dots,m-1 \\ k_m = k_{m+1} \\ 1 \leq k_{j+1} \leq k_j, \quad j=m+1, m+2, \dots, n-1. \end{aligned}$$

Let  $\delta = g(0)/\varepsilon$  and for  $i \in \{1,2,\dots,n-1\}$

$$(1) \quad \alpha_i = \begin{cases} -k_i & \text{if } i \leq m \\ k_{i+1} & \text{if } i > m \end{cases}, \quad \beta_i = \begin{cases} k_{i+1} & \text{if } i \leq m \\ -k_i & \text{if } i > m \end{cases}.$$

Let  $u_i$  denote the approximate value (to be determined) for  $u(x_i)$ ,  $i=0,1,\dots,n$ . We approximate (BVP) by

$$\begin{aligned} (DBVP) \quad u_0 &= A, \\ L^h u_i &= L_O^h u_i + g^2(x_i) u_i = f(x_i), \quad i=1,2,\dots,n-1 \\ u_n &= B, \end{aligned}$$

where

$$\begin{aligned} L_O^h u_i &= (da_i + (1-d)c_i)u_{i-1} + b_i u_i + \\ &\quad + ((1-d)a_i + dc_i)u_{i+1}, \\ d &= \begin{cases} 1 & \text{if } i \leq m \\ 0 & \text{if } i > m \end{cases}, \\ a_i &= -\frac{g^2(0)}{S} \sinh \delta \beta_i h, \quad c_i = \frac{g^2(0)}{S} \sinh \delta \alpha_i h, \\ (2) \quad b_i &= -a_i - c_i, \end{aligned}$$

$$(3) \quad S = \sinh \delta (\beta_i - \alpha_i) h - \sinh \delta \beta_i h + \sinh \delta \alpha_i h.$$

In the Appendix it is proved that  $a_i < 0$ ,  $c_i < 0$ ,  $b_i > 0$ .

The above difference approximation for (BVP) is derived as follows. For a fixed  $i \in \{1,2,\dots,n-1\}$  consider a linear system

$$(4) \quad L_O^h F_j(x_i) = -\epsilon^2 F_j''(x_i), \quad j=1,2,3$$

where  $F_1(x)=1$ ,  $F_2(x)=\exp(\delta x)$ ,  $F_3(x)=\exp(-\delta x)$ . The solution of this system is given by (2) and (3).

**THEOREM.** Suppose  $g, f \in C^1[0,1]$ ,  $g(0)=g(1)$  and  $g(x) \geq \gamma > 0$  on  $[0,1]$ . Then the solution  $u$  of (BVP) and the solution  $u_i$  of (DBVP) satisfy

$$(5) \quad |u(x_i) - u_i| \leq Chk_m, \quad i=0,1,\dots,n$$

where  $C$  is independent of  $i$ ,  $h$ ,  $k_m$  and  $\epsilon$ .

**P r o o f:** We use in part: the notation, technique and some results from [2]. Since  $g(0)=g(1)$  the solution of (BVP) can be written as

$$u(x) = v(x) + w(x) + z(x)$$

where

$$\begin{aligned} u_0(x) &= f(x)/g^2(x), \quad v(x) = p \exp(-\delta x), \quad w(x) = q \exp(\delta x), \\ p &= (A - u_0(0) + (u_0(1) - B) \exp(-\delta)) (1 - \exp(-2\delta))^{-1} \\ q &= (B - u_0(1) + (u_0(0) - A) \exp(-\delta)) (1 - \exp(-2\delta))^{-1} \exp(-\delta), \end{aligned}$$

and  $z(x)$  is the solution of

$$\begin{aligned} Lz(x) &= f(x) - Lv(x) - Lw(x), \quad x \in (0,1) \\ z(0) &= u_0(0), \quad z(1) = u_0(1). \end{aligned}$$

For  $z(x)$  we obtain, [2],

$$|z^{(j)}(x)| \leq C(1 + \epsilon^{2-j}), \quad j=0,1,2.$$

Defining the mesh functions  $\{v_i\}$ ,  $\{w_i\}$ ,  $\{z_i\}$  by

$$\begin{aligned} L^h v_i &= Lv(x_i), \quad v_0 = v(0), \quad v_n = v(1), \\ L^h w_i &= Lw(x_i), \quad w_0 = w(0), \quad w_n = w(1), \\ L^h z_i &= Lz(x_i), \quad z_0 = z(0), \quad z_n = z(1), \end{aligned}$$

we have

$$u_i = v_i + w_i + z_i.$$

Using (4) and (DBVP) it is easy to show that  $v_i = v(x_i)$  and  $w_i = w(x_i)$  for all  $0 \leq i \leq n$ . Now, using these facts, we obtain



$$(6) \quad L^h(u(x_i) - u_i) = L^h(z(x_i) - z_i) = L^h z(x_i) - Lz(x_i) .$$

We can write the (DBVP) as

$$A_h u_h = f_h ,$$

where

$$A_h = \begin{bmatrix} 1 & & & & & \\ & a_1 & b_1 + g^2(x_1) & c_1 & & \\ & & \ddots & \ddots & & \\ & & & a_m & b_m + g^2(x_m) & c_m \\ & & & & \ddots & \ddots \\ & & & & & c_{m+1} & b_{m+1} + g^2(x_{m+1}) & a_{m+1} \\ & & & & & & \ddots & \ddots \\ & & & & & & & c_{n-1} & b_{n-1} + g^2(x_{n-1}) & a_{n-1} \\ & & & & & & & & & 1 \end{bmatrix} ,$$

$$u_h = [u_0, u_1, \dots, u_{n-1}, u_n]^T, \quad f_h = [\bar{A}, f(x_1), \dots, f(x_{n-1}), \bar{B}]^T .$$

If we denote  $A_h = [(A_h)_{ij}] \in \mathbb{R}^{n+1, n+1}$  we have

$$|(A_h)_{ii}| = \begin{cases} 1 & \text{for } i=1 \text{ and } i=n+1 \\ b_{i-1} + g^2(x_{i-1}) & \text{for } i=2, 3, \dots, n \end{cases}$$

$$\sum_{\substack{j=1 \\ j \neq i}}^{n+1} |(A_h)_{ij}| = \begin{cases} 0 & \text{for } i=1 \text{ and } i=n+1 \\ -a_{i-1} - c_{i-1} & \text{for } i=2, 3, \dots, n \end{cases} .$$

Since

$$\begin{aligned} \min_i (|(A_h)_{ii}| - \sum_{\substack{j=1 \\ j \neq i}}^{n+1} |(A_h)_{ij}|) &= \min_i (b_i + a_i + c_i + g^2(x_i), 1) = \\ &= \min_i (g^2(x_i), 1) \geq \min(\gamma^2, 1) > 0 , \end{aligned}$$

we can now apply Theorem 1. from [4] and we conclude that  $A_h$  is non singular and

$$(7) \quad \|A_h^{-1}\|_{\infty} \leq \frac{1}{\min(\gamma^2, 1)} .$$

Now from (6) we obtain

$$(8) \quad \|y\|_{\infty} \leq \|A_h^{-1}\|_{\infty} \|r\|_{\infty},$$

where  $y = [y_0, y_1, \dots, y_n]^T$ ,  $r = [r_0, r_1, \dots, r_n]^T$  and

$$y_i = u(x_i) - u_i, \quad r_i = L^h z(x_i) - Lz(x_i), \quad i=0,1,\dots,n.$$

We want to prove that

$$(9) \quad \|r\|_{\infty} \leq Ch k_m$$

and then (5) follows directly from (7) and (8).

From (BVP) and (DBVP) follows

$$r_i = \epsilon^2 (z''(x_i) - \frac{\delta^2}{S} \sinh \delta \beta_i h (z(x_{i-1}) + (\rho + \frac{\alpha_i - \beta_i}{\beta_i}) z(x_i) - (\rho + \frac{\alpha_i}{\beta_i}) z(x_{i+1})))$$

where

$$= \frac{\sinh \delta \alpha_i h}{\sinh \delta \beta_i h} - \frac{\alpha_i}{\beta_i}.$$

Since

$$\begin{aligned} & z(x_{i-1}) + (\rho + \frac{\alpha_i - \beta_i}{\beta_i}) z(x_i) - (\rho + \frac{\alpha_i}{\beta_i}) z(x_{i+1}) = \\ &= \frac{\alpha_i(\alpha_i - \beta_i)}{2} \left( \frac{2z(x_{i-1})}{\alpha_i(\alpha_i - \beta_i)} + \frac{2z(x_i)}{\alpha_i \beta_i} + \frac{2z(x_{i+1})}{\beta_i(\beta_i - \alpha_i)} \right) + \\ &+ \rho (z(x_i) - z(x_{i+1})), \end{aligned}$$

and (see the Appendix)

$$(10) \quad \frac{2z(x_{i-1})}{\alpha_i(\alpha_i - \beta_i)} + \frac{2}{\alpha_i \beta_i} z(x_i) + \frac{2z(x_{i+1})}{\beta_i(\beta_i - \alpha_i)} = h^2 z''(\tau_i),$$

$$\tau_i \in (x_{i-1}, x_{i+1}),$$

$$z''(x_i) = z''(\tau_i) + (x_i - \tau_i) z'''(\eta_i), \quad \eta_i \in (\min(x_i, \tau_i), \max(x_i, \tau_i)),$$

$$z(x_i) - z(x_{i+1}) = -z'(\theta_i)(x_{i+1} - x_i) = -z'(\theta_i) h k_{i+1},$$

$$\theta_i \in (x_i, x_{i+1})$$



we have

$$r_i = \epsilon^2 (z''(x_i) - \frac{\delta^2}{\epsilon} \sinh \delta \beta_i h \left( \frac{\alpha_i (\alpha_i - \beta_i)}{2} h^2 z''(\tau_i) - \rho z''(\theta_i) h k_{i+1} \right)),$$

$$\text{and } r_i = \epsilon^2 (z''(\tau_i) (1-P) + (x_i - \tau_i) z'''(\eta_i) + \rho P h k_{i+1} z'(\theta_i)),$$

where

$$(11) \quad P = \frac{\alpha_i (\alpha_i - \beta_i) \delta^2 h^2}{2S} \sinh \delta \beta_i h.$$

Now we see that  $(\tau_i \in (x_{i-1}, x_{i+1}), |x_i - \tau_i| \leq h k_{i+1})$

$$\begin{aligned} |r_i| &\leq \epsilon^2 \sup_{x \in [0,1]} |z''(x)| |1-P| + \epsilon^2 \sup_{x \in [0,1]} |z'''(x)| h k_{i+1} \\ &\quad + \epsilon^2 \sup_{x \in [0,1]} |z'(x)| \frac{\delta^2}{\beta_i S} (\beta_i \sinh \delta \alpha_i h - \alpha_i \sinh \delta \beta_i h) h k_{i+1}, \end{aligned}$$

and since (see the Appendix)  $0 \leq \beta_i \sinh \delta \alpha_i h - \alpha_i \sinh \delta \beta_i h \leq \beta_i S$ ,  
 $\frac{(\delta \beta_i h)^2}{4 \sinh \frac{2\delta \beta_i h}{2}} \leq P \leq 1 + \frac{(\delta \beta_i h)^2}{4}$ , we obtain  $(\max(k_i: i=1, 2, \dots, n) = k_m)$

$$|r_i| \leq \epsilon^2 C_1 |1-P| + \epsilon^2 C_2 (1+1/\epsilon) h k_{i+1} + \epsilon^2 \delta^2 C_3 (1+\epsilon) h k_{i+1};$$

$$|r_i| \leq 0.25 \epsilon^2 \delta^2 C_1 (h k_{i+1})^2 + C_4 h k_{i+1} + C_5 h k_{i+1} \leq C h k_m,$$

i.e. (9) is proved.

REMARK 1. In the case  $k_i=1, i=1, 2, \dots, n$  we have a uniform mesh and our scheme is the same as in [2]. In this case the estimate  $|u(x_i) - u_i| \leq Ch^2, i=0, 1, \dots, n$  holds with the assumption that  $g, f \in C^2[0,1]$ , and then the proof of the theorem is not as complicated as in our case.

REMARK 2. From (5) it follows that the solution of (DBVP) converges to that of (BVP) as  $\max_i (x_i - x_{i-1}) \rightarrow 0$ , as  $n \rightarrow \infty$  uniformly in  $\epsilon$ .

## 3. APPENDIX

In this section we denote for a fixed  $i \in \{1, 2, \dots, n-1\}$   $\alpha_i$  by  $\alpha$  and  $\beta_i$  by  $\beta$ .

LEMMA 1. For  $a_i, b_i, c_i$  from (2), we have  
 $a_i < 0, c_i < 0, b_i > 0, i=1, 2, \dots, n-1$ .

Proof: We shall consider three cases: 1)  $i = m$ ;  
 2)  $i < m$ ; 3)  $i > m$ .

1) In this case  $-\alpha = \beta = hk_m$  and

$$a_i = c_i = -0.5b_i = -(0.5\delta)^2 \sinh^{-2}(0.5\delta\beta h) < 0.$$

2) In this case we have  $1 \leq -\alpha \leq \beta$  and

$$\begin{aligned} S &= S(\alpha, \beta) = \sinh \delta(\beta - \alpha) - \sinh \delta\beta h + \sinh \delta\alpha h \\ &= \sum_{k=1}^{\infty} \frac{(\delta h)^{2k-1}}{(2k-1)!} ((\beta - \alpha)^{2k-1} - \beta^{2k-1} + \alpha^{2k-1}) \\ &= \sum_{k=2}^{\infty} \frac{(\delta h)^{2k-1}}{(2k-1)!} \sum_{j=1}^{2k-2} \binom{2k-1}{j} \beta^{2k-1-j} (-\alpha)^j > 0, \end{aligned}$$

since  $\delta h > 0$ . Now we have

$$a_i = -\frac{g^2(0)}{S} \sinh \delta\beta h < 0, \quad (\beta > 0)$$

$$c_i = \frac{g^2(0)}{S} \sinh \delta\alpha h < 0, \quad (\alpha < 0)$$

$$b_i = -(a_i + c_i) > 0.$$

3) In this case  $1 \leq -(-\alpha) \leq -\beta$  and  $S(\alpha, \beta) = -S(-\alpha, -\beta)$ . Since  $S(-\alpha, -\beta) > 0$ , this is proved in 2), we obtain  $S(\alpha, \beta) < 0$  and  $a_i < 0$  ( $\beta < 0$ ),  $c_i < 0$  ( $\alpha > 0$ ).

LEMMA 2. If  $z \in C^2[0, 1]$  then (10) is satisfied.

Proof: We have for  $i \leq m$



$$z(x_{i-1}) = z(x_i + \alpha h) = z(x_i) + \alpha h z'(x_i) + 0.5(\alpha h)^2 z''(\tau_1),$$

$$z(x_{i+1}) = z(x_i + \beta h) = z(x_i) + \beta h z'(x_i) + 0.5(\beta h)^2 z''(\tau_2),$$

$$\tau_1 \in (x_{i-1}, x_i), \quad \tau_2 \in (x_i, x_{i+1}),$$

and

$$\frac{2z(x_{i-1})}{\alpha(\alpha-\beta)} - \left( \frac{2}{\alpha(\alpha-\beta)} + \frac{2}{\beta(\beta-\alpha)} \right) z(x_i) + \frac{2z(x_{i+1})}{\beta(\beta-\alpha)} =$$

$$h^2 \left( \frac{-\alpha}{\beta-\alpha} z''(\tau_1) + \frac{\beta}{\beta-\alpha} z''(\tau_2) \right) = h^2 z''(\tau), \quad \tau \in (x_{i-1}, x_{i+1}).$$

For  $i > m$  the proof is the same.

LEMMA 3. For  $S$  from (2) and  $P$  from (11) and for all  $1 \leq i \leq n-1$

$$(i) \quad 0 \leq \beta \sinh \delta \alpha h - \alpha \sinh \delta \beta h \leq \beta S,$$

$$(ii) \quad \frac{(\delta \beta h)^2}{4 \sinh \frac{2\delta \beta h}{2}} \leq P \leq 1 + \frac{(\delta \beta h)^2}{4},$$

$$(iii) \quad |1-P| \leq \left( \frac{\delta \beta h}{2} \right)^2.$$

**Proof:** First shall we consider the case  $i \leq m$ .

(i): From  $\beta \geq -\alpha \geq 1$  follows

$$-\alpha \beta (\beta^{2k-2} - \alpha^{2k-2}) \geq 0, \quad \text{for } k \geq 1,$$

$$\sum_{k=1}^{\infty} \frac{(\delta h)^{2k-1}}{(2k-1)!} (\beta \alpha^{2k-1} - \alpha \beta^{2k-1}) \geq 0$$

$$\text{and } \beta \sinh \delta \alpha h - \alpha \sinh \delta \beta h \geq 0.$$

Now we have ( $S\beta > 0$ )  $\beta \sinh \delta \alpha h - \alpha \sinh \delta \beta h - \beta S =$

$$\sum_{k=2}^{\infty} \frac{(\delta h)^{2k-1}}{(2k-1)!} (-\alpha \beta (\beta^{2k-2} - \alpha^{2k-2}) - \beta \sum_{j=1}^{2k-2} \binom{2k-1}{j} \beta^{2k-1-j} (-\alpha)^j).$$

Since  $-\alpha \beta (\beta^{2k-2} - \alpha^{2k-2}) - \beta \sum_{j=1}^{2k-2} \binom{2k-1}{j} \beta^{2k-1-j} (-\alpha)^j \leq 0$ , for  $k \geq 2$ , (ii) follows directly.

tly.

(ii) Using the elementary properties of hyperbolic functions we obtain

$$P = P(\alpha, \beta) = 0.5\alpha(\alpha - \beta)\delta^2 h^2 \frac{\cosh \frac{\delta\beta h}{2}}{\cosh \frac{\delta(\beta - 2\alpha)h}{2} - \cosh \frac{\delta\beta h}{2}}.$$

Now we have

$$\frac{\partial P}{\partial \alpha}(\alpha, \beta) = 0.5\delta^2 h^2 \cosh \frac{\delta\beta h}{2} Q / (\cosh \frac{\delta(\beta - 2\alpha)h}{2} - \cosh \frac{\delta\beta h}{2})^2,$$

where

$$Q = -(\beta - 2\alpha) \left( \cosh \frac{\delta(\beta - 2\alpha)h}{2} - \cosh \frac{\delta\beta h}{2} \right) + \alpha(\alpha - \beta)\delta h \sinh \frac{\delta(\beta - 2\alpha)h}{2},$$

and we see that  $\frac{\partial P}{\partial \alpha}(\alpha, \beta) > 0$  if and only if  $Q > 0$ , for  $\beta \geq -\alpha \geq 1$ .

We write  $Q$  in the form

$$Q = -(\beta - 2\alpha) \sum_{k=0}^{\infty} \frac{(0.5\delta h)^{2k}}{2k!} ((\beta - 2\alpha)^{2k} - \beta^{2k}) + \\ + \alpha(\alpha - \beta) \sum_{k=1}^{\infty} \frac{(0.5\delta h)^{2k-1}}{(2k-1)!} (\beta - 2\alpha)^{2k-1},$$

$$Q = -(\beta - 2\alpha) \sum_{k=1}^{\infty} \frac{(0.5\delta h)^2}{(2k)!} R_k.$$

where  $R_k = (\beta - 2\alpha)^{2k} \left( 1 - \frac{4k\alpha(\alpha - \beta)}{(\beta - 2\alpha)^2} \right) - \beta^{2k}.$

We immediately see that  $R_1 = 0$ ,  $R_2 = -16\alpha^2(\alpha - \beta)^2 < 0$ , and that

$$R_{k+1} = \beta^2 R_k - 16\alpha^2 k(\beta - 2\alpha)^{2k-2}(\alpha - \beta)^2, \quad k=1, 2, \dots. \text{ Now, from}$$

$R_k < 0$  follows  $R_{k+1} < 0$  and we conclude that  $\frac{\partial P}{\partial \alpha}(\alpha, \beta) > 0$  for  $\beta \geq -\alpha \geq 1$ . From this we obtain,  $(\alpha \geq -\beta)$

$$P(\alpha, \beta) \geq P(-\beta, \beta) = \frac{(\delta\beta h)^2}{2} \sinh^{-2} \left( \frac{\delta\beta h}{2} \right),$$

which is the first part of (ii).

Now we want to prove  $P(\alpha, \beta) \leq 1 + (0.5\delta\beta h)^2$ . This inequality is equivalent to  $T \leq 0$ , where

$$T = 0.5\alpha(\alpha - \beta) \cosh(0.5\delta\beta h) - (1 + (0.5\delta\beta h)^2) (\cosh(0.5\delta(\beta - 2\alpha)h) - \cosh(0.5\delta\beta h)).$$



Using Taylor expansions we obtain

$$T = 0.5\alpha(\alpha-\beta)\delta^2 h^2 + \alpha(\alpha-\beta)\delta^2 h^2 (-8-\delta^2 \beta^2 h^2)/16 + \sum_{k=2}^{\infty} \frac{(0.5\delta h)^2 L_k}{(2k)!},$$

where for  $k \geq 2$

$$L_k = (1+(0.5\delta\beta h)^2)(\beta^{2k} - (\beta-2\alpha)^{2k}) + \beta^{2k} 0.5\alpha(\alpha-\beta)\delta^2 h^2.$$

$$\text{Since } L_2 = \alpha(\alpha-\beta)(-1.5\delta^2 h^2 \beta^4 - 8\beta^2 - 4(4+\delta^2 \beta^2 h^2)\alpha(\alpha-\beta)) < 0$$

$$\text{and } L_k = \beta^2 L_k - \alpha(\alpha-\beta)(4+(\delta\beta h)^2)(\beta-2\alpha)^{2k}$$

we conclude that  $L_k < 0$ ,  $k=2,3,\dots$  and that  $T \leq 0$ .

(iii) Introducing the notation  $t = 0.5\delta\beta h$ ,  $y(t) = t^2 \sinh^{-2} t$  from (ii) we have  $y(t) \leq p \leq 1 + t^2$ . Since  $y(t) \leq 1$  and  $1-y(t) \leq t^2$  we obtain

$$|1-p| \leq \max(t^2, 1-y(t)) = t^2 = (0.5\delta\beta h)^2.$$

In the case  $i > m$  we have  $-\beta \geq -(\alpha)' \geq 1$ ,  $S < 0$ ,  $S\beta > 0$ ,  $S(\alpha, \beta) = -S(-\alpha, -\beta)$ ,  $P(-\alpha, -\beta) = P(\alpha, \beta)$  so that we obtain (i), (ii) and (iii) as in the case  $i \leq m$ .

## REFERENCES

- [1] Bakhvalov, N.S., *The optimization of methods of solving boundary value problems with a boundary layer*, USSR Computational Math. and Math. Phys., Vol. 9, No 4, pp. 139-166 (1969).
- [2] Doolan, E.P., J.J.H. Miller, W.H.A. Schilders, *Uniform numerical methods for problems with initial and boundary layers*, Boole Press, Dublin, 1980.
- [3] Pearson, C.E., *On a differential equation of boundary layer type*, J. Math. Phys., 47, pp. 134-154 (1968).
- [4] Varah, J.M., *A lower bound for the smallest singular value of a matrix*, Linear Algebra Appl. 11, pp. 3-5 (1975).

## REZIME

UNIFORMNO KONVERGENTNA ŠEMA SA KVAZIKONSTANTNIM  
FITING FAKTORIMA

U radu se posmatra problem (EVP) pod pretpostavkama :  
 $f, g \in C^1[0,1]$ ,  $g(x) \geq \gamma > 0$ ,  $x \in [0,1]$ ,  $\varepsilon_0 \geq \varepsilon > 0$ ,  $\varepsilon_0, \varepsilon, \gamma, A, B \in \mathbb{R}$ .  
 Jedinstveno rešenje  $u \in C^3[0,1]$  ima u opštem slučaju fenomen  
 graničnog sloja u  $x=0$  i  $x=1$ . Da bi što više tačaka bilo u gra-  
 ničnim slojevima za fiksni ukupan broj tačaka mreže diskreti-  
 zacije, koristi se neekvidistantna mreža. Konvergencija unifor-  
 mna po  $\varepsilon$  dobijenog diferencnog postupka dokazana je u teoremi  
 pod istim pretpostavkama kao odgovarajuća teorema za ekvidista-  
 ntну mrežu iz [2].





# SOME FINITE-DIFFERENCE SCHEMES FOR A SINGULAR PERTURBATION PROBLEM ON A NON-UNIFORM MESH\*

*Dragoslav Hecceg and Relja Vulanović*

*Prirodno-matematički fakultet. Institut za matematiku  
21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

## 1. INTRODUCTION

In paper [1] Bahvalov suggested a finite-difference method on a non-uniform mesh for solving boundary value problem (1). The mesh points in that method are completely determined as values of function  $\lambda(s)$  at equidistant points, in such a way that the consistency error is uniform in perturbation parameter  $\epsilon$ . A second order convergence uniform in  $\epsilon$  is achieved.

In the paper presented here we shall consider meshes formed with more freedom in choosing mesh points, yet, implying convergence uniform in  $\epsilon$ . This enables us to achieve a greater number of mesh points in narrow regions of boundary layers.

Consider the problem

$$\begin{aligned} Lx(t) &:= -\epsilon^2 x''(t) + b^2(t)x(t) = f(t), \quad t \in (0,1) \\ (1) \quad x(0) &= X_0, \quad x(1) = X_1 \\ b(t) &\geq \beta > 0, \quad t \in [0,1] \end{aligned}$$

where  $0 < \epsilon \leq \epsilon_0, \epsilon, \epsilon_0, X_0, X_1, \beta \in \mathbb{R}$ . If  $b, f \in C[0,1]$ , then there exists a unique solution  $x \in C^2[0,1]$  to problem (1), [7].

Further on,  $C$  will denote each positive constant independent of  $\epsilon$ .

For problem (1) we can state ([1], [4], [8]):

LEMMA. Let  $L$  be the operator in (1) and  $y$  any smooth function such that  $|(Ly(t))^{(j)}| \leq C, j=0,1,\dots,j_0, t \in [0,1]$ .

\* Work on this research study was in part supported by the Self-Management Community of Interest for Scientific Research of Vojvodina.



Then for  $j=0,1,\dots,j_0+2$  and  $t \in [0,1]$

$$(2) \quad |y^{(j)}(t)| \leq C(1+\varepsilon^{-j} \exp(-tb(0)/\varepsilon) + \varepsilon^{-j} \exp(-(1-t)b(1)/\varepsilon)).$$

If, moreover,  $b^2(0)y(0)=Ly(0)$  and  $b^2(1)y(1)=Ly(1)$ , then

$$(2a) \quad |y^{(j)}(t)| \leq C(1+\varepsilon^{2-j} \exp(-tb(0)/\varepsilon) + \varepsilon^{2-j} \exp(-(1-t)b(1)/\varepsilon)), \\ j=0,1,\dots,j_0+2 \quad t \in [0,1].$$

In this paper we shall use Bahvalov's function  $\lambda(s)$ , [1]. Here we shall give its definition and some properties.

Let  $a > 0$  and  $q \in (0, 0.5)$  be arbitrary constants independent of  $\varepsilon$  and

$$\psi(s) = a \varepsilon \ln(q/(q-s)), \quad s \in [0, q].$$

Let  $(\alpha, \psi(\alpha))$  denote the point of contact of a tangent line taking the value 0.5 at 0.5, to the curve  $\psi(s)$ .  $\alpha$  is the solution of equation

$$\psi(x) = 0.5 + \psi'(x)(x-0.5).$$

It is easy to prove that this equation has a unique solution  $\alpha \in [0, q]$  when  $a\varepsilon \leq q < 0.5$ . We can determine  $\alpha$  from  $\alpha = q - q/x_\infty$  where  $x_\infty$  is a limit of the series

$$x_0 = 1, \quad x_{k+1} = x_k - h(x_k)/h'(x_k), \quad k=0, 1, \dots$$

$$\text{and} \quad h(x) = \ln x - (1-0.5/q)x + 1-0.5/(a\varepsilon).$$

Now we can define  $\lambda(s)$ . For  $a\varepsilon > q$  we take  $\lambda(s) = s$ ,  $s \in [0, 1]$  and for  $a\varepsilon \leq q$  let

$$\lambda(s) = \begin{cases} \psi(s) & , \quad s \in [0, \alpha] \\ \psi(\alpha) + \psi'(\alpha)(s-\alpha) & , \quad s \in [\alpha, 0.5] \\ 1 - \lambda(1-s) & , \quad s \in [0.5, 1] \end{cases}.$$

For  $\lambda(s)$  we have  $\lambda(s) \geq 0$ ,  $\lambda'(s) > 0$ ,  $s \in [0, 1]$  and when  $a\varepsilon \leq q$

$$(3) \quad \lambda''(s) \begin{cases} \geq 0 & , \quad s \in [0, \alpha] \\ = 0 & , \quad s \in (\alpha, 1-\alpha) \\ \leq 0 & , \quad s \in [1-\alpha, 1] \end{cases}.$$



The contact point of the tangent, parallel with line  $(s-q)/(1-2q)$ , to the curve  $\psi(s)$  is denoted by  $(\alpha_1, \psi(\alpha_1))$ . We have  $\alpha \leq \alpha_1$  and  $\alpha_1 = q - q_1\epsilon$ ,  $q_1 = a(1-2q)$ .

By  $(\alpha_2, \psi(\alpha_2))$  we denote the contact point of the tangent, parallel with line  $s$ , to the curve  $\psi(s)$ . Now  $\alpha_2 \leq \alpha$ ,  $\alpha_2 = q - a\epsilon$ .

## 2. DISCRETISATION MESH

We form the discretisation of (1) on the mesh  $I_h = \{t_0, t_1, \dots, t_n\}$ ,  $n = 2m$ ,  $m \geq 2$ ,  $m \in \mathbb{N}$ , where

$$(4) \quad \begin{aligned} t_0 &= 0 < t_1 < t_2 < \dots < t_{m-1} < t_m = 0.5, \\ t_{n-i} &= 1 - t_i, \quad i = 0, 1, \dots, m-1. \end{aligned}$$

Since  $t_0 = 0$ ,  $t_m = 0.5$  and the points  $t_i$  for  $i = m+1, \dots, n$  are determined when  $t_i$ ,  $i = 1, 2, \dots, m-1$ , are known, we shall, when constructing the mesh  $I_h$ , give only the points  $t_i$ ,  $i = 1, 2, \dots, m-1$ . We shall consider two cases: case A and case B of mesh construction.

For  $a\epsilon > q$  each non-uniform mesh  $I_h$  is convenient for the numerical solution of problem (1) when discretisation is given as in (1), because we achieve convergence uniform in  $\epsilon$ . From now on we shall consider only the case  $a\epsilon \leq q$ , i.e.  $\epsilon_0 = q/a$ .

We shall not consider the case  $b^2(0)y(0) = Ly(0)$  and  $b^2(1)y(1) = Ly(1)$  when the uniform convergence can be easily proved by using (2a).

Let  $\tau(\epsilon)$  be such a function that  $\tau(\epsilon) < 0.5$  and

$$(5) \quad \exp(-\tau(\epsilon)/(a\epsilon)) \leq C\epsilon, \quad \epsilon \in (0, \epsilon_0],$$

for example  $\tau(\epsilon) = \epsilon^{(k-1)/k}$  for  $k \geq 2$ ,  $\epsilon_0$  small enough, or  $\tau(\epsilon) = \lambda(\alpha_2)$ . Define  $K = s_\epsilon/n_0$ , where

$$s_\epsilon = q(1 - \exp(-\tau(\epsilon)/(a\epsilon))), \quad n_0 \geq 2q/(1-2q), \quad n_0 \in \mathbb{N}.$$



Then  $K(n_0+1) < 0.5$ ,  $n_0 + 2 \leq m$  and  $s_e < q$ .

Case A.

Let  $t_i = \lambda(Ki)$ ,  $i=1,2,\dots,n_0+1$ . If  $n_0+2 = m$ , we have  $t_{n_0+2} = 0.5$  and if  $n_0+2 < m$ , we take the points  $t_i$ ,  $i=n_0+2,\dots,m-1$ , arbitrarily, but with property (4).

Then, using (3), we have

$$(6) \quad \begin{aligned} t_{i+1} - t_i &\geq K \chi(Ki) \geq t_i - t_{i-1}, \quad i=1,2,\dots,n_0, \\ t_{i+1} - t_i &\leq K \chi(Ki) \leq t_i - t_{i-1}, \quad i=n-n_0,\dots,n-1. \end{aligned}$$

Case B.

For  $i=1,2,\dots,n_0+1$  we choose  $t_i$  as in case A, and for  $i=n_0+2,\dots,m$  let it be possible to choose  $t_i$  so that

$$\begin{aligned} t_{n_0+2} - t_{n_0+1} &= t_{n_0+1} - t_{n_0}, \\ t_i - t_{i-1} &\leq t_{i+1} - t_i \leq t_i - t_{i-p}, \quad i=n_0+2,\dots,m-1, \end{aligned}$$

for some  $p = p(i) \in \{2,3,\dots,i-n_0\}$ .

### 3. DISCRETISATION OF (1)

We shall have two discretisations of problem (1) for both cases, A and B, of mesh construction.

Case A.

Define

$$L_h^1 x(t_i) := a_i^1 x(t_{i-1}) + b_i^1 x(t_i) + c_i^1 x(t_{i+1}), \quad i=1,2,\dots,n-1$$

where

$$\begin{aligned} a_i^1 &= \frac{-2}{(t_i - t_{i-1})(t_{i+1} - t_{i-1})} < 0, \\ b_i^1 &= \frac{2}{(t_i - t_{i-1})(t_{i+1} - t_i)} > 0, \\ c_i^1 &= \frac{-2}{(t_{i+1} - t_i)(t_{i+1} - t_{i-1})} < 0. \end{aligned}$$

If  $b, f \in C^1[0,1]$ , then

$$(7) \quad \rho_i^1 := -x''(t_i) - L_h^1 x(t_i) = (\theta_i^1 - t_i) x'''(\theta_i^2),$$

with  $t_{i-1} \leq \theta_i^k \leq t_{i+1}$ ,  $k=1,2$ ,  $i=1,2,\dots,n-1$ , and if  $b, f \in C^2[0,1]$  then

$$(8) \quad \rho_i^1 = \frac{1}{3}(t_{i+1} - 2t_i + t_{i-1}) x'''(t_i) + \frac{(t_{i+1} - t_i)^3}{12(t_{i+1} - t_{i-1})} x^{iv}(\sigma_i^2) + \\ + \frac{(t_i - t_{i-1})^3}{12(t_{i+1} - t_{i-1})} x^{iv}(\sigma_i^1),$$

$$t_{i-1} \leq \sigma_i^1 \leq t_i \leq \sigma_i^2 \leq t_{i+1}, \quad i=1,2,\dots,n-1.$$

The system of linear equations

$$x_h(t_0) = X_0, \quad x_h(t_n) = X_1$$

$$(9) \quad \varepsilon^2 L_h^1 x_h(t_i) + b(t_i) x_h(t_i) = f(t_i), \quad i=1,2,\dots,n-1,$$

with solution  $x_h = (x_h(t_0), x_h(t_1), \dots, x_h(t_n))^T \in \mathbb{R}^{n+1}$  is a discretisation of (1).

We can write discretisation (9) in the form:

$$\varepsilon^2 A_h x_h + B_h x_h = f_h,$$

where  $B_h = \text{diag}(0, b(t_1), \dots, b(t_{n-1}), 0) \in \mathbb{R}^{n+1, n+1}$ ,

$$f_h = (X_0, f(t_1), \dots, f(t_{n-1}), X_1)^T \in \mathbb{R}^{n+1},$$

$$A_h = \begin{bmatrix} \varepsilon^{-2} & & & & \\ a_1^1 & b_1^1 & c_1^1 & & \\ & a_2^1 & b_2^1 & c_2^1 & \\ & & \ddots & \ddots & \ddots \\ & & & a_{n-1}^1 & b_{n-1}^1 & c_{n-1}^1 \\ & & & & \varepsilon^{-2} \end{bmatrix}$$

Elements of matrix  $A_h$  equal to zero are not indicated.



## Case B.

Now we shall define the discretisation of (1) on the mesh for case B. For  $i=0,1,\dots,n_0$  and  $i=n-n_0,\dots,n$  the discretisation is given as in (9), and otherwise by

$$\varepsilon L_h^2 x_h(t_i) + b(t_i)x_h(t_i) = f(t_i), \text{ for } i=n_0+1,\dots,m,$$

$$\varepsilon L_h^3 x_h(t_i) + b(t_i)x_h(t_i) = f(t_i), \text{ for } i=m+1,\dots,n-n_0-1,$$

where

$$L_h^2 x(t_i) = d_i x(t_{i-p}) + c_i x(t_{i-1}) + b_i x(t_i) + a_i x(t_{i+1}),$$

$$L_h^3 x(t_i) = a_i x(t_{i-1}) + b_i x(t_i) + c_i x(t_{i+1}) + d_i x(t_{i+p}).$$

Natural numbers  $p=p(i)$  for  $i=n_0+2,\dots,m-1$  are given in the construction of the mesh for case B, and for  $i=m+1,\dots,n-n_0-2$  we take  $p(i)=p(n-i)$ . Since  $t_{n_0+2}-t_{n_0+1} = t_{n_0+1}-t_{n_0}$  and  $t_{m+1}-t_m = t_m-t_{m-1}$  imply  $d_{n_0+1}=d_m=0$ , we can formally take  $p(n_0+1)=p(m)=0$  and  $p(n-n_0-1)=0$ .

The coefficients in the given schemes are, [5]:

$$a_i = \frac{2(z_2+z_3)}{z_1(z_1-z_2)(z_1-z_3)}, \quad b_i = \frac{-2(z_1+z_2+z_3)}{z_1 z_2 z_3},$$

$$c_i = \frac{2(z_1+z_3)}{z_2(z_2-z_1)(z_2-z_3)}, \quad d_i = \frac{2(z_1+z_2)}{z_3(z_3-z_1)(z_3-z_2)}$$

where  $z_k = z_k(i)$ ,  $k=1,2,3$ , :

$$z_1 = \begin{cases} t_{i+1}-t_i, & \text{for } L_h^2 \\ -(t_i-t_{i-1}), & \text{for } L_h^3 \end{cases} \quad z_2 = \begin{cases} -(t_i-t_{i-1}), & \text{for } L_h^2 \\ t_{i+1}-t_i, & \text{for } L_h^3 \end{cases}$$

$$z_3 = \begin{cases} -(t_i-t_{i-p(i)}), & \text{for } L_h^2 \\ t_{i+p(i)}-t_i, & \text{for } L_h^3 \end{cases}$$

For  $a_i, b_i, c_i, d_i$  from  $L_h^2$  we can state  $a_i < 0$ ,  $b_i > 0$ ,  $c_i \leq 0$ ,  $d_i \leq 0$ .

For  $k=2,3$  and  $b, f \in C^2[0,1]$  we have

$$(10) \quad \rho_i^k : -x''(t_i) - L_h^k x(t_i) = \frac{1}{12} (z_1 z_2 + z_1 z_3 + z_2 z_3) x^{iv}(\delta_i^k),$$

$$t_{i-p} \leq \delta_i^2 \leq t_{i+1}, \quad t_{i-1} \leq \delta_i^3 \leq t_{i+p}$$

As in case A, we can now write the discretisation in the form

$$\epsilon^2 A_h x_h + B_h x_h = f_h$$

where  $B_h$  and  $f_h$  are defined as before. The matrix  $A_h$  for this case is formed analogously as in case A.

#### 4. CONSISTENCY ERROR

Define  $r = (0, r_1, r_2, \dots, r_{n-1}, 0)^T \in \mathbb{R}^{n+1}$  with  $r_i = \epsilon^2 \rho_i^1$ ,  $i=1, 2, \dots, n-1$ , where  $\rho_i^1$  is given by (7) or (8), and let  $R$  denote:

$$R = (0, R_1, R_2, \dots, R_{n-1}, 0)^T \in \mathbb{R}^{n+1},$$

$$R_i = \epsilon^2 \begin{cases} \rho_i^1, & i=1, 2, \dots, n_0 \\ \rho_i^2, & i=n_0+1, \dots, m \\ \rho_i^3, & i=m+1, \dots, n-n_0-1 \\ \rho_i^1, & i=n-n_0, \dots, n \end{cases}$$

where  $\rho_i^k$ ,  $k=2,3$ , are given by (10). For  $x = (x_1, x_2, \dots, x_{n+1})^T \in \mathbb{R}^{n+1}$  let  $\|x\|_\infty = \max_{1 \leq i \leq n+1} |x_i|$ , and let  $h_A$ ,  $h_B$  denote:

$$h_A = \max_{n_0+1 \leq i \leq m} (t_i - t_{i-1}), \quad h_B = \max_{n_0+2 \leq i \leq m-1} (t_i - t_{i-p(i)})$$

and  $d = \min(b(0), b(1))$ .



Case A.

THEOREM 1. Let  $b, f \in C^1[0, 1]$  and  $a \geq 1/d$ . Then

$$\|r\|_{\infty} \leq C(1/n_0 + h_A),$$

where  $r$  is given by  $\rho_i^1$  from (7).

P r o o f: Using (7) and (2) we have

$$|r_i| \leq \varepsilon^2 \max(t_{i+1} - t_i, t_i - t_{i-1}) C(1 + \varepsilon^{-3} (\exp(-t_{i-1} b(0)/\varepsilon) + \exp(-(1 - t_{i+1})b(1)/\varepsilon))), \quad i=1, 2, \dots, n-1.$$

If  $n_0 + 1 \leq i \leq n - n_0 - 1$ , then

$$t_{i-1} \geq t_{n_0} = \lambda(s) = \tau(\varepsilon) \quad \text{and}$$

$$t_{i+1} \leq t_{n-n_0} = 1 - t_{n_0} = 1 - \tau(\varepsilon)$$

and because of (5) and  $ad \geq 1$ , we conclude

$$(11) \quad |r_i| \leq C \max(t_{i+1} - t_i, t_i - t_{i-1}).$$

When  $1 \leq i < n_0 + 1$ , we have  $t_{i+1} < 0.5$ , and  $\varepsilon^{-1} \exp(-(1 - t_{i+1})b(1)/\varepsilon)$ is bounded uniformly in  $\varepsilon$ . From this and because of (6) we get

$$\begin{aligned} |r_i| &\leq C(t_{i+1} - t_i) (1 + \varepsilon^{-1} \exp(-t_{i-1} b(0)/\varepsilon)) \leq \\ &\leq C K \lambda'(K(i+1)) (1 + \varepsilon^{-1} \exp(-t_{i-1} b(0)/\varepsilon)) \leq \\ &\leq \frac{C}{n_0} \lambda'(K(i+1)) (1 + \varepsilon^{-1} \exp(-t_{i-1} b(0)/\varepsilon)). \end{aligned}$$

Now let us show

$$(12) \quad |r_i| \leq \frac{C}{n_0}.$$

Since

$$(13) \quad \lambda'(s) \leq \psi'(\alpha) \leq \psi'(\alpha_1) = 1 / (1 - 2q),$$

we just have to prove

$$(14) \quad \lambda'(K(i+1)) \varepsilon^{-1} \exp(-t_{i-1} b(0)/\varepsilon) \leq C.$$

1.  $\alpha_2 \leq K(i-1)$ . Then we have  $\lambda(\alpha_2) \leq t_{i-1}$ , and

$$\begin{aligned} \exp(-t_{i-1}b(0)/\varepsilon) &\leq \exp(-\lambda(\alpha_2)b(0)/\varepsilon) = \\ &= ((q-\alpha_2)/q)^{ab(0)} = (a\varepsilon/q)^{ab(0)}, \end{aligned}$$

from where, using (13), we get (14), because  $a\varepsilon \leq q$  and  $ad \geq 1$ .

2.  $K(i-1) < \alpha_2$ . Now we consider two cases.

2.1.  $K(i-1) \leq \min(\alpha, q-4K)$ . We conclude

$$\begin{aligned} \exp(-t_{i-1}b(0)/\varepsilon) &= [(q-K(i-1))/q]^{ab(0)} \quad \text{and} \\ \lambda(K(i+1)) &\leq \psi(K(i+1)) = a\varepsilon/(q-K(i+1)) \leq 2a\varepsilon/(q-K(i-1)), \end{aligned}$$

because from  $K(i-1) \leq q-4K$  we get  $0.5(q-K(i-1)) \leq q-K(i+1)$ . The above relations imply (14).

2.2.  $\min(\alpha, q-4K) < K(i-1) < \alpha_2$ . Since  $\alpha \geq \alpha_2$ , we have

$$q-4K < K(i-1) < \alpha_2 = q-a\varepsilon,$$

$$(15) \quad \varepsilon < 4K/a < \frac{4q}{a} \frac{1}{n_0}.$$

Now consider the inequality

$$\begin{aligned} |r_i| &\leq 2\varepsilon^2 \max_{t \in [t_{i-1}, t_{i+1}]} |x''(t)| \leq C\varepsilon^2 (1 + \varepsilon^{-2} \exp(-t_{i-1}b(0)/\varepsilon) + \\ &+ \varepsilon^{-2} \exp(-(1-t_{i+1})b(1)/\varepsilon)) \leq C(\varepsilon^2 + \exp(-t_{i-1}b(0)/\varepsilon)). \end{aligned}$$

Because of

$$\exp(-t_{i-1}b(0)/\varepsilon) < (4K/q)^{ab(0)} < (4/n_0)^{ab(0)}$$

and (15), we get (12) directly.

For the case  $n-n_0-1 < i \leq n-1$  we can prove (12) analogously.

From (11) and (12) we get the statement of the theorem.



THEOREM 2. Let  $b, f \in C^2[0, 1]$  and  $ad \geq 2$ . Then

$$\|r\|_{\infty} \leq C(1/n_0^2 + h_A) ,$$

where  $r$  is given by  $\rho_i^1$  from (8).

P r o o f: When  $n_0 + 1 \leq i \leq n_0 - 1$ , we can prove relation (11) in the same way as in Theorem 1. For other values of  $i$  we shall prove

$$(16) \quad |r_i| \leq C / n_0^2$$

Using (6) we have for  $1 \leq i < n_0 + 1$

$$|r_i| \leq \varepsilon^2 (P+Q) ,$$

$$P = \frac{1}{3} (t_{i+1} - 2t_i + t_{i-1}) |x'''(t_i)| ,$$

$$Q = \frac{1}{12} (t_{i+1} - t_i)^2 \cdot \max_{t \in [t_{i-1}, t_{i+1}]} |x^{IV}(t)| .$$

We can show that  $\varepsilon^2 Q \leq C/n_0^2$  analogously to Theorem 1.

Now let us consider  $P$ . We have

$$\begin{aligned} t_{i+1} - 2t_i + t_{i-1} &\leq K^2 \lambda''(K(i+1)) \leq K^2 \psi''(\alpha_1) = \\ &= K^2 a\varepsilon / (q - \alpha_1)^2 \leq C / (n_0^2 \varepsilon) . \end{aligned}$$

Using the above inequality we can prove that  $\varepsilon^2 P \leq C/n_0^2$  when  $Ki \geq \alpha_2$ , in the same way as in part 1. of the proof of Theorem 1.

When  $Ki < \alpha_2$  and  $Ki \leq \min(\alpha, q - 2K)$ , we use

$$\lambda''(K(i+1)) = a\varepsilon / (q - K(i+1))^2 \leq 4a\varepsilon / (q - Ki)^2$$

to conclude the same fact, analogously to part 2.1. of the proof of Theorem 1.

When  $q - 2K < Ki < \alpha_2$ , the proof is the same as in the previous theorem.

For  $n - n_0 - 1 < i \leq n - 1$  the proof is analogous.



Case B.

THEOREM 3. Let  $b, f \in C^2[0, 1]$  and  $ad \geq 2$ . Then

$$\|R\|_{\infty} \leq C(1/n_0^2 + h_B^2).$$

Proof: When  $i=1, 2, \dots, n_0$  and  $i=n-n_0, \dots, n$ , we have

$$|R_i| = |r_i| \leq C/n_0^2.$$

The proof is the same as in the previous theorem.

For other  $i$  we have, because of (10),

$$\begin{aligned} |R_i| &\leq C\epsilon^2 \max(z_2^2, z_3^2) (1 + \epsilon^{-4} \exp(-t_{i-p(i)} b(0)/\epsilon)), \quad i=n_0+1, \dots, m \\ |R_i| &\leq C\epsilon^2 \max(z_2^2, z_3^2) (1 + \epsilon^{-4} \exp(-(1-t_{i+p(i)})b(1)/\epsilon)), \\ &\quad i=m+1, \dots, n-n_0-1. \end{aligned}$$

But here we have  $t_{i-p(i)} \geq \tau(\epsilon)$  and  $t_{i+p(i)} \leq 1-\tau(\epsilon)$ , and from (5) and  $ad \geq 2$  we conclude

$$|R_i| \leq C \max_{n_0+2 \leq j \leq m-1} (t_j - t_{j-p(j)})^2, \quad n_0+1 \leq i \leq n-n_0-1.$$

This completes the proof of the theorem.

## 5. CONVERGENCE UNIFORM IN $\epsilon$

Discretisations of (1) in both cases of paragraph 3. can be written in the form

$$(17) \quad \epsilon^2 A_h x_h + B_h x_h = f_h,$$

where  $A_h, B_h \in \mathbb{R}^{n+1, n+1}$ ,  $x_h, f_h \in \mathbb{R}^{n+1}$  are defined as in paragraph 3. Let  $x^h$  denote the restriction of the exact solution of problem (1) to mesh  $I_h$ , and let  $r, R \in \mathbb{R}^{n+1}$  be the same as in the previous paragraph.

Now we have

$$(18) \quad \epsilon^2 A_h x^h + B_h x^h = f_h - r$$

for case A and



$$(19) \quad \epsilon^2 A_h x^h + B_h x^h = f_h - R$$

for case B.

From (17) and (18) we get

$$(20) \quad \epsilon^2 A_h (x^h - x_h) + B_h (x^h - x_h) = -r$$

and, analogously, for case B:

$$(21) \quad (\epsilon^2 A_h + B_h) (x^h - x_h) = -R.$$

THEOREM 4. The matrices  $\epsilon^2 A_h + B_h$  in both cases A and B are regular and

$$\|(\epsilon^2 A_h + B_h)^{-1}\|_{\infty} \leq 1/\min(\beta^2, 1).$$

P r o o f: For matrix  $C_h = [c_{ij}] \in \mathbb{R}^{n+1, n+1}$  defined by

$$C_h = \epsilon^2 A_h + B_h$$

we have

$$c_{ii} > 0, \quad i = 0, 1, \dots, n,$$

$$c_{ij} \leq 0, \quad i \neq j, \quad i, j = 0, 1, \dots, n.$$

Since  $a_i^1 + b_i^1 + c_i^1 = 0, \quad i=1, 2, \dots, n$  and

$$a_i + b_i + c_i + d_i = 0, \quad i=1, 2, \dots, n,$$

we get

$$\sum_{\substack{j=0 \\ j \neq i}}^n |c_{ij}| = - \sum_{\substack{j=0 \\ j \neq i}}^n c_{ij} = \begin{cases} \bar{b}_i, & i=1, 2, \dots, n-1 \\ 0, & i=0, n \end{cases}$$

where  $\bar{b}_i$  denotes  $b_i^1$  or  $b_i$ . Now

$$S := \min_{0 \leq i \leq n} (|c_{ii}| - \sum_{\substack{j=0 \\ j \neq i}}^n |c_{ij}|) = \min_{1 \leq i \leq n-1} (c_{ii} - \bar{b}_i^1).$$

Because of  $c_{ii} = \bar{b}_i + b^2(t_i)$  and  $b(t_i) \geq \beta, \quad i=1, 2, \dots, n-1$ , we conclude

$$S \geq \min(\beta^2, 1) > 0.$$

Then Theorem 1. from [9] implies that  $C_h$  is a regular matrix and

$$\|C_h^{-1}\|_{\infty} \leq 1 / \min(\beta^2, 1),$$

which completes the proof.

**THEOREM 5.** Let  $x_A^h$  and  $x_B^h$  denote restrictions of the exact solution of problem (1) to  $I_h$  in case A and in case B, respectively, and let  $x_h^A$  and  $x_h^B$  denote solutions to discretisations in case A and B, respectively. Then

$$(22) \quad \|x_A^h - x_h^A\|_{\infty} \leq C \begin{cases} 1/n_0 + h_A, & \text{when } b, f \in C^1[0, 1], \text{ ad} \geq 1 \\ 1/n_0^2 + h_A, & \text{when } b, f \in C^2[0, 1], \text{ ad} \geq 2 \end{cases}$$

$$(23) \quad \|x_B^h - x_h^B\|_{\infty} \leq C(1/n_0^2 + h_B^2), \quad \text{when } b, f \in C^2[0, 1], \text{ ad} \geq 2.$$

**P r o o f:** From (20) we have

$$\|x_A^h - x_h^A\|_{\infty} \leq \|(A_h + B_h)^{-1}\|_{\infty} \|r\|_{\infty},$$

and using the previous theorem and Theorems 1. and 2. we conclude (22).

Using Theorem 3. we prove (23) for case B.

## 6. REMARKS

1. We use a symmetric mesh and even  $n$  just to show the main ideas of the paper in a simpler manner.

2. Even the function  $\lambda(s)$  need not be centrally symmetric. We can take  $\lambda_1(s)$  for  $s \in [0, 0.5]$  with  $q = q_1$ ,  $a = a_1$  and  $\lambda_2(s)$  for  $s \in [0.5, 1]$  with  $q = q_2$ ,  $a = a_2$ , where  $a_1 b(0)$ ,  $a_2 b(1) \geq k$ ,  $k = 1, 2$ .

3. If we take  $t_i = \lambda(i/n)$ , we obtain Bahvalov's result [1].



4. It is naturally more interesting to consider the case  $s_\varepsilon \leq \alpha$ . When we take  $\tau(\varepsilon) = \lambda(\alpha_2)$ , we have  $s_\varepsilon = \alpha_2 \leq \alpha$ .

Besides, for each  $\varepsilon$  there is  $k=2,3,\dots$ , such that  $s_\varepsilon \leq \alpha_2 \leq \alpha$  when we take  $\tau(\varepsilon) = \varepsilon^{(k-1)/k}$ .

5. The error of the numerical method gets smaller when  $n$  grows, but in such a way that  $n_0$  grows and the maximal step of difference schemes decreases outside the boundary layers.

## 7. NUMERICAL EXAMPLE

We shall illustrate the theoretical results with some computational results for the problem

$$-\varepsilon^2 x'' + x = 1, \quad x(0) = x(1) = 0$$

with solution

$$x(t) = 1 - \frac{\exp((t-0.5)/\varepsilon) + \exp((0.5-t)/\varepsilon)}{\exp(0.5/\varepsilon) + \exp(-0.5/\varepsilon)}.$$

This example represents a linear model of a catalytic reaction and it has been numerically treated in [2], [6], [8].

Since  $x(t)=x(1-t)$ , we shall find the numerical solution only for  $t \in [0, 0.5]$ . The number of mesh points in  $(0, 0.5]$  is denoted as before by  $m$ . The number  $m_s$  denotes how many mesh points are in  $I_h \cap (0, \varepsilon]$ .

In tables I, II and III we give the results for  $m=20$ ,  $q=0.4$ ,  $a=2$ . The values

$$g_s = \max \left\{ \left| \frac{x(t_i) - x_h(t_i)}{x_h(t_i)} \right| : t_i \in I_h \cap (0, \varepsilon] \right\}$$

and

$$g = \max \left\{ \left| \frac{x(t_i) - x_h(t_i)}{x_h(t_i)} \right| : t_i \in I_h \right\},$$

where  $x(t)$  denotes the exact solution and  $x_h(t)$  denotes the numerical solution to the given problem, are given in %.

## Some finite-difference schemes for a singular perturbation ... 131

Table I contains the numerical results for case A and for case B. Number  $k$  is the smallest integer which satisfies

$$\tau(\varepsilon) := \varepsilon^{(k-1)/k} \leq 1.5 \varepsilon.$$

We used the meshes with points  $t_i = \lambda(Ki)$ ,  $i=1, 2, \dots, n_0+1$ , and  $t_i$ ,  $i=n_0+2, \dots, m-1$ , which are given in Table II.

For  $\varepsilon=2^{-9}$ ,  $2^{-13}$ ,  $2^{-17}$  in case B it is not possible to keep the same number (nine) of mesh points in  $(0, \varepsilon]$ , because of the conditions that the mesh satisfy (2. Case B). But we used the knots  $t_{n_0+2}$  and  $t_{n_0+3}$  with the property

$$\varepsilon \leq t_{n_0+2} - t_{n_0+1} \leq t_{n_0+3} - t_{n_0+2} \leq h_B,$$

and a non-equidistant three-point scheme at mesh points  $t_{n_0+1}$ ,  $t_{n_0+2}$ . Then estimate (23) is still valid, since for a three-point scheme we have

$$|r_i| \leq C \cdot \varepsilon \max(t_{i+1} - t_i, t_i - t_{i-1}) \leq h_B^2, \quad i=n_0+1, n_0+2,$$

when  $\text{ad} \geq 2$ .

Table III shows the numerical results for the case  $t_i = \lambda(i/m)$  (as in [1]).

| TABLE I | Case A        |     |       |       | Case B     |          |            |
|---------|---------------|-----|-------|-------|------------|----------|------------|
|         | $\varepsilon$ | $k$ | $n_0$ | $m_s$ | $g_s^{\%}$ | $g^{\%}$ | $g_s^{\%}$ |
|         | $2^{-5}$      | 9   | 12    | 9     | 0.226      | 0.414    | 0.126      |
|         | $2^{-9}$      | 16  | 12    | 9     | 0.596      | 1.388    | 2.402      |
|         | $2^{-13}$     | 23  | 12    | 9     | 1.033      | 2.200    | 4.868      |
|         | $2^{-17}$     | 30  | 12    | 9     | 1.385      | 2.232    | 7.548      |
|         |               |     |       |       |            |          | 12.175     |



TABLE II, Case A

|          | $\epsilon=2^{-5}$ | $\epsilon=2^{-9}$ | $\epsilon=2^{-13}$ | $\epsilon=2^{-17}$ |
|----------|-------------------|-------------------|--------------------|--------------------|
| $t_{14}$ | 5.97 E-2          | 3.71 E-3          | 2.51 E-4           | 1.42 E-5           |
| $t_{15}$ | 7.30 E-2          | 5.08 E-3          | 4.61 E-4           | 2.61 E-5           |
| $t_{16}$ | 9.57 E-2          | 9.17 E-3          | 1.41 E-3           | 1.23 E-4           |
| $t_{17}$ | 1.34 E-1          | 2.14 E-2          | 5.68 E-3           | 9.19 E-4           |
| $t_{18}$ | 2.00 E-1          | 5.82 E-2          | 2.49 E-2           | 7.45 E-3           |
| $t_{19}$ | 3.11 E-1          | 1.69 E-1          | 1.11 E-1           | 6.10 E-2           |

TABLE II, Case B

|          | $\epsilon=2^{-5}$ | $\epsilon=2^{-9}$ | $\epsilon=2^{-13}$ | $\epsilon=2^{-17}$ |
|----------|-------------------|-------------------|--------------------|--------------------|
| $t_{14}$ | 5.78 E-2          | 7.16 E-3          | 6.92 E-4           | 7.38 E-5           |
| $t_{15}$ | 6.85 E-2          | 2.26 E-2          | 1.63 E-2           | 1.57 E-2           |
| $t_{16}$ | 8.77 E-2          | 3.80 E-2          | 3.19 E-2           | 3.13 E-2           |
| $t_{17}$ | 1.22 E-1          | 6.88 E-2          | 6.31 E-2           | 6.26 E-2           |
| $t_{18}$ | 1.85 E-1          | 1.30 E-1          | 1.26 E-1           | 1.25 E-1           |
| $t_{19}$ | 2.97 E-1          | 2.54 E-1          | 2.50 E-1           | 2.50 E-1           |

TABLE III

| $\epsilon$ | $m_s$ | $g_s \%$ | $g \%$ |
|------------|-------|----------|--------|
| $2^{-5}$   | 6     | 0.130    | 0.119  |
| $2^{-9}$   | 6     | 0.132    | 0.220  |
| $2^{-13}$  | 6     | 0.134    | 0.297  |
| $2^{-17}$  | 6     | 0.135    | 0.389  |

From the numerical results we can conclude that  $g_s$  and  $g$  do not change much when  $\epsilon$  decreases, i.e. the convergence of given difference schemes is uniform in  $\epsilon$ . The results from Table III are determined by  $\epsilon$ ,  $q$ ,  $a$  and  $m$ . Table I shows that it is possible to achieve a greater number  $m_s$ , than in

Bahvalov's case. However,  $g_s$  and  $g$  are greater, but still tolerable, especially  $g_s$  - which is more interesting.

These are only some possibilities of mesh construction. The automatical construction of an optimal mesh has not been considered.

#### REFERENCES

- [1] Bahvalov, A.S., *K optimizacii metodov rešeniya kraevykh zadach pri naličii pograničnogo sloya*, *Ž. vyčisl.mat. i mat. fiz.*, T9. No 4, 841-859, 1969.
- [2] Bohl, E., *Finite Modelle gewöhnlicher Randwertaufgaben*, B.G.Teubner, Stuttgart, 1981.
- [3] Bohl, E., J. Lorenz, *Inverse monotonicity and difference schemes of higher order. A summary for two-point boundary value problems*, *Aequ.Math.* 19, 1-36, 1979.
- [4] Doolan, E.P., J.J.H. Miller, W.H.A. Schilders, *Uniform numerical methods for problems with initial and boundary layers*, Bode Press, Dublin, 1980.
- [5] Herceg, D., *Nichtäquidistante Diskretisierung der Grenzschieht-differentialgleichungen und einige Eigenschaften von diskreten Analoga*, *Zbornik radova Prirodno-matematičkog fakulteta u Novom Sadu knjiga 9 (1979)*, 199-219.
- [6] Herceg, D., *O korišćenju neekvidistantne mreže kod diferencnih postupaka*, *Zbornik radova Prirodno-matematičkog fakulteta u Novom Sadu, knjiga 10 (1980)*, 102-112.
- [7] Lorenz, J., *Zur Theorie und Numerik von Differenzenverfahren für singuläre Störungen*, *Habilitationsschrift, Konstanz*, 1980.
- [8] Reinhardt, H.-J., *A posteriori Error Estimates for the Finite Element Solution of a Singularly Perturbed Linear Ordinary Differential Equation*, *SIAM J.Num. Anal.* 18, 406-430 (1981).



- [9] Varah, J.M., *A Lower Bound for the Smallest Singular Value of a Matrix*, *Linear Algebra Appl.*, 11, 3 - 5, 1975.

# REZIME

## NEKE DIFERENCNE ŠEME ZA SINGULARNI PERTURBACIONI PROBLEM NA NEEKVIDISTANTNOJ MREŽI

U radu se posmatra diskretizacija problema (1) na neekvidistantnoj mreži (4). Pri tom se mreža  $I_h$  formira tako da diskretni analogoni za (1), dobijeni primenom operatora  $L_h^1, L_h^2$ , i  $L_h^3$ , slučaj A i slučaj B, imaju jedinstvena rešenja koja uniformno po  $\epsilon$  konvergiraju ka rešenju problema (1) kada  $n_0 \rightarrow \infty$  i  $h_A \rightarrow 0$ , odnosno  $h_B \rightarrow 0$ . Kada se čvorovi  $t_i$  mreže  $I_h$  odredjuju prema  $t_i = \lambda(i/n)$ ,  $i=0,1,\dots,n$ , dobija se poznati rezultat Bahvalova [1]. Slobodniji izbor čvorova mreže koji se predlaže u ovom radu omogućava da, u odnosu na mrežu Bahvalova, veći broj čvorova leži u uskom graničnom sloju, pri istom ukupnom broju tačaka mreže.

# ON ALTERNATIVE NONSTATIONARY ITERATIVE PROCEDURES

*Katarina Surla*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

In this paper the equation

$$(1) \quad x = Tx + f, \quad f \in B$$

is considered in a Banach's partially ordered space  $B$ . It is supposed that  $T$  is a linear operator and that

$$(2) \quad u \leq v \Rightarrow Tu \geq Tv, \quad (u, v \in B).$$

To solve equation (1) a nonstationary iterative procedure is used

$$(3) \quad \begin{cases} z_n = T_n z_{n-1} + f & (n=1, 2, \dots, \text{ or } n=2, 3, \dots) \\ T_n x = Tx + \rho_n, \quad \rho_n \in B, \quad (z_0, z_1 \in B) \end{cases}$$

"Auxiliary" operators  $A_k$  and  $B_k$  are introduced so that

$$B_k x \leq \rho_k \leq A_k x$$

where  $x$  is a fixed element of space  $B$  which is expressed via sequence (3). In this way it is possible to construct invariant intervals for operator  $T'$ .

$$(4) \quad T'x = Tx + f$$

which allows an a posteriori error estimation and an acceleration of the procedure (3). The achieved results represent a generalization of the results from [1] concerning the alternative



iterative sequences. In Lemma 1 the idea of proving the statement 1.2 |1| was used. For the sequence  $z_k$  it cannot be stated that it converges to the solution of equation (1), but for each  $k$ , fixed by the conditions of the following theorems, it is possible to determine a neighbourhood of the point  $x^*$ ,  $x^*$  being the solution of equation (1), to which  $z_k$  belongs. Furthermore, it is possible to determine the point from that neighbourhood which represents a better approximation for  $x^*$  than  $z_k$  is. The neighbourhood diameter depends on the operators  $A_k$  and  $B_k$  and can be made small up to the extent to which we are able to determine these operators. Let us list some notations which will be used in this paper.

$$(5) \quad x_n = Tx_{n-1} + f, \quad x_0 \in B \quad (n=1, 2, \dots)$$

$$(6) \quad \begin{cases} \delta z_{2i} = z_{2i} - z_{2i-1} & (i=1, 2, \dots) \\ \delta z_{2i+1} = z_{2i} - z_{2i+1} & (i=0, 1, \dots) \end{cases}$$

$$(7) \quad Gz_k(s) = z_k + s\delta z_k$$

$$(8) \quad Iz_k(s, t, p, q) = [Gz_k(s) + t_k, \quad Gz_k(p) + q_k]$$

$$(9) \quad \begin{cases} \phi_k(A, B) = -T_{k-1}A_k z_{k-2} + B_k z_{k-1} + (B_k A_k + B_k)u_k - B_k A_k z_{k-2} \\ \phi'_k(A, B) = -T_{k-1}B_k z_{k-2} + A_k z_{k-1} + (A_k^2 + B_k^2)u_k + A_k B_k z_{k-2} \end{cases}$$

where

$$(10) \quad \begin{cases} u_k = z_{k-1} - (A_k + B_k)z_{k-2} & \text{for } k=2i \\ u_k = z_{k-2} & \text{for } k=2i+1 \end{cases}$$

$$(11) \quad \begin{cases} \phi_k(A, B) = (B_k - A_k)z_{k-1} + (B_k A_k + A_k B_k)u_k - B_k A_k z_{k-2} + A_k f \\ \phi'_k(A, B) = (A_k - B_k)z_{k-1} + (A_k^2 + B_k^2)u_k + A_k B_k z_{k-2} + B_k f \end{cases}$$

LEMMA 1. Let the equation (1) be given in  $B$  with linear monotonously non-increasing operator  $T$  which satisfies condition (2). Let for some  $j$  in sequence (5) there exist  $p_i, q_i \in B$  so that it holds that

$$(12) \quad p_i \leq \delta x_i \leq q_i \quad (i=j-1, j) \text{ and}$$

$$(13) \quad \begin{cases} p_j \geq s_j q_{j-1} + S_j q_j \\ q_j \leq S_j p_{j-1} + s_j p_j \end{cases}$$

where  $s_j$  and  $S_j$  are real numbers and

$$(14) \quad 0 < s_j \leq S_j$$

Then, for  $j=2i$  operator  $T'$  maps the interval  $Ix_j(-S, 0, -s, 0)$  into itself and for  $j=2i+1$  the same operator maps the interval  $Ix_j(s, 0, S, 0)$  into itself.

**P r o o f.** Let  $j=2i$ . From (12) and (13) we get

$$(15) \quad s_j \delta x_{j-1} + S_j \delta x_j \leq \delta x_j \leq S_j \delta x_{j-1} + s_j \delta x_j$$

By applying operator  $T$  onto the inequality (15), because of  $\delta x_j = -T\delta x_{j-1}$ , we get

$$x_j - s_j \delta x_j \geq T(x_j - S_j \delta x_j) + f$$

$$v_0 \geq Tu_0 + f = v_1$$

$$v_0 = x_j - S_j \delta x_j$$

$$u_0 = x_j - s_j \delta x_j$$

From (15) it also follows that

$$u_0 \leq Tv_0 + f = u_1$$

Because of (14)  $u_0 \leq v_0$  so that

$$u_0 \leq u_1 \leq v_1 \leq v_0$$

For an arbitrary  $s \in [u_0, v_0]$  it holds that

$$u_1 = Tv_0 + f \leq Ts + f \leq Tu_0 + f = v_1$$

Hence, operator  $T'$  leaves the interval  $Ix_j(-S, 0, -s, 0)$  invariant. In a similar way, the statement for  $j=2i+1$  can be proved.



COROLLARY 1. The quantities  $p_i, q_i$  ( $i=j, j-1$ ) determined in Lemma 1 are nonnegative.

THEOREM 1. Let the linear monotonously nonincreasing operator  $T$  be defined in  $B$ . Let for some  $k \geq 2$  in sequence (3) the following hold

$$1.1. \quad (T-A_k)u_k \leq T_n u_k \leq (T+B_k)u_k \quad (n=k, k-1)$$

$$u_k = z_{k-2}, \quad z_{k-1} = (A_k + B_k)z_{k-2}$$

1.2. There are  $g_k, G_k \in \mathbb{R}$  such that

$$0 < g_k \leq G_k$$

a). for  $k=2i$

$$(1-G_k)\delta z_k - g_k\delta z_{k-1} \geq (A_k + g_k B_k + G_k B_k)w_k + \phi_k(A, B) + G_k \cdot \phi_k(A, B)$$

$$G_k\delta z_{k-1} - (1-g_k)\delta z_k \geq (B_k + g_k A_k + G_k A_k)w_k + \phi_k(A, E) + g_k \phi_k(A, B)$$

$$w_k = z_{k-1} - (A_k + B_k)z_{k-2}$$

b)  $k=2i+1$

$$(1-G_k)\delta z_k - g_k\delta z_{k-1} \geq (B_k + g_k A_k + G_k A_k)z_{k-2} + \phi_k(A, B) + G_k \phi_k(A, B)$$

$$G_k\delta z_{k-1} - (1-g_k)\delta z_k \geq (A_k + g_k B_k + G_k B_k)z_{k-2} + \phi_k(A, B) + g_k \phi_k(A, B)$$

Then, in the case  $k=2i$ , operator  $T'$  maps the interval  $I_{x_2}(-S, 0, -s, 0)$  into itself and, when  $k=2i+1$ , operator  $T'$  maps the interval  $I_{x_2}(s, 0, S, 0)$  into itself. In addition  $s_2 = g_k, S_2 = G_k$ , and  $x_n$  is determined by (5) for  $x_0 = z_{k-2}$ .

P r o o f. For  $k=2i$  we shall determine the quantities  $p_i, q_i$  ( $i=1, 2$ ) which satisfy the conditions of Lemma 1 for  $x_n$  determined in the above way. Let us introduce the notations

$$(16) \quad \left\{ \begin{array}{l} \bar{z}_n = T_{k+n-2} \bar{z}_{n-1} + f, \quad \bar{z}_0 = z_{k-2} \quad (n=1, 2, \dots) \\ \ell_n = (T + (-1)^n (A_k)^{2-n} (B_k)^{n-1}) \ell_{n-1} + f, \quad \ell_0 = \bar{z}_0 \\ y_n = (T + (-1)^{n-1} (A_k)^{n-1} (B_k)^{2-n}) y_{n-1} + f, \quad y_0 = \bar{z}_0 \\ x_n = T x_{n-1} + f, \quad x_0 = z_{k-2} \\ (A_k)^0 = (B_k)^0 = E, \quad E \text{ the identity operator.} \end{array} \right.$$

According to condition 1.1

$$(17) \quad \ell_1 \leq \bar{z}_1 \leq y_1$$

Let us show that

$$(18) \quad \ell_2 \geq \bar{z}_2 \geq y_2$$

Because of 1.1 it follows that

$$\ell_1 = T\bar{z}_0 + f + \rho_{k-1} - \rho_{k-1} - A_k \bar{z}_0 \geq w_k$$

$$\ell_2 \geq T\ell_1 + B_k w_k + f \geq T\ell_1 + \rho_{k-1} + f \geq \bar{z}_2$$

$$\bar{z}_2 \geq T\bar{z}_1 - A_k \bar{z}_1 + f \geq (T - A_k)y_1 + f = y_2$$

On the basis of the above inequalities we get the following relation between  $x_n$  and  $\bar{z}_k$

$$(19) \quad \begin{cases} x_n = \bar{z}_n + (-1)^{n-1} a_n + (-1)^n k_n & (n=1, 2) \\ x_n = \bar{z}_n + (-1)^n b_n + (-1)^{n-1} c_n & (n=1, 2) \end{cases}$$

where

$$\begin{cases} x_n = y_n + (-1)^n k_n \\ \bar{z}_n = \ell_n + (-1)^{n-1} c_n \\ y_n = \bar{z}_n + (-1)^{n-1} a_n \\ \ell_n = \bar{z}_n + (-1)^n b_n \end{cases}$$

In view of (17) and (18) it holds that  $a_i \geq 0$ ,  $b_i \geq 0$ , ( $i=1, 2$ ).

Since

$$(20) \quad \begin{cases} T\bar{z}_0 \leq \bar{z}_1 - f + A_k w_k \\ -T\bar{z}_0 \leq -T_{k-1} H\bar{z}_0 + B_k w_k \end{cases} \quad (H=A_k, B_k)$$

$$(21) \quad \begin{cases} c_2 = B_k T\bar{z}_0 - T A_k \bar{z}_0 - B_k A_k \bar{z}_0 + B_k f \\ k_2 = A_k T\bar{z}_0 - T B_k \bar{z}_0 + A_k B_k \bar{z}_0 + A_k f \end{cases}$$

after introducing (20) into (21) we get



$$(22) \quad \begin{cases} c_2 \leq \phi_k(A, B) \\ k_2 \leq \phi'_k(A, B) \end{cases}$$

From (19), (22), (6) it follows that the quantities

$$(23) \quad \begin{cases} p_1 = \delta z_{k-1} - A_k w_k \\ p_2 = \delta z_k - A_k w_k - \phi_k(A, B) \\ q_1 = \delta z_{k-1} + B_k w_k \\ q_2 = \delta z_k + B_k w_k + \phi'_k(A, B) \end{cases}$$

satisfy inequality (12) for  $j=2$ , while  $x_j$  is determined by (16).

The quantities (23) also satisfy inequalities (13) for  $S_2=G_k$ ,  $s_2=g_k$ , hence after applying Lemma 1 the statement is proved.

In a similar way, it can be shown that for  $k=2i+1$  the quantities

$$(24) \quad \begin{cases} p_1 = \delta z_{k-1} - B_k z_{k-2} \\ p_2 = \delta z_k - B_k z_{k-2} - \phi'_k(A, B) \\ q_1 = \delta z_{k-1} + A_k z_{k-2} \\ q_2 = \delta z_k + A_k z_{k-2} + \phi_k(A, B) \end{cases}$$

satisfy inequalities (12) and (13) for  $j=2$  and  $S_2=G_k$ ,  $s_2=g_k$ .

**COROLLARY 2.** Let condition 1.1 of Theorem 1 hold. Let  $Hu_k \geq 0$  ( $H=A_k, B_k$ ), where  $u_k$  is determined by (10). Then,

$$\phi_k(A, B) \geq 0 \quad \text{and} \quad \phi'_k(A, B) \geq 0.$$

**THEOREM 2.** Let the operators  $A_k$  and  $B_k$  be commutative with operator  $T$ . Theorem 1 is valid if  $\phi_k$  is replaced by  $\phi_k$  and  $\phi'_k$  by  $\phi_k$ .

**P r o o f.** The theorem can be proved in the same way as Theorem 1 but the following majorizations have to be used

$$c_2 \leq \phi_k(A, B)$$

$$k_2 \leq \phi'_k(A, B)$$

THEOREM 3. Let a linear monotonously nonincreasing operator  $T$  be defined in  $B$ . Let for a  $k \geq 2$  in sequence (3) it holds that

$$3.1. \quad (T+A_k)u_k \leq T_n u_k \leq (T-B_k)u_k \quad (n=k, k-1)$$

$$u_k = (z_{k-2}, z_{k-1} - (A_k+B_k)z_{k-2})$$

3.2. There exist such real numbers  $\sigma_k$  and  $G_k$  that

$$0 < \sigma_k \leq G_k \quad \text{and}$$

a) for  $k=2i$

$$(1-G_k)\delta z_k - \sigma_k \delta z_{k-1} \geq -(A_k+G_k B_k + \sigma_k B_k)w_k + \phi_k(B, A) - \sigma_k \phi'_k(B, A)$$

$$G_k \delta z_{k-1} - (1-\sigma_k)\delta z_k \geq -(B_k+G_k A_k + \sigma_k A_k)w_k - \phi_k(B, A) - \sigma_k \phi'_k(B, A)$$

b) for  $k=2i+1$

$$(1-G_k)\delta z_k - \sigma_k \delta z_{k-1} \geq -(A_k+G_k B_k + \sigma_k B_k)z_{k-2} - \phi'_k(B, A) - G_k \phi_k(B, A)$$

$$(1-\sigma_k)\delta z_k - G_k \delta z_{k-1} \leq (B_k+G_k A_k + \sigma_k A_k)z_{k-2} + \sigma_k \phi'_k(B, A) + \phi_k(B, A)$$

Then, operator  $T'$  leaves invariant the interval  $Ix_2(-S, 0, -s, 0)$  for  $k=2i$ , and interval  $Ix_2(s, 0, S, 0)$  for  $k=2i+1$ , respectively. Here  $S_2=G_k$ ,  $s_2=\sigma_k$  and  $x_n$  is determined by (16).

P r o o f. The proof is similar to that for Theorem 1 where sequences  $\ell_n$  and  $y_n$  are defined in the following way

$$\ell_n = (T+(-1)^{n-1}(A_k)^{2-n}(B_k)^{n-1})\ell_{n-1} + f, \quad \ell_0 = z_{k-2} \quad (n=1, 2)$$

$$y_n = (T+(-1)^n(A_k)^{n-1}(B_k)^{2-n})y_{n-1} + f, \quad y_0 = z_{k-2}$$

Afterwards it is necessary to show that for  $k=2i$  the quantities

$$p_1 = \delta z_{k-1} + A_k w_k$$

$$q_2 = \delta z_{k-1} - B_k w_k$$



$$p_2 = \delta z_k - \phi_k(B, A) - B_k w_k$$

$$q_2 = \delta z_k + \phi'_k(B, A) + A_k w_k$$

and, for  $k=2i+1$  the quantities

$$p_1 = \delta z_{k-1} + B_k z_{k-2}$$

$$q_1 = \delta z_{k-1} - A_k z_{k-2}$$

$$p_2 = \delta z_k + B_k z_{k-2} + \phi_k(B, A)$$

$$q_2 = \delta z_k - A_k z_{k-2} - \phi'_k(B, A)$$

satisfy inequalities (12) and (13) for  $j=2$ ,  $s_2=\alpha_k$ ,  $S_2=G_k$ .

**THEOREM 4.** Let the operators  $A_k$  and  $B_k$  be commutative with operator  $T$ . Then, Theorem 3 holds if  $\phi_k$  is replaced by  $\phi_k$  and  $\phi'_k$  by  $\phi'_k$ .

**COROLLARY 3.** If condition 3.1 is satisfied by  $A_k u_k \leq 0$  and  $B_k u_k \leq 0$ ,  $u_k$  is determined by (10), then,

$$\phi_k(B, A) \leq 0 \quad \text{and} \quad \phi'_k(B, A) \leq 0.$$

In the above theorems the invariant intervals for operator  $T'$  were determined. The interval boundaries were expressed in terms of a function of  $x_n$  and  $z_n$ . Using the relation between  $x_n$  and  $z_n$  we shall determine a somewhat wider interval  $Iz_k(U, m, V, n)$ , so that

$$Ix_2(u, 0, v, 0) \subset Iz_k(U, m, V, n) = Iz_k$$

We shall show that for  $k=2i$

$$\begin{cases} m_k = -c + U_k(k + q_k) \\ n_k = k - V_k(c + p_k) \end{cases}$$

and for  $k=2i+1$

$$\begin{cases} m_k = -c + U_k(-k - p_k) \\ n_k = k + V_k(c + q_k), \text{ where} \\ U_k = u_2, \quad V_k = v_2, \end{cases}$$

$$(25) \quad \begin{cases} p'_k = \delta z_{k-1} - p_1 \\ q'_k = q_1 - \delta z_{k-1} \end{cases}$$

$$\begin{cases} c_2 \leq c \\ k_2 \leq k \end{cases} .$$

The functions which we can introduce for  $c$  and  $k$  as well as for  $p_1$  and  $q_1$  differ from the theorem to theorem and should be determined for each theorem separately.

For  $k=2i$  we have  $U_k < 0$ ,  $V_k < 0$  (Lemma 1.) and

$$\begin{aligned} \bar{z}_1 - q'_k &\leq x_1 \leq \bar{z}_1 + p'_k \\ \bar{z}_2 - c &\leq x_2 \leq \bar{z}_2 + k , \end{aligned}$$

so that

$$\begin{aligned} x_2 + U_k \delta x_2 &\geq z_k - c + U_k (\delta z_k + k + q'_k) = Gz_k(U) + m_k \\ x_2 + V_k \delta x_2 &\leq z_k + k + V_k (\delta z_k - c - p'_k) = Gz_k(V) + n_k . \end{aligned}$$

For  $k=2i+1$  we have  $U_k > 0$ ,  $V_k > 0$  and

$$\begin{aligned} \bar{z}_1 - p'_k &\leq x_1 \leq \bar{z}_1 + q'_k \\ \bar{z}_2 - c &\leq x_2 \leq \bar{z}_2 + k , \end{aligned}$$

so that

$$\begin{aligned} x_2 + V_k \delta x_2 &\leq Gz_k(V) + n_k \\ x_2 + U_k \delta x_2 &\geq Gz_k(U) + m_k . \end{aligned}$$

**THEOREM 5.** *Let us suppose that by means of Theorem 1 or Theorem 3 the interval  $Iz_k$  and  $Iz_{k+1}$  are determined, and that*

$$5.1. \quad q_k \leq q_{k+1} , \quad G_k \geq G_{k+1}$$

$$5.2. \quad p'_i \geq 0 , \quad q'_i \geq 0 , \quad (i=k, k+1) , \quad \text{where } p'_i \text{ and } q'_i \text{ are determined by (25). Then,}$$

$$(26) \quad Iz_k \subseteq Iz_{k+1}$$



**P r o o f.** We shall demonstrate only a part of the statement concerning Theorem 1. Theorem 3 can be proved in an analogous way. According to (23) and (24) for  $k=2i$

$$A_k w_k \geq 0, \quad B_k w_k \geq 0, \quad A_{k+1} z_{k-1} \geq 0, \quad B_{k+1} z_{k-1} \geq 0,$$

hence because of Corollary 2  $\phi'_k(A, B) \geq 0$  and  $\phi_k(A, B) \geq 0$ .

Consider the difference between the lower limits of the intervals  $Iz_{k+1}$  and  $Iz_k$  :

$$\begin{aligned} & z_{k+1} + g_{k+1} \delta z_{k+1} + m_{k+1} - z_k + G_k \delta z_k - m_k \geq -\delta z_{k+1} (1 - g_{k+1}) + G_{k+1} \delta z_k + \\ & + m_{k+1} - m_k = -\delta z_{k+1} (1 - g_{k+1}) + G_{k+1} \delta z_k - \phi_{k+1}(A, B) - g_k (\phi'_{k+1}(A, B) + \\ & + B_{k+1} z_{k+1}) + \phi_k(A, B) + G_k (\phi'_k(A, B) + B_k w_k) \geq G_{k+1} \delta z_k - \delta z_{k+1} (1 - g_{k+1}) - \\ & - \phi_{k+1}(A, B) - g_{k+1} (\phi'_{k+1}(A, B) + B_{k+1} z_{k+1}) + \phi_k(A, B) + G_k (\phi'_k + B_k w_k) \geq 0, \end{aligned}$$

since, according to 1.2 b) for  $k+1=2i+1$

$$\begin{aligned} & G_{k+1} \delta z_k - (1 - g_{k+1}) \delta z_{k+1} - g_{k+1} (B_{k+1} z_{k+1} + \phi'_k(A, B)) - \\ & - \phi_k(A, B) \geq A_{k+1} z_{k+1} + G_{k+1} B_{k+1} z_{k+1} \geq 0. \end{aligned}$$

For the upper limits

$$\begin{aligned} & z_k - g_k \delta z_k + n_k - z_{k+1} - G_{k+1} \delta z_{k+1} - n_{k+1} \geq (1 - G_{k+1}) \delta z_{k+1} - \\ & - g_{k+1} \delta z_k - G_{k+1} \phi_{k+1}(A, B) - G_{k+1} A_{k+1} z_{k+1} - \phi'_k(A, B) + \\ & + \phi'_k(A, B) + g_k (\phi_k(A, B) + A_k w_k) \geq 0. \end{aligned}$$

In this way we have obtained relation (26) for  $k=2i$ . The procedure is analogous for  $k=2i+1$ .

Relation (26) for the intervals determined by Theorems 2 and 4 can be obtained in a similar way, if it can be stated that  $k$  and  $c$  are nonnegative.

**REMARK 1.** When  $A_k = B_k = 0$  and  $B = R^n$ , Theorem 1, 2, 3 and 4 are reduced to Theorem 1.2 |1|.

Experimental results. In [4] and [5] a nonstationary iterative procedure for solving the Fredholm integral equation of the second kind was described.

$$(27) \quad u(s) = \int_a^b K(s,t)u(t)dt + f(s), \quad (s,t \in [a,b] = I)$$

$$f(s) \in C(I), \quad K(s,t) \in C(I \times I), \quad (a,b \in \mathbb{R}).$$

The approximate solution  $z_k(s)$  is determined from the formulas

$$(28) \quad \begin{cases} z_0 = f \\ z_1 = K_{m1}z_0 + f \\ z_k = K_{mk}p_n r_n z_{k-1} + f, \quad (k=2,3,\dots) \end{cases}$$

where

a)  $r_n$  - operator,  $r_n : C = C(I) \rightarrow \mathbb{R}^n$ ,  $r_n u = \{u(s_i)\}_{i=1}^n$

b)  $p_n$  - operator,  $p_n : \mathbb{R}^n \rightarrow C$ ,  $p_n z = S_\Delta(z, s_j)$ ,

$S_\Delta(z, s_j)$  - third degree spline on the grid

$$\Delta : a = s_1 < s_2 < \dots < s_n = b$$

c)  $K : C \rightarrow C$

$$Ku = \int_a^b K(s,t)u(t)dt$$

The operators  $K_m$  approximate the integral operator  $K$  and they arise as a result of replacement of the integral by some quadratic formula.

d)  $K_m : C \rightarrow C$

$$K_m u = \sum_{j=0}^p d_j(m) K(s, t_j) u(t_j), \quad p = 2^m + 1 \quad \text{where } d_j(m) - \text{wei-}$$

ghting coefficient of the applied quadratic formula and  $m$  is determined by

$$\|r_n(K_m u - K_{m-1} u)\| \leq \varepsilon, \quad \varepsilon > 0 \quad \text{given.}$$



The iterative procedure (28) has the form (3) if it is taken that

$$\rho_k = K_{m_k} p_n r_n z_{k-1} - K z_{k-1}.$$

In [4] and [5] the conditions were given which enable us to get the estimation of the form

$$(29) \quad |\rho_k| \leq \varepsilon_1, \quad \varepsilon_1 = \varepsilon_1(\varepsilon, \|\Delta\|, m_k, q),$$

where  $q$  is the order accuracy with which the calculations were carried out. If an estimation of the form (29) is possible, then Theorems 2 and 4 can be applied onto (28) where it has been taken that

$$(30) \quad A_k = B_k = (\varepsilon_1 / (\min_t z_{k-2}(t))^{-1}) E.$$

An application of Theorem 2 is demonstrated on Love's equation

$$K(s, t) = \frac{-1}{\pi(1+(s-t)^2)}, \quad -1 \leq s, t \leq 1$$

$$f(s) = 1$$

The computation is stopped when for some  $p$

$$|r_n(z_p - z_{p-1})| \leq \delta.$$

The extremes were determined by means of the cubic spline so that an error of the order  $O(\|\Delta\|^3)$  has been introduced ([4]).

The results are shown in Table 1.  $DG_k$  denotes the lower interval limit obtained after the  $k$ -th integration and  $GG_k$  the upper limit, while  $AS_k$  denotes the arithmetic mean of the obtained interval. For a comparison, we give the results of Brakhage (Über die numerische Behandlung von Integralgleichungen nach der Quadraturformel Methode, Numer. Math. 2, 183-196, (1960)).

| $s_i$ | $\tilde{u}(s_i)$ |
|-------|------------------|
| 0     | 0.6574172        |
| 0.25  | 0.6638282        |
| 0.5   | 0.6831709        |
| 0.75  | 0.7148688        |
| 1     | 0.7577358        |

where  $|u^*(s_i) - \tilde{u}(s_i)| \leq 0.0024$ ,  $u^*(s)$  is the solution of equation (27)



TABLE 1.

|    | $\epsilon_1 = 10^{-4}$         |             |             | $\delta = 10^{-6}$ |             |             | $\epsilon = 10^{-5}$ |               |            |
|----|--------------------------------|-------------|-------------|--------------------|-------------|-------------|----------------------|---------------|------------|
| 1  | $DG_2(s_i)$                    | $GG_2(s_i)$ | $AS_2(s_i)$ | $DG_3(s_i)$        | $GG_3(s_i)$ | $AS_3(s_i)$ | $z_3(s_i)$           | $z_{18}(s_i)$ | $z_2(s_i)$ |
| 1  | .6566548                       | .6587830    | .6577189    | .6569388           | .6578150    | .6573770    | .6249890             | .6574104      | .7288849   |
| 2  | .6569104                       | .6569374    | .6579740    | .6571951           | .6580708    | .6576331    | .6252747             | .6576662      | .7290754   |
| 3  | .6576786                       | .6598005    | .6587396    | .6579645           | .6588385    | .6584015    | .6261320             | .6584349      | .7296479   |
| 4  | .6589601                       | .6610739    | .6600170    | .6592481           | .6601195    | .6596839    | .6275620             | .6597166      | .7306042   |
| 5  | .6607566                       | .6628587    | .6618078    | .6610472           | .6619153    | .6614814    | .6295655             | .6615236      | .7319465   |
| 6  | .6630678                       | .6651559    | .6641119    | .6633620           | .6642258    | .6637940    | .6321411             | .6638279      | .7336788   |
| 7  | .6659029                       | .6679728    | .6669378    | .6662002           | .6670587    | .6666296    | .6352980             | .6666608      | .7358048   |
| 8  | .6692557                       | .6713049    | .6702805    | .6695573           | .6704090    | .6699831    | .6390278             | .6700139      | .7383280   |
| 9  | .6731286                       | .6751542    | .6741414    | .6734343           | .6742787    | .6738565    | .6433313             | .6738865      | .7412517   |
| 10 | .6775193                       | .6795185    | .6785190    | .6778290           | .6786654    | .6782472    | .6482043             | .6782765      | .7445774   |
| 11 | .6824231                       | .6843927    | .6834080    | .6827388           | .6835661    | .6831524    | .6536431             | .6831827      | .7483046   |
| 12 | .6878309                       | .6897686    | .6887999    | .6881499           | .6889672    | .6885586    | .6596296             | .6885879      | .7524297   |
| 13 | .6937294                       | .6956322    | .6946809    | .6940510           | .6948576    | .6944544    | .6661501             | .6944826      | .7569458   |
| 14 | .7001002                       | .7019658    | .7010331    | .7004232           | .7012186    | .7008209    | .6732827             | .7008481      | .7618415   |
| 15 | .7069178                       | .7087445    | .7078311    | .7072418           | .7080252    | .7076335    | .6806993             | .7076597      | .7671001   |
| 16 | .7141521                       | .7159381    | .7150452    | .7144756           | .7152464    | .7148612    | .6886642             | .7148862      | .7726998   |
| 17 | .7217648                       | .7235084    | .7226367    | .7220736           | .7228317    | .7224526    | .6970160             | .7224901      | .7786131   |
| 18 | .7297127                       | .7314122    | .7305642    | .7300320           | .7307768    | .7304044    | .7057638             | .7304242      | .7848067   |
| 19 | .7379453                       | .7395997    | .7387726    | .7382593           | .7389903    | .7386248    | .7147927             | .7386456      | .7912426   |
| 20 | .7464106                       | .7480192    | .7472150    | .7467139           | .7474360    | .7470777    | .7240670             | .7470963      | .7978809   |
| 21 | .7550457                       | .7566085    | .7558272    | .7553496           | .7560527    | .7557013    | .7335219             | .7557197      | .8046689   |
|    | $g_k$                          |             | 0.3069177   |                    |             |             | 0.3089443            |               |            |
|    | $G_k$                          |             | 0.3149267   |                    |             |             | 0.3145148            |               |            |
|    | $\max(G_k(s_i) - DG_k(s_i))/2$ | 0.0010140   |             |                    |             |             | 0.0004381            |               |            |



## REFERENCES

- | 1| Albrecht, J.: *Fehlerschranken und Konvergenzbeschleunigung bei einer monotonen oder alternierenden Iterationsfolge*. Numer. Math. 4, 196-208 (1962).
- | 2| Albrecht, J.: *Iterationsfolgen und ihre verwendung zur Lösung linearer Gleichungssysteme*. Numer.Math., 3, 345-358 (1961).
- | 3| Surla, K.: *Numeričko rešavanje Fredholmove integralne jednačine primenom splajn aproksimacija*, Zbornik radova PMF-a Univerziteta u Novom Sadu, 8, 113-119 (1978)
- | 4| Surla, K.: *Nestacionarne iterativne metode pri rešavanju operatorskih jednačina*. Doktorska disertacija, Novi Sad, (1980).
- | 5| Surla, K.: *Aposteriorna ocena greške i ubrzanje nestacionarnih iterativnih postupaka kada je poznata jedna sopstvena vrednost operatora i odgovarajući sopstveni element*, Zbornik radova PMF-a Univerziteta u Novom Sadu, 10, 123-136 (1980).

## REZIME

O ALTERNATIVNIM NESTACIONARNIM  
ITERATIVNIM POSTUPCIMA

U |1| su date teoreme koje omogućavaju ubrzanje stacionarnih iterativnih postupaka i daju aposteriornu ocenu greške. U ovom radu su dokazane analogne teoreme za nestacionarne iterativne postupke.

*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu  
knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11 (1981)*

# ON AN A POSTERIORI ERROR ESTIMATION IN SOLVING SOME CLASSES OF OPERATORS EQUATIONS

*Katarina Surla*

*Prirodno-matematički fakultet. Institut za matematiku  
21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

In [7] and [8] some possibilities were described for determining invariant intervals for positive linear operators by means of nonstationary iterative methods. Here the application of these results will be demonstrated for approximate solutions of the systems of integral and linear equations. In this way important information about the equation solution can be obtained on the basis of two iterations alone. At the same time, an acceleration of the iterative procedure is achieved.

We shall first define some operators and list notations and theorems which will be used in the present paper. The integral operator  $K : C(I) \rightarrow C(I)$ ,  $I = [a, b]$

$$(1) \quad Ku = \int_a^b K(s, t)u(t)dt, \quad u \in C(I).$$

The restriction operator  $r_n : C(I) \rightarrow R^n$

$$r_n u = \{u(s_i)\}_{i=1}^n, \quad u \in C(I).$$

The prolongation operator  $P_n : R^n \rightarrow C(I)$

$$P_n z = S_{\Delta}(z, s), \quad z \in R^n,$$

where  $S_{\Delta}(z, s)$  is a third order spline on the grid

$$\Delta : a = s_1 < s_2 < \dots < s_n = b$$

with the ordinates  $z_i$  ( $i=1, 2, \dots, n$ ).



The operators  $K_m$  and  $K'_m$  approximate the operator  $K$  and they are obtained if the integral in (1) is substituted by the Newton-Cotes quadrature formula.

$$K_m, K'_m : C(I) \rightarrow C(I)$$

$$K_m u = \sum_{j=0}^{2m+1} d_j(m) K(s, t_j) u(t_j),$$

where  $m$  is determined by

$$\|r_n(K_m u - K_{m-1} u)\| \leq \sigma, \quad \sigma > 0, \text{ is given,}$$

$$K'_m u = \sum_{j=0}^{2m+1} d_j(m) K(s, t_j) u(t_j),$$

where  $m$  is determined by

$$\|K'_m u - K'_{m-1} u\| \leq \sigma, \quad \sigma > 0 \text{ is given.}$$

$$T'x = Tx + f$$

$$\delta z_k = z_k - z_{k-1}$$

$$Gz_k(s) = z_k + s\delta z_k$$

$$Iz_k(s, t, p, q) = [Gz_k(s) + t_k, Gz_k(p) + q_k]$$

$$R_k(A, B) = T_{k-1} B_k z_{k-2} + B_k z_{k-1} + (B_k A_k + B_k^2 + A_k) z_{k-2}$$

$$R'_k(A) = T_{k-1} A_k z_{k-2} + A_k (z_{k-1} + z_{k-2})$$

$$r_k(A, B) = 2B_k z_{k-1} + 2B_k A_k z_{k-2} + B_k^2 z_{k-2} - B_k f$$

$$r'_k(A) = 2A_k z_{k-1} + A_k^2 z_{k-2} - A_k f$$

$$\|u\| = \max_{t \in I} |u(t)|, \quad u \in C(I)$$

$$\|z\| = \max_{1 \leq i \leq n} \|z_i\|, \quad z \in \mathbb{R}^n$$

$$w_2(f, h) = \sup_{|t| \leq h} \sup_{x-t, t, x+te \in [a, b]} |f(x+t) - 2f(x) + f(x-t)|$$

$B$  - Banach's partially ordered space,

$E$  - identity operator.

THEOREM 1. [8] Let the linear positive operator  $T$  be defined in  $B$  and let for some  $k \geq 2$  in the sequence

$$(2) \quad \begin{cases} z_n = T_n z_{n-1} + f, & (f, z_0 \in B) \\ T_n x = Tx + \rho_n, & (\rho_n \in B) \end{cases}$$

the following hold:

There exist positive linear operators  $A_k$  and  $B_k$  such that

$$1.1. \quad (T - A_k) z_{k-2} \leq T_n z_{k-2} \leq (T + B_k) z_{k-2} \quad (n=k-1, k)$$

$$1.2. \quad \delta z_{k-1} > (A_k + B_k) z_{k-2};$$

1.3 There exist real numbers  $s_k, S_k$  such that

$$a) \quad 0 < s_k < S_k$$

$$b) \quad \delta z_k - s_k (\delta z_{k-1} - \delta z_k) \geq (1 + 2s_k) A_k z_{k-2} + (1 + s_k) r_k(A, B)$$

$$c) \quad S_k (\delta z_{k-1} - \delta z_k) - \delta z_k \geq (1 + 2S_k) B_k z_{k-2} + (1 + S_k) r'_k(A).$$

Then the operator  $T'$  maps the interval  $Ix_2(\mu, 0, \eta, 0)$  into itself. Simultaneously  $\mu_2 = s_k, \eta_2 = S_k$  and

$$x_n = Tx_{n-1} + f, \quad x_0 = z_{k-2} \quad (n=1, 2, \dots, )$$

In [8] it was supposed that the value of operator  $T$  and, consequently, the sequence  $x_n$  can not be calculated exactly. For this reason by the sequence (2) the wider interval (3) is to be determined.

$$(3) \quad Iz_k(U, a, V, b) \supseteq Ix_2(\mu, 0, \eta, 0), \text{ where}$$

$$\mu_2 = U_k, \quad \eta_2 = V_k,$$

$$a_k = -(1 + U_k) R_k(A, B) - U_k A_k z_{k-2}$$

$$b_k = (1 + V_k) R'_k(A) + V_k B_k z_{k-2}$$

THEOREM 2. [8] If the operators  $A_k$  and  $B_k$  are commutative with  $T$ , then in Theorem 1 it is possible to replace  $R_k(A, B)$  with  $r_k(A, B)$  and  $R'_k(A)$  with  $r'_k(A)$ .



THEOREM 3. [8] Let the intervals  $Iz_k$  and  $Iz_{k+1}$  be determined by Theorem 1 and let

$$3.1. \quad s_k \leq s_{k+1} \quad , \quad S_k \geq S_{k+1}$$

$$3.2. \quad A_k z_{k-2} \geq 0 \quad , \quad B_k z_{k-2} \geq 0 \quad .$$

Then  $Iz_{k+1} \subseteq Iz_k$

1. We shall now consider the integral equation

$$(4) \quad u = Ku + f, \quad a, b \in R.$$

In order to find an approximate solution of equation (4), we shall use the nonstationary iterative procedure

$$(5) \quad z_k = K'_m z_{k-1} + f, \quad z_0 = f \quad (k=1, 2, \dots).$$

THEOREM 4. Let for solving equation (4) a nonstationary iterative procedure (5) be applied with the Newton-Cotes quadrature formula, which is exact for polynoms of the order  $p, p \leq l$ .

Let

$$4.1 \quad K(s, t) \geq 0, \quad K(s, t) \in C^{l+1}(I \times I)$$

$$4.2 \quad f(s) > 0, \quad f(s) \in C^{l+1}(I)$$

$$4.3 \quad \frac{\partial^{l+1}}{\partial^{l+1}} [K(s, t) z_p(t)] \quad (p=k-1, k-2), \text{ which does not change}$$

the sign on  $I$ .

Let for some  $k \geq 2$  in sequence (5) it hold:

$$4.4 \quad \delta z_{k-1} > 2\epsilon z_{k-2} \quad , \quad \text{where}$$

$$(6) \quad \epsilon \geq \sigma(\min_t z_{k-2}(t))^{-1}$$

$$4.5 \quad 0 < m \leq M < 1 \quad , \quad \text{where}$$

$$m = \min_t m(t, \epsilon), \quad M = \max_t M(t, \epsilon),$$

$$m(t, \epsilon) = (\delta z_k(t) - F(t)) (\delta z_{k-1}(t) + \epsilon z_{k-2}(t))^{-1}$$

$$M(t, \varepsilon) = (\delta z_k(t) + F(t) - 2\varepsilon^2 z_{k-2}(t)) \cdot (\delta z_{k-1}(t) - \varepsilon z_{k-2}(t))^{-1}$$

$$F(t) = 2\varepsilon z_{k-1}(t) + (3\varepsilon^2 + \varepsilon) z_{k-2}(t) - \varepsilon f(t)$$

Then, equation (4) has a solution  $u^*(s)$  in the interval  $Iz_k(s, t, S, q)$ , where

$$s_k = m_k / (1 - m_k), \quad S_k = M_k / (1 - M_k)$$

$$t_k = -(1 + s_k) (2\varepsilon z_{k-1}(t) + \varepsilon^2 z_{k-2}(t) - \varepsilon f(t)) + s_k \varepsilon z_{k-2}(t)$$

$$q_k = (1 + S_k) (2\varepsilon z_{k-1}(t) + 3\varepsilon^2 z_{k-2}(t) - \varepsilon f(t)) + S_k \varepsilon z_{k-2}(t).$$

**P r o o f.** Since  $f > 0$  then  $z_{k-2} > 0$  ( $k \geq 2$ ). According to Theorem 3.1 [6]

$$\|Kz_i - K_{m_i+1} z_i\| \leq \sigma.$$

If we put  $A_k = B_k = \varepsilon E$ ,  $\varepsilon$  being determined by (6), then onto the iterative sequence (5) Theorem 2 can be applied from which follows the existence of an invariant interval. The existence of a solution can be obtained from the monotony of the stationary sequence formed as in Theorem 2 or from Schauder's fixed point theorem.

For an application of Theorem 2 on the nonstationary procedure of the form

$$r_n z_1 = r_n K_{m_1} f + r_n f$$

$$r_n z_{k+1} = r_n K_{m_k} p_n r_n z_k + r_n f, \quad (k=1, 2, \dots)$$

see [9] and [7].

2. In [5], the solving the system of integral equations was described

$$(7) \quad Y = \lambda \tilde{K} Y + F$$

where  $Y$  and  $F$  are vector functions

$$Y = \{y^i(t)\}_{i=1}^n, \quad F = \{f^i(t)\}_{i=1}^n$$

and  $\tilde{K}$  a matrix  $n \times n$  whose element are operators  $K_{ij}$ ,

$$K_{ij} : C(I) \rightarrow C(I)$$



$$K_{ij}x = \int_a^b K_{ij}(s,t)x(t)dt$$

It was assumed that  $f^i(t)$  and  $K_{ij}(s,t)$  are continuous and periodic functions with a period  $b-a$  for all variables. For solving system (7) an iterative procedure is to be applied

$$(8) \quad Y_k = F + \lambda \tilde{K} S_{\Delta}(Y_{k-1}, t), \quad Y_0 = F \quad (k=1, 2, \dots)$$

where

$$S_{\Delta}(Y_{k-1}, t) = \{S_{\nabla}(Y_{k-1}^i, t)\}_{i=1}^n.$$

For this a periodic cubic spline and an equidistant grid were used. It has been shown ([5]) that procedure (8) converges if

$$\frac{1}{4} (1+3\sqrt{3}) |\lambda| K_0 n(b-a) < 1$$

$$K_0 \geq \max_{s,t} |K_{ij}(s,t)|, \quad (i, j=1, 2, \dots, n).$$

If the integral operators in (7) are positive, then Theorem 1 can be applied to procedure (8). Note that operator  $K_{ij}S$  defined as

$$K_{ij}Sx = \int_a^b K_{ij}(s,t)S_{\Delta}(x,t)dt,$$

is not linear. Let us define the operators  $T_k$  and  $T_{k-1}$  in this way:

$$(9) \quad T_j Y = \lambda \tilde{K} Y + \rho_j, \quad \rho_j = \lambda \tilde{K} R_{j-1} \quad (j=k, k-1)$$

$$R_j = S_{\Delta}(Y_j, t) - Y_j.$$

According to Theorem 1 in [5], it holds that :

$$-(1 + \frac{2}{3\sqrt{3}}) W_2(Y_j, h) \leq R_j \leq (1 + \frac{2}{3\sqrt{3}}) W_2(Y_j, h),$$

$$W_2(Y_j, h) = \{w_2(Y_j^i, h)\}_{i=1}^n.$$

Furthermore

$$(10) \quad -C\bar{K}W_2(Y_j, h) \leq \lambda \tilde{K} R_j \leq C\bar{K}W_2(Y_j, h), \text{ where}$$

$$\bar{K} = \{\bar{K}_{ij}\}_{i,j=1}^n$$

$$\bar{K}_{ij} = \max_{s,t} \int_a^b K_{ij}(s,t)dt, \quad C = \lambda(1 + \frac{2}{3\sqrt{3}}).$$

Before proceeding to further Theorems we shall introduce some new notations

$$\begin{aligned}
 H &= C \psi, \text{ a } \psi \text{ matrix } n \times n \text{ with the elements } \psi_{ij}, \\
 \psi_{ij} &= \bar{K}_{ij} \max_t [\bar{w}_2(y_{k-1}^i, h) w_2(y_{k-1}^i, h)] (\min_t |y_{k-2}^i(t)|)^{-1} \\
 \phi_k(t) &= T_{k-1} H y_{k-2}^i(t) + H^i y_{k-1}(t) + H(2H+E) y_{k-2}^i(t) \\
 m_k^i(t, H) &= (\delta y_k^i(t) - H y_{k-2}^i(t) - \phi_k(t) (\delta y_{k-1}^i(t) + H y_{k-2}^i(t)))^{-1} \\
 M_k^i(t, H) &= (\delta y_k^i(t) + H y_{k-2}^i(t) + \phi_k(t) - 2H^2 y_{k-2}^i(t)) \cdot (\delta y_{k-1}^i(t) - H y_{k-2}^i(t))^{-1}
 \end{aligned}$$

**THEOREM 5.** Let for solving system (7) the iterative procedure (8) be applied and let the above assumptions on the continuity and periodicity of the functions  $f^i(s)$  and  $K_{ij}(s, t)$  hold.

Let  $K_{ij}(s, t) \geq 0$ ,  $f^i(s) > 0$  ( $i, j=1, 2, \dots, n$ )

Let for some  $k \geq 2$  in sequence (8) the following hold:

$$a) \quad \delta y_{k-1} > H y_{k-2}, \quad b) \quad 0 < m \leq M < 1,$$

$$m_k \leq \min_i \left( \min_t m_k^i(t, H) \right), \quad M_k \geq \max_i \left( \max_t M_k^i(t, H) \right)$$

Then, system (7) has a solution within the interval

$$(11) \quad IY_k(U, a, V, b), \quad \text{where}$$

$$U_k = \frac{m_k}{1-m_k}, \quad V_k = \frac{M_k}{1-M_k} \quad \text{and } a_k \text{ and } b_k \text{ are defined by}$$

(3) for

$$(12) \quad A_k = B_k = H.$$

**P r o o f.** By a direct application of Theorem 1 the existence of an invariant interval for the operator  $K'$

$K'Y = \tilde{K}Y + F$  is obtained. For this the relations (9), (10) and (12) are to be used. The solution existence is obtained by means of Schauder's fixed point theorem. Interval (11) contains invariant interval determined by Theorem 1.



In a similar way, Theorem 3 can be applied to give the relation between intervals (11) determined by steps  $k$  and  $k+1$ .

3. Let the systems of linear equations be given by

$$(13) \quad \begin{aligned} x &= Tx + f, \quad f \in R^n \\ z &= T^*z + f \end{aligned}$$

$T$  and  $T^*$  are matrices  $n \times n$  with elements  $t_{ij}$  and  $t_{ij}^*$  respectively. Let us suppose that

$$\begin{aligned} t_{ij}^* &= t_{ij} + r_{ij} \\ |r_{ij}| &\leq \epsilon \end{aligned}$$

The following theorem gives a two-sided iterative procedure for the approximate solving of systems (13) via matrix  $T^*$ .

THEOREM 6. Let  $T \geq 0, T^* \geq 0$ .

Let for some  $k$  in the sequence

$z_n = T^*z_{n-1} + f, (n=1, \dots)$  the following inequalities hold

$$a) \quad \delta z_{k-1} \geq 0$$

$$b) \quad m_k \delta z_{k-1} \leq \delta z_k \leq M_k \delta z_{k-1}$$

$m_k$  and  $M_k$  are real numbers such that

$$0 < m_k \leq M_k < 1.$$

Let for some  $j$  in the sequences

$$(14) \quad \begin{cases} v_j = T^*v_{j-1} + f, & v_0 = t_k + M_k \delta z_k / (1 - M_k) \\ u_j = T^*u_{j-1} + f, & u_0 = z_k + m_k \delta z_k / (1 - m_k) \end{cases} \quad (j=1, 2, \dots)$$

it hold that

$$(15) \quad \begin{cases} v_{j-1} - v_j \geq \epsilon D v_{j-1} \\ -u_{j-1} + u_j \geq \epsilon D' u_{j-1} \end{cases}$$

$D$  matrix  $n \times n$ ,  $d_{ij} = 1$  ( $i, j=1, 2, \dots, n$ )

$D'$  matrix  $n \times n$ ,

$$d'_{ij} = \begin{cases} d_{ij} & t_{ij}^* \geq \varepsilon \\ 0 & t_{ij}^* < \varepsilon \end{cases}$$

Then, system (13) has  $x^*$  as a solution and the following estimation is valid

$$u_j - \varepsilon D' u_{j-1} \leq x^* \leq v_j + \varepsilon D v_{j-1}.$$

**P r o o f.** If we introduce the notations

$$V_1 = (T^* + \varepsilon D) V_0 + f, \quad V_0 = v_{j-1}$$

$$U_1 = (T^* - \varepsilon D') V_0 + f, \quad U_0 = u_{j-1}$$

then, because of (15),

$$V_0 - V_1 \geq 0, \quad U_1 - U_0 \geq 0, \quad 0 \leq U_0 \leq V_0.$$

Since

$$T^* - \varepsilon D' \leq T \leq T^* + \varepsilon D,$$

after applying the Theorem ( $|3|$  p. 346), it follows that

$$U_1 \leq x^* \leq V_1$$

Because of (15), the statement is proved.

#### REMARK 1.

The conditions a) and b) determine the invariant interval for  $T$  ( $|2|$ ). If such an interval could be determined in another way, then for  $u_0$  and  $v_0$  in (14) the limits of such an interval can be chosen.

#### REMARK 2.

The two-sided procedure (14) is performed with one matrix only, i.e. with the one for which is supposed to be given. In  $|3|$  a similar procedure is obtained for two different matrices.

The monotony is preserved while the conditions (15) resulting from the given matrix  $T$  are valid.



## REMARK 3.

In Theorem 5 the errors are not taken into account either with which  $f$  was defined or the rounding errors. A control of these errors is possible if the following matrix instead of the matrix  $\epsilon D$ , is taken

$$\bar{D} = \epsilon_j E + \epsilon D, \quad \text{where}$$

$$\epsilon_j \geq \sigma_j (\min_i v_{j-1}^i)^{-1}, \quad |\rho_j^i| \leq \sigma_j$$

$\rho_j = \rho_j' + r$ ,  $\rho_j'$  are rounding errors, and  $r$  is the error by which the vector  $f$  was given. Instead of the matrix  $\epsilon D'$ , the matrix  $G$  should be taken

$$g_{ii} = \begin{cases} \epsilon_i' + \epsilon & \text{for } t_{ii}^* \geq \epsilon + \epsilon_i \\ 0 & \text{otherwise} \end{cases}$$

$$g_{ij} = \begin{cases} \epsilon & \text{for } t_{ij}^* \geq \epsilon, \quad i \neq j \\ 0 & \text{otherwise} \end{cases}$$

$$\epsilon_j' = \sigma_j (\min_i u_{j-1}^i)^{-1}$$

## REMARK 4.

The application of Theorem 1, 2 and 3 for solving a system of linear equations in the case when both the matrix and the vector are given by an error and when the rounding errors are present was demonstrated in [7]. The ideas about the determination of operators  $A_k$  and  $B_k$  for such cases were given in [9].

## REFERENCES

- [1] Alberg D. Ž., Nilson E., Uolš D. Ž., *Teorija splajnov i ee prilazhenija*, Moskva (1972).
- [2] Albrecht, J., *Fehlerschranken und Konvergenzbeschleunigung bei einer monotonen oder alternierenden Iterationsfolge*, Numer. Math. 4, 196-208, (1962).
- [3] Albrecht J., *Iterationsfolge und ihre Verwendung zur Lösung linearer Gleichungssysteme*. Numer. Math. 3, 345-358 (1961).
- [4] Kurpel' N. S., *Dvustoronnije metody rešenija sistem uravnenij*. Kiev (1975).

- [5] Poljakov, V.R., *Splajn-funkcii pri rešenii sistem linejnyh integral'nyh uravnenij*, *Metody količ. i kačas. isled. diff. i integr. uravn.*, Kiev (1975).
- [6] Rowland J.H., Miel G.J., *Exit criteria for Newton-Cotes quadrature rules*. *Siam J. Numer. Anal.* Vol. 14, N 6, (decem. 1977).
- [7] Surla K., *Nestacionarne iterativne metode pri rešavanju operatorskih jednačina*, *Doktorska disertacija*, Novi Sad (1980).
- [8] Surla K., *Determination of the invariant interval for the positive linear operators by a nonstationary iterative procedure*, *Publ. Inst. Math. T 30 (44)*, 1981, 177-187.
- [9] Surla K., *Aposteriorna ocena greške i ubrzanje nestacionarnih iterativnih postupaka kada je poznata jedna sopstvena vrednost operatora i odgovarajući sopstveni elemenat*. *Zbornik radova PMF, Univ. u Novom Sadu*. 10, 123-136 (1981).

# REZIME

## O APOSTERIORNOJ OCENI GREŠKE PRI REŠAVANJU NEKIH KLASA OPERATORSKIH JEDNAČINA

U [7] i [8] su date teoreme koje omogućavaju određivanje invarijantnog intervala za pozitivne linearne operatore primenom nestacionarnih iterativnih postupaka. Dokazi se zasnivaju na egzistenciji specijalnih pozitivnih operatora. U ovom radu je pokazana konstrukcija navedenih operatora i primena dobijenih rezultata na približno rešavanje sistema linearnih i integralnih jednačina.





# WEYL - OTSUKI SPACES OF THE SECOND AND THIRD KIND

*Mileva Prvanović*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

## 1. INTRODUCTION

The basic objects of spaces defined and investigate by T.Otsuki [1] are as follows: a tensor field  $P$  of the type  $(1,1)$  ( $\det(P_j^i) \neq 0$ ) and the coefficients  $\Gamma_{jk}^i$  and  $"\Gamma_j^i$  of the connections  $\Gamma$  and  $"\Gamma$  respectively. These connections are the contravariant respective covariant part of the regular general connection  $\Gamma$ , i.e.  $\Gamma$  is the ordinary affine connection with the help of which is defined the covariant derivative of a contravariant vector:

$$D_k v^i = \left( \frac{\partial v^a}{\partial x^k} + \Gamma_{sk}^a v^s \right) P_a^i;$$

$"\Gamma$  is the ordinary affine connection with help of which is defined the covariant derivative of a covariant vector:

$$D_k v_j = \left( \frac{\partial v_a}{\partial x^k} - "\Gamma_{ak}^s v_s \right) P_j^a,$$

while for the tensor  $v_{jt}^i$  for example, we have:

$$D_k v_{jt}^i = \left( \frac{\partial v_{bc}^a}{\partial x^k} + \Gamma_{sk}^a v_{bc}^s - "\Gamma_{bk}^s v_{sc}^a - "\Gamma_{ck}^s v_{bs}^a \right) P_a^i P_j^b P_t^c.$$

The connections  $\Gamma$  and  $"\Gamma$  are not independent; they satisfy the condition

$$(1.1) \quad \frac{\partial P_j^i}{\partial x^k} + "\Gamma_{ak}^i P_j^a - \Gamma_{jk}^a P_a^i = 0.$$

This condition is equivalent with



$$D_k Q_j^i = 0 ,$$

where  $Q = P^{-1}$  , i.e.

$$(1.2) \quad P_j^i Q_j^s = P_j^s Q_s^i = \delta_j^i .$$

The Weyl-Otsuki space ( $W-O_n$ -space) is defined and investigated by A. Moór (|2|, |3|). This is an Otsuki space endowed with a symmetric positive definite metric tensor  $g_{ij}$  ( $\det(g_{ij}) \neq 0$ ), and a recurrence vector  $\gamma_k$  such that the following conditions are satisfied:

a) the metric tensor is recurrent, i.e.

$$D_k g_{ij}(x) = \gamma_k(x) g_{ij}(x) ;$$

b) the covariant part  $\Gamma$  of the regular general connection  $\Gamma$  is symmetric; and

$$c) \quad (1.3) \quad P_{ij} = g_{is} P_j^s = g_{js} P_i^s = P_{ji} .$$

In  $W-O_n$  spaces, coefficients of connection  $\Gamma$  have the form |2|:

$$(1.4) \quad \Gamma_{jk}^i = \{j^i_k\} - \frac{1}{2} g^{is} (\gamma_j g_{ab} Q_k^a Q_s^b + \gamma_k g_{ab} Q_s^a Q_j^b - \gamma_s g_{ab} Q_j^a Q_k^b),$$

where  $\{j^i_k\}$  are Christoffel symbols of the second kind with respect to the tensor  $g_{ij}$ . Substituting (1.4) into (1.1) we obtain the corresponding connection  $\Gamma$ .

In this paper we investigate some differently defined Weyl-Otsuki spaces. In fact, we investigate the Otsuki space where condition c) is satisfied, and instead of conditions a) and b) - the following conditions are satisfied

$$a') \quad D_k g_{ij}(x) = \gamma_k(x) m_{ij}(x) ,$$

where  $m_{ij}(x)$  is a field of symmetric tensor;

b') the contravariant part  $\Gamma$  of regular general connection is symmetric.

We have considered in [4] a special case of such  $W-O_n$  spaces, namely the case  $\gamma_k = 0$ . Some results obtained in [4] can be generalized for the general case  $a')$ . In fact, in exactly the same manner as in [4], we find that the regular general connection satisfying  $a')$ ,  $b')$  and  $c)$  has the form

$$(1.5) \quad \Gamma_{jk}^i = \bar{\Gamma}_{jk}^i + \frac{1}{2} g^{si} (\gamma_{qpk} Q_s^q Q_j^p - \gamma_{kpq} Q_s^p Q_j^q - \gamma_{tpk} Q_s^p Q_j^t)$$

$$(1.6) \quad \bar{\Gamma}_{jk}^i = \bar{\Gamma}_{jk}^i + \frac{1}{2} g^{st} (\gamma_{qjk} Q_s^q Q_t^i - \gamma_{kpj} Q_s^p Q_t^i - \gamma_{jpq} Q_s^p Q_t^i),$$

where

$$(1.7) \quad \bar{\Gamma}_{jk}^i = \{j^i_k\} + \overset{\circ}{\nabla} [a^p_k] Q_j^a - \overset{\circ}{\nabla} [a^p_k] Q^{ai}_{jl} - \overset{\circ}{\nabla} [a^p_l] Q^{ai}_{pk} Q_j^q$$

is the metric connection, i.e. the connection with respect to which

$$D_k g_{ij} = 0,$$

while  $\overset{\circ}{\nabla}_k$  denotes the ordinary covariant derivative with respect to  $\{j^i_k\}$ ,

$$Q^{ai} = Q^a_{s q} g^{si} = Q^i_{s q} g^{sa} = Q^{ia},$$

and

$$(1.8) \quad \bar{\Gamma}_{jk}^i = \{j^i_k\} + \overset{\circ}{\nabla} (k^p_j) Q_a^i - \overset{\circ}{\nabla} [a^p_k] Q^{at}_{jt} Q^{ip}_{jl} - \overset{\circ}{\nabla} [a^p_l] Q^{at}_{tp} Q^{ip}_{kj}.$$

Connection (1.8) is not a metric connection; it is only the connection satisfying, together with (1.7), condition (1.1).

We say that space satisfying conditions  $a')$ ,  $b')$  and  $c)$  is a Weyl-Otsuki space of the second kind if  $m_{ij} = P_{ij}$ . In the case  $m_{ij} = P_{ia} P_j^a$ , we say that the considered space is a Weyl-Otsuki space of the third kind.

In section 2 we investigate  $W-O_n$  spaces of the second kind, and in section 3 -  $W-O_n$  spaces of the third kind. In this last section (i.e. in section 3), we generalize some other results obtained in [4].



## 2. WEYL-OTSUKI SPACES OF THE SECOND KIND

In this case  $m_{ij} = g_{ia} p_j^a$ , and connection (1.5) has the form

$${}^m\Gamma_{jk}^i = {}^m\Gamma_{jk}^i + H_{jk}^i$$

where

$$(2.1) \quad H_{jk}^i = -\frac{1}{2}(\gamma_k Q_j^i + \delta_k^i \tilde{\gamma}_j - \tilde{\gamma}^i g_{kj}), \quad \tilde{\gamma}_j = \gamma_a Q_j^a, \quad \tilde{\gamma}^i = \tilde{\gamma}_a g^{ai}.$$

In this section we denote: by  $\overset{m}{\nabla}$  the ordinary covariant derivative with respect to the metric connection  ${}^m\Gamma_{jk}^i$  (i.e. with respect to connection (1.7), by  ${}^mR$  the curvature tensor of the connection  ${}^m\Gamma$  and by  $\overset{m}{R}$  - the curvature tensor of the metric connection  ${}^m\Gamma$  i.e.:

$$\begin{aligned} {}^mR_{rkj}^i &= \frac{\partial}{\partial x^k} {}^m\Gamma_{rj}^i - \frac{\partial}{\partial x^j} {}^m\Gamma_{rk}^i + {}^m\Gamma_{rj}^s {}^m\Gamma_{sk}^i - {}^m\Gamma_{rk}^s {}^m\Gamma_{sj}^i, \\ \overset{m}{R}_{rkj}^i &= \frac{\partial}{\partial x^k} \overset{m}{\Gamma}_{rj}^i - \frac{\partial}{\partial x^j} \overset{m}{\Gamma}_{rk}^i + \overset{m}{\Gamma}_{rj}^s \overset{m}{\Gamma}_{sk}^i - \overset{m}{\Gamma}_{rk}^s \overset{m}{\Gamma}_{sj}^i. \end{aligned}$$

It is easy to see that

$${}^mR_{rkj}^i = \overset{m}{R}_{rkj}^i + \overset{m}{\nabla}_k H_{rj}^i - \overset{m}{\nabla}_j H_{rk}^i + H_{rj}^s H_{sk}^i - H_{rk}^s H_{sj}^i.$$

Taking into account (2.1) and the fact that  ${}^m\Gamma$  is a metric connection, we obtain

$$\begin{aligned} {}^mR_{irkj} &= \overset{m}{R}_{irkj} + \frac{1}{2}(\overset{m}{\nabla}_j \gamma_k - \overset{m}{\nabla}_k \gamma_j) Q_{ir} + \frac{1}{2} \gamma_k \overset{m}{\nabla}_j Q_{ir} - \frac{1}{2} \gamma_j \overset{m}{\nabla}_k Q_{ir} \\ &- \frac{1}{2} g_{ij} \overset{m}{\nabla}_k \tilde{\gamma}_r + \frac{1}{2} g_{ik} \overset{m}{\nabla}_j \tilde{\gamma}_r + \frac{1}{2} g_{jr} \overset{m}{\nabla}_k \tilde{\gamma}_i - \frac{1}{2} g_{kr} \overset{m}{\nabla}_j \tilde{\gamma}_i \\ (2.2) \quad &+ \frac{1}{4}(\gamma_j \tilde{\gamma}_p Q_{ir}^p g_{ik} - \gamma_j \tilde{\gamma}_i Q_{rk} + \gamma_k \tilde{\gamma}_r Q_{ij} - \gamma_k \tilde{\gamma}^p Q_{ip} g_{ir} \\ &- \gamma_k \tilde{\gamma}_p Q_{ir}^p g_{ij} + \gamma_k \tilde{\gamma}_i Q_{rj} - \gamma_j \tilde{\gamma}_r Q_{ik} + \gamma_j \tilde{\gamma}^p Q_{ip} g_{kr}) \\ &+ \frac{1}{4}(\tilde{\gamma}_r \tilde{\gamma}_j g_{ik} - g_{jr} g_{ik} \tilde{\gamma}_p \tilde{\gamma}^p + \tilde{\gamma}_i \tilde{\gamma}_k g_{jr} \\ &- \tilde{\gamma}_r \tilde{\gamma}_k g_{ij} + g_{kr} g_{ij} \tilde{\gamma}_p \tilde{\gamma}^p - \tilde{\gamma}_i \tilde{\gamma}_j g_{kr}). \end{aligned}$$

Interchanging in (2.2) the place of the indices  $i$  and  $r$  and that for the indices  $k$  and  $j$  and adding the obtained relation to (2.2), we get

$$(2.3) \quad {}^m R_{irkj} + {}^m R_{rijk} = {}^m R_{irkj} + {}^m R_{rijk} - g_{ij} \theta_{kr} + \\ + g_{ik} \theta_{jr} + g_{jr} \theta_{ki} - g_{kr} \theta_{ji},$$

where

$$\theta_{kr} = \nabla_k \gamma_r + \tilde{\gamma}_r \tilde{\gamma}_k - \frac{1}{2} g_{kr} \tilde{\gamma}_p \tilde{\gamma}^p.$$

Introducing the notations

$${}^m R_{rk} = g^{ij} ({}^m R_{irkj} + {}^m R_{rijk}), \quad {}^m R_{rk} = g^{ij} ({}^m R_{irkj} + {}^m R_{rijk}) \\ {}^m R = g^{rk} {}^m R_{rk}, \quad {}^m R = g^{rk} {}^m R_{rk},$$

and transvecting (2.3) with  $g^{ij}$ , we find

$$(2.4) \quad {}^m R_{rk} = {}^m R_{rk} + (2-n) \theta_{kr} - g_{kr} \theta_{ji} g^{ji}.$$

Transvecting (2.4) with  $g^{rk}$ , we obtain

$$\theta_{ab} g^{ab} = \frac{1}{2(1-n)} ({}^m R - R).$$

Substituting this into (2.4), we get

$$(2.5) \quad \theta_{kr} = \frac{1}{2-n} ({}^m R_{rk} - R_{rk}) + \frac{g_{rk}}{2(1-n)(2-n)} ({}^m R - R).$$

Taking into account (2.5), we express (2.3) as follows:

$$(2.6) \quad {}^m R_{irkj} + {}^m R_{rijk} + \frac{1}{2-n} (g_{ij} {}^m R_{rk} - g_{ik} {}^m R_{rj} - g_{jr} {}^m R_{ik} + g_{kr} {}^m R_{ij}) \\ + \frac{{}^m R}{(n-1)(n-2)} (g_{ij} g_{kr} - g_{ik} g_{rj}) = \\ = {}^m R_{irkj} + {}^m R_{rijk} + \frac{1}{2-n} (g_{ij} {}^m R_{rk} - g_{ik} {}^m R_{rj} - g_{jr} {}^m R_{ik} + g_{kr} {}^m R_{ij}) \\ + \frac{{}^m R}{(n-1)(n-2)} (g_{ij} g_{kr} - g_{ik} g_{rj}).$$



The tensor on the right-hand side of (2.6) does not depend on the vector  $\gamma_i$ . Thus we have

THEOREM 1. *The tensor*

$${}^{\prime\prime}R_{irkj} + {}^{\prime\prime}R_{rij k} + \frac{1}{n-2} (g_{ik} {}^{\prime\prime}R_{rj} - g_{ij} {}^{\prime\prime}R_{rk} + g_{jr} {}^{\prime\prime}R_{ik} - g_{kr} {}^{\prime\prime}R_{ij}) \\ + \frac{{}^{\prime\prime}R}{(n-1)(n-2)} (g_{ij} g_{kr} - g_{ik} g_{rj})$$

does not depend on the vector field  $\gamma_i$ , i.e. it is the same for all  $W-O_n$  spaces of the second kind.

### 3. WEYL - OTSUKI SPACES OF THE THIRD KIND

In this case  $m_{ij} = P_{ia} P_j^a$ , and connection (1.5) has the form:

$$(3.1) \quad {}^{\prime\prime}\Gamma_{jk}^i = {}^m\Gamma_{jk}^i + \frac{1}{2} (\gamma_k \delta_j^i + P_k^i \tilde{\gamma}_j - P_{jk} \tilde{\gamma}^i)$$

where

$$\tilde{\gamma}_i = \gamma_a Q_i^a, \quad \tilde{\gamma}^i = \tilde{\gamma}_a g^{ai},$$

while connection (1.6) has the form

$$(3.2) \quad {}^{\prime\prime}\Gamma_{jk}^i = {}^m\Gamma_{jk}^i - \frac{1}{2} (\gamma_k \delta_j^i + \gamma_j \delta_k^i - \gamma_q Q_s^q Q^{is} P_{ja} P_k^a).$$

Let the metric tensor  $g_{ij}$  now undergo the conformal transformation

$$\bar{g}_{ij} = e^{2\sigma} g_{ij}, \quad \bar{g}^{ij} = e^{-2\sigma} g^{ij}.$$

Then the Christoffel symbols formed with respect to the two tensors are related as follows

$$\{\bar{\Gamma}_{jk}^i\} = \{\Gamma_{jk}^i\} + \delta_j^i \sigma_k + \delta_k^i \sigma_j - g_{jk} \sigma^i, \quad \sigma_i = \frac{\partial \sigma}{\partial x^i}, \quad \sigma^i = g^{ia} \sigma_a$$

Obviously the basic tensor  $P$  and the basic vector  $\gamma$  are invariant under conformal transformation because these are independent

from  $g_{ij}$ , i.e.

$$\bar{P}_j^i = P_j^i, \quad \bar{Q}_j^i = Q_j^i, \quad \bar{\gamma}_i = \gamma_i$$

Then " $\bar{\Gamma}_{jk}^i$ " and " $\bar{\Gamma}_{jk}^i$ " can be expressed in the form

$$(3.3) \quad \bar{\Gamma}_{jk}^i = \bar{\Gamma}_{jk}^i + \frac{1}{2} (\gamma_k \delta_j^i + P_k^i \tilde{\gamma}_j - P_{jk} \tilde{\gamma}^i),$$

$$(3.4) \quad \bar{\Gamma}_{jk}^i = \bar{\Gamma}_{jk}^i - \frac{1}{2} (\gamma_k \delta_j^i + \gamma_j \delta_k^i - \gamma_q Q_s^{qs} Q^{is} P_{ja} P_k^a).$$

Denoting by  $\overset{O}{\nabla}$  the ordinary covariant derivative with respect to  $\{\bar{\Gamma}_{jk}^i\}$ , and taking into account that

$$\overset{O}{\nabla}_k P_j^i = \overset{O}{\nabla}_k P_j^i + \delta_k^i \sigma_s P_j^s - P_{jk} \sigma^i - P_k^i \sigma_j + g_{jk} P_s^i \sigma^s,$$

we easily find

$$\bar{\Gamma}_{jk}^i = \bar{\Gamma}_{jk}^i + \delta_j^i \sigma_k + P_k^i \sigma_a Q_j^a - P_{kj} \sigma_a Q^{ai},$$

$$\bar{\Gamma}_{jk}^i = \bar{\Gamma}_{jk}^i + \delta_j^i \sigma_k + \delta_k^i \sigma_j - \sigma_q Q_s^{qs} Q^{is} P_{ja} P_k^a.$$

Substituting this into (3.3) respective (3.4), we get

$$(3.5) \quad \bar{\Gamma}_{jk}^i = \bar{\Gamma}_{jk}^i + \delta_j^i (\sigma_k + \frac{1}{2} \gamma_k) + P_k^i (\sigma_a + \frac{1}{2} \gamma_a) Q_j^a - P_{jk} (\sigma_a + \frac{1}{2} \gamma_a) Q^{ai},$$

$$(3.6) \quad \bar{\Gamma}_{jk}^i = \bar{\Gamma}_{jk}^i + \delta_j^i (\sigma_k - \frac{1}{2} \gamma_k) + \delta_k^i (\sigma_j - \frac{1}{2} \gamma_j) - P_{ja} P_k^a Q_s^{qs} Q^{is} (\sigma_q - \frac{1}{2} \gamma_q).$$

Comparing (3.1) with (3.5), and (3.2) with (3.6), we see that:

Under conformal transformation, each of the connections  $\bar{\Gamma}$ , " $\bar{\Gamma}$ " of a  $W-O_n$ -space of the third kind transforms into the connection of the same form.

We suppose now that one of the conditions

$$(A) \quad \overset{O}{\nabla}_k P_j^i = \pi_k P_j^i;$$

$$(B) \quad \overset{O}{\nabla}_k P_{ij} = \pi_i P_{kj} + \pi_j P_{ki} \quad (\text{or, equivalently, } \overset{O}{\nabla}_k P_j^i = \pi^i P_{jk} + \pi_j P_k^i)$$

is satisfied.



First, we investigate connection (3.5). Taking into account (1.7), it is easy to see that

$${}^m\Gamma_{jk}^i = \{j^i_k\} + \varepsilon \pi_a Q_j^a P_k^i - \varepsilon \pi_a Q^a P_{kj}^i,$$

where  $\varepsilon = +1$  if condition (A) is satisfied, and  $\varepsilon = -1$ , if condition (B) is satisfied. Substituting this into (3.5), we obtain

$$\begin{aligned} {}^m\bar{\Gamma}_{jk}^i &= \{j^i_k\} + \delta_j^i (\sigma_k + \frac{1}{2} \gamma_k) + P_k^i (\sigma_a + \frac{1}{2} \gamma_a + \varepsilon \pi_a) Q_j^a \\ (3.7) \quad &- P_{jk} (\sigma_a + \frac{1}{2} \gamma_a + \varepsilon \pi_a) Q^{ai}, \end{aligned}$$

If we put

$$\sigma_k + \frac{1}{2} \gamma_k = S_k, \quad (\sigma_a + \frac{1}{2} \gamma_a + \varepsilon \pi_a) Q_j^a = \tilde{S}_j,$$

we may express (3.7) in the form

$${}^m\bar{\Gamma}_{jk}^i = \{j^i_k\} + \delta_j^i S_k + P_k^i \tilde{S}_j - P_{kj} \tilde{S}^i.$$

Let us denote by  ${}^m\bar{R}_{rkj}^i$  the curvature tensor of connection  ${}^m\bar{\Gamma}$ , and by  $K_{rkj}^i$  the curvature tensor of connection  $\{j^i_k\}$ . Then we have

$$\begin{aligned} {}^m\bar{R}_{irkj} &= K_{irkj} + g_{ir} (\overset{\circ}{\nabla}_k S_j - \overset{\circ}{\nabla}_j S_k) \\ (3.8) \quad &+ P_{ij} (\overset{\circ}{\nabla}_k \tilde{S}_r + \varepsilon \tilde{S}_r \pi_k - \tilde{S}_r P_k^p S_p + \frac{1}{2} P_{kr} \tilde{S}^p S_p) \\ &- P_{ik} (\overset{\circ}{\nabla}_j \tilde{S}_r + \varepsilon \tilde{S}_r \pi_j - \tilde{S}_r P_j^p S_p + \frac{1}{2} P_{jr} \tilde{S}^p S_p) \\ &- P_{jr} (\overset{\circ}{\nabla}_k \tilde{S}_i + \varepsilon \tilde{S}_i \pi_k - \tilde{S}_i P_k^p S_p + \frac{1}{2} P_{ik} \tilde{S}^p S_p) \\ &+ P_{kr} (\overset{\circ}{\nabla}_j \tilde{S}_i + \varepsilon \tilde{S}_i \pi_j - \tilde{S}_i P_j^p S_p + \frac{1}{2} P_{ij} \tilde{S}^p S_p). \end{aligned}$$

If we interchange the indices  $i$  and  $r$  and the indices  $k$  and  $j$  and add the obtained relation to (3.8), we get:

$$\frac{1}{2} ({}^m\bar{R}_{arkj} + {}^m\bar{R}_{rajk}) = K_{arkj} + P_{rk} \psi_{ja} - P_{rj} \psi_{ka} - P_{ka} \psi_{jr} + P_{ja} \psi_{kr},$$

or, transvecting with  $g^{ia}$

$$(3.9) \quad \frac{1}{2} ("R_{arkj} + "R_{rajk}) g^{ia} = \\ = K_{rkj}^i + P_{rk} \psi_j^i - P_{rj} \psi_k^i - P_k^i \psi_{jr} + P_j^i \psi_{kr},$$

where

$$\psi_{ji} = \nabla_j \tilde{S}_i + \varepsilon \tilde{S}_i \pi_j - \tilde{S}_i P_j^p \tilde{S}_p + \frac{1}{2} P_{ji} \tilde{S}^p \tilde{S}_p, \quad \psi_j^i = \psi_{ja} g^{ai}.$$

Introducing the notations

$$\begin{aligned} "R^*_{kr} &= \frac{1}{2} ("R_{arkb} + "R_{rabk}) Q^{ab}, & "R^{*r}_k &= "R^*_{ka} g^{ar}, \\ \check{K}_{kr} &= K_{rkb}^a Q_a^b, & \check{K}^r_k &= \check{K}_{ka} g^{ar}, \\ "R^* &= "R^*_{kr} Q^{kr}, & \check{K} &= \check{K}_{kr} Q^{kr}, \end{aligned}$$

and transvecting (3.9) with  $Q_i^j$ , we find:

$$(3.10) \quad "R^*_{kr} = \check{K}_{kr} + (n-2) \psi_{kr} + P_{kr} \psi_{ji} Q^{ji}.$$

Transvecting (3.10) with  $Q^{rk}$ , we have

$$\psi_{ji} Q^{ji} = \frac{1}{2(n-1)} ("R^* - \check{K}).$$

Substituting this into (3.10), we get

$$\psi_{kr} = \frac{1}{n-2} ("R^*_{kr} - \check{K}_{kr}) - \frac{P_{kr}}{2(n-1)(n-2)} ("R^* - \check{K}).$$

Finally, inserting this into (3.9), we obtain:

$$(3.11) \quad \frac{1}{2} ("R_{arkj} + "R_{rajk}) g^{ia} + \frac{1}{n-2} (P_{rj} "R^{*i}_k - P_{rk} "R^{*i}_j + P_k^i "R^*_{jr} - \\ - P_j^i "R^*_{kr}) - \frac{"R^*}{(n-1)(n-2)} (P_{rj} P_k^i - P_{rk} P_j^i) = \\ = K_{rkj}^i + \frac{1}{n-2} (P_{rj} \check{K}_k^i - P_{rk} \check{K}_j^i + P_k^i \check{K}_{jr} - P_j^i \check{K}_{kr}) \\ - \frac{\check{K}}{(n-1)(n-2)} (P_{rj} P_k^i - P_{rk} P_j^i).$$



The tensor on the right-hand side of (3.11) depends only on the basic tensor  $P_j^i$  and  $g_{ij}$ . Therefore, we have

**THEOREM 2.** *If condition (A) or condition (B) is satisfied, the tensor on the left-hand side of (3.11) is invariant with respect to the conformal transformation. This tensor does not depend on the vectors  $\pi_i$  and  $\gamma_i$  as well.*

We are to investigate connection (3.6). Taking into account (1.8) and condition (A), we find

$$\bar{\Gamma}_{jk}^i = \{j \atop k \atop i\} + \delta_j^i (\sigma_k - \frac{1}{2} \gamma_k + \pi_k) + \delta_k^i (\sigma_j - \frac{1}{2} \gamma_j + \pi_j) - P_{ja} P_k^a Q_s^{is} (\sigma_q - \frac{1}{2} \gamma_q + \pi_q) .$$

Putting

$$\sigma_k - \frac{1}{2} \gamma_k + \pi_k = v_k ,$$

we may re-write this connection as follows:

$$(3.12) \quad \bar{\Gamma}_{jk}^i = \{j \atop k \atop i\} + \delta_j^i v_k + \delta_k^i v_j - P_{ja} P_k^a Q_s^{is} v_q .$$

Let us denote by  $\bar{R}_{rkj}^i$  the curvature tensor of the connection  $\bar{\Gamma}$ . Then we have

$$(3.13) \quad \begin{aligned} \bar{R}_{rkj}^i &= K_{rkj}^i + \delta_r^i (\overset{\circ}{\nabla}_k v_j - \overset{\circ}{\nabla}_j v_k) \\ &+ \delta_j^i (\overset{\circ}{\nabla}_k v_r - v_r v_k + \frac{1}{2} v_l v_p Q_s^{1ps} P_{ra} P_k^a) \\ &- \delta_k^i (\overset{\circ}{\nabla}_j v_r - v_r v_j + \frac{1}{2} v_l v_p Q_s^{1ps} P_{ra} P_j^a) \\ &+ P_{ra} P_k^a (\overset{\circ}{\nabla}_j v_l - v_j v_l + \frac{1}{2} v_m v_p Q_s^{mps} P_{la} P_j^a) Q^{it1}_{Qt} \\ &- P_{ra} P_j^a (\overset{\circ}{\nabla}_k v_l - v_k v_l + \frac{1}{2} v_m v_p Q_s^{mps} P_{la} P_k^a) Q^{it1}_{Qt} \end{aligned}$$

because of

$$\overset{\circ}{\nabla}_k (Q_s^{1ps} Q_t^{1ps} P_{ra} P_j^a) = \overset{\circ}{\nabla}_j (Q_s^{1ps} Q_t^{1ps} P_{ra} P_k^a) = 0$$

and

$$P_{la} P_{js}^{a1} Q^{is} = \delta_j^i, \quad P_{la} P_{ks}^{a1} Q^{is} = \delta_k^i.$$

Contracting with respect to  $i$  and  $r$ , we get

$$\overset{O}{\nabla}_k V_j - \overset{O}{\nabla}_j V_k = \frac{1}{n} \bar{R}^a_{akj}.$$

Substituting this into (3.13) and putting

$$\phi_{kr} = \overset{O}{\nabla}_k V_r - V_k V_r + \frac{1}{2} V_l V_{ps}^{l1} P_{ra}^{ps} P_k^a,$$

we have

$$(3.14) \quad \bar{R}^i_{rkj} - \frac{1}{n} \delta_r^i \bar{R}^r_{akj} = K_{rkj} + \delta_j^i \phi_{kr} - \delta_k^i \phi_{jr} \\ + P_{ra} P_k^{a1} \phi_{jl} Q^{is} Q_s^l - P_{ra} P_j^{a1} \phi_{kl} Q^{is} Q_s^l.$$

Introducing the notation

$$\bar{R}_{rk} = \bar{R}^a_{rka} - \frac{1}{n} \bar{R}^a_{akr},$$

and contracting (3.14) for  $i$  and  $j$ , we obtain

$$\bar{R}_{rk} = K_{rk} + (n-2) \phi_{kr} + P_{rt} P_k^{t1} Q^{ba} Q_p^{pa}.$$

Transvecting this with  $Q^{pr} Q_p^k$ , we find

$$Q^{ba} Q_p^{pa} = \frac{1}{2(n-1)} (\bar{R}_{rk} Q^{pr} Q_p^k - K_{rk} Q^{pr} Q_p^k).$$

Thus we have

$$\phi_{kr} = \frac{1}{n-2} (\bar{R}_{rk} - K_{rk}) - \frac{P_{rt} P_k^{t1}}{2(n-1)(n-2)} (\bar{R}_{ab} Q^{pa} Q_p^b - K_{ab} Q^{pa} Q_p^b).$$

Substituting this into (3.14), we obtain



$$\begin{aligned}
 \bar{R}_{rkj}^i - \frac{1}{n} \delta_r^i \bar{R}_{akj}^a - \frac{1}{n-2} (\delta_j^i \bar{R}_{rk} - \delta_k^i \bar{R}_{rj} - P_{rt} P_j^t Q^{ba} Q_b^i \bar{R}_{ak} \\
 + P_{tr} P_k^t Q^{ba} Q_b^i \bar{R}_{aj}) \\
 + \frac{\bar{R}_{ab} Q^{pa} Q_p^b}{(n-1)(n-2)} (\delta_j^i P_{rt} P_k^t - \delta_k^i P_{rt} P_j^t) = \\
 (3.15) \\
 = K_{rkj}^i - \frac{1}{n-2} (\delta_j^i K_{rk} - \delta_k^i K_{rj} - P_{rt} P_j^t Q^{ba} Q_b^i K_{ak} \\
 + P_{tr} P_k^t Q^{ba} Q_b^i K_{aj}) + \\
 + \frac{K_{ab} Q^{pa} Q_a^b}{(n-1)(n-2)} (\delta_j^i P_{rt} P_k^t - \delta_k^i P_{rt} P_j^t) .
 \end{aligned}$$

In case condition (B) is satisfied, we start with (3.6), which, putting

$$\sigma_k - \frac{1}{2} \gamma_k = T_k ,$$

can be re-written in the form

$$(3.16) \quad \bar{\Gamma}_{jk}^i = \bar{\Gamma}_{jk}^i + T_k \delta_j^i + T_j \delta_k^i - T_a Q_s^a Q^{is} P_{jb} P_k^b .$$

This form is the same as (3.12). Only, in (3.12) the connection  $\{j \ k\}^i$  depends of the basic tensor  $g_{ij}$ , while here connection  $\bar{\Gamma}_{jk}^i$  depends of the basic tensor  $g_{ij}$  and  $P_j^i$  (see (1.8)). Besides, denoting by  $\nabla$  the ordinary covariant derivative with respect to  $P_j^i$ , and using condition (B), we find:

$$(3.17) \quad \bar{\Gamma}_{jk}^i = \{j \ k\}^i + \pi_a Q^{ai} P_{kj} + \pi_a Q^{at} Q_t^{ib} P_{jb} ;$$

$$\nabla_k Q_j^i = - \pi_a Q_a^i g_{kj} - \pi_a Q_j^a \delta_k^i ;$$

$$(\nabla_k Q_s^l) Q^{is} = - \pi_a Q_s^a Q^{is} \delta_k^l - \pi_a Q^{at} Q_t^{ih} Q_{sh} P_k^i ,$$

$$Q_s^l (\nabla_k Q^{is}) = \pi_a Q_s^a Q^{is} \delta_k^l + \pi_a Q^{at} Q_{rs} Q_l^i P_k^i ,$$

i.e. 
$$\nabla_k^m (Q_s^1 Q^{is}) = 0;$$

similary

$$\nabla_k^m (P_{ra} P_j^a) = 0.$$

Thus, proceeding with connection (3.16) in the same manner as with (3.12), we find instead of (3.15), the relation

$$\begin{aligned} \bar{R}_{rkj}^i - \frac{1}{n} \delta_r^i \bar{R}_{akj}^a - \frac{1}{n-2} (\delta_j^i \bar{R}_{rk} - \delta_k^i \bar{R}_{rj} - P_{rt} P_j^t Q^{ba} Q_b^i \bar{R}_{ak} \\ + P_{rt} P_k^t Q^{ba} Q_b^i \bar{R}_{aj}) \\ + \frac{\bar{R}_{ab} Q^{pa} Q_p^b}{(n-1)(n-2)} (\delta_j^i P_{rt} P_k^t - \delta_k^i P_{rt} P_j^t) = \\ = K_{rkj}^i - \frac{1}{n} \delta_r^i K_{akj}^a - \frac{1}{n-2} (\delta_j^i K_{rk}^m - \delta_k^i K_{rj}^m - P_{rt} P_j^t Q^{ba} Q_b^i K_{ak}^m \\ + P_{rt} P_k^t Q^{ba} Q_b^i K_{aj}^m) \\ + \frac{K_{ab} Q^{pa} Q_p^b}{(n-1)(n-2)} (\delta_j^i P_{rt} P_k^t - \delta_k^i P_{rt} P_j^t), \end{aligned}$$

where  $K_{rkj}^i$  is the curvature tensor with respect to connection (3.17) and  $K_{rk}^m = K_{rka}^m$ .

Therefore, we have

**THEOREM 3.** *If condition (A) or condition (B) is satisfied, besides the tensor on the left-hand side of (3.11), the tensor*

$$\begin{aligned} \bar{R}_{rkj}^i - \frac{1}{n} \delta_r^i \bar{R}_{akj}^a \\ - \frac{1}{n-2} (\delta_j^i \bar{R}_{rk} - \delta_k^i \bar{R}_{rj} - P_{rt} P_j^t Q^{ba} Q_b^i \bar{R}_{ak} + P_{rt} P_k^t Q^{ba} Q_b^i \bar{R}_{aj}) \\ + \frac{\bar{R}_{ab} Q^{pa} Q_p^b}{(n-1)(n-2)} (\delta_j^i P_{rt} P_k^t - \delta_k^i P_{rt} P_j^t) \end{aligned}$$



is invariant with respect to the conformal transformation, too. This tensor does not depend on the vector field  $\gamma_i$  and if condition (A) is satisfied it does not depend on the vector field  $\pi_i$  either.

## REFERENCE

- [1] T. Otsuki - On general connection I, *Math. J. Okayama Univ.* 9 (1959-1960), 99-164.
- [2] A. Moór - Otsukische Übertragung mit rekurrenten Masstensor *Acta. Sci. Math. Szeged* 40 (1978), 129-142.
- [3] A. Moór - Über die Veränderung der Länge der Vektoren in Weyl-Otsukischen Raum, *Acta. Sci. Math.*, 41 (1979), 173 - 185.
- [4] M. Prvanović - On a special connection of an Otsuki Space (in press in *Tensor*).

## REZIME

## WEYL-OTSUKI-JEVI PROSTORI DRUGE I TREĆE VRSTE

U ovom radu ispituje se ona opšta regularna koneksija Otsuki-jevog prostora koja zadovoljava uslove a') i c) i čiji je kontravarijantni deo  $\Gamma$  simetričan. Ta je koneksija oblika (1.5), (1.6), (1.7) i (1.8). Ako je, pri tom,  $m_{ij} = p_{ij}$ , odnosno  $m_{ij} = p_p p_j^a$ , posmatrani prostor je Weyl-Otsuki-jev prostor ( $W-O_n$  prostor) druge odnosno treće vrste.

U§2 je dokazano da je tenzor (2.6) zajednički za sve  $W-O_n$  -prostore druge vrste.

U§3 ispituju se konformne transformacije  $W-O_n$  -prostora treće vrste. Dokazana je teorema:

Ako je zadovoljen uslov (A) ili uslov (B), tenzor (3.11) je invarijantan u odnosu na konformne transformacije. Taj tenzor ne zavisi ni od polja vektora  $\pi_i$  i  $\gamma_i$ .





CONNECTIONS BETWEEN THE DOUBLE ALTERNATED ABSOLUTE DIFFERENTIAL  
 OF CURVATURE TENSORS OF THE FINSLER SPACE AND INDUCED CURVATURE  
 TENSORS OF ITS SUBSPACE

Irena Čomić

*Fakultet tehničkih nauka. Institut za primenjene osnovne*  
*discipline, 21000 Novi Sad, ul. Veljka Vlahovića 3, Jugoslavija*

1. INTRODUCTION

The subspace  $F_m$  of a Finsler space  $F_n$  is given by the equations:

$$x^i = x^i(u^1, u^2, \dots, u^m) \quad i, j, k, l, \dots = 1, 2, \dots, n,$$

if the rank of the matrix

$$\|B_{\alpha}^i\| = \left\| \frac{\partial x^i}{\partial u^{\alpha}} \right\| \quad \alpha, \beta, \gamma, \delta, \epsilon, \dots = 1, 2, \dots, m$$

is assumed to be  $m$ . To every vector  $\dot{u}^{\alpha}$ , which is tangent to  $F_m$ , may be associated a vector  $\dot{x}^i$  in the following way:

$$\dot{x}^i = B_{\alpha}^i \dot{u}^{\alpha}.$$

At every point  $P$  of  $F_m$  there are  $n-m$  linearly independent vectors

$$N_i^{\nu} \quad \nu, \zeta, \rho, \psi = n-m+1, \dots, n$$

which satisfy the relations of [1]

$$N_i^{\nu} B_{\alpha}^i = 0 \quad N_i^{\nu} \stackrel{\text{def}}{=} g^{ij}(x, \dot{x}) N_j^{\nu}$$

$$g_{ij}(x, \dot{x}) N_i^{\nu} N_j^{\mu} = \delta_{\nu\mu}$$

If  $D$  and  $\Delta$  are absolute differentials which correspond to the motion from  $(u^{\beta}, \dot{u}^{\beta})$  to  $(u^{\beta} + du^{\beta}, \dot{u}^{\beta} + d\dot{u}^{\beta})$  and  $(u^{\beta} + \delta u^{\beta}, \dot{u}^{\beta} + \delta \dot{u}^{\beta})$



in the subspace  $F_m$  of a Finsler space  $F_n$  then as in [2]\* we have

$$(1.1) \quad [\Delta D] B_{\alpha}^i = \bar{\Omega}_{\alpha}^{\delta} (d, \delta) B_{\delta}^i + \bar{\Omega}_{\alpha}^{\mu} (d, \delta) N_{\mu}^i + \tilde{D} B_{\alpha}^i$$

$$(1.2) \quad [\Delta D] N_{\mu}^i = \bar{\Omega}_{\mu}^{\delta} (d, \delta) B_{\delta}^i + \bar{\Omega}_{\mu}^{\nu} (d, \delta) N_{\nu}^i + \tilde{D} N_{\mu}^i$$

$$(1.3) \quad \bar{\Omega}_{\alpha}^{\delta} (d, \delta) = \frac{1}{2} \frac{2}{R_{\alpha}}^{\delta}{}_{\beta\gamma} [du^{\beta} \delta u^{\gamma}] + \frac{2}{P_{\alpha}}^{\delta}{}_{\beta\gamma} [du^{\beta} \bar{\Delta} \ell^{\gamma}] + \frac{1}{2} \frac{2}{S_{\alpha}}^{\delta}{}_{\beta\gamma} [\bar{D} \ell^{\beta} \bar{\Delta} \ell^{\gamma}]$$

$$(1.4) \quad \bar{\Omega}_{\alpha}^{\mu} (d, \delta) = \frac{1}{2} \frac{2}{R_{\alpha}}^{\mu}{}_{\beta\gamma} [du^{\beta} \delta u^{\gamma}] + \frac{2}{P_{\alpha}}^{\mu}{}_{\beta\gamma} [du^{\beta} \bar{\Delta} \ell^{\gamma}] + \frac{1}{2} \frac{2}{S_{\alpha}}^{\mu}{}_{\beta\gamma} [\bar{D} \ell^{\beta} \bar{\Delta} \ell^{\gamma}]$$

$$(1.5) \quad \bar{\Omega}_{\mu\alpha} = -\bar{\Omega}_{\alpha\mu}$$

$$(1.6) \quad \bar{\Omega}_{\mu}^{\nu} (d, \delta) = \frac{1}{2} \frac{2}{R_{\mu}}^{\nu}{}_{\beta\gamma} [du^{\beta} \delta u^{\gamma}] + \frac{2}{P_{\mu}}^{\nu}{}_{\beta\gamma} [du^{\beta} \bar{\Delta} \ell^{\gamma}] + \frac{1}{2} \frac{2}{S_{\mu}}^{\nu}{}_{\beta\gamma} [\bar{D} \ell^{\beta} \bar{\Delta} \ell^{\gamma}]$$

For the arbitrary vector field  $\xi$  defined on the subspace  $F_m$  of the Finsler space  $F_n$  we have

$$(1.7) \quad \xi^i = B_{\alpha}^i \xi^{\alpha} + N_{\mu}^i \xi^{\mu}$$

It is known that

$$(1.8) \quad [\Delta D] \xi^i = \frac{1}{2} R_j^i{}_{hk} \xi^j [dx^h \delta x^k] + P_j^i{}_{hk} \xi^j [dx^h \Delta \ell^k] + \frac{1}{2} S_j^i{}_{hk} \xi^j [D \ell^h \Delta \ell^k] + \tilde{D} \xi^i$$

where

$$(1.9) \quad dx^h = B_{\alpha}^h du^{\alpha}$$

$$(1.10) \quad D \ell^k = B_{\beta}^k \bar{D} \ell^{\beta} + \bar{H}_{\beta}^k du^{\beta}$$

On the other hand

$$(1.11) \quad [\Delta D] \xi^i = \xi^{\alpha} [\Delta D] B_{\alpha}^i + \xi^{\mu} [\Delta D] N_{\mu}^i + (\delta d - d\delta) \xi^{\alpha} B_{\alpha}^i + (\delta d - d\delta) \xi^{\mu} N_{\mu}^i$$

\*) One can easily conclude what the tensors  $\frac{2}{R}, \frac{2}{P}, \frac{2}{S}$  are from (2.1), (2.9), (2.18) and (2.19), (2.27), (2.33) in [2]. They are explicitly defined in [3].

Substituting (1.9), (1.10) into (1.8) and (1.1)-(1.6) into (1.11) we get two relations for  $[\Delta D]\xi^i$ . Equating the coefficients of bivectors

$[du^\beta \delta u^\gamma], [du^\beta \bar{\Delta} \ell^\gamma]$  and  $[\bar{D} \ell^\beta \bar{\Delta} \ell^\gamma]$ , neglecting infinitesimals of a higher order, we obtain the relations:

$$(1.12) \quad R_j^i{}_{hk} \xi^j B_{\beta\gamma}^{hk} + P_j^i{}_{hk} \xi^j B_{[\beta}^h \bar{H}_{\gamma]}^k + S_j^i{}_{hk} \xi^j \bar{H}_\beta^k \bar{H}_\gamma^k = \\ = \frac{2}{R_\alpha} \epsilon_{\beta\gamma} \xi^\alpha B_\epsilon^i + \frac{2}{R_\alpha} \mu_{\beta\gamma} \xi^\alpha N_\mu^i + \frac{2}{R_\mu} \epsilon_{\beta\gamma} \xi^\mu B_\epsilon^i + \frac{2}{R_\mu} \nu_{\beta\gamma} \xi^\mu N_\nu^i,$$

$$(1.13) \quad P_j^i{}_{hk} \xi^j B_{\beta\gamma}^{hk} + S_j^i{}_{hk} \xi^j \bar{H}_\beta^h B_\gamma^k = \\ \frac{2}{P_\alpha} \epsilon_{\beta\gamma} \xi^\alpha B_\epsilon^i + \frac{2}{P_\alpha} \mu_{\beta\gamma} \xi^\alpha N_\mu^i + \frac{2}{P_\mu} \epsilon_{\beta\gamma} \xi^\mu B_\epsilon^i + \frac{2}{P_\mu} \nu_{\beta\gamma} \xi^\mu N_\nu^i,$$

$$(1.14.) \quad S_j^i{}_{kh} \xi^j B_{\beta\gamma}^{hk} = \\ \frac{2}{S_\alpha} \epsilon_{\beta\gamma} \xi^\alpha B_\epsilon^i + \frac{2}{S_\alpha} \mu_{\beta\gamma} \xi^\alpha N_\mu^i + \frac{2}{S_\mu} \epsilon_{\beta\gamma} \xi^\mu B_\epsilon^i + \frac{2}{S_\mu} \nu_{\beta\gamma} \xi^\mu N_\nu^i.$$

Using (1.7) and putting  $\xi^\mu=0$ , then  $\xi^\alpha=0$  in (1.12), (1.13) and (1.14) we get

$$(1.15) \quad R_j^i{}_{hk} B_{\alpha\beta\gamma}^{jhk} + P_j^i{}_{hk} B_{\alpha}^j B_{[\beta}^h \bar{H}_{\gamma]}^k + S_j^i{}_{hk} B_{\alpha}^j \bar{H}_\beta^h \bar{H}_\gamma^k = \\ = \frac{2}{R_\alpha} \epsilon_{\beta\gamma} B_\epsilon^i + \frac{2}{R_\alpha} \mu_{\beta\gamma} N_\mu^i$$

$$(1.16) \quad R_j^i{}_{hk} N_{\beta\gamma}^{jhk} + P_j^i{}_{hk} N_{\mu}^j B_{[\beta}^h \bar{H}_{\gamma]}^k + S_j^i{}_{hk} N_{\mu}^j \bar{H}_\beta^h \bar{H}_\gamma^k = \\ = \frac{2}{R_\mu} \epsilon_{\beta\gamma} B_\epsilon^i + \frac{2}{R_\mu} \nu_{\beta\gamma} N_\nu^i$$

$$(1.17) \quad P_j^i{}_{hk} B_{\alpha\beta\gamma}^{jhk} + S_j^i{}_{hk} B_{\alpha}^j \bar{H}_\beta^h B_\gamma^k = \frac{2}{P_\alpha} \epsilon_{\beta\gamma} B_\epsilon^i + \frac{2}{P_\alpha} \mu_{\beta\gamma} N_\mu^i$$

$$(1.18) \quad P_j^i{}_{hk} N_{\beta\gamma}^{jhk} + S_j^i{}_{hk} N_{\mu}^j \bar{H}_\beta^h B_\gamma^k = \frac{2}{P_\mu} \epsilon_{\beta\gamma} B_\epsilon^i + \frac{2}{P_\mu} \nu_{\beta\gamma} N_\nu^i$$

$$(1.19) \quad S_j^i{}_{hk} B_{\alpha\beta\gamma}^{jhk} = \frac{2}{S_\alpha} \epsilon_{\beta\gamma} B_\epsilon^i + \frac{2}{S_\alpha} \mu_{\beta\gamma} N_\mu^i$$



$$(1.20) \quad S_j^i{}_{hk} N_{\mu}^{jhk} B_{\beta\gamma}^i = \frac{2}{S} \epsilon_{\mu}{}^{\beta\gamma} B_{\epsilon}^i + \frac{2}{S} v_{\mu}{}^{\beta\gamma} N_{\nu}^i$$

The curvature tensors  $R, P, S$  of the Finsler space  $F_n$  and induced curvature tensors  $\bar{R}, \bar{P}, \bar{S}$  of its subspace  $F_m$  are connected by the relations (1.15)-(1.20).

## 2. DOUBLE ALTERNATED ABSOLUTE DIFFERENTIALS OF CURVATURE TENSORS IN $F_n$

If  $D_1$  and  $D_2$  are the absolute differentials which correspond to the motion from  $(u^\beta, \dot{u}^\beta)$  to  $(u^\beta d_1 u^\beta, u^\beta + d_1 u^\beta)$  and  $(u^\beta + d_2 u^\beta, \dot{u}^\beta + d_2 \dot{u}^\beta)$  in the subspace  $F_m$  of the Finsler space  $F_n$ , then we have from (1.15)-(1.20), using (1.1), (1.2) and

$$(2.1) \quad \bar{H}_\gamma^k = \bar{\Theta}_\gamma^\mu \ell^\lambda N_\mu^k,$$

$$(2.2) \quad \begin{aligned} & [D_1 D_2] R_j^i{}_{hk} B_{\alpha\beta\gamma}^{jhk} + R_j^i{}_{hk} (\bar{\Omega}_\alpha^\delta B_\delta^j + \bar{\Omega}_\alpha^\nu N_\nu^j) B_{\beta\gamma}^{hk} + \\ & + R_j^i{}_{hk} B_\alpha^j (\bar{\Omega}_\beta^\delta B_\delta^h + \bar{\Omega}_\beta^\nu N_\nu^h) B_\gamma^k + R_j^i{}_{hk} B_{\alpha\beta}^{jh} (\bar{\Omega}_\gamma^\delta B_\delta^k + \bar{\Omega}_\gamma^\nu N_\nu^k) + \\ & + [D_1 D_2] P_j^i{}_{hk} B_{\alpha[\beta}^{jh} \bar{H}_{\gamma]}^k + P_j^i{}_{hk} (\bar{\Omega}_\alpha^\delta B_\delta^j + \bar{\Omega}_\alpha^\nu N_\nu^j) B_{[\beta}^h \bar{H}_{\gamma]}^k + \\ & + P_j^i{}_{hk} B_\alpha^j (\bar{\Omega}_{[\beta}^\delta B_{\delta]}^k + \bar{\Omega}_{[\beta}^\nu N_{\nu]}^k) \bar{H}_{\gamma]}^k + P_j^i{}_{hk} B_{\alpha\beta}^{jh} \bar{\Theta}_{\gamma}^\mu \ell^\lambda (\bar{\Omega}_\mu^\delta B_\delta^k + \\ & + \bar{\Omega}_\mu^\nu N_\nu^k) + [D_1 D_2] S_j^i{}_{hk} B_{\alpha\beta}^{jh} \bar{H}_\gamma^k + S_{jhk}^i (\bar{\Omega}_\alpha^\delta B_\delta^j + \\ & + \bar{\Omega}_\alpha^\nu N_\nu^j) \bar{H}_\gamma^k + S_j^i{}_{hk} B_\beta^j \bar{\Theta}_\gamma^\mu \ell^\lambda (\bar{\Omega}_\mu^\delta B_\delta^h + \bar{\Omega}_\mu^\nu N_\nu^h) \bar{H}_\gamma^k + \\ & + S_j^i{}_{hk} B_{\alpha\beta}^{jh} \bar{\Theta}_\gamma^\nu \ell^\lambda (\bar{\Omega}_\nu^\delta B_\delta^k + \bar{\Omega}_\nu^\mu N_\mu^k) = \\ & = \frac{2}{R} \epsilon_{\alpha\beta\gamma} (\bar{\Omega}_\epsilon^\delta B_\delta^i + \bar{\Omega}_\epsilon^\nu N_\nu^i) + \frac{2}{R} \mu_{\alpha\beta\gamma} (\bar{\Omega}_\mu^\delta B_\delta^i + \bar{\Omega}_\mu^\nu N_\nu^i), \end{aligned}$$

$$(2.3) \quad \begin{aligned} & [D_1 D_2] R_j^i{}_{hk} N_\mu^{jhk} B_{\beta\gamma}^i + R_j^i{}_{hk} (\bar{\Omega}_\mu^\delta B_\delta^j + \bar{\Omega}_\mu^\nu N_\nu^j) B_{\beta\gamma}^{hk} + \\ & + R_j^i{}_{hk} N_\mu^j (\bar{\Omega}_\beta^\delta B_\delta^h + \bar{\Omega}_\beta^\nu N_\nu^h) B_\gamma^k + R_j^i{}_{hk} N_\mu^j B_\beta^h (\bar{\Omega}_\gamma^\delta B_\delta^k + \bar{\Omega}_\gamma^\nu N_\nu^k) + \end{aligned}$$

$$\begin{aligned}
& + [D_1 D_2] P_j^i \text{hk} N_\mu^j B_\beta^k \bar{H}_\gamma^k + P_j^i \text{hk} (\bar{\Omega}_\mu^{\delta B_j^\delta} + \bar{\Omega}_\mu^{\nu N_j^\delta}) B_\beta^h \bar{H}_\gamma^k + \\
& + P_j^i \text{hk} N_\mu^j (\bar{\Omega}_\beta^{\delta B^k} | \delta | + \bar{\Omega}_\beta^{\nu N^k}) \bar{H}_\gamma^k + P_j^i \text{hk} N_\mu^j B_\beta^h \bar{\Omega}_\gamma^{\delta B^k} + \\
& + \bar{\Omega}_\mu^{\nu N^k} + [D_1 D_2] S_j^i \text{hk} N_\mu^j \bar{H}_\beta^h \bar{H}_\gamma^k + S_j^i \text{hk} (\bar{\Omega}_\mu^{\delta B_j^\delta} + \bar{\Omega}_\mu^{\nu N_j^\delta}) \bar{H}_\beta^h \bar{H}_\gamma^k + \\
& + S_j^i \text{hk} N_\mu^j \bar{\Omega}_\gamma^{\delta B^k} + \bar{\Omega}_\mu^{\nu N^k} \bar{H}_\beta^h \bar{H}_\gamma^k + S_j^i \text{hk} N_\mu^j \bar{H}_\beta^h \bar{\Omega}_\gamma^{\delta B^k} + \\
& + \bar{\Omega}_\mu^{\nu N^k} = \frac{2}{R_\mu} \epsilon_{\beta\gamma} (\bar{\Omega}_\mu^{\delta B^i} + \bar{\Omega}_\mu^{\nu N^i}) + \frac{2}{R_\mu} \nu_{\beta\gamma} (\bar{\Omega}_\mu^{\delta B^i} + \bar{\Omega}_\mu^{\nu N^i})
\end{aligned}$$

$$\begin{aligned}
(2.4) \quad & [D_1 D_2] P_j^i \text{hk} B_{\alpha\beta\gamma}^{jhk} + P_j^i \text{hk} (\bar{\Omega}_\alpha^{\delta B_j^\delta} + \bar{\Omega}_\alpha^{\nu N_j^\delta}) B_{\beta\gamma}^{hk} + \\
& + P_j^i \text{hk} B_\alpha^j (\bar{\Omega}_\beta^{\delta B^h} + \bar{\Omega}_\beta^{\nu N^h}) B_\gamma^k + P_j^i \text{hk} B_{\alpha\beta}^{jh} (\bar{\Omega}_\gamma^{\delta B^k} + \bar{\Omega}_\gamma^{\nu N^k}) + \\
& + [D_1 D_2] S_j^i \text{hk} B_\alpha^j \bar{H}_\beta^h B_\gamma^k + S_j^i \text{hk} (\bar{\Omega}_\alpha^{\delta B_j^\delta} + \bar{\Omega}_\alpha^{\nu N_j^\delta}) \bar{H}_\beta^h B_\gamma^k + \\
& + S_j^i \text{hk} B_\alpha^j \bar{\Omega}_\gamma^{\delta B^h} + \bar{\Omega}_\gamma^{\nu N^h} B_\beta^k + S_j^i \text{hk} B_\alpha^j \bar{H}_\beta^h (\bar{\Omega}_\gamma^{\delta B^k} + \bar{\Omega}_\gamma^{\nu N^k}) \\
& = \frac{2}{P_\alpha} \epsilon_{\beta\gamma} (\bar{\Omega}_\alpha^{\delta B^i} + \bar{\Omega}_\alpha^{\nu N^i}) + \frac{2}{P_\alpha} \mu_{\beta\gamma} (\bar{\Omega}_\alpha^{\delta B^i} + \bar{\Omega}_\alpha^{\nu N^i})
\end{aligned}$$

$$\begin{aligned}
(2.5) \quad & [D_1 D_2] P_j^i \text{hk} N_\mu^j B_{\beta\gamma}^{hk} + P_j^i \text{hk} (\bar{\Omega}_\mu^{\delta B_j^\delta} + \bar{\Omega}_\mu^{\nu N_j^\delta}) B_{\beta\gamma}^{hk} + \\
& + P_j^i \text{hk} N_\mu^j (\bar{\Omega}_\beta^{\delta B^h} + \bar{\Omega}_\beta^{\nu N^h}) B_\gamma^k + P_j^i \text{hk} N_\mu^j B_\beta^h (\bar{\Omega}_\gamma^{\delta B^k} + \bar{\Omega}_\gamma^{\nu N^k}) + \\
& + [D_1 D_2] S_j^i \text{hk} N_\mu^j \bar{H}_\beta^h B_\gamma^k + S_j^i \text{hk} (\bar{\Omega}_\mu^{\delta B_j^\delta} + \bar{\Omega}_\mu^{\nu N_j^\delta}) \bar{H}_\beta^h B_\gamma^k + \\
& + S_j^i \text{hk} N_\mu^j \bar{\Omega}_\gamma^{\delta B^h} + \bar{\Omega}_\gamma^{\nu N^h} B_\beta^k + S_j^i \text{hk} N_\mu^j \bar{H}_\beta^h (\bar{\Omega}_\gamma^{\delta B^k} + \bar{\Omega}_\gamma^{\nu N^k}) \\
& + \bar{\Omega}_\mu^{\nu N^k} = \frac{2}{P_\mu} \epsilon_{\beta\gamma} (\bar{\Omega}_\mu^{\delta B^i} + \bar{\Omega}_\mu^{\nu N^i}) + \frac{2}{P_\mu} \psi_{\beta\gamma} (\bar{\Omega}_\mu^{\delta B^i} + \bar{\Omega}_\mu^{\nu N^i})
\end{aligned}$$

$$\begin{aligned}
(2.6) \quad & [D_1 D_2] S_j^i \text{hk} B_{\alpha\beta\gamma}^{jhk} + S_j^i \text{hk} (\bar{\Omega}_\alpha^{\delta B_j^\delta} + \bar{\Omega}_\alpha^{\nu N_j^\delta}) B_{\beta\gamma}^{hk} + S_j^i \text{hk} B_\alpha^j (\bar{\Omega}_\beta^{\delta B^h} + \bar{\Omega}_\beta^{\nu N^h}) B_\gamma^k \\
& + \bar{\Omega}_\alpha^{\nu N^h} B_\gamma^k + S_j^i \text{hk} B_{\alpha\beta}^{jh} (\bar{\Omega}_\gamma^{\delta B^k} + \bar{\Omega}_\gamma^{\nu N^k}) = \frac{2}{S_\alpha} \epsilon_{\beta\gamma} (\bar{\Omega}_\alpha^{\delta B^i} + \bar{\Omega}_\alpha^{\nu N^i}) + \\
& + \frac{2}{S_\alpha} \mu_{\beta\gamma} (\bar{\Omega}_\alpha^{\delta B^i} + \bar{\Omega}_\alpha^{\nu N^i})
\end{aligned}$$



$$\begin{aligned}
 (2.7) \quad [D_1 D_2] S_j^i{}_{hk} N_\mu^j B_{\beta\gamma}^{hk} + S_j^i{}_{hk} (\bar{\Omega}_\mu^\delta B_\delta^j + \bar{\Omega}_\mu^\nu N_\nu^j) B_{\beta\gamma}^{hk} + \\
 + S_j^i{}_{hk} N_\mu^j (\bar{\Omega}_\beta^\delta B_\delta^h + \bar{\Omega}_\beta^\nu N_\nu^h) B_\gamma^k + S_j^i{}_{hk} N_\mu^j B_\beta^h (\bar{\Omega}_\gamma^\delta B_\delta^k + \bar{\Omega}_\gamma^\nu N_\nu^k) = \\
 = \frac{2}{S_\mu} \epsilon_{\beta\gamma} (\bar{\Omega}_\epsilon^\delta B_\delta^i + \bar{\Omega}_\epsilon^\nu N_\nu^i) + \frac{2}{S_\mu} \psi_{\beta\gamma} (\bar{\Omega}_\psi^\delta B_\delta^i + \bar{\Omega}_\psi^\nu N_\nu^i)
 \end{aligned}$$

In formulas (2.2)-(7.2)

$$\bar{\Omega} = \bar{\Omega}(d_2, d_1)$$

for all indices of  $\bar{\Omega}$ .

### 3. A SPECIAL CASE

If the space and its subspace are Riemannian, then from (2.2), (2.3) we obtain (in case the Riemannian space tensors  $P$  and  $S$  are zero)

$$\begin{aligned}
 (3.1) \quad [D_1 D_2] R_j^i{}_{hk} B_{\alpha\beta\gamma}^{jhk} + R_j^i{}_{hk} (\bar{\Omega}_\alpha^\delta B_\delta^j + \bar{\Omega}_\alpha^\nu N_\nu^j) B_{\beta\gamma}^{hk} + \\
 + R_j^i{}_{hk} B_\alpha^j (\bar{\Omega}_\beta^\delta B_\delta^h + \bar{\Omega}_\beta^\nu N_\nu^h) B_\gamma^k + R_j^i{}_{hk} B_{\alpha\beta}^{jh} (\bar{\Omega}_\gamma^\delta B_\delta^k + \bar{\Omega}_\gamma^\nu N_\nu^k) = \\
 = \frac{2}{R_\alpha} \epsilon_{\beta\gamma} (\bar{\Omega}_\epsilon^\delta B_\delta^i + \bar{\Omega}_\epsilon^\nu N_\nu^i) + \frac{2}{R_\alpha} \mu_{\beta\gamma} (\bar{\Omega}_\mu^\delta B_\delta^i + \bar{\Omega}_\mu^\nu N_\nu^i)
 \end{aligned}$$

$$\begin{aligned}
 (3.2) \quad [D_1 D_2] R_j^i{}_{hk} N_\mu^j B_{\beta\gamma}^{hk} + R_j^i{}_{hk} (\bar{\Omega}_\mu^\delta B_\delta^j + \bar{\Omega}_\mu^\nu N_\nu^j) B_{\beta\gamma}^{hk} + \\
 + R_j^i{}_{hk} N_\mu^j (\bar{\Omega}_\beta^\delta B_\delta^k + \bar{\Omega}_\beta^\nu N_\nu^k) B_\gamma^k + R_j^i{}_{hk} N_\mu^j B_\beta^k (\bar{\Omega}_\gamma^\delta B_\delta^k + \bar{\Omega}_\gamma^\nu N_\nu^k) = \\
 = \frac{2}{R_\mu} \epsilon_{\beta\gamma} (\bar{\Omega}_\epsilon^\delta B_\delta^i + \bar{\Omega}_\epsilon^\nu N_\nu^i) + \frac{2}{R_\mu} \nu_{\beta\gamma} (\bar{\Omega}_\nu^\delta B_\delta^i + \bar{\Omega}_\nu^\psi N_\psi^i)
 \end{aligned}$$

In the two above formulas

$$\bar{\Omega}_\beta^\delta = \frac{1}{2} \frac{2}{R_\beta} \delta_{\gamma\delta} [d_2 u^\gamma, d_1 u^\delta]$$

$$\bar{\Omega}_\alpha^\mu = \frac{1}{2} \frac{2}{R_\alpha} \mu_{\beta\gamma} [d_2 u^\beta, d_1 u^\gamma]$$

$$\bar{\Omega}_\mu^\nu = \frac{1}{2} \frac{2}{R_\mu} \nu_{\beta\gamma} [d_2 u^\beta, d_1 u^\gamma]$$

$$\frac{2}{R_\alpha} \mu_{\beta\gamma} = \bar{R}_\alpha^\mu{}_{\beta\gamma} + \bar{\Theta}_\alpha^\mu [\bar{\Theta}_{|\mu|}{}^\epsilon{}_{|\gamma|}]$$

$$\frac{2}{\bar{R}}_{\alpha}{}^{\mu}{}_{\beta\gamma} = \bar{R}_{\alpha}{}^{\mu}{}_{\beta\gamma} + \bar{\Theta}_{\alpha}{}^{\nu}{}_{[\beta} \bar{\lambda}{}^{\mu}{}_{|\nu|} \gamma]$$

$$\frac{2}{\bar{R}}_{\mu}{}^{\nu}{}_{\beta\gamma} = \bar{R}_{\mu}{}^{\nu}{}_{\beta\gamma} + \bar{\lambda}_{\mu}{}^{\xi}{}_{[\beta} \bar{\lambda}{}^{\nu}{}_{|\xi|} \gamma]$$

$$\bar{R}_{\alpha}{}^{\epsilon}{}_{\beta\gamma} = \partial[\gamma^T | \alpha | \beta] + \bar{T}_{\alpha}{}^{\kappa}{}_{[\beta} \bar{T}{}^{\epsilon}{}_{|\kappa|} \gamma]$$

$$\bar{R}_{\alpha}{}^{\mu}{}_{\beta\gamma} = \partial[\gamma \bar{\Theta} | \alpha | \beta] + \bar{T}_{\alpha}{}^{\kappa}{}_{[\beta} \bar{\Theta}{}^{\mu}{}_{|\kappa|} \gamma]$$

$$\bar{R}_{\mu}{}^{\nu}{}_{\beta\gamma} = \partial[\gamma \bar{\lambda} | \mu | \beta] + \bar{\Theta}_{\mu}{}^{\kappa}{}_{[\beta} \bar{\Theta}{}^{\nu}{}_{|\kappa|} \gamma]$$

$$\bar{T}_{\alpha\gamma\beta} = g_{ir} B_{\gamma}^r (B_{\alpha\beta}^i + \Gamma_j{}^i{}_k B_{\alpha\beta}^{jk})$$

$$\bar{\Theta}_{\alpha\nu\beta} = g_{ir} N_{\nu}^r (B_{\alpha\beta}^i + \Gamma_j{}^i{}_k B_{\alpha\beta}^{jk})$$

$$\bar{\lambda}_{\mu}{}^{\psi}{}_{\gamma} = \bar{N}_i (\partial_{\gamma} N_{\mu}^i + \Gamma_j{}^i{}_k N_{\mu}^{jk} B_{\gamma}^k)$$

Multiplying (3.1) and (3.2) with  $B_i^{\kappa}$  and  $\bar{N}_i^{\rho}$  we obtain

$$(3.3) \quad ([D_1 D_2] R_j{}^i{}_{hk}) B_{\alpha i}^{jk} B_{\beta\gamma}^{hk} + R_j{}^i{}_{hk} (\bar{\Omega}_{\alpha}{}^{\delta} B_{\delta}^j + \bar{\Omega}_{\alpha}{}^{\nu} N_{\nu}^j) B_{\beta\gamma}^{hk} B_i^{\kappa} + \\ + R_j{}^i{}_{hk} B_{\alpha i}^{jk} (\bar{\Omega}_{\beta}{}^{\delta} B_{\delta}^h + \bar{\Omega}_{\beta}{}^{\nu} N_{\nu}^h) B_{\gamma}^k + R_j{}^i{}_{hk} B_{\alpha i}^{jk} B_{\beta}^h (\bar{\Omega}_{\gamma}{}^{\delta} B_{\delta}^k + \bar{\Omega}_{\gamma}{}^{\nu} N_{\nu}^k) = \\ = \frac{2}{\bar{R}}_{\alpha}{}^{\epsilon}{}_{\beta\gamma} \bar{\Omega}_{\epsilon}{}^{\kappa} + \frac{2}{\bar{R}}_{\alpha}{}^{\mu}{}_{\beta\gamma} \bar{\Omega}_{\mu}{}^{\kappa}$$

$$(3.4) \quad ([D_1 D_2] R_j{}^i{}_{hk}) B_{\alpha}^j \bar{N}_i^{\rho} B_{\beta\gamma}^{hk} + R_j{}^i{}_{hk} (\bar{\Omega}_{\alpha}{}^{\delta} B_{\delta}^j + \bar{\Omega}_{\alpha}{}^{\nu} N_{\nu}^j) \bar{N}_i^{\rho} B_{\beta\gamma}^{hk} + \\ + R_j{}^i{}_{hk} B_{\alpha}^j \bar{N}_i^{\rho} (\bar{\Omega}_{\beta}{}^{\delta} B_{\delta}^h + \bar{\Omega}_{\beta}{}^{\nu} N_{\nu}^h) B_{\gamma}^k + R_j{}^i{}_{hk} B_{\alpha}^j \bar{N}_i^{\rho} B_{\beta}^h (\bar{\Omega}_{\gamma}{}^{\delta} B_{\delta}^k + \bar{\Omega}_{\gamma}{}^{\nu} N_{\nu}^k) = \\ = \frac{2}{\bar{R}}_{\alpha}{}^{\epsilon}{}_{\beta\gamma} \bar{\Omega}_{\epsilon}{}^{\rho} + \frac{2}{\bar{R}}_{\alpha}{}^{\mu}{}_{\beta\gamma} \bar{\Omega}_{\mu}{}^{\rho}.$$

$$(3.5) \quad ([D_1 D_2] R_j{}^i{}_{hk}) N_{\mu}^j B_{\alpha i}^{jk} B_{\beta\gamma}^{hk} + R_j{}^i{}_{hk} (\bar{\Omega}_{\mu}{}^{\delta} B_{\delta}^j + \bar{\Omega}_{\mu}{}^{\nu} N_{\nu}^j) B_{\alpha i}^{jk} B_{\beta\gamma}^{hk} + \\ + R_j{}^i{}_{hk} N_{\mu}^j B_{\alpha i}^{jk} (\bar{\Omega}_{\beta}{}^{\delta} B_{\delta}^h + \bar{\Omega}_{\beta}{}^{\nu} N_{\nu}^h) B_{\gamma}^k + R_j{}^i{}_{hk} N_{\mu}^j B_{\alpha i}^{jk} B_{\beta}^h (\bar{\Omega}_{\gamma}{}^{\delta} B_{\delta}^k + \bar{\Omega}_{\gamma}{}^{\nu} N_{\nu}^k) = \\ = \frac{2}{\bar{R}}_{\mu}{}^{\epsilon}{}_{\beta\gamma} \bar{\Omega}_{\epsilon}{}^{\kappa} + \frac{2}{\bar{R}}_{\mu}{}^{\nu}{}_{\beta\gamma} \bar{\Omega}_{\nu}{}^{\kappa}.$$

$$(3.6) \quad ([D_1 D_2] R_j{}^i{}_{hk}) N_{\mu}^j \bar{N}_i^{\rho} B_{\beta\gamma}^{hk} + R_j{}^i{}_{hk} (\bar{\Omega}_{\mu}{}^{\delta} B_{\delta}^j + \bar{\Omega}_{\mu}{}^{\nu} N_{\nu}^j) \bar{N}_i^{\rho} B_{\beta\gamma}^{hk} + \\ + R_j{}^i{}_{hk} N_{\mu}^j \bar{N}_i^{\rho} (\bar{\Omega}_{\beta}{}^{\delta} B_{\delta}^h + \bar{\Omega}_{\beta}{}^{\nu} N_{\nu}^h) B_{\gamma}^k + R_j{}^i{}_{hk} N_{\mu}^j \bar{N}_i^{\rho} B_{\beta}^h (\bar{\Omega}_{\gamma}{}^{\delta} B_{\delta}^k + \bar{\Omega}_{\gamma}{}^{\nu} N_{\nu}^k) = \\ = \frac{2}{\bar{R}}_{\mu}{}^{\epsilon}{}_{\beta\gamma} \bar{\Omega}_{\epsilon}{}^{\rho} + \frac{2}{\bar{R}}_{\mu}{}^{\nu}{}_{\beta\gamma} \bar{\Omega}_{\nu}{}^{\rho}$$



Let us define the tensors

$$\begin{aligned}
 \frac{2}{R}_{\alpha}{}^{\kappa}{}_{\beta\gamma} &= R_j{}^i{}_{hk} B_{\alpha}{}^j B_i{}^{\kappa} B_{\beta\gamma}{}^{hk} & \frac{2}{R}_{\alpha}{}^{\mu}{}_{\beta\gamma} &= R_j{}^i{}_{hk} B_{\alpha}{}^j N_i{}^{\mu} B_{\beta\gamma}{}^{hk} \\
 \frac{2}{R}_{\mu}{}^{\kappa}{}_{\beta\gamma} &= R_j{}^i{}_{hk} N_{\mu}{}^j B_i{}^{\kappa} B_{\beta\gamma}{}^{hk} & \frac{2}{R}_{\alpha}{}^{\kappa}{}_{\mu\gamma} &= R_j{}^i{}_{hk} B_{\alpha}{}^j B_i{}^{\kappa} N_{\mu}{}^{\gamma}{}^{hk} \\
 \frac{2}{R}_{\alpha}{}^{\kappa}{}_{\beta\mu} &= R_j{}^i{}_{hk} B_{\alpha}{}^j B_i{}^{\kappa} B_{\beta\mu}{}^{hk} & \frac{2}{R}_{\nu}{}^{\mu}{}_{\beta\gamma} &= R_j{}^i{}_{hk} N_{\nu}{}^j N_i{}^{\mu} B_{\beta\gamma}{}^{hk} \\
 \frac{2}{R}_{\alpha}{}^{\mu}{}_{\nu\gamma} &= R_j{}^i{}_{hk} B_{\alpha}{}^j N_i{}^{\mu} N_{\nu}{}^{\gamma}{}^{hk} & \frac{2}{R}_{\alpha}{}^{\mu}{}_{\beta\nu} &= R_j{}^i{}_{hk} B_{\alpha}{}^j N_i{}^{\mu} B_{\beta\nu}{}^{hk} \\
 \frac{2}{R}_{\mu}{}^{\nu}{}_{\psi\gamma} &= R_j{}^i{}_{hk} N_{\mu}{}^j N_i{}^{\nu} B_{\psi\gamma}{}^{hk} & \frac{2}{R}_{\mu}{}^{\nu}{}_{\beta\psi} &= R_j{}^i{}_{hk} N_{\mu}{}^j N_i{}^{\nu} B_{\beta\psi}{}^{hk}
 \end{aligned}
 \tag{3.7}$$

Some of these tensors appear in (1.5) and (1.6) and for the Riemannian space and subspace are the same as those (above) defined.

Now (3.3) - (3.6) have the form

$$\begin{aligned}
 (3.8) \quad ([D_1 D_2] R_j{}^i{}_{hk}) B_{\alpha}{}^j B_i{}^{\kappa} B_{\beta\gamma}{}^{hk} &= \\
 &= \frac{2}{R}_{\alpha}{}^{\delta}{}_{\beta\gamma} \bar{\Omega}_{\delta}{}^{\kappa} - \frac{2}{R}_{\delta}{}^{\kappa}{}_{\beta\gamma} \bar{\Omega}_{\alpha}{}^{\delta} - \frac{2}{R}_{\alpha}{}^{\kappa}{}_{\delta\gamma} \bar{\Omega}_{\beta}{}^{\delta} - \frac{2}{R}_{\alpha}{}^{\kappa}{}_{\beta\delta} \bar{\Omega}_{\gamma}{}^{\delta} + \\
 &+ \frac{2}{R}_{\alpha}{}^{\mu}{}_{\beta\gamma} \bar{\Omega}_{\mu}{}^{\kappa} - \frac{2}{R}_{\mu}{}^{\kappa}{}_{\beta\gamma} \bar{\Omega}_{\alpha}{}^{\mu} - \frac{2}{R}_{\alpha}{}^{\kappa}{}_{\mu\gamma} \bar{\Omega}_{\beta}{}^{\mu} - \frac{2}{R}_{\alpha}{}^{\kappa}{}_{\beta\mu} \bar{\Omega}_{\gamma}{}^{\mu} \stackrel{\text{def}}{=} [\bar{D}_1 \bar{D}_2] \frac{2}{R}_{\alpha}{}^{\kappa}{}_{\beta\gamma}
 \end{aligned}$$

$$\begin{aligned}
 (3.9) \quad ([D_1 D_2] R_j{}^i{}_{hk}) B_{\alpha}{}^j N_i{}^{\nu} B_{\beta\gamma}{}^{hk} &= \\
 &= \frac{2}{R}_{\alpha}{}^{\delta}{}_{\beta\gamma} \bar{\Omega}_{\delta}{}^{\nu} - \frac{2}{R}_{\delta}{}^{\nu}{}_{\beta\gamma} \bar{\Omega}_{\alpha}{}^{\delta} - \frac{2}{R}_{\alpha}{}^{\delta}{}_{\delta\gamma} \bar{\Omega}_{\beta}{}^{\nu} - \frac{2}{R}_{\alpha}{}^{\delta}{}_{\beta\delta} \bar{\Omega}_{\gamma}{}^{\nu} + \\
 &+ \frac{2}{R}_{\alpha}{}^{\mu}{}_{\beta\gamma} \bar{\Omega}_{\mu}{}^{\nu} - \frac{2}{R}_{\mu}{}^{\nu}{}_{\beta\gamma} \bar{\Omega}_{\alpha}{}^{\mu} - \frac{2}{R}_{\alpha}{}^{\nu}{}_{\beta\mu} \bar{\Omega}_{\gamma}{}^{\mu} - \frac{2}{R}_{\alpha}{}^{\nu}{}_{\beta\mu} \bar{\Omega}_{\gamma}{}^{\mu} \stackrel{\text{def}}{=} [\bar{D}_1 \bar{D}_2] \frac{2}{R}_{\alpha}{}^{\nu}{}_{\beta\gamma}
 \end{aligned}$$

$$\begin{aligned}
 (3.10) \quad ([D_1 D_2] R_j{}^i{}_{hk}) N_{\mu}{}^j B_i{}^{\kappa} B_{\beta\gamma}{}^{hk} &= \\
 &= \frac{2}{R}_{\mu}{}^{\delta}{}_{\beta\gamma} \bar{\Omega}_{\delta}{}^{\kappa} - \frac{2}{R}_{\delta}{}^{\kappa}{}_{\beta\gamma} \bar{\Omega}_{\mu}{}^{\delta} - \frac{2}{R}_{\mu}{}^{\kappa}{}_{\delta\gamma} \bar{\Omega}_{\beta}{}^{\delta} - \frac{2}{R}_{\mu}{}^{\kappa}{}_{\beta\delta} \bar{\Omega}_{\gamma}{}^{\delta} + \\
 &+ \frac{2}{R}_{\mu}{}^{\nu}{}_{\beta\gamma} \bar{\Omega}_{\nu}{}^{\kappa} - \frac{2}{R}_{\nu}{}^{\kappa}{}_{\beta\gamma} \bar{\Omega}_{\mu}{}^{\nu} - \frac{2}{R}_{\mu}{}^{\kappa}{}_{\nu\gamma} \bar{\Omega}_{\beta}{}^{\nu} - \frac{2}{R}_{\mu}{}^{\kappa}{}_{\beta\nu} \bar{\Omega}_{\gamma}{}^{\nu} \stackrel{\text{def}}{=} [\bar{D}_1 \bar{D}_2] \frac{2}{R}_{\mu}{}^{\kappa}{}_{\beta\gamma}
 \end{aligned}$$

$$\begin{aligned}
 (3.11) \quad & ([D_1 D_2] R_j^i{}_{hk} N_\mu^j N_i^v B_{\beta\gamma}^{hk}) = \\
 & = \frac{2}{R_\mu} \delta_{\beta\gamma} \bar{\Omega}_\delta^v - \frac{2}{R_\delta} v_{\beta\gamma} \bar{\Omega}_\mu^\delta - \frac{2}{R_\mu} v_{\delta\gamma} \bar{\Omega}_\beta^\delta - \frac{2}{R_\mu} v_{\beta\delta} \bar{\Omega}_\gamma^\delta + \\
 & + \frac{2}{R_\mu} \Psi_{\beta\gamma} \bar{\Omega}_\mu^\Psi - \frac{2}{R_\Psi} v_{\beta\gamma} \bar{\Omega}_\mu^\Psi - \frac{2}{R_\mu} v_{\Psi\gamma} \bar{\Omega}_\beta^\Psi - \frac{2}{R_\mu} v_{\beta\Psi} \bar{\Omega}_\gamma^\Psi \stackrel{\text{def}}{=} \\
 & [\bar{D}_1 \bar{D}_2] \frac{2}{R_\mu} v_{\beta\gamma} .
 \end{aligned}$$

In the Riemannian space the double alternated differentials of the curvature tensor of the space and its subspace are connected by (3.8)-(3.11).

#### 4. RECURRENT RIEMANNIAN SPACE OF THE SECOND ORDER

If the surrounding Riemannian space has the property

$$(4.1) \quad R_j^i{}_{hk}|p|q = a_{pq} R_j^i{}_{hk}$$

then from

$$[D_1 D_2] R_j^i{}_{hk} = R_j^i{}_{hk} [|p|q] [d_2 x^p, d_1 x^q]$$

it follows that

$$\begin{aligned}
 (4.2) \quad & [D_1 D_2] R_j^i{}_{hk} = \frac{1}{2} (a_{pq} - a_{qp}) R_j^i{}_{hk} [d_2 x^p, d_1 x^q] = \\
 & = b_{pq} R_j^i{}_{hk} [d_2 x^p, d_1 x^q]
 \end{aligned}$$

where  $b_{pq}$  is the second order antisymmetric covariant tensor

$$b_{pq} = \frac{1}{2} (a_{pq} - a_{qp})$$

In this case (3.8) becomes

$$(4.3) \quad [\bar{D}_1 \bar{D}_2] \frac{2}{R_\alpha} \kappa_{\beta\gamma} = b_{pq} [d_2 x^p, d_1 x^q] R_j^i{}_{hk} B_{\alpha i \beta \gamma}^{j k h k}$$

Denoting

$$K = b_{pq} [d_2 x^p, d_1 x^q]$$



we have for (4.3)

$$(4.4) \quad [\bar{D}_1 \bar{D}_2] \overset{2}{R}_{\alpha \beta \gamma}^{\kappa} = K R_j^i{}_{hk} B_{\alpha}^{j \kappa h k}{}_{\beta \gamma} = K \overset{2}{R}_{\alpha \beta \gamma}^{\kappa}$$

In a similar manner (3.9), (3.10) and (3.11) become in this case

$$(4.5) \quad [\bar{D}_1 \bar{D}_2] \overset{2}{R}_{\alpha \beta \gamma}^{\nu} = K \overset{2}{R}_{\alpha \beta \gamma}^{\nu}$$

$$(4.6) \quad [\bar{D}_1 \bar{D}_2] \overset{2}{R}_{\mu \beta \gamma}^{\kappa} = K \overset{2}{R}_{\mu \beta \gamma}^{\kappa}$$

$$(4.7) \quad [\bar{D}_1 \bar{D}_2] \overset{2}{R}_{\mu \beta \gamma}^{\nu} = K \overset{2}{R}_{\mu \beta \gamma}^{\nu}$$

From the above we can conclude.

If in the Riemannian space the curvature tensor  $R$  has the property (4.1) then the curvature tensor  $\overset{2}{R}_{\alpha \beta \gamma}^{\kappa}, \overset{2}{R}_{\alpha \beta \gamma}^{\nu}, \overset{2}{R}_{\mu \beta \gamma}^{\kappa}, \overset{2}{R}_{\mu \beta \gamma}^{\nu}$  defined by (3.7) have the property (4.4)-(4.7), where the left hand side of these formulas are defined by (3.8)-(3.11).

The formulas (4.4)-(4.7) are valid if instead of condition (4.1) we have the weaker condition

$$(4.8) \quad [D_1 D_2] R_j^i{}_{hk} = K R_j^i{}_{hk}$$

From (4.1) follows (4.8) for every motion  $d_1 x^p, d_2 x^q$ , but from (4.8) only (4.2) follows.

#### REFERENCE

- [1] H. Rund: *The differential geometry of Finsler space*, Springer, 1959.
- [2] I. Čomić: *The induced curvature tensor of a subspace in a Finsler space* *Tensor N.S. Vol. 23*, 1973.

- [3] I. Čomić: *The Bianchi identities for the induced and intrinsic curvature tensors of the subspace in the Finsler space.*  
*Differential Geometry Colloq. Math. Soc. J. Bolyai Vol.*  
*31 (1981).*

## REZIME

VEZA IZMEDJU DUPLOG ALTERNIRANOG APSOLUTNOG DIFERENCIJALA  
 TENZORA KRIVINE FINSLEROVOG PPOSTOPA I INDUKOVANIH KRIVINA  
 PODPROSTORA

U uvodu su date formule (1.15)-(1.20) koje povezuju tenzore krivi na prostoru  $F_n$  i potprostora  $F_m$ . U 2. su date veze izmedju duplog alterniranog apsolutnog diferencijala ovih tenzora krivina. Te formule se uprošćuju za Riemannov prostor, što je odredjeno u 3. U 4. je ispitivan 2 - rekurentni Riemannov prostor i njegov potprostor. Tada važe formule (4.4) - (4.7).





*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

## CONNEXIONS IN $f$ -MANIFOLDS

*Jan Djuras*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

1. K.Yano [6] introduced the notion of an  $f$ -structure on a  $C^\infty$  manifold  $N$  as a tensor field  $f$  of type  $(1,1)$  and rank  $2m$  ( $2m \leq n = \dim N$ ) satisfying  $f^3 + f = 0$ , the existence of which is equivalent to a reduction of the structural group of the tangent bundle to the group  $Gl(m, C) \times Gl(n-2m, R)$ . A manifold  $N$  with an  $f$ -structure is called an  $f$ -manifold. Almost complex ( $2m = n$ ) and almost contact ( $2m = n - 1$ ) structures are well-known examples of  $f$ -structures.

Let  $N$  be an  $n$ -dimensional manifold with an  $f$ -structure of rank  $2m$ . If there exists on  $N$  vector fields  $\xi_\alpha$ ,  $\alpha = 1, \dots, n-2m$ , such that if  $\eta_\alpha$  are dual 1-forms, then

$$(1) \quad \eta_\alpha(\xi_\beta) = \delta_{\alpha\beta}$$

$$(2) \quad f^2 = -I + \sum_{\alpha} \eta_\alpha \otimes \xi_\alpha$$

we say that the  $f$ -structure has complemented frames. As an immediate consequence of (1) and (2), we obtain

$$f(\xi_\alpha) = 0$$

$$\eta_\alpha \circ f = 0$$

On a manifold  $N$  the existence of an  $f$ -structure with complemented frames is equivalent to a reduction of the structural group of the tangent bundle to the group  $Gl(m, C) \times E_{n-2m}$ , where  $E_{n-2m}$  denotes  $(n-2m) \times (n-2m)$  unit matrix [see [4]].



The purpose of this paper is to consider affine connexions in  $f$ -manifolds.

2. Let  $N$  be an  $n$ -dimensional manifold of class  $C^\infty$  with an  $f$ -structure of rank  $2m$ . Then the structural group of the tangent bundle can be reduced to the group  $Gl(m, C) \times Gl(n-2m, R)$ , and conversely.

Let  $(fB(N), Gl(m, C) \times Gl(n-2m, R), N)$  be the principal bundle of adapted frames of  $f$ -structure on  $N$ , i.e. the principal bundle of frames with respect to which  $f$  has components

$$(3) \quad f = \begin{bmatrix} 0 & E_m & 0 \\ -E_m & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

where  $E_m$  denotes  $m \times m$  unit matrix. With respect to every connexion on the bundle  $fB(N)$  the tensor field  $f$  is parallel.

Indeed, let  $\gamma : [0, 1] \rightarrow N$  be a curve of class  $C^\infty$  on the manifold  $N$ , and let  $\tilde{\gamma}$  be a horizontal lift of  $\gamma$  in the bundle  $fB(N)$  with respect to the given connexion. If we denote  $\tilde{\gamma}(0) = (\gamma(0), u_1(0), \dots, u_n(0))$  and  $\tilde{\gamma}(t) = (\gamma(t), u_1(t), \dots, u_n(t))$ , where  $(u_1(0), \dots, u_n(0))$  and  $(u_1(t), \dots, u_n(t))$  are any frames from  $fB(N)$  at points  $\gamma(0)$  and  $\gamma(t)$  respectively, then it is obvious that components of  $f$  with respect to frames  $(u_1(0), \dots, u_n(0))$  and  $(u_1(t), \dots, u_n(t))$  are equal and given by (3), which means that tensor field  $f$  is parallel along the curve  $\gamma$ .

If  $H'$  is a connexion on  $fB(N)$ , then it can be extended to a connexion  $H$  on  $B(N)$  by the right action of the group  $Gl(n, R)$  ( $B(N)$  is a bundle of bases of  $N$ ). Hence,  $H$  gives rise to a parallel translation along curves in  $N$ . Further, it is clear that with respect to this parallel translation, the tensor field  $f$  is parallel. Indeed, let us have parallel translation along a curve  $\gamma$ . We may choose a horizontal lift  $\tilde{\gamma}$  of  $\gamma$  so that  $\tilde{\gamma}(0) \in fB(N)$ . Then we have  $\tilde{\gamma} \subset fB(N)$ , which follows from the definition of the connexion  $H$ .

Conversely, if  $H$  is a connexion on  $B(N)$ , such that the tensor field  $f$  is parallel with respect to  $H$ , then  $H$  comes from a connexion  $H'$  on  $fB(N)$  in the above manner. Indeed, let  $b = (m, u_1, \dots, u_m) \in fB(N)$  and let  $\tilde{\gamma}$  be a horizontal curve in  $B(N)$  passing through  $b$ . Then every point on  $\tilde{\gamma}$  must belong to  $fB(N)$ , since  $f$  is parallel along the curve  $\gamma = \pi \circ \tilde{\gamma}$  ( $\pi$  is the projection  $B(N) \rightarrow N$ ), by assumption. Therefore  $H_b \subset T_b(fB(N))$ , so we may define  $H'$  by  $H'_b = H_b$ .

Thus we have

**THEOREM 1.** *For an affine connexion  $H$  on a manifold  $N$  with  $f$ -structure, the following conditions are equivalent:*

- (a)  $H$  is the extension of a connexion of  $fB(N)$
- (b) The tensor field  $f$  is parallel with respect to  $H$ .

An affine connexion on  $N$  is said to be an  $f$ -connexion, if it satisfies any one (and hence both) of the conditions above.

From the general theory of connexions (see [1]) we know that every principal bundle  $(P, G, N)$ , with  $N$  paracompact, admits a connexion. This means that every paracompact manifold  $N$  with an  $f$ -structure, admits an  $f$ -connexion.

Let the  $f$ -structure on  $N$  have complemented frames. Then the structural group of the tangent bundle can be reduced to the group  $Gl(m, C) \times E_{n-2m}$ , and conversely.

Let  $((f, \xi_\alpha, \eta_\alpha)B(N), Gl(m, C) \times E_{n-2m}, N)$  be the principal bundle of adapted frames of  $f$ -structure with complemented frames on  $N$ , i.e. the principal bundle of frames with respect to which  $f$  has components (3), while  $\eta_\alpha$  and  $\xi_\alpha$  have components



$$\eta_\alpha = (\underbrace{0, \dots, 0}_{2m}, \underbrace{0, \dots, 1}_\alpha, \dots, 0, ) \xi_\alpha = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{bmatrix} \left. \begin{array}{l} \vdots \\ \vdots \\ \vdots \end{array} \right\} \begin{array}{l} 2m \\ \alpha \end{array}$$

It is obvious, that the tensor field  $f$ , vector fields  $\xi_\alpha$  and 1-forms  $\eta_\alpha$  are parallel with respect to every connexion in the bundle  $(f, \xi_\alpha, \eta_\alpha)B(N)$ .

The proof of the following theorem is analogous to that of Theorem 1.

**THEOREM 2.** For an affine connexion  $H$  on a manifold  $N$  with  $f$ -structure with complemented frames, the following conditions are equivalent:

- $H$  is the extension of a connexion of  $(f, \xi_\alpha, \eta_\alpha)B(N)$
- The tensor field  $f$ , vector fields  $\xi_\alpha$  and 1-forms  $\eta_\alpha$  are parallel with respect to  $H$ .

An affine connexion on  $N$  is said to be an  $(f, \xi_\alpha, \eta_\alpha)$ -connexion, if it satisfies any one (and hence both) of the conditions above.

As a consequence of the above theorem, we have that every paracompact manifold  $N$  with an  $f$ -structure with complemented frames, admits an  $(f, \xi_\alpha, \eta_\alpha)$ -connexion.

We shall now prove the existence of a connexion of a more special type.

**THEOREM 3.** Every manifold  $N$  with an  $f$ -structure with complemented frames admits an  $(f, \xi_\alpha, \eta_\alpha)$ -connexion such that its torsion  $T$  is given by

$$4T(X, Y) = 4 \sum_\alpha d\eta_\alpha(X, Y) \xi_\alpha - \sum_\alpha \eta_\alpha([f, f](X, Y)) \xi_\alpha + [f, f](X + \sum_\alpha \eta_\alpha(X) \xi_\alpha, Y + \sum_\beta \eta_\beta(Y) \xi_\beta),$$

where  $[f, f]$  is the Nijenhuis torsion of  $f$ , and  $X, Y \in \mathfrak{X}(N)$  ( $\mathfrak{X}(N)$  is the set of all vector fields of class  $C^\infty$  on  $N$ ).

**P r o o f.** Consider an arbitrary symmetric affine connexion on  $N$  (if  $N$  is paracompact, such a connexion exists) with covariant differentiation  $B$ . Let  $\nabla$  be the covariant differentiation with respect to a desired  $(f, \xi_\alpha, \eta_\alpha)$ -connexion, and let  $X, Y \in \mathfrak{X}(N)$ . Then

$$\nabla_X \xi_\alpha = 0, \quad \text{for any } \alpha$$

$$\nabla_X \eta_\alpha = 0, \quad \text{for any } \alpha$$

$$(4) \quad \nabla_X f = 0.$$

From (4) we obtain

$$(5) \quad \nabla_X (fY) = f \nabla_X Y.$$

It may be written

$$(6) \quad \nabla_X Y = B_X Y - H(X, Y)$$

where  $H$  is a tensor field of type  $(1, 2)$ . Now we have

$$(7) \quad H(X, \xi_\alpha) = B_X \xi_\alpha$$

$$(8) \quad \eta_\alpha (H(X, Y)) = \eta_\alpha (B_X Y) - X \eta_\alpha (Y).$$

From (5) and (6) we obtain

$$H(X, fY) - fH(X, Y) = B_X (fY) - fB_X Y.$$

After applying  $f$  to this equation and to  $Y$ , we obtain

$$fH(X, f^2 Y) - f^2 H(X, fY) = fB_X (f^2 Y) - f^2 B_X (fY).$$

Since by applying  $f$  to this equation and to  $Y$  again we have the same equation, the general solution of this equation is given by

$$4fH(X, f^2 Y) = 2fB_X (f^2 Y) - 2f^2 B_X (fY) - fW(X, fY) + f^2 W(X, f^2 Y),$$



where  $W$  is a tensor field of type  $(1,2)$ . From this equation after applying  $f$ , and from (2), (7) and (8), we obtain

$$4H(X,Y) = 4\sum_{\alpha} (\eta_{\alpha}(B_X Y) - X\eta_{\alpha}(Y))\xi_{\alpha} - 4\sum_{\alpha} \eta_{\alpha}(Y)f^2 B_X \xi_{\alpha} + \\ + 2f^2(B_X f)(fY) - f^2 W(X, fY) - fW(X, f^2 Y).$$

Let  $W$  be defined by

$$W(X,Y) = (B_Y f)X - \sum_{\alpha} \eta_{\alpha}(X)B_{fY} \xi_{\alpha}.$$

Then we have

$$\begin{aligned} 4T(X,Y) &= 4\nabla_X Y - 4\nabla_Y X - 4[X,Y] \\ &= 4B_X Y - 4B_Y X - 4[X,Y] - 4H(X,Y) + 4H(Y,X) \\ &= -4\sum_{\alpha} (\eta_{\alpha}(B_X Y) - X\eta_{\alpha}(Y))\xi_{\alpha} + 4\sum_{\alpha} \eta_{\alpha}(Y)f^2 B_X \xi_{\alpha} - \\ &\quad - 2f^2(B_X f)(fY) + f^2(B_{fY} f)X - \sum_{\alpha} \eta_{\alpha}(X)f^2 B_{f^2 Y} \xi_{\alpha} + \\ &\quad + f(B_{f^2 Y} f)X + \sum_{\alpha} \eta_{\alpha}(X)fB_{fY} \xi_{\alpha} + \\ &\quad + 4\sum_{\alpha} (\eta_{\alpha}(B_Y X) - Y\eta_{\alpha}(X))\xi_{\alpha} - 4\sum_{\alpha} \eta_{\alpha}(X)f^2 B_Y \xi_{\alpha} + \\ &\quad + 2f^2(B_Y f)(fX) - f^2(B_{fX} f)Y + \sum_{\alpha} \eta_{\alpha}(Y)f^2 B_{f^2 X} \xi_{\alpha} - \\ &\quad - f(B_{f^2 X} f)Y - \sum_{\alpha} \eta_{\alpha}(Y)fB_{fX} \xi_{\alpha} \\ &= 4\sum_{\alpha} d\eta_{\alpha}(X,Y)\xi_{\alpha} + 4\sum_{\alpha} \eta_{\alpha}(Y)f^2 B_X \xi_{\alpha} - \\ &\quad - 4\sum_{\alpha} \eta_{\alpha}(X)f^2 B_Y \xi_{\alpha} - 2f^2 B_X(f^2 Y) - \\ &\quad - 2fB_X(fY) + 2f^2 B_Y(f^2 X) + 2fB_Y(fX) + \\ &\quad + f^2 B_{fY}(fX) + fB_{fY}X + fB_{f^2 Y}(fX) - \\ &\quad - f^2 B_{f^2 Y}X - f^2 B_{fX}(fY) - fB_{fX}Y - fB_{f^2 X}(fY) + \\ &\quad + f^2 B_{f^2 X}Y + \sum_{\alpha} \eta_{\alpha}(X)f^2 B_Y \xi_{\alpha} - \\ &\quad - \sum_{\alpha} \eta_{\alpha}(X)f^2 B_{\Sigma \beta \eta_{\beta}(Y)} \xi_{\alpha} + \sum_{\alpha} \eta_{\alpha}(X)fB_{fY} \xi_{\alpha} - \\ &\quad - \sum_{\alpha} \eta_{\alpha}(Y)f^2 B_X \xi_{\alpha} + \sum_{\alpha} \eta_{\alpha}(Y)f^2 B_{\Sigma \beta \eta_{\beta}(X)} \xi_{\alpha} - \\ &\quad - \sum_{\alpha} \eta_{\alpha}(Y)fB_{fX} \xi_{\alpha}. \end{aligned}$$

Since it may be written

$$\begin{aligned}
 -2f^2 B_X(f^2 Y) &= 2f^2 B_X Y - 2f^2 B_X (\sum_{\alpha} \eta_{\alpha}(Y) \xi_{\alpha}) \\
 &= 2f^2 B_X Y - 2 \sum_{\alpha} \eta_{\alpha}(Y) f^2 B_X \xi_{\alpha} \\
 2f^2 B_Y(f^2 X) &= -2f^2 B_Y X + 2 \sum_{\alpha} \eta_{\alpha}(X) f^2 B_Y \xi_{\alpha},
 \end{aligned}$$

we have

$$\begin{aligned}
 4T(X, Y) &= 4 \sum_{\alpha} d\eta_{\alpha}(X, Y) \xi_{\alpha} + \sum_{\alpha} \eta_{\alpha}(Y) f^2 B_X \xi_{\alpha} - \sum_{\alpha} \eta_{\alpha}(X) f^2 B_Y \xi_{\alpha} + \\
 &+ 2f^2 B_X Y - 2f^2 B_Y X - 2f_B X(fY) + 2f_B Y(fX) - f^2 [fX, fY] + \\
 &+ f_{fY} X - f_{fX} Y - f_{fY}(fX) + \sum_{\alpha} \eta_{\alpha}(Y) f B_{\xi_{\alpha}}(fX) + \\
 &+ f^2 B_Y X - \sum_{\alpha} \eta_{\alpha}(Y) f^2 B_{\xi_{\alpha}} X + f_{fX}(fY) - \\
 &- \sum_{\alpha} \eta_{\alpha}(X) f B_{\xi_{\alpha}}(fY) - f^2 B_X Y + \sum_{\alpha} \eta_{\alpha}(X) f^2 B_{\xi_{\alpha}} Y + \\
 &+ \sum_{\alpha} \sum_{\beta} \eta_{\alpha}(X) \eta_{\beta}(Y) f^2 (B_{\xi_{\alpha}} \xi_{\beta} - B_{\xi_{\beta}} \xi_{\alpha}) + \\
 &+ \sum_{\alpha} \eta_{\alpha}(X) f B_{fY} \xi_{\alpha} - \sum_{\alpha} \eta_{\alpha}(Y) f B_{fX} \xi_{\alpha} \\
 &= 4 \sum_{\alpha} d\eta_{\alpha}(X, Y) \xi_{\alpha} + \sum_{\beta} \eta_{\beta}(Y) f^2 [X, \xi_{\beta}] + \\
 &+ \sum_{\alpha} \eta_{\alpha}(X) f^2 [\xi_{\alpha}, Y] - \sum_{\beta} \eta_{\beta}(Y) f [fX, \xi_{\beta}] - \\
 &- \sum_{\alpha} \eta_{\alpha}(X) f [\xi_{\alpha}, fY] + \sum_{\alpha} \sum_{\beta} \eta_{\alpha}(X) \eta_{\beta}(Y) f^2 [\xi_{\alpha}, \xi_{\beta}] - \\
 &- f^2 [fX, fY] + f^2 [X, Y] - f [X, fY] - f [fX, Y] = \\
 &= 4 \sum_{\alpha} d\eta_{\alpha}(X, Y) \xi_{\alpha} - f^2 ([fX, fY] - f [X, fY] - f [fX, Y] + \\
 &+ f^2 [X, Y]) + \sum_{\beta} \eta_{\beta}(Y) [f, f](X, \xi_{\beta}) + \\
 &+ \sum_{\alpha} \eta_{\alpha}(X) [f, f](\xi_{\alpha}, Y) + \sum_{\alpha} \sum_{\beta} \eta_{\alpha}(X) \eta_{\beta}(Y) [f, f](\xi_{\alpha}, \xi_{\beta}) \\
 &= 4 \sum_{\alpha} d\eta_{\alpha}(X, Y) \xi_{\alpha} - f^2 [f, f](X, Y) + \\
 &+ [f, f](X, \sum_{\beta} \eta_{\beta}(Y) \xi_{\beta}) + [f, f](\sum_{\alpha} \eta_{\alpha}(X) \xi_{\alpha}, Y) + \\
 &+ [f, f](\sum_{\alpha} \eta_{\alpha}(X) \xi_{\alpha}, \sum_{\beta} \eta_{\beta}(Y) \xi_{\beta}) = \\
 &= 4 \sum_{\alpha} d\eta_{\alpha}(X, Y) \xi_{\alpha} - \sum_{\alpha} \eta_{\alpha}([f, f](X, Y)) \xi_{\alpha} + \\
 &+ [f, f](X, Y + \sum_{\beta} \eta_{\beta}(Y) \xi_{\beta}) + [f, f](\sum_{\alpha} \eta_{\alpha}(X) \xi_{\alpha}, Y + \\
 &+ \sum_{\beta} \eta_{\beta}(Y) \xi_{\beta}) = \\
 &= 4 \sum_{\alpha} d\eta_{\alpha}(X, Y) \xi_{\alpha} - \sum_{\alpha} \eta_{\alpha}([f, f](X, Y)) \xi_{\alpha} + \\
 &+ [f, f](X + \sum_{\alpha} \eta_{\alpha}(X) \xi_{\alpha}, Y + \sum_{\beta} \eta_{\beta}(Y) \xi_{\beta}).
 \end{aligned}$$



Now we can prove the next theorem.

THEOREM 4. A manifold  $N$  with an  $f$ -structure with complemented frames admits a symmetric  $(f, \xi_\alpha, \eta_\alpha)$ -connexion, if and only if

$$(9) \quad [f, f] = 0$$

$$(10) \quad [f, f] + \sum_\alpha d\eta_\alpha \otimes \xi_\alpha = 0$$

(If an  $f$ -structure with complemented frames satisfies (10), we say it is normal).

P r o o f. (9) and (10) imply  $d\eta_\alpha = 0$ , so that Theorem 4 is a special case of Theorem 3, i.e. the  $(f, \xi_\alpha, \eta_\alpha)$ -connexion from Theorem 3 has the torsion  $T = 0$ .

Assume that  $N$  admits a symmetric  $(f, \xi_\alpha, \eta_\alpha)$ -connexion and denote its covariant differentiation by  $\nabla$ . Then, for some  $X, Y \in \mathfrak{X}(N)$ , we obtain

$$\begin{aligned} [f, f](X, Y) &= [fX, fY] - f[fX, Y] - f[X, fY] + f^2[X, Y] \\ &= \nabla_{fX}(fY) - \nabla_{fY}(fX) - f\nabla_{fX}Y + f\nabla_Y(fX) - \\ &\quad - f\nabla_X(fY) + f\nabla_{fY}X + f^2\nabla_XY - f^2\nabla_YX \\ &= (\nabla_{fX}f)Y - (\nabla_{fY}f)X + f(\nabla_Yf)X - f(\nabla_Xf)Y = 0 \end{aligned}$$

$$d\eta_\alpha(X, Y) = X\eta_\alpha(Y) - Y\eta_\alpha(X) - \eta_\alpha([X, Y])$$

$$\begin{aligned} d\eta_\alpha(\xi_\beta, \xi_\gamma) &= \xi_\beta\eta_\alpha(\xi_\gamma) - \xi_\gamma\eta_\alpha(\xi_\beta) - \eta_\alpha([\xi_\beta, \xi_\gamma]) \\ &= \eta_\alpha(\nabla_{\xi_\beta}\xi_\gamma - \nabla_{\xi_\gamma}\xi_\beta) = 0 \end{aligned}$$

$$\begin{aligned} d\eta_\alpha(-f^2X, \xi_\gamma) &= (-f^2X)\eta_\alpha(\xi_\gamma) - \xi_\gamma\eta_\alpha(-f^2X) - \eta_\alpha([-f^2X, \xi_\gamma]) \\ &= -\eta_\alpha(\nabla_{-f^2X}\xi_\gamma - \nabla_{\xi_\gamma}(-f^2X)) \\ &= -\eta_\alpha(\nabla_{\xi_\gamma}(f(fX))) \\ &= -\eta_\alpha((\nabla_{\xi_\gamma}f)(fX)) - \eta_\alpha(f(\nabla_{\xi_\gamma}(fX))) = 0 \end{aligned}$$

$$\begin{aligned}
 d\eta_\alpha(-f^2X, -f^2Y) &= (-f^2X)\eta_\alpha(-f^2Y) - (f^2Y)\eta_\alpha(-f^2X) - \\
 &\quad - \eta_\alpha([-f^2X, -f^2Y]) = \\
 &= -\eta_\alpha([f(fX), f(fY)]) \\
 &= -\eta_\alpha(f[f^2X, fY] + f[fX, f^2Y] - f^2[fX, fY] + \\
 &\quad + [f, f](fX, fY)) = 0
 \end{aligned}$$

For any  $X \in \mathfrak{X}(N)$  we have  $X = -f^2X + \sum_\alpha \eta_\alpha(X) \xi_\alpha$ , so that we have  $d\eta_\alpha(X, Y) = 0$  for any  $X, Y \in \mathfrak{X}(N)$ .

**THEOREM 5.** *Let  $N$  be a manifold with an  $f$ -structure. Then the torsion  $T$  and the curvature  $R$  of an  $f$ -connexion satisfy the following identities:*

$$\begin{aligned}
 (1) \quad T(fX, fY) - fT(fX, Y) - fT(X, fY) + f^2T(X, Y) &= \\
 &= -[f, f](X, Y),
 \end{aligned}$$

$$(2) \quad R(X, Y) \circ f = f \circ R(X, Y),$$

where  $X, Y \in \mathfrak{X}(N)$ . If the  $f$ -structure has complemented frames, then the torsion  $T$  and the curvature  $R$  of an  $(f, \xi_\alpha, \eta_\alpha)$ -connexion satisfy some more identities:

$$(3) \quad \eta_\alpha \circ T = d$$

$$(4) \quad R(X, Y) \xi_\alpha = 0$$

$$(5) \quad \eta_\alpha \circ R(X, Y) = 0$$

where  $X, Y \in \mathfrak{X}(N)$ .

**P r o o f.** Let  $X, Y, Z \in \mathfrak{X}(N)$ . Then we have

$$(1) \quad \text{Follows from } T(X, Y) = \nabla_X Y - \nabla_Y X - [X, Y]$$

$$(2) \quad \text{Follows from } R(X, Y)Z = \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla [X, Y]Z$$

$$\begin{aligned}
 (3) \quad (\eta_\alpha \circ T)(X, Y) &= \eta_\alpha(\nabla_X Y) - \eta_\alpha(\nabla_Y X) - \eta_\alpha([X, Y]) = \nabla_X \eta_\alpha(Y) - \\
 &\quad - \nabla_Y \eta_\alpha(X) - \eta_\alpha([X, Y]) = X\eta_\alpha(Y) - Y\eta_\alpha(X) - \eta_\alpha([X, Y]) = \\
 &= d\eta_\alpha(X, Y)
 \end{aligned}$$



$$(4) \quad R(X, Y) \xi_\alpha = \nabla_X \nabla_Y \xi_\alpha - \nabla_Y \nabla_X \xi_\alpha - \nabla_{[X, Y]} \xi_\alpha = 0$$

$$(5) \quad (\eta_\alpha \circ R(X, Y)) Z = \eta_\alpha (\nabla_X \nabla_Y Z) - \eta_\alpha (\nabla_Y \nabla_X Z) - \eta_\alpha (\nabla_{[X, Y]} Z) \\ = \nabla_X \nabla_Y \eta_\alpha (Z) - \nabla_Y \nabla_X \eta_\alpha (Z) - \nabla_{[X, Y]} \eta_\alpha (Z) \\ = X(Y \eta_\alpha (Z)) - Y(X \eta_\alpha (Z)) - [X, Y] \eta_\alpha (Z) = 0$$

## REFERENCES

1. Bishop R.L. and Crittenden R.J., *Geometry of Manifolds*, Academic Press, 1964.
2. Blair D.E., *Geometry of manifolds with structural group  $U(n) \times O(s)$* , *J. Differential Geometry*, 4 (1970), 155-167.
3. Kobayashi S. and Nomizu K., *Foundations of Differential Geometry*, Vols. I and II, Interscience Publishers, John Wiley & Sons, 1969.
4. Vanžura J., *Almost  $n$ -contact structures*, *Annali della Scuola Norm. Sup. di Pisa*
5. Yano K., *Differential Geometry on Complex and Almost Complex Spaces*, Pergamon Press, New York, 1965.
6. Yano K., *On a structure defined by a tensor field  $f$  of type  $(1,1)$  satisfying  $f^3 + f = 0$* , *Tensor, N.S.*, 14 (1963), 99-109.

## REZIME

KONEKSIJE  $f$ -MNOGOSTRUKOSTI

U ovom radu se razmatraju afine koneksije na mnogostrukostima sa  $f$ -strukturuom, kao i na mnogostrukostima sa globalno generisanom  $f$ -strukturuom. Prva teorema daje potreban i dovoljan uslov da afina koneksija na mnogostrukosti sa  $f$ -strukturuom bude  $f$ -koneksija (tj. u odnosu na koju je tenzorsko polje  $f$  paralelno). Druga teorema daje potreban i dovoljan uslov da afina koneksija na mnogostrukosti sa globalno generisanom  $f$ -strukturuom bude  $(f, \xi_\alpha, \eta_\alpha)$ -koneksija (tj. koneksija u odnosu na koju su,

pored tenzorskog polja  $f$ , paralelna i vektorska polja  $\xi_\alpha$ , i polja 1-formi  $\eta_\alpha$ ). U trećoj teoremi se pokazuje da svaka mnogostrukost sa globalnom  $f$ -strukturom dopušta  $(f, \xi_\alpha, \eta_\alpha)$ -koneksiju sa tenzorom torzije koji zavisi od same strukture. U četvrtoj teoremi se daje potreban i dovoljan uslov da mnogostrukost sa globalno generisanom  $f$ -strukturom dopušta simetričnu  $(f, \xi_\alpha, \eta_\alpha)$ -koneksiju. U petoj teoremi se daju neke osobine tenzora torzije i tenzora krivine neke  $f$ -koneksije na mnogostrukosti, odnosno  $(f, \xi_\alpha, \eta_\alpha)$ -koneksije na mnogostrukosti sa globalno generisanom  $f$ -strukturom.





*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11 (1981)*

# ON THE ORTHOGONAL SPACES OF THE SUBSPACES OF A RIEMANN - OTSUKI SPACE

*Djerdji Nadj - Führer*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

## INTRODUCTION

In this paper we suppose that a Riemann-Otsuki space  $R-O_n$  (see [1]) with the symmetric metrix tensor satisfying the relation

$$(1) \quad Dg_{ij} = 0 \quad (\det(g_{ij}) \neq 0)$$

and the  $P_j^i$  ( $\det(P_j^i) \neq 0$ ) as basic objects is given. By our investigation  $g_{ij}$  and  $P_j^i$  satisfying throughout the relation  $P_j^i g_{is} = P_s^i g_{ij}$ .

The Otsuki's covariant differential of a tensor  $T_j^i$  is defined by

$$(2) \quad DT_j^i := \nabla_k T_j^i dx^k := P_a^i P_j^b (\partial_k T_b^a + \Gamma_{sk}^a T_b^s - \Gamma_{bk}^s T_s^a) dx^k$$

where the coefficients of the connections  $\Gamma$  and  $\Gamma$  and the tensor  $P_j^i$  satisfy the Otsuki's relation (see [2] (3.13))

$$(3) \quad \partial_k P_r^s + P_r^i \Gamma_{ik}^s - \Gamma_{rk}^i P_i^s = 0.$$

Let as usual by  $x^i = x^i(u^1, \dots, u^m)$  ( $m < n$ ) be defined an  $m$ -dimensional subspace  $S_m$ . We suppose that the rank  $\|\partial x^i / \partial u^\alpha\| = m^*/$  and use the notation  $\xi_\alpha^i := \partial x^i / \partial u^\alpha$ . Let the metric tensor

/\* In this paper Latin indices run from 1 to  $n$ , Greek indices  $\alpha, \beta, \dots, \lambda$  run from 1 to  $m$ , but  $\mu, \nu, \dots, \omega$  run from  $(m+1)$  to  $n$ . In the following the index running from  $(m+1)$  to  $n$  will be co-or contravariant so as the original index was.



of  $S_m$  be the projection of  $g_{ij}$ , that is

$$(4) \quad G_{\alpha\beta} := g_{ij} \xi_{\alpha}^i \xi_{\beta}^j.$$

We define, as usual, the contravariant components of  $G_{\alpha\beta}$  by  $G^{\alpha\beta}$  i.e.  $G_{\alpha\beta} G^{\beta\gamma} = \delta_{\alpha}^{\gamma}$  and the contravariant components of the projection vectors by

$$(5) \quad \xi_a^{\alpha} := g_{ab} G^{\alpha\beta} \xi_{\beta}^b.$$

Obviously we have  $G^{\alpha\beta} = g^{ab} \xi_a^{\alpha} \xi_b^{\beta}$ , where we use for the tangent and normal vectors the relations

$$(6) \quad \xi_{\alpha\mu}^i N_i = 0; \quad N_{\mu}^i N_{\nu}^i = \delta_{\nu}^{\mu}; \quad N_{\mu}^j = g_{ij} N_{\mu}^i; \quad \xi_{\alpha}^i \xi_{\beta}^j + N_{\mu}^i N_{\mu}^j = \delta_j^i$$

where  $N_{\mu}^i$  are mutually orthogonal unit vectors (see [2] and [3]).

This relations are very useful.

Let the P-tensor of  $S_m$  be the projection tensor  $P_{\beta}^{\alpha}$  defined by

$$(7) \quad P_{\beta}^{\alpha} := P_{\beta}^i \xi_{\beta}^j \xi_i^{\alpha}.$$

The covariant differential over  $S_m$  of a tensor  $T_{\beta}^{\alpha}$  of  $S_m$  we define by

$$(8) \quad \overset{*}{D}T_{\beta}^{\alpha} := \overset{*}{\nabla}_{\gamma} T_{\beta}^{\alpha} du^{\gamma} = P_{\epsilon}^{\alpha} P_{\beta}^{\eta} (\partial_{\gamma} T_{\eta}^{\epsilon} + \overset{*}{\Gamma}_{\zeta\gamma}^{\epsilon} T_{\eta}^{\zeta} - \overset{*}{\Gamma}_{\eta\gamma}^{\zeta} T_{\zeta}^{\epsilon}) du^{\gamma}.$$

In [1] it was proved that the relation

$$(9) \quad \overset{*}{\Gamma}_{\beta\gamma}^{\alpha} := \overset{*}{\Gamma}_{\beta\gamma}^{\alpha} + \xi_{\beta\gamma}^i \xi_i^{\alpha} \quad (\overset{*}{\Gamma}_{\beta\gamma}^{\alpha} := \overset{*}{\Gamma}_{jk}^i \xi_i^{\alpha} \xi_{\beta}^j \xi_{\gamma}^k; \quad \xi_{\beta\gamma}^i := \frac{\partial}{\partial u^{\gamma}} \xi_{\beta}^i)$$

is necessary and sufficient condition to be  $\overset{*}{D}G_{\alpha\beta} = 0$  and it is easy to prove that the generalization

$$(10) \quad \overset{*}{D}T_{\alpha_1 \dots \alpha_k} = \xi_{\alpha_1}^{i_1} \dots \xi_{\alpha_k}^{i_k} D T_{i_1 \dots i_k}$$

of (22) in [1] holds if  $T_{i_1 \dots i_k} = \xi_{i_1}^{\alpha_1} \dots \xi_{i_k}^{\alpha_k} T_{\alpha_1 \dots \alpha_k}$ , i.e. it is a tensor of  $T_m$ .

In Paragraph 1 we determine a sufficient condition, that a subspace  $S_m$  of a Riemann-Otsuki space will be an  $R-O_m$

and consider some consequences of this condition. In Paragraph 2 we consider the spaces  $S_{n-m}$ , orthogonal to  $S_m$ , and determine the coefficients of their connection. At the end we prove that the condition considered in Paragraph 1 is sufficient for  $S_{n-m}$  to be a Riemann-Otsuki space.

## 1. THE BASIC CONDITIONS

In [1] it was proved, that if the covariant differential of  $T^\alpha$ , which is an element of  $T_m$  is defined by  $\star DT^\alpha := \xi_i^\alpha DT^i$ , then it must be

$$(1.1) \quad \star \Gamma_{\beta\gamma}^\alpha := \Gamma_{\beta\gamma}^\alpha + \xi_{\beta\gamma}^i \xi_i^\alpha \quad (\Gamma_{\beta\gamma}^\alpha := \Gamma_{j\gamma}^i \xi_i^\alpha \xi_\beta^j).$$

Since we observe an Otsuki space, the connection-coefficients  $\star \Gamma_{\beta\gamma}^\alpha$  and  $\Gamma_{\beta\gamma}^\alpha$  and the tensor  $P_\beta^\alpha$  must satisfy the relation analogous to (3), i.e. both sides of (27) [1] must vanish. This relation we can write in the form

$$(1.2) \quad P_r^i \xi_i^\alpha N_\mu^r (\Gamma_{\beta\gamma}^\alpha + \xi_{\beta\gamma}^a) N_\mu^a - P_b^i \xi_{\beta\mu}^b N_\mu^i (\Gamma_{\beta\gamma}^\alpha + \xi_{r\gamma}^\alpha) N_\mu^r = 0.$$

Using the relations (5) and  $P_{js}^i g_{is} = P_s^i g_{ij}$  we get

$$(1.3) \quad P_r^i \xi_i^\alpha N_\mu^r = G^{\alpha\epsilon} P_{b\epsilon}^i N_\mu^i$$

or in the projection notation  $P_\mu^\alpha = G^{\alpha\epsilon} P_\epsilon^\mu$ . Substituting (1.3) in (1.2) we get the condition

$$P_{b\epsilon}^i N_\mu^i | G^{\alpha\epsilon} (\Gamma_{\beta\gamma}^a + \xi_{\beta\gamma}^a) N_\mu^a - \delta_\beta^\epsilon (\Gamma_{r\gamma}^\alpha + \xi_{r\gamma}^\alpha) N_\mu^r | = 0.$$

In the following we suppose that

$$(1.4) \quad P_{b\epsilon}^i N_\mu^i = 0.$$

From (1.3) it follows that in this case  $P_\mu^\alpha = P_\mu^\alpha = 0$ , and it is a sufficient condition for  $S_m$  that Otsuki's relation between coefficients of connections  $\star \Gamma_{\beta\gamma}^\alpha$  and  $\Gamma_{\beta\gamma}^\alpha$  and the tensor  $P_\beta^\alpha$  could be satisfied.



Now, we prove some consequences of (1.4). It is known that in Otsuki spaces there exists a tensor  $Q_j^i$  satisfying the relation

$$(1.5) \quad P_j^i Q_s^j = \delta_s^i.$$

Let the projection of  $P_j^i$  in the direction of the vectors orthogonal to  $S_m$  be

$$(1.6) \quad P_v^\mu := P_{j\mu}^i N_i N^j$$

Now, we suppose that there are tensors  $\tilde{Q}_\beta^\alpha$  and  $\tilde{Q}_v^\mu$  so that

$$(1.7) \quad P_\beta^\alpha Q_\gamma^\beta = \delta_\gamma^\alpha \quad ; \quad P_v^\mu \tilde{Q}_\sigma^\mu = \delta_\sigma^\mu$$

hold.

**THEOREM 1.** From (1.4) it follows that  $\tilde{Q}_\beta^\alpha = Q_j^i \xi_i^\alpha \xi_\beta^j = Q_\beta^\alpha$  and  $\tilde{Q}_v^\mu = Q_{j\mu}^i N_i N^j = Q_v^\mu$ .

**P r o o f.** Substituting (7) in (1.7), multiplying it by  $\xi_\alpha^k$ , using the last relation of (6) and (1.4) we get

$$P_{j\beta}^k \xi_\beta^j Q_\epsilon^{\star\beta} = \xi_\epsilon^k.$$

Multiplication by  $Q_k^\ell \xi_\ell^\alpha$  according to  $\xi_\alpha^i \xi_i^\beta = \delta_\alpha^\beta$  gives the affirmation of the theorem. The second part of the theorem follows in the same way, but we must take the vectors  $N_\mu^i$ , instead of the  $\xi_\alpha^i$ .

**THEOREM 2.** From  $P_\alpha^\mu = 0$  it follows that  $Q_\mu^\alpha = Q_\alpha^\mu = 0$ .

**P r o o f.** According to the definition of  $P_\beta^\mu$  and (1.4) we have  $P_\beta^\mu = P_{j\mu}^i N_i \xi_\beta^j = 0$ . Multiplying it by  $\xi_k^\beta Q_s^k$  using (6) and (1.5) we get

$$N_{\mu s} = P_{\rho p}^\mu N_\rho Q_s^k.$$

Further, multiplication by  $Q_\mu^\sigma$  and  $\xi_\alpha^s$  gives

$$Q_s^k \xi_\alpha^s N_k = Q_\alpha^\sigma = 0.$$

THEOREM 3. From the relation (1.4) it follows that

$$\begin{aligned}
 (1.8) \quad a) \quad & P_{j\xi i}^i = P_{\beta\xi j}^{\alpha\beta} & b) \quad & P_{j\mu i}^i = P_{\nu\nu j}^{\mu\nu} \\
 & Q_{j\xi i}^i = Q_{\beta\xi j}^{\alpha\beta} & & Q_{j\mu i}^i = Q_{\nu\nu j}^{\mu\nu} \\
 (1.9) \quad & M_{j\xi i}^i = M_{\beta\xi j}^{\alpha\beta} & M_{j\mu i}^i = M_{\nu\nu j}^{\mu\nu} & (M_j^i = P_a^i P_j^a; M_{\beta}^{\alpha} = P_{\varepsilon}^{\alpha} P_{\beta}^{\varepsilon}; M_{\nu}^{\mu} = P_{\sigma}^{\mu} P_{\nu}^{\sigma})
 \end{aligned}$$

The multiplication of (1.4) by  $\xi_k^{\beta}$  according to (10) and (1.6) proves the statement of this theorem. Relations (1.8) and (1.9) are very useful and they will be often applied in the followings.

Relations (1.8) and (1.9) mean that they hold an eigen quality in all the space for the vectors  $\xi_i^{\alpha}$  and  $N_{\mu}^i$ . The subspace  $S_m$  is an eigen space, and its orthogonal space  $S_{n-m}$  is an eigen space too. If  $m=n-1$ , then relation (1.8b) is a simple eigen property. From (1.4) it follows directly that

$$P_j^i = P_{\beta\xi\alpha}^{\alpha\beta} \xi_j^{\beta} + P_{\nu\mu}^{\mu\nu} N_{\nu}^i$$

and according to the statement of the Theorem 2 it follows that

$$Q_j^i = Q_{\beta\xi\alpha}^{\alpha\beta} \xi_j^{\beta} + Q_{\nu\mu}^{\mu\nu} N_{\nu}^i.$$

One of consequences of (1.4) is that the relations (24) and (26) of 1 are equivalent, i.e. (1.1) holds.

## 2. THE CONNECTION OF THE ORTHOGONAL SPACES

In this paragraph we extend the definition of covariant differential  $\overset{*}{D}$  on the elements orthogonal to  $S_m$ , but defined over it. The coefficients of the connection of co- and contravariant part of the orthogonal space we denote by  $\overset{\Lambda}{\Lambda}_{\nu\gamma}^{\mu}$  and  $\overset{\Lambda}{\Lambda}_{\nu\gamma}^{\mu}$  respectively. We must determine the conditions that the Otsuki's relation (3) between this coefficients of connections and the tensor  $P_{\nu}^{\mu}$  will be satisfied. The tensor  $P_{\nu}^{\mu}$  is the projection of the tensor  $P_j^i$  on the orthogonal subspace  $S_{n-m}$ . In the following we consider a covariant vector  $y_i$  orthogonal to  $S_m$  and defined over it. It is expressible in the form



$$(2.1) \quad Y_i = N_{\mu}^i Y_{\mu}.$$

Now we define the covariant differential  $\overset{*}{D}Y_{\mu}$  as a projection of  $DY_i$  onto the  $(n-m)$ -dimensional direction orthogonal to  $S_m$ , i.e.

$$(2.2) \quad \overset{*}{D}Y_{\mu} := g^{ij} N_{\mu j} DY_i = N_{\mu}^i DY_i.$$

According to (2) and (2.1) it follows that

$$\overset{*}{D}Y_{\mu} = g^{ij} N_{\mu j} P_{i\rho}^a (\partial_{\gamma} Y_{\rho}) du^{\gamma} - g^{ij} N_{\mu j} P_i^a (" \Gamma_{a k \rho}^s N_{\gamma}^k - \partial_{\gamma} N_{\rho}^a ) Y_{\rho} du^{\gamma}.$$

Using (6), (1.6) and the notations  $N_{\sigma}^a " \Lambda_{\sigma \gamma}^{\rho} := " \Gamma_{a k \rho}^s N_{\sigma}^k - \partial_{\gamma} N_{\rho}^a$  or

$$(2.3) \quad " \Lambda_{\sigma \gamma}^{\rho} := (" \Gamma_{a k \rho}^s N_{\sigma}^k - \partial_{\gamma} N_{\rho}^a) N_{\sigma}^a$$

we get

$$(2.4) \quad \overset{*}{D}Y_{\mu} = P_{\mu}^{\rho} (dY_{\rho} - " \Lambda_{\rho \gamma}^{\sigma} Y_{\sigma} du^{\gamma}) = \overset{*}{\nabla}_{\gamma} Y_{\mu} du^{\gamma}.$$

In the same way we define the covariant differential  $\overset{*}{D}Y^{\mu}$  of a contravariant vector  $Y^{\mu}$  which has the contravariant components in the basic  $R-O_n$  space. Let  $Y^i$  be orthogonal to  $S_m$ . Now we define:

$$(2.5) \quad \overset{*}{D}Y^{\mu} := N_{\mu}^i DY^i.$$

Since  $Y^i$  is expressible in the form  $Y^i = N_{\mu}^i Y^{\mu}$ , using (2) it is not difficult to get, that if

$$N_{\rho}^a " \Lambda_{\nu \gamma}^{\rho} := " \Gamma_{s k \nu}^a N_{\gamma}^k + \partial_{\gamma} N_{\nu}^a$$

or

$$(2.6) \quad " \Lambda_{\nu \gamma}^{\rho} := (" \Gamma_{s k \nu}^a N_{\gamma}^k + \partial_{\gamma} N_{\nu}^a) N_{\rho}^a$$

then according to (2.6) relation (2.5) has the form

$$(2.7) \quad \overset{*}{D}Y^{\mu} = P_{\nu}^{\mu} (\partial_{\gamma} Y^{\nu} + " \Lambda_{\nu \gamma}^{\rho} Y^{\rho}) du^{\gamma} = \overset{*}{\nabla}_{\gamma} Y^{\mu} du^{\gamma}.$$

Relations (2.4) and (2.7) show that in the subspace  $S_{n-m}$  it is possible to define a covariant differential, like in the Otsuki's spaces [2].

Coefficients  $" \Lambda_{\nu \gamma}^{\rho}$  and  $" \Lambda_{\nu \gamma}^{\rho}$  are coefficients of connections of the space  $S_{n-m}$ . In this paper we consider an Otsuki space, and so we must determine conditions that Otsuki's relation

$$(2.8) \quad \partial_{\gamma} p_{\sigma}^{\mu} + p_{\sigma}^{\nu} \Lambda_{\nu \gamma}^{\mu} - p_{\nu}^{\mu} \Lambda_{\sigma \gamma}^{\nu} = 0$$

will be satisfied. Substituting (2.3), (2.6) and (1.6) in (2.8), using the fact that  $\Gamma_{jk}^i$ ,  $\Lambda_{jk}^i$  and  $p_j^i$  satisfy (3), we get that it must be

$$(2.9) \quad p_{\alpha}^i \xi_{\mu}^{\alpha} N_{\mu}^i (\Gamma_{\alpha \gamma}^s \xi_s^{\alpha} - \xi_{\alpha \gamma}^{\alpha}) N_{\sigma}^a - p_{\alpha}^i \xi_{\mu}^{\alpha} N_{\mu}^j (\Gamma_{\alpha \gamma}^s \xi_s^{\alpha} + \xi_{\alpha \gamma}^a) N_{\mu}^a = 0.$$

According to the supposition (1.4), using (1.3), it follows that (2.9) is satisfied. It means, that the following holds:

**THEOREM 4.** *The assumption (1.4) is a sufficient condition that Otsuki's relation between coefficients of connection  $\Lambda_{\nu \gamma}^{\mu}$  and  $\Gamma_{\nu \gamma}^{\mu}$  and the tensor  $p_{\nu}^{\mu}$  will be satisfied, and so  $S_{n-m}$  is a Riemann-Otsuki space.*

After all we can define the covariant differential of a mixed tensor involving there kinds of indices, for instance a tensor  $T_{j\beta\nu}^{i\alpha\mu}$ . Now it is

$$(2.10) \quad \begin{aligned} \overset{*}{D} T_{j\beta\nu}^{i\alpha\mu} := & p_{\alpha}^i p_{\beta}^j p_{\nu}^{\epsilon} p_{\rho}^{\mu} p_{\sigma}^{\gamma} (\partial_{\gamma} T_{b\eta\sigma}^{a\epsilon\rho} + \Gamma_{s \gamma}^a T_{b\eta\sigma}^{s\epsilon\rho} + \overset{*}{\Gamma}_{\chi \gamma}^{\epsilon} T_{b\eta\sigma}^{a\chi\rho} + \\ & + \Lambda_{\tau \gamma}^{\rho} T_{b\eta\sigma}^{a\epsilon\tau} - \Lambda_{b \gamma}^s T_{s\eta\sigma}^{a\epsilon\rho} - \overset{*}{\Gamma}_{\mu \gamma}^{\chi} T_{b\chi\sigma}^{a\epsilon\rho} - \Lambda_{\sigma \gamma}^{\tau} T_{b\eta\tau}^{a\epsilon\rho}) du^{\gamma}. \end{aligned}$$

## REFERENCES

- [1] Dj.F.Nadj, *On subspaces of Riemann-Otsuki space. Publ. de l'Inst.Math. Beograd* 30 (44) 1982, 53-58
- [2] T.Otsuki, *On general connection I. Math. Journal Okayama Univ.* 9 (1959-60) 99-164.
- [3] P.K.Raschevski, *Riemannsche Geometrie und Tensoranalysis. Berlin* 1959.



## REZIME

O ORTOGONALNIM PROSTORIMA PODPROSTORA  
RIEMANN-OTSUKIJEVOG PROSTORA

U paragrafu 1 dati su dovoljni uslovi da je potprostor  $S_m$  jednog Riemann-Otsuki-evog prostora isto  $R-O_m$  i date su neke posledice ovog uslova. U paragrafu 2 uočeni su podprostori  $S_{n-m}$  ortogonalni na  $S_m$ , i odredjeni koeficijenti njihove koneksije i dokazano je da su uslovi iz paragrafa 1 dovoljni da bi  $S_{n-m}$  bio jedan Riemann-Otsuki-ev prostor.

*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11 (1981)*

# ON A STRUCTURE $\phi$ SATISFYING $(\phi^2+1)(\phi^2-a)=0$

*Jovanka Nikić*

*Fakultet tehničkih nauka. Institut za primenjene osnovne discipline, 21000 Novi Sad, ul. Veljka Vlahovića 3, Jugoslavija*

1. In [1] and [2] a unified notation is given of almost complex structure and almost contact structure by the introduction of a tensor field of type  $(1,1)$  on  $M^n$  such that  $f^3 + f = 0$  and the rank  $f = k$  is a constant everywhere. The necessary and sufficient condition for an  $n$ -dimensional manifold to admit a tensor field  $f \neq 0$  of type  $(1,1)$  such that  $f^3 + f = 0$  is that  $k=2m$  and that the group of the tangent bundle of the manifold can be reduced to the group  $U_{(m)} \times O_{(n-2m)}$ . In [3] and [4] the structure  $\phi^4 \pm \phi^2 = 0$  is studied and the necessary and sufficient condition is given when  $M^n$  admits such a structure. In this paper, we want to introduce a tensor field of type  $(1,1)$  which satisfies the condition  $(\phi^2+1)(\phi^2-a)=0$ .

2. Let  $M^n$  be an  $n$ -dimensional differentiable manifold of the class  $C^\infty$  and let  $\phi$  be a tensor field,  $\phi^2 \neq a$ ,  $\phi^2 \neq -1$  of type  $(1,1)$  and of class  $C^\infty$  such that  $n=2m$ ,

$$(2.1) \quad (\phi^2+1)(\phi^2-a) = 0, \quad a \in \mathbb{R}^+, \quad a \neq 1$$

$$\text{and rank } \phi = \frac{1}{2} (\text{rank } \phi^2 + \dim M^n) = r = \text{const.}$$

For a differentiable manifold with a structure which satisfies such conditions we say that it admits an  $\phi(+1,a)$  structure.

Let

$$(2.2) \quad \ell = \frac{\phi^2 - a}{a+1}, \quad m = \frac{\phi^2 + 1}{a+1}.$$



Then  $\ell$  and  $m$  are complementary projection operators since  $m^2 = m$ ,  $\ell^2 = \ell$ ,  $\ell m = m \ell = 0$ ,  $\ell + m = 1$ , which can easily be verified.

**THEOREM 2.1.** *Let  $\phi$  satisfy conditions (2.1) and let  $\ell$  and  $m$  be defined by condition (2.2). We then have*

$$(2.3) \quad \phi \ell = \frac{\phi^3 - \phi a}{-1 - a}, \quad \phi m = \frac{\phi^3 + \phi}{a + 1}$$

$$\phi^2 \ell = \frac{-\phi^2 + a\phi^2 + a - a\phi^2}{-1 - a} = \ell, \quad \phi^2 m = \frac{\phi^4 + \phi^2}{a + 1} = \frac{\phi^2 + a\phi^2 + a - \phi^2}{a + 1} = am.$$

Let  $L$  and  $M$  be complementary distributions which correspond to projections  $\ell$  and  $m$  respectively. Then, (because of (2.3)),  $\phi$  acts on  $L$  as an almost complex structure and on  $M$  as a structure for which  $\phi^2$  is a homothety with coefficient of the homothety  $a$ . If  $\phi$  is of constant rank  $r$ , then the dimensions of  $L$  and  $M$  are  $2r - n$  and  $2n - 2r$  respectively. Obviously we have  $n \leq 2r \leq 2n$ .

**REMARK 2.1.** If the rank of  $\phi$  is  $n$ , then  $\phi^2 + 1 = 0$ . Consequently, the  $\phi(+1, a)$  structure of maximal rank is an almost complex structure.

**REMARK 2.2.** If the rank of  $\phi$  is  $n/2$ , then  $\phi^2 - a = 0$ . Hence the  $\phi(+1, a)$  structure of minimal rank is a structure for which  $\phi^2$  is a homothety with coefficient of the homothety  $a$ .

We shall now examine under which conditions the differentiable manifold admits a  $\phi(+1, a)$  structure.

3. We now introduce a local coordinate system in the manifold and denote by  $\phi_i^j$ ,  $\ell_i^j$ ,  $m_i^j$  the local components of the tensors  $\phi, \ell, m$  respectively. We also introduce a positive definite Riemannian metric in the manifold and take  $2r - n$  mutually orthogonal unit vectors  $v_a^1$  ( $a, b, c, \dots = 1, 2, \dots, 2r - n$ ) in  $L$  and  $2(n - r)$  to be mutually orthogonal unit vectors  $v_A^j$  ( $A, B, C, \dots = 2r - n + 1, \dots, n$ ) in  $M$ . We then have

$$(3.1) \quad \begin{aligned} \ell_i^j v_b^i &= v_b^j, & \ell_i^j v_B^i &= 0, \\ m_i^j v_b^i &= 0, & m_i^j v_B^i &= v_B^j. \end{aligned}$$

If we denote by  $(s_i^a, s_i^A)$  the matrix inverse to  $(v_b^j, v_B^j)$  then  $s_i^a$  and  $s_i^A$  are both components of linearly independent co-variant vectors and satisfy the relations:

$$(3.2) \quad s_i^a v_b^i = \delta_b^a, \quad s_i^a v_B^i = 0, \quad s_i^A v_b^i = 0, \quad s_i^A v_B^i = \delta_B^A,$$

$$(3.3) \quad s_i^a v_a^j + s_i^A v_A^j = \delta_i^j.$$

If we put

$$(3.4) \quad p_{ki} = s_k^a s_i^a + s_k^A s_i^A$$

then  $p_{ki}$  is a globally well-defined positive definite Riemannian metric with respect to which  $(v_b^j, v_B^j)$  form an orthogonal frame such that

$$s_k^a = p_{ki} v_a^i, \quad s_k^A = p_{ki} v_A^i.$$

Now from (3.1) and (3.2) we find that

$$\begin{aligned} (\ell_i^j s_j^a) v_b^i &= \delta_b^a, & (\ell_i^j s_j^a) v_B^i &= 0, \\ (m_i^j s_j^A) v_b^i &= 0, & (m_i^j s_j^A) v_B^i &= \delta_B^A, \end{aligned}$$

which show that:

$$(3.5) \quad \begin{aligned} \ell_i^j s_j^a &= s_i^a, & m_i^j s_j^A &= s_i^A, \\ m_i^j s_j^a &= 0, & \ell_i^j s_j^A &= 0. \end{aligned}$$

On the other hand, from  $\ell_i^j v_a^i = v_a^j$  we find that

$$\ell_k^j s_i^A v_a^k = s_i^a v_a^j, \quad \ell_k^j (\delta_i^k - s_i^A v_A^k) = s_i^a v_a^j$$

that is,

$$(3.6) \quad \ell_i^j = s_i^a v_a^j.$$



Similarly we get

$$(3.7) \quad m_i^j = s_i^B v_B^i.$$

If we put

$$(3.8) \quad \ell_{ki} = \ell_k^r p_{ri}, \quad m_{ki} = m_k^r p_{ri},$$

we find from (3.6), (3.7) and (3.4)

$$(3.9) \quad \ell_{ki} = s_k^a s_i^a, \quad m_{ki} = s_k^A s_i^A,$$

$$(3.10) \quad \ell_{ki} = \ell_{ik}, \quad m_{ki} = m_{ik}, \quad \ell_{ik} + m_{ik} = p_{ik}.$$

We can also easily verify the following relations

$$(3.11) \quad \ell_k^r \ell_i^q p_{rq} = \ell_{ki}, \quad \ell_k^r m_i^q p_{rq} = 0,$$

$$m_k^r m_i^q p_{rq} = m_{ki}.$$

For any two vectors  $x, y$  with components  $x^i, y^i$  let us put

$$(3.12) \quad m^*(x, y) = m_{rq} x^r y^q, \quad p(x, y) = p_{rq} x^r y^q,$$

$$(3.13) \quad \bar{g}(x, y) = \frac{1}{2}(p(x, y) + p(\phi x, \phi y) + m^*(x, y)).$$

Then we have

$$m^*(v_A, v_a) = p(v_A, v_a) = 0,$$

$$\bar{g}(v_A, v_a) = \frac{1}{2}(p(v_A, v_a) + p(\phi v_A, \phi v_a) + m^*(v_A, v_a)) = 0.$$

Thus  $L$  and  $M$  are orthogonal with respect to  $\bar{g}$ . Furthermore, it is easy to verify by using (3.8) and (3.10) that

$$p(\phi v_a, \phi v_b) = \ell_{rq} \phi_h^r \phi_j^q v_a^h v_b^j,$$

$$p(\phi v_a, \phi v_b) + m^*(\phi v_a, \phi v_b) = p_{rq} \phi_h^r \phi_j^q v_a^h v_b^j,$$

$$p(\phi^2 v_a, \phi^2 v_b) = p_{rq} v_a^r v_b^q.$$

These relations lead to the following:

$$(3.14) \quad \bar{g}(\phi x, \phi y) = \bar{g}(x, y) \quad \text{for all } x, y \text{ in } L.$$

Let  $M_1$  be a space such that for  $x \in M_1$ ,  $\phi(x) = \sqrt{a}x$  and let  $M_2$  be the distribution orthogonal to  $M_1$  in  $M$  with respect to  $\bar{g}$ . We choose an orthonormal basis  $u_{n-r+1}, \dots, u_{2(n-r)}$  with respect to  $\bar{g}$  for  $M_2$ . Furthermore, let  $e_1, \dots, e_{2r-n}$  be an orthonormal basis for  $L$  with respect to  $\bar{g}$ . Using  $\bar{g}$  we can define a Riemannian metric  $g$  on  $M^n$  by

$$\begin{aligned} g(e_i, e_k) &= \bar{g}(e_i, e_k), \quad g(e_i, u_\alpha) = \bar{g}(e_i, u_\alpha), \quad g(u_\alpha, u_\beta) = \bar{g}(u_\alpha, u_\beta) \\ g(e_i, \phi(u_\alpha)) &= \bar{g}(e_i, \phi(u_\alpha)), \quad g(\phi(u_\alpha), u_\beta) = 0, \quad g(\phi(u_\alpha), \phi(u_\beta)) = \\ &= \delta_{\alpha\beta}, \quad 1 \leq i, k \leq 2r-n, \quad n-r+1 \leq \alpha, \beta \leq 2n-r. \end{aligned}$$

Then  $g$  is well-defined because if  $\bar{u}_{n-r+1}, \dots, \bar{u}_{2(n-r)}$  is another orthonormal basis for  $M_2$  then for  $\bar{u}_\alpha = z_\alpha^\beta u_\beta$  we have

$$\delta_{\alpha\gamma} = \bar{g}(\bar{u}_\alpha, \bar{u}_\gamma) = \bar{g}(z_\alpha^\beta u_\beta, z_\gamma^\epsilon u_\epsilon) = z_\alpha^\beta z_\gamma^\epsilon \delta_{\beta\epsilon} = z_\alpha^\beta z_\gamma^\beta$$

and

$$\begin{aligned} g(\phi(\bar{u}_\alpha), \phi(\bar{u}_\gamma)) &= g(z_\alpha^\beta \phi(u_\beta), z_\gamma^\epsilon \phi(u_\epsilon)) = z_\alpha^\beta z_\gamma^\epsilon g(\phi(u_\beta), \phi(u_\epsilon)) = \\ &= z_\alpha^\beta z_\gamma^\beta = \delta_{\alpha\gamma}. \end{aligned}$$

This means that there is a Riemannian metric  $g$  with respect to which  $L$ ,  $M_1$ ,  $M_2$  are mutually orthogonal and

$$\begin{aligned} g(\phi x, \phi y) &= g(x, y) \quad \text{for all } x, y \text{ in } L. \\ g(\phi x, \phi y) &= a \cdot g(x, y) \quad \text{for all } x, y \text{ in } M. \end{aligned}$$

**THEOREM 3.1.** *If in an  $n$ -dimension manifold  $M^n$  ( $n=2m$ ) a  $\phi(+1, a)$  structure of rank  $r$  is given, then there exist complementary distributions  $L$  of dimension  $2r-n$ , and  $M$  of dimension  $2(n-r)$  and a positive definite Riemannian metric  $g$  with respect to which  $L$  and  $M$  are orthogonal, and, furthermore, such that*

$$\begin{aligned} g(x, y) &= g(\phi x, \phi y) & x, y \in L \\ a g(x, y) &= g(\phi x, \phi y) & x, y \in M. \end{aligned}$$



4. Take a vector  $e$  in the distribution  $L$ . Then the vector  $\phi(e)$  is also in  $L$  and perpendicular to  $e$ , and moreover has the same length as  $e$  with respect to the metric  $g$ . Consequently we can choose  $2r-n=2(r-m)$  orthonormal vectors in  $L$  such that

$$\phi(e_1) = e_{r-m+1}, \phi(e_2) = e_{r-m+2}, \dots, \phi(e_{r-m}) = e_{2(r-m)},$$

and in  $M$  an orthonormal basis  $e_{2(r-m)+1}, \dots, e_n$  such that  $e_{r+1}, \dots, e_n$  are in  $M_2$  and that  $\phi(e_{r+1}) = -\sqrt{a}e_{2(r-m)+1}, \dots, \phi(e_n) = -\sqrt{a}e_r$ .

Then with respect to this orthonormal frame  $\{e_1, \dots, e_n\}$  the tensors  $g_{ji}$  and  $\phi_j^i$  have components

$$(4.1) \quad g = \begin{bmatrix} E_{r-\frac{n}{2}} & 0 & 0 & 0 \\ 0 & E_{r-\frac{n}{2}} & 0 & 0 \\ 0 & 0 & E_{n-r} & 0 \\ 0 & 0 & 0 & E_{n-r} \end{bmatrix}, \quad \phi = \begin{bmatrix} 0 & E_{r-\frac{n}{2}} & 0 & 0 \\ -E_{r-\frac{n}{2}} & 0 & 0 & 0 \\ 0 & 0 & \sqrt{a}E_{n-r} & 0 \\ 0 & 0 & 0 & -\sqrt{a}E_{n-r} \end{bmatrix}$$

Such a frame is an adapted frame of the  $\phi(+1, a)$  structure. Let  $\{\bar{e}_i\}$  be another adapted frame in which  $g$  and  $\phi$  have the same components as (4.1).

Put  $\bar{e}_i = \gamma_i^j e_j$ . Then  $\gamma$  has a matrix of the form

$$\gamma = \begin{bmatrix} A_{r-\frac{n}{2}} & B_{r-\frac{n}{2}} & 0 & 0 \\ -B_{r-\frac{n}{2}} & A_{r-\frac{n}{2}} & 0 & 0 \\ 0 & 0 & C_{n-r} & 0 \\ 0 & 0 & 0 & D_{n-r} \end{bmatrix}$$

This means that the group of the tangent bundle of the manifold can be reduced to  $U_{(r-\frac{n}{2})} \times O_{(n-r)} \times O_{(n-r)}$ . Conversely, if the group of the tangent bundle of the manifold can be reduced to  $U_{(r-\frac{n}{2})} \times O_{(n-r)} \times O_{(n-r)}$  then we can define a positive definite Riemannian metric  $g$  and a  $\phi(+1, a)$  structure with matrices

(4.1) with respect to the adapted frames. Then we have

$$\phi^2 = \begin{bmatrix} -E & 0 & 0 & 0 \\ r-\frac{n}{2} & -E & 0 & 0 \\ 0 & r-\frac{n}{2} & 0 & 0 \\ 0 & 0 & aE_{n-1} & 0 \\ 0 & 0 & 0 & aE_{n-r} \end{bmatrix}, \phi^4 = \begin{bmatrix} E & 0 & 0 & 0 \\ r-\frac{n}{2} & E & 0 & 0 \\ 0 & r-\frac{n}{2} & 0 & 0 \\ 0 & 0 & a^2E_{n-r} & 0 \\ 0 & 0 & 0 & a^2E_{n-r} \end{bmatrix}$$

and it is easily verified that  $(\phi^2+1)(\phi^2-a)=0$ . From this we have

**THEOREM 4.1.** *A necessary and sufficient condition for the  $n$ -dimensional manifold to admit a  $\phi(+1, a)$  structure is that the group of the tangent bundle can be reduced to the group  $U_{r-\frac{n}{2}} \times O_{n-r} \times O_{n-r}$ .*

It is known from [3] that if the structural group of a manifold  $M^n$  is reduced to  $U_{r-\frac{n}{2}} \times O_{(n-r)} \times O_{(n-r)}$ , then  $M^n$  admits a  $\phi(4, +2)$  structure.  $L$  is the subspace of  $M^n$  on which  $\phi^2 = -1$ , while the complement  $M$  of the space  $L$  in  $M^n$  admits an almost tangent structure.

From this paper it follows that if the structural group of manifold  $M^n$  is reduced to  $U_{(r-\frac{n}{2})} \times O_{(n-r)} \times O_{(n-r)}$ , then  $M^n$  admits a  $\phi(+1, a)$  structure.  $L$  is the subspace of  $M^n$  on which  $\phi^2 = -1$ , while the complement  $M$  of space  $L$  in  $M^n$  admits the structure  $\phi_M$  for which  $\phi_M^2 = a$ .

From this we have:

**THEOREM 4.2.** *If the structural group of manifold  $M^n$  is reduced to  $U_{(r-\frac{n}{2})} \times O_{(n-r)} \times O_{(n-r)}$ , then  $M^n$  admits a  $\phi(4, +2)$  and  $\phi(+1, a)$  structure.  $L$  is the subspace of  $M^n$  on which  $\phi^2 = -1$  for both structures. Complement  $M$  of the space  $L$  in  $M^n$  admits structure for which  $\phi_M^2 = 0$  or  $\phi_M^2 = a$ .*



## REFERENCES

- [1] K.Yano, *On a structure satisfying  $f^3+f=0$* , University of Washington, Technical Report, No. 12, June 20, (1961).
- [2] K.Yano, *On a structure defined by a tensor field  $f$  of type  $(1,1)$  satisfying  $f^3+f=0$* , Tensor, N.S., 14 (1963), 99-109.
- [3] K.Yano, C.Houh & B.Chen, *Structures defined by a tensor field  $\phi$  of type  $(1,1)$  satisfying  $\phi^4 \pm \phi^2=0$* , Tensor, N.S., 23 (1972), 81-87.
- [4] P.M.Gader & L.A. Gordero, *On integrability conditions of a structure satisfying  $\phi^4 \pm \phi^2=0$* , Tensor, N.S., 25 (1974), 78-82.

## REZIME

O STRUKTURI  $\phi$  KOJA ISPUNJAVA USLOV  $(\phi^2+1)(\phi^2-a)=0$

Potreban i dovoljan uslov da se  $n$ -dimenzionalna mnogostrukost može snabdeti  $\phi(+1,a)$  strukturom je da se grupa tangentnog bandla može reducirati do  $U_{(r-\frac{n}{2})} \times O_{(n-r)} \times O_{(n-r)}$ . Ako se strukturna grupa može reducirati do  $U_{(r-\frac{n}{2})} \times O_{(n-r)} \times O_{(n-r)}$  tada se mnogostrukost može snabdeti i  $\phi(4,+2)$  i  $\phi(+1,a)$  strukturom. U prvom slučaju je  $\phi_L^2 = -1$ , a na komplementarnom prostoru  $M$  je  $\phi_M^2 = 0$ . U drugom slučaju  $\phi_L^2 = -1$ , a na  $M$  je  $\phi_M^2 = a$ .

*Zbornik radova Prirodno-matematičkog fakulteta-Univerzitet u Novom Sadu*

*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11 (1981)*

# SUBALGEBRAS OF COMMUTATIVE SEMIGROUP SATISFYING THE LAW $x^r = x^{r+m}$

*Georgi Čupona*

*Matematički fakultet p.f. 504 Skopje*

*Siniša Crvenković, Gradimir Vojvodić*

*Prirodno-matematički fakultet. Institut za matematiku*

*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

## ABSTRACT

An algebra with a type  $\Omega$  and a carrier  $A$  is an  $\Omega$ -subalgebra of a semigroup  $S$  if  $A \subseteq S$  and if there is a mapping  $\omega \mapsto \bar{\omega}$  of  $\Omega$  into  $S$  such that  $\omega(a_1, \dots, a_n) = \bar{\omega}a_1 \dots a_n$ , for every  $n$ -ary operator  $\omega \in \Omega$  and the sequence of elements  $a_1, \dots, a_n$  of  $A$ . If  $\underline{C}$  is a class of semigroups then by  $\underline{C}(\Omega)$  is denoted the class of  $\Omega$ -algebras (i.e. algebras of the type  $\Omega$ ) which are subalgebras of semigroups belonging to  $\underline{C}$ . It is well known (see [1] p. 185 or [4] p. 78) that  $\text{SEM}(\Omega)$  is the class of all  $\Omega$ -algebras. It is also known ([5]) that  $\text{ABSEM}(\Omega)$  is a variety. The object of our investigations is the set  $V$  of varieties  $\underline{V}$  of semigroups such that  $\underline{V}(\Omega)$  is also a variety. In Theorem 1. of this paper we show that  $\underline{C}_{r,m}(\Omega)$  is a variety only if  $r=1$  or  $\Omega$  does not contain  $n$ -ary operators for  $n \geq 2$ , where  $\underline{C}_{r,m}$  is the class of commutative semigroups which satisfy the law  $x^r = x^{r+m}$ .

## 0. MAIN RESULTS

First, we note that if  $\Omega$  is a set of finitary operators then  $\Omega(n) = \{\omega \in \Omega \mid \omega \text{ is an } n\text{-ary operator}\}$ . Obviously an  $\Omega$ -algebra is an  $\Omega$ -subalgebra of a semigroup  $S$  iff the corresponding restriction  $\Omega \setminus \Omega(0)$ -algebra is an  $\Omega \setminus \Omega(0)$ -subalgebra of  $S$ . Thus, we can assume that  $\Omega(0) = \emptyset$  i.e. that  $\Omega$  does not contain nullary operators.



THEOREM 1.  $C_{r,m}(\Omega)$  is a variety iff  $r=1$  or  $\Omega = \Omega(1)$ .

THEOREM 2. Let  $A$  be a nonempty set,  $r$  and  $m$  two positive integers, and  $L$  a subsemigroup of the semigroup  $T_A$  of all transformations of  $A$ , such that  $L \in C_{r,m}$ . Then, there exists a semigroup  $M \in C_{r,m}$  with the following properties:

- (i)  $L$  is a subsemigroup of  $M$ ;
- (ii)  $A \subseteq M$ ;
- (iii)  $(\forall a \in A, \exists \phi \in L) \phi(a) = \phi a$ . ( $\phi a$  is the "product" of  $\phi$  and  $a$  in  $M$ )

Before giving the formulation of the last theorem, we have to give some preliminary definitions. Namely, if  $A$  is a nonempty set, then by  $O(A)$  is denoted the set of finitary (not nullary) operations on  $A$ , i.e.  $O(A) = \bigcup_{n=1}^{\infty} O_n(A)$ , where  $O_n(A) = A^{A^n}$  consists of all  $n$ -ary operations on  $A$ . If  $L \subseteq O(A)$ , then  $L(n) = L \cap O_n(A)$ . An infinite collection  $\{\phi_i \mid i=1,2,\dots\}$  of partial binary operations can be defined on  $O(A)$  by

$$(1) \quad \phi \in O_n(A), \psi \in O_m(A), i \leq n \Rightarrow \\ \phi \stackrel{i}{+} \psi(x_1, \dots, x_{m+n-1}) = \phi(x_1, \dots, x_{i-1}, \psi(x_i, \dots, x_{i+m-1}), \\ x_{i+m}, \dots, x_{m+n-1})$$

(See for example [6] p. 7-49 or [3] p. 9). We have that  $(O(A), \stackrel{i}{+})$  is a monoid. Further on, for the operation  $\stackrel{i}{+}$  a usual multiplicative notation will be used. An operation  $\phi \in O_n(A)$  is called commutative if

$$(2) \quad \phi(a_1, \dots, a_n) = \phi(a_{i_1}, \dots, a_{i_n})$$

for every sequence  $a_1, \dots, a_n \in A$  and permutation  $v \mapsto i_v$  of  $N_n = \{1, 2, \dots, n\}$ .

THEOREM 3. Let  $L$  be a commutative subsemigroup of the semigroup  $O(A)$  such that all the operations belonging to  $L$  are commutative and  $\phi \stackrel{i}{+} \psi = \psi \phi$ , for any  $\phi, \psi \in L$  and  $i \in \{1, \dots, n\}$  where  $\phi \in L(n)$ . Let  $m$  be a positive integer and assume that  $L$  satisfies the following statement:

(\*) If  $\phi_1, \dots, \phi_p \in L$ ,  $\phi_v \in L(n_v+1)$  and  $i_1, \dots, i_p, j_1, \dots, j_p, \alpha_1, \dots, \alpha_q, \beta_1, \dots, \beta_q$  are positive integers such that:

$$(3) \quad i_v \equiv j_v \pmod{m}, \quad \alpha_\lambda \equiv \beta_\lambda \pmod{m}$$

and

$$(4) \quad 1 + i_1 n_1 + \dots + i_p n_p = \alpha_1 + \dots + \alpha_q$$

$$1 + j_1 n_1 + \dots + j_p n_p = \beta_1 + \dots + \beta_q,$$

then

$$(5) \quad \phi_1^{i_1} \dots \phi_p^{i_p} (x_1^{\alpha_1}, \dots, x_q^{\alpha_q}) = \phi_1^{j_1} \dots \phi_p^{j_p} (x_1^{\beta_1}, \dots, x_q^{\beta_q})$$

is an identity equation on  $A$ . Then, there exists a semigroup  $M \in \underline{C}_{1,m}$  and a homomorphism  $\phi \mapsto \bar{\phi}$  from  $L$  into  $M$  such that the following statements are satisfied:

$$(i) \quad (\forall \phi \in L(1)) \quad \bar{\phi} = \phi;$$

$$(ii) \quad A \subseteq M;$$

$$(iii) \quad (\forall a_1, \dots, a_n \in A, \phi \in L(n)) \phi(a_1, \dots, a_n) = \bar{\phi} a_1 \dots a_n.$$

Obviously, the part of Theorem 1. for  $r=1$ , is a special case of Theorem 2.. But the corresponding generalization for  $r \geq 2$  is not true, for, by Theorem 1.,  $\underline{C}_{r,m}(\Omega)$  is not a variety if  $r \geq 2$  and  $\Omega \neq \Omega(1)$ . It should also be noticed that if  $L \neq L(1)$  then the homomorphism  $\phi \mapsto \bar{\phi}$  is not a monomorphism, for  $\bar{\phi} = \phi^{m+1}$  but if  $\phi \in L(n)$   $n \geq 2$ , then  $\phi^{m+1} \neq \phi$ . This suggests the problem of finding the set of varieties  $\underline{V}$  of semigroups such that every subsemigroup  $L \in \underline{V}$  of  $O(A)$  (or more special of  $T_A = O_1(A)$ ) can be embedded in a semigroup  $M \in \underline{V}$ .

1. **P r o o f of Theorem 1.** Identities in  $\underline{C}_{r,m}(\Omega)$ . Obviously, if  $A$  is an  $\Omega$ -algebra belonging to  $\underline{C}_{r,m}(\Omega)$  then  $A$  satisfies the following identity equations:

$$(**) \quad \phi(x_1, \dots, x_n) = \phi(x_{i_1}, \dots, x_{i_n})$$

for every  $\phi \in \Omega(n)$  and permutation  $v \mapsto i_v$  of  $N_n$ , i.e. the all operations of the algebra are commutative,



$$(***) \quad \phi\psi = \psi\phi = \phi \frac{i}{+} \psi$$

for any  $\phi, \psi \in \Omega$  and  $i \in \{1, 2, \dots, n\}$  where  $\phi \in \Omega(n)$ ;

and  $(*)'$  which is obtained from  $(*)$  in Theorem 3., replacing  $L$  with  $\Omega$  and (3) with

$$(3') \quad \begin{aligned} i_v = j_v & \text{ or } (i_v, j_v \geq r \text{ and } i_v \equiv j_v \pmod{m}) \\ \alpha_\lambda = \beta_\lambda & \text{ or } (\alpha_\lambda, \beta_\lambda \geq r \text{ and } \alpha_\lambda \equiv \beta_\lambda \pmod{m}) \end{aligned}$$

It can be easily seen that all identity equations, which hold in all  $\Omega$ -algebras belonging to  $\underline{C}_{r,m}(\Omega)$ , are consequences of  $(*)'$ ,  $(**)$  and  $(***)$ . Namely, let  $\xi$  be an  $\Omega$ -term (a term with operational symbols from  $\Omega$ ) with  $i_v$  occurrences of the operator  $\omega_v$ , and  $\alpha_\lambda$  occurrences of the variable  $x_\lambda$ . Then, by a finite number of applications of  $(**)$  and  $(***)$  we can obtain that

$$\xi = \omega_1^{i_1} \dots \omega_p^{i_p} (x_1^{\alpha_1}, \dots, x_q^{\alpha_q})$$

is an identity in  $\underline{C}_{r,m}(\Omega)$ . We have to show that if  $(3')$  is not satisfied then (5) is not an identity in  $\underline{C}_{r,m}(\Omega)$ . Let  $F$  be a semigroup in  $\underline{C}_{r,m}$  which is freely generated by  $\Omega \cup \{e_1, e_2, \dots, e_k, \dots\}$ , where  $e_v \notin \Omega$ . By putting

$$\omega(u_1, \dots, u_n) = \omega u_1 \dots u_n,$$

for every  $\omega \in \Omega(n)$  and  $u_1, \dots, u_n \in F$  we obtain an  $\Omega$ -algebra  $F$ , which, obviously, belongs to  $\underline{C}_{r,m}(\Omega)$ . If  $(3')$  is not satisfied, then

$$\omega_1^{i_1} \dots \omega_p^{i_p} e_1^{\alpha_1} \dots e_q^{\alpha_q} \neq \omega_1^{j_1} \dots \omega_p^{j_p} e_1^{\beta_1} \dots e_q^{\beta_q},$$

in the semigroup  $F$ , i.e.

$$\omega_1^{i_1} \dots \omega_p^{i_p} (e_1^{\alpha_1}, \dots, e_q^{\alpha_q}) \neq \omega_1^{j_1} \dots \omega_p^{j_p} (e_1^{\beta_1}, \dots, e_q^{\beta_q})$$

in the  $\Omega$ -algebra  $F$ .

This proves that  $(*)'$ ,  $(**)$  and  $(***)$  is an axiom system for the set of identities which are satisfied in all  $\Omega$ -algebras belonging to  $\underline{C}_{r,m}(\Omega)$ .

1.2  $r \geq 2$  and  $\Omega \neq \Omega(1)$ . We shall give an example of an  $\Omega$ -algebra which does not belong to  $\underline{C}_{r,m}(\Omega)$ , although it satisfies all the identities  $(\ast')$ ,  $(\ast\ast)$  and  $(\ast\ast\ast)$ .

Let  $\omega \in \Omega(n+1)$ , where  $n \geq 1$ , and let  $i$  be the least positive integer such that  $in+1-r=p \geq 0$ . Thus,  $1 \leq i < r$ . Let  $E = \{e_1, \dots, e_{rn}, e\}$  be a set with  $rn+1$  distinct elements and let  $A$  be the  $\Omega$ -algebra with the presentation

$$\langle E; \omega^i(e_1, \dots, e_p, e^r) = \omega^r(e_1, \dots, e_{rn}, e) \rangle \quad (\ast'), (\ast\ast), (\ast\ast\ast)$$

where the indices  $(\ast')$ ,  $(\ast\ast)$ ,  $(\ast\ast\ast)$  mean that  $A$  satisfies all the identities  $(\ast')$ ,  $(\ast\ast)$ ,  $(\ast\ast\ast)$ .

In algebra  $A$  the following inequality holds:

$$(6) \quad \omega^i(e_1, \dots, e_p, e^r) \neq \omega^{r+m}(e_1, \dots, e_{rn}, e^{1+mn}) ,$$

for neither the left nor right hand side allows a proper transformation by  $(\ast')$  and, by applying defining relation on  $\omega^i(e_1, \dots, e_p, e^r)$  we get  $\omega^r(e_1, \dots, e_{rn}, e)$ , so we can only turn to  $\omega^i(e_1, \dots, e_p, e^r)$ . But, if we assume that  $A \in \underline{C}_{r,m}(\Omega)$ , i.e. that  $A$  is an  $\Omega$  subalgebra of semigroup  $S \in \underline{C}_{r,m}$ , then we would have:

$$\begin{aligned} \omega^i(e_1, \dots, e_p, e^r) &= \bar{\omega}^i e_1 \dots e_p e^r = \\ &= \bar{\omega}^i e_1 \dots e_p e^{r+mn} = \omega^i(e_1, \dots, e_p, e^r) e^{mn} = \\ &= \omega^r(e_1, \dots, e_p, e) e^{mn} = \bar{\omega}^r e_1 \dots e_p e^{1+mn} = \\ &= \bar{\omega}^{r+m} e_1 \dots e_p e^{1+mn} = \omega^{r+m}(e_1, \dots, e_p, e^{1+mn}) . \end{aligned}$$

This example shows that, if  $r \geq 2$  and  $\Omega \neq \Omega(1)$ , then  $\underline{C}_{r,m}(\Omega)$  is a proper quasi variety.

1.3  $r=1$ . Let  $A$  be an  $\Omega$ -algebra, and let  $\Omega'$  be a subset of  $\Omega$  such that different operators of  $\Omega$  induce different operations on  $A$ , and for every  $\omega \in \Omega(n)$ , there is an  $\omega' \in \Omega'(n)$  such that  $\omega$  and  $\omega'$  induce the same operation on  $A$ . Then, the  $\Omega$ -algebra  $A$  is an  $\Omega$ -subalgebra of a semigroup  $S$  iff the corresponding restricted



$\Omega'$ -algebra is an  $\Omega'$ -subalgebra of  $S$ . Moreover,  $(A, \Omega)$  satisfies the identity  $(*)'$ ,  $(**)$  and  $(***)$  iff  $(A, \Omega')$  satisfies the same identities. Therefore, we can assume that  $\Omega$  is a set of finitary operations on  $A$ , i.e.  $\Omega \subseteq O(A)$ .

Let  $L$  be the subsemigroup of  $O(A)$  generated by  $\Omega$  and let an  $\Omega$ -algebra satisfy  $(*)'$ ,  $(**)$ ,  $(***)$ . Then, the  $L$ -algebra  $A$  satisfy the same propositions and by the Theorem 3. the  $L$ -algebra is an  $L$ -subalgebra of a semigroup  $M \in \underline{C}_{1,m}$ , hence, we obtain that the given  $\Omega$ -algebra  $A$  is an  $\Omega$ -subalgebra of  $M$ .

1.4  $r \geq 2$  and  $\Omega = \Omega(1)$ . In this case an  $\Omega$ -algebra satisfies all the identities  $(*)'$ ,  $(**)$  and  $(***)$  iff the semigroup  $L$  of transformations (generated by  $\Omega$ ) belongs to  $\underline{C}_{r,m}$ . By the Theorem 2. we have that if an  $\Omega$ -algebra satisfies all the identities  $(*)'$ ,  $(**)$  and  $(***)$ , then it is an  $\Omega$ -subalgebra of a semigroup  $S \in \underline{C}_{r,m}$ . Therefore,  $\underline{C}_{r,m}(\Omega)$  is, in this case, a variety.

Thus, the proof of Theorem 1. is completed, i.e. it is reduced to Theorems 2. and 3..

2. P r o o f of Theorem 2. If  $r=1$ , then Theorem 2. is a corollary of Theorem 3.. Thus, we have to consider only the case  $r \geq 2$ .

We may assume that  $L$  is a submonoid of  $T_A = O_1(A)$ , for if it is not we can add to  $L$  the identity transformation  $\epsilon_A: a \mapsto a$ .

Let  $B$  be the monoid in the variety  $\underline{C}_{r,m}$ , which is freely generated by  $A$ , i.e. the elements of  $B$  are "commutative product of powers"  $a_1^{\alpha_1} a_2^{\alpha_2} \dots a_q^{\alpha_q}$ , where  $a_1, \dots, a_q \in A$ ,  $a_i \neq a_j$  for  $i \neq j$  and  $\alpha_v \geq 0$ .

$$a_1^{\alpha_1} a_2^{\alpha_2} \dots a_q^{\alpha_q} = a_1^{\beta_1} a_2^{\beta_2} \dots a_q^{\beta_q}$$

iff

$$(\forall v \in \{1, 2, \dots, q\}) (\alpha_v = \beta_v \text{ or } (\alpha_v, \beta_v \geq r \text{ and } \alpha_v \equiv \beta_v \pmod{m})).$$

Let  $C$  be the direct product of  $L$  and  $B$ . If  $u = (\phi, a_1^{\alpha_1} \dots a_q^{\alpha_q})$  then we denote  $u$  by  $\phi \underline{a}$ , where  $\underline{a} = a_1^{\alpha_1} \dots a_q^{\alpha_q}$ .

If  $u = u'a$ ,  $v = \phi u'a'$ ,  $u' \in C$ ,  $a' = \phi(a)$ , then we say that  $(u, v)$  and  $(v, u)$  are two pairs of neighbours. Two elements  $u, v$  from  $C$  are called equivalent, which is denote by  $u \approx v$ , iff there is a sequence  $u_0, u_1, \dots, u_k$  of elements of  $C$  such that  $u = u_0$ ,  $v = u_k$ ,  $k \geq 0$  and  $(u_{i-1}, u_i)$  is a pair of neighbours for each  $i \in \{1, \dots, k\}$ . Obviously,  $\approx$  is a congruence on  $C$ . Denote by  $M$  the corresponding factor monoid  $C/\approx$ .

We can assume that  $L$  is a submonoid of  $M$ , for we have:

$$(i') \quad \phi, \psi \in L \Rightarrow (\phi \approx \psi \Rightarrow \phi = \psi).$$

If  $a = \phi(a')$  then  $a \approx \phi a'$ , and thus the proof will be completed if we show that the following proposition is satisfied

$$(ii') \quad a, a' \in A \Rightarrow (a \approx a' \Rightarrow a = a').$$

Let  $a \in A$  and  $u_0, u_1, \dots, u_k$  be a sequence of elements of  $C$  such that  $a = u_0$  and  $(u_{i-1}, u_i)$  is a pair of neighbours for each  $i \in \{1, 2, \dots, k\}$ . We are going to show that each  $u_i$  has a form  $u_i = \phi_i a_i$  where  $\phi_i(a_i) = a$ . First, this is true for  $i=0$ ,  $a = u_0 = \varepsilon a$ ,  $\varepsilon(a) = a$ . Assume that  $u_{k-1} = \phi_{k-1} a_{k-1}$  and  $\phi_{k-1}(a_{k-1}) = a$ . Then, we have

$$(I) \quad u_k = \phi \phi_{k-1} a_k, \quad \phi(a_k) = a_{k-1}, \text{ and thus } \phi_{k-1} \phi(a_k) = a$$

or

$$(II) \quad u_k = \phi_{k-1} a_k, \quad \phi_{k-1} = \phi \phi_{k-1} \quad \phi(a_{k-1}) = a_k \text{ and then}$$

$$\phi_{k-1}(a_k) = \phi_{k-1} \phi(a_{k-1}) = \phi_{k-1}(a_{k-1}) = a.$$

This completes the proof of Theorem 2..

3. P r o o f of Theorem 3.  $L$  satisfies the assumptions of Theorem 3. iff  $LU\{\varepsilon\}$  satisfies them, and thus we can assume that  $L$  is a submonoid of  $O(A)$ .

3.1. Let  $\equiv$  be the least congruence on  $L$  such that  $\bar{L} = L/\equiv \in \underline{C}_{1,m}$ . More explicitly,  $\equiv$  is defined in the following way:

Let  $\phi, \psi \in L$ , then,  $\phi \equiv \psi$  iff there exist  $\phi_1, \dots, \phi_p \in L$  and nonnegative integers  $i_{v\lambda}, j_{v\lambda}$  such that:

$$(3.1) \quad i_{v\lambda} = j_{v\lambda} = 0 \text{ or } (i_{v\lambda}, j_{v\lambda} \geq 0 \text{ and } i_{v\lambda} \equiv j_{v\lambda} \pmod{m})$$



$$\phi = \phi_1^{i_{11}} \phi_2^{i_{12}} \dots \phi_p^{i_{1p}}$$

$$(3.2) \quad \begin{aligned} \phi_1^{j_{11}} \phi_2^{j_{12}} \dots \phi_p^{j_{1p}} &= \phi_1^{i_{21}} \phi_2^{i_{22}} \dots \phi_p^{i_{2p}} \\ \phi_1^{j_{q-11}} \phi_2^{j_{q-12}} \dots \phi_p^{j_{q-1p}} &= \phi_1^{i_{q1}} \phi_2^{i_{q2}} \dots \phi_p^{i_{qp}} \\ \phi_1^{j_{q1}} \phi_2^{j_{q2}} \dots \phi_p^{j_{qp}} &= \psi. \end{aligned}$$

3.1.1.  $\phi \in L(n')$ ,  $\psi \in L(n'')$ ,  $\phi \equiv \psi \Rightarrow n' \equiv n'' \pmod{m}$ .

3.1.2.  $\phi \in L(1)$ ,  $\psi \in L$ ,  $\phi \equiv \psi \Rightarrow \phi = \psi$  (Thus, we assume that  $L(1) \subseteq \bar{L} = L_{/\equiv}$ ).

3.1.3. Let  $\phi \equiv \psi$ ,  $\phi \in L(n'+1)$ ,  $\psi \in L(\tilde{n}'+1)$  and  $\alpha_1, \dots, \alpha_q, \beta_1, \dots, \beta_q$  are such that  $\alpha_\nu, \beta_\nu > 0$ ,  $\alpha_\nu \equiv \beta_\nu \pmod{m}$

$$(3.3) \quad \alpha_1 + \dots + \alpha_q = n' + 1, \quad \beta_1 + \dots + \beta_q = n'' + 1.$$

$$(3.4) \quad \phi(x_1^{\alpha_1}, \dots, x_q^{\alpha_q}) = \psi(x_1^{\beta_1}, \dots, x_q^{\beta_q})$$

P r o o f. If  $n' = 0$  or  $n'' = 0$ , then by 3.1.2.  $\phi = \psi$ .

Thus, we can assume that  $n' > 0$  and  $n'' > 0$ . Let (3.2) be satisfied and let  $\phi_v \in L(n_v + 1)$ . From  $n' > 0$  and  $n'' > 0$  it follows that for each  $\mu$  there exists a  $\lambda$  such that  $j_{\mu\lambda} > 0$  and  $n_\lambda > 0$ . We can assume that  $j_{11} > 0$ ,  $n_1 > 0$ . Let  $s_1$  be the least nonnegative integer such that

$$(3.5) \quad 1 + j_{11} n_1 + s_1 m n_1 + j_{12} n_2 + \dots + j_{1p} n_p - (\beta_1 + \beta_2 + \dots + \beta_q) = t_1 \geq 0.$$

$$t_1 \equiv 1 + j_{11}n_1 + j_{12}n_2 + \dots + j_{1p}n_p - (\beta_1 + \dots + \beta_q) \pmod{m}$$

$$\equiv 1 + i_{11}n_1 + i_{12}n_2 + \dots + i_{1p}n_p - (\alpha_1 + \dots + \alpha_q) \pmod{m} \equiv 0 \pmod{m}.$$

Now, by (\*) we have:

$$\begin{aligned} \phi(x_1^{\alpha_1}, \dots, x_q^{\alpha_q}) &= \phi_1^{i_{11}} \dots \phi_p^{i_{1p}} (x_1^{\alpha_1}, \dots, x_q^{\alpha_q}) \\ &= \phi_1^{j_{11} + s_1 m} \phi_2^{j_{12}} \dots \phi_p^{j_{1p}} (x_1^{\beta_1 + t_1}, x_2^{\beta_2}, \dots, x_q^{\beta_q}). \end{aligned}$$

If  $j_{2\lambda_2}$ ,  $n_{\lambda_2} > 0$ , then in the same way we obtain:

$$\phi(x_1^{\alpha_1}, \dots, x_q^{\alpha_q}) = \phi_1^{j_{21}} \dots \phi_p^{j_{2p}} \phi_{\lambda_2}^{s_2 m} (x_1^{\beta_1 + t_2}, x_2^{\beta_2}, \dots, x_q^{\beta_q})$$

where  $s_2$  is chosen in a similar way as  $s_1$ . Finally, we should obtain

$$\phi(x_1^{\alpha_1}, \dots, x_q^{\alpha_q}) = \psi(x_1^{\beta_1}, \dots, x_q^{\beta_q}).$$

3.1.4. If  $\phi \equiv \psi$  and  $\phi, \psi \in L(n)$  then  $\phi = \psi$ .

*P r o o f.* This is an immediate corollary from 3.1.3..

Further on, if  $\phi \in L$  then by  $\bar{\phi}$  shall be denoted the element of  $\bar{L} = L/\equiv$  such that  $\phi \in \bar{\phi}$ .

3.2. As in 2, denote by  $B$  the monoid in the variety  $C_{1,m}$  which is freely generated by  $A$ , and by  $C$  the direct product  $\bar{L} \times B$ . An element  $u = (\bar{\phi}, a_1^{\alpha_1} \dots a_q^{\alpha_q})$  shall be  $\bar{\phi}a$ . The relation of neighbourhoodness shall also be defined in the same way. Namely, if

$$u = u'a, \quad v = \bar{\phi}u'a_1a_2 \dots a_n, \quad \phi \in L(n) \text{ and } a = \phi(a_1, \dots, a_n),$$

then  $(u, v)$  and  $(v, u)$  are the pairs of neighbours generated by  $\phi$ . The relation  $\approx$  is the reflexive and transitive extension of the relation of neighbourhoodness;  $\approx$  is a congruence on  $C$ . Denote the factor monoid by  $M$ .

If  $\phi, \psi \in L$  then  $\bar{\phi} \approx \bar{\psi}$  iff  $\phi \equiv \psi$ , and thus  $\bar{L} \subseteq M$ . By 3.1.2. we have  $L(1) \subseteq M$ . In further considerations, we are going to Prove the following statement:

(ii')  $a, a' \in A \Rightarrow (a \approx a' \Leftrightarrow a = a')$ ,



which implies (ii), and as we have  $a = \phi(a_1, \dots, a_n) \Rightarrow a \approx \bar{\phi} a_1 \dots a_n$  this will complete the proof of Theorem 2.

3.3. In order to prove statement (ii'), we shall consider a special subset  $T$  of  $C$ , and a mapping  $u \mapsto [u]$  from  $T$  into  $A$ . If  $u \in T$  then  $u$  is called a "term", and  $[u]$  the "value" of  $u$ .

Let  $u = \bar{\phi} a_0 a_1 \dots a_p \in C$ ,  $\phi \in L(n+1)$ ,  $a_v \in A$  be such that  $n \equiv p \pmod{m}$ . Then,  $u \in T$  iff a)  $n \geq 1$ , or b)  $n=0$ , and there is a decomposition  $\phi = \phi_0 \phi_1 \dots \phi_p$  such that

$$\phi_0(a_0) = \phi_1(a_1) = \dots = \phi_p(a_p) = a.$$

In case a), there exist nonnegative integers  $i, j$  such that

$$(im+1)n+1 = jm+p+1$$

and then we put

$$[u] = \phi^{im+1}(a_0^{jm+1}, a_1, \dots, a_p).$$

In case b), value  $[u]$  is defined by  $[u] = a$ .

The value  $[u]$  of a term  $u$  of form a), does not depend on  $i, j$  or on  $\phi$  by (\*) and 3.1.3.. But, we have to show that the same is true for a term of a form b).

Namely, if it is possible for  $\phi$  to have another decomposition  $\phi = \psi_0 \psi_1 \dots \psi_q$  such that

$$\psi_0(b_0) = \psi_1(b_1) = \dots = \psi_q(b_q) = b,$$

where  $a_0 a_1 \dots a_p = b_0 b_1 \dots b_q$  in  $B$ , we have to show that  $a = b$ . First, we can assume that  $p=q$  and that  $a_v = b_v$ . Then, we have

$$\begin{aligned} a &= \phi_0(a_0) = \phi_0^m \phi_0(a_0) = \phi_0^m \phi_1(a_1) = \dots = \phi_0^m \phi_1^m \dots \phi_p^m \phi_0(a_0) = \\ &= \psi_0^m \psi_1^m \dots \psi_p^m \phi_0^p \phi_0(a_0) = \phi_1 \psi_0^m \psi_1^m \dots \psi_p^m \phi_0^p(a_1) = \\ &= \phi_1 \psi_0^m \psi_1^{m-1} \dots \psi_p^m \phi_0^p \psi_0(a_0) = \phi_1 \psi_0^m \psi_1^{m-1} \dots \psi_p^{p-1} \phi_0^p \phi_0(a_0) = \\ &= \dots = \phi_1 \phi_2 \dots \phi_p \psi_0^p \psi_1^{m-1} \dots \psi_p^{m-1} \phi_0(a_0) = \psi_0^{p+1} \psi_1^m \dots \psi_p^m(a_0) = \\ &= \psi_0 \psi_1^m \dots \psi_p^m(a_0) = \psi_1^{m+1} \psi_2^m \dots \psi_p^m(a_1) = \psi_2^{m+1} \psi_3^m \dots \psi_p^m(a_2) = \\ &= \psi_p^{m+1}(a_p) = \psi_p(a_p) = b. \end{aligned}$$

Thus, the value  $[u]$  of a term  $u$  is uniquely determined.

Now, we shall state some propositions concerning terms and values of terms.

3.3.1. If  $\bar{\phi}a \in T$  and  $\phi \in L(sm+1)$  for some  $s \geq 0$  then  $\bar{\phi}\bar{\psi}a \in T$  and

$$[\bar{\phi}[\bar{\psi}a]] = [\bar{\phi}\bar{\psi}a] .$$

3.3.2. If  $\bar{\phi}aa \in T$  and  $a = \psi(a_1, \dots, a_n)$  then  $\bar{\phi}\bar{\psi}aa_1 \dots a_n \in T$  and

$$[\bar{\phi}\bar{\psi}a a_1 \dots a_n] = [\bar{\phi}aa] .$$

3.3.3. If  $\bar{\phi}\bar{\psi}ab_1 \dots b_n \in T$  and  $\psi(b_1, \dots, b_n) = a$  then  $\bar{\phi}\bar{\psi}^m aa \in T$

and

$$[\bar{\phi}\bar{\psi}ab_1 \dots b_n] = [\bar{\phi}\bar{\psi}^m aa] .$$

The proofs of 3.3.1. and 3.3.2. are straightforward and will not be given explicitly. If  $\phi\psi$  is not unary, then 3.3.3. is a corollary of 3.3.2., and we are going to consider only the case when  $\phi, \psi \in L(1)$ :

Assume that  $\bar{\phi}\bar{\psi}^i ab_1 \in T$  and  $[\bar{\phi}\bar{\psi}^i ab_1] = d$ ,  $i \geq 1$ . Then,

$$\phi\psi^i = \phi_0 \phi_1 \dots \phi_p, \quad ab_1 = a_1 \dots a_p b_1, \quad p \equiv 0 \pmod{m}, \quad b_1, a_p \in A, \quad \psi(b_1) = a,$$

$$d = \phi_0(b_1) = \phi_1(a_1) = \dots = \phi_p(a_p),$$

and

$$\psi(d) = \phi_0(\psi(b_1)) = \psi\phi_1(a_1) = \dots = \psi\phi_p(a_p),$$

where we obtain

$$[\phi_0 \phi_1 \dots \phi_p \bar{\psi}^p aa] = [\bar{\phi}\bar{\psi}^i aa] = \psi(d)$$

for  $a = \psi(b_1)$ , and  $\psi^{i+p} = \psi^i$ . From  $\bar{\phi}\bar{\psi}^i aa \in T$  and  $a = \psi(b_1)$ , by 3.3.2., it follows that  $\bar{\phi}\bar{\psi}^{i+1} ab_1 \in T$  and  $[\bar{\phi}\bar{\psi}^i aa] = [\bar{\phi}\bar{\psi}^{i+1} ab_1]$ .

Thus we have

$$[\bar{\phi}\bar{\psi}^m aa] = [\bar{\phi}\bar{\psi}^{m+1} ab_1] = [\bar{\phi}\bar{\psi} ab_1] .$$



4.3. Here statement (ii') (from the end of 3.2.) will be shown, and this will complete the proof of Theorem 3.

First, we prove that

4.3.1. If  $a = u_0, u_1, \dots, u_p$  is a sequence of elements of  $C$  such that  $p \geq 0$  and  $u_{i-1}, u_i$  is a pair of neighbours generated by  $\phi_i$  for each  $i \in \{1, \dots, p\}$ , then  $\phi_1^m \dots \phi_p^m u_i \in T$  for each  $i \in \{1, \dots, p\}$  and:

$$(3.6) \quad [\phi_i^m a] = [\phi_1^m \dots \phi_i^m u_i] = a.$$

*P r o o f.* Assume that (3.6) is true, and that  $i < p$ . Then:

$$(I) \quad u_i = ub, \quad u_{i+1} = \phi ub_1 \dots b_n,$$

or

$$(II) \quad u_i = \phi ub_1 \dots b_n, \quad u_{i+1} = ub,$$

where  $\phi = \phi_{i+1}$ ,  $b = \phi(b_1, \dots, b_n)$ ,  $u \in C$ .

In case (I), by 3.3.2. we have that

$$[\phi_1^m \dots \phi_i^m \phi_{i+1}^m u_{i+1}] = [\phi_1^m \dots \phi_i^m \phi ub_1 \dots b_n] = [\phi_1^m \dots \phi_i^m u_i] = a,$$

and by 3.3.1.

$$\begin{aligned} [\phi^m a] &= [\phi^m [\phi_1^m \dots \phi_i^m u_i]] = [\phi^m \phi_1^m \dots \phi_i^m ub] = \\ &= [\phi^m \phi_1^m \dots \phi_i^m \phi ub_1 \dots b_n] = [\phi_1^m \phi_2^m \dots \phi_i^m u_{i+1}] = a. \end{aligned}$$

In case (II), we have:

$$a = [\phi_1^m \dots \phi_i^m u_i] = [\phi_1^m \dots \phi_i^m \phi ub_1 \dots b_n] \quad \text{and by 3.1.3.}$$

this implies that

$$a = [\phi_1^m \dots \phi_i^m \phi^m ub] = [\phi_1^m \dots \phi_i^m \phi_{i+1}^m u_{i+1}].$$

We also have

$$\begin{aligned} [\phi^m a] &= [\phi^m [\phi_1^m \dots \phi_i^m u_i]] = [\phi_1^m \dots \phi_i^m \phi^m \phi ub_1 \dots b_n] = \\ &= [\phi_1^m \dots \phi_i^m \phi ub_1 \dots b_n] = [\phi_1^m \dots \phi_i^m u_i] = a. \end{aligned}$$

and this complete the proof of 4.3.1.

P r o o f. Of 3.2. (ii')

Assume that  $a, a' \in A$  and  $a \approx a'$ . Then, there exists a sequence of elements  $u_0, u_1, \dots, u_p$  of  $C$  such that  $a = u_0$ ,  $a' = u_p$  and  $(u_{i-1}, u_i)$  is a pair of neighbours generated by  $\phi_i \in L$ . By 4.3.1. we have

$$a = [\phi_1^m \dots \phi_p^m a] , \quad a = [\phi_1^m a] = \dots = [\phi_p^m a] ,$$

and also

$$a' = [\phi_p^m \dots \phi_1^m a] , \quad a' = [\phi_1 a] = \dots = [\phi_p a] ,$$

which implies that  $a = a'$ .

## REFERENCES

- [1] Cohn, P.M. *Universal algebra*, Harper & Row, 1965.
- [2] Čupona G., Vojvodić G., Crvenković S., *Subalgebras of semilattices*, Zbornik radova, PMF Novi Sad, br. 10, 1980. 191-195.
- [3] Белоусов В.Д.  $n$ -арные квазигруппы, "Штиница", Кишинев, 1972.
- [4] Курош А.Г., *Общая алгебра*, "Наука", 1974.
- [5] Ребене Н.К., О представлении универсальных алгебр в коммутативных полугруппах, Сиб.мат.жур. 7 (1966) 878-885.
- [6] Чупона Г., За финитарните операции, Годишен зб. Природно-математ. фак. Ун-та, Скопје, 12, А (1959), 7-49.

## REZIME

PODALGEBRE KOMUTATIVNIH POLUGRUPA KOJE ZADOVOLJAVAJU  
ZAKON  $x^r = x^{r+m}$  .

Algebra tipa  $\Omega$  sa nosačem  $A$  naziva se  $\Omega$ -podalgebra polugrupe  $S$  ako je  $A \subset S$  i ako postoji preslikavanje  $\omega: \bar{\omega} \Omega$  u  $S$  takvo da je

$$\omega(a_1, \dots, a_n) = \bar{\omega} a_1 \dots a_n$$



za svaku  $n$ -arnu operaciju  $\omega \in \Omega$  i niz elemenata  $a_1, \dots, a_n$  iz  $A$ . Ako je  $K$  klasa polugrupa tada sa  $K(\Omega)$  označavamo klasu  $\Omega$ -algebri koje su podalgebre polugrupa koje pripadaju  $K$ . Ako je  $K$  varijetet polugrupa, tada je  $K(\Omega)$  kvazivarijetet  $\Omega$ -algebri.

U ovom radu daju se potrebni i dovoljni uslovi da  $\underline{C}_{r,m}(\Omega)$  bude varijetet (Teorema 1.). U teoremama 2. i 3. dat je opis polugrupa operacija koje se mogu potopiti u polugrupe iz  $\underline{C}_{r,m}$ .

*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

# TRANSITIVE $n$ -ARY RELATIONS AND CHARACTERIZATIONS OF GENERALIZED EQUIVALENCES <sup>\*)</sup>

Janez Ušan, Branimir Šešelja

*Prirodno-matematički fakultet, Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

Pickett [2] defines generalized equivalence relations and relates them to the partitions of type  $n$ , given by Hartmanis [1]. In this article several types of generalized reflexive, symmetric and also transitive relations are defined and properties and connections between some of these relations are given. Finally, some characterization theorems for generalized equivalence relations are proved.

\*

1.  $(n+1)$ -ary relation  $R$  on the set  $S \neq \emptyset$  is  $(i, j)$ -reflexive,  $i \neq j$ ,  $i, j \in \{1, \dots, n+1\}$ , iff

$$(\forall a_1, \dots, a_{j-1}, a_{j+1}, \dots, a_{n+1} \in S) ((a_1^{i-1}, a_i, a_{i+1}^{j-1}, a_{j+1}^{n+1}) \in R)^{1)}$$

$R$  is reflexive iff it is  $(i, j)$ -reflexive for all  $i, j \in \{1, \dots, n+1\}$ ,  $i \neq j$ .<sup>2)</sup>

2.  $(n+1)$ -ary relation  $R$  on  $S$  is  $\pi$ -symmetric,  $\pi \in \{1, \dots, n+1\}$ ,<sup>3)</sup> iff

$$(\forall a_1, \dots, a_{n+1} \in S) ((a_1^{n+1}) \in R \Rightarrow (a_{\pi(1)}, \dots, a_{\pi(n+1)}) \in R).$$

\*) Presented april, 27, 1981. 1)  $a_p^q$  stands for  $a_p, a_{p+1}, \dots, a_{q-1}, a_q$ , and denotes an empty syllable when  $q < p$ ; consequently  $a_p^p$  is  $a_p$ , and instead of  $a, a, \dots, a$  ( $n$  times), we write  $\bar{a}_n$ ;  $\bar{a}_0$  is, clearly, empty.

2) In [2]  $(n+1)$ -ary  $(1, n+1)$ -reflexive relation is called "reflexive"; in [3] the term "strongly reflexive" is used for the reflexive relations.

3) If  $M$  finite,  $M!$  is a set of all permutations on  $M$ .



([2]) R is symmetric iff it is  $\pi$ -symmetric for all  $\pi \in \{1, \dots, \dots, n+1\}!$ .

3. Let R be  $(n+1)$ -ary relation on S and  ${}^1a_1, \dots, {}^1a_{n+1}, \dots, {}^ka_1, \dots, {}^ka_{n+1}, b_1, \dots, b_{n+1}$  variables. Let also

- 1)  $k \in \mathbb{N} \setminus \{1\}$ ;
- 2)  $\alpha$  is the  $k$ -ary relation on the set R; and
- 3)  $(b_1^{n+1})$  is taken by the given nullary operation in  $\{{}^1a_1, \dots, {}^1a_{n+1}, \dots, {}^ka_1, \dots, {}^ka_{n+1}\}^{n+1}$ .

R now belongs to the class of transitive relations iff the following implication is satisfied:

$$(1) \quad ({}^1a_1^{n+1}) \in R \wedge \dots \wedge ({}^ka_1^{n+1}) \in R \wedge \\ \wedge (({}^1a_1^{n+1}), \dots, ({}^ka_1^{n+1})) \in \alpha \Rightarrow (b_1^{n+1}) \in R,$$

for all  ${}^1a_1, \dots, {}^1a_{n+1}, \dots, {}^ka_1, \dots, {}^ka_{n+1} \in S$ .

In this article we shall be concerned with some relations belonging to this class, with  $k=2$ , and  $k=n+1$ .

3<sub>1</sub>)  $(n+1)$ -ary relation R on S is  $iA_1$ -transitive,  $i \in \{1, \dots, n\}$ . iff

$$(2) \quad (\forall a_0, \dots, a_{n+1} \in S) ((a_0^{i-1}, a_i, a_{i+1}^n) \in R \wedge (a_1^{i-1}, a_i, a_{i+1}^{n+1}) \in R \Rightarrow \\ \Rightarrow (a_0^{i-1}, a_{i+1}^{n+1}) \in R).$$

$(n+1)$ -ary relation R on S is  $iA_1^*$ -transitive,  $i \in \{1, \dots, \dots, n\}$ , iff

$$(3) \quad (\forall a_0, \dots, a_{n+1} \in S) ((a_0^{i-1}, a_i, a_{i+1}^n) \in R \wedge (a_1^{i-1}, a_i, a_{i+1}^{n+1}) \in R \wedge \\ \wedge (a_j \neq a_i, \text{ for } j \in \{1, \dots, n\} \setminus \{i\}) \Rightarrow (a_0^{i-1}, a_{i+1}^{n+1}) \in R).$$

$(n+1)$ -ary relation R on S is  $i\bar{A}_1$ -transitive,  $i \in \{1, \dots, n\}$ , iff

$$(\forall a_0, \dots, a_{n+1} \in S) ((a_0^{i-1}, a_i, a_{i+1}^n) \in R \wedge (a_1^{i-1}, a_i, a_{i+1}^{n+1}) \in R \wedge$$

1) In the transitivities considered here,  $\alpha$  shall always be such that for  $n=1$  (1) reduces to the usual transitivity law.

\*) In [2]: "transitive" stands for " $i\bar{A}_1$ -transitive".

$$(4) \quad (a_j \neq a_k, \text{ for } j \neq k, j, k \in \{1, \dots, n\}) \Rightarrow (a_o^{i-1}, a_{i+1}^{n+1}) \in R).$$

3<sub>2</sub>) (n+1)-ary relation R on S is iA<sub>2</sub>-transitive, 2)  $i \in \{1, \dots, n\}$  iff

$$(\forall a_o, \dots, a_{n+1} \in S) ((a_o, a_1^{i-1}, a_i, a_{i+1}^n) \in R \wedge (a_i, a_1^{i-1}, a_{i+1}^{n+1}) \in R \wedge$$

$$(a_j \neq a_k, \text{ for } j \neq k, j, k \in \{1, \dots, n\}) \Rightarrow (a_o, a_1^{i-1}, a_{i+1}^{n+1}) \in R).$$

3<sub>3</sub>) (n+1)-ary relation R on S is iM<sub>1</sub>-transitive,  $i \in \{2, \dots, n+1\}$ , iff

$$(\forall a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_{n+1}, x_i^{(1)}, \dots, x_i^{(n)}, y \in S) ((x_i^{(j)} \neq x_i^{(k)}, \text{ for } j \neq k, j, k \in \{1, \dots, n\}) \wedge \bigwedge_{s=1}^n (a_1^{i-1}, x_i^{(s)}, a_{i+1}^{n+1}) \in R \wedge (x_i^{(1)}, \dots, x_i^{(n)}, y) \in R \Rightarrow (a_1^{i-1}, a_{i+1}^{n+1}, y) \in R). \quad 3)$$

3<sub>4</sub>) (n+1)-ary relation R on S is iM<sub>2</sub>-transitive,  $i \in \{2, \dots, n+1\}$  iff

$$(\forall a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_{n+1}, x_i^{(1)}, \dots, x_i^{(n)}, y \in S) ((x_i^{(j)} \neq x_i^{(k)}, \text{ for } j \neq k, j, k \in \{1, \dots, n\}) \wedge \bigwedge_{s=1}^n (a_1^{i-1}, x_i^{(s)}, a_{i+1}^{n+1}) \in R \wedge (x_i^{(1)}, \dots, x_i^{(n)}, y) \in R \Rightarrow (a_1^{i-1}, y, a_{i+1}^{n+1}) \in R).$$

REMARK.

In the case  $n=1$  all notions defined in 1), 2) and 3) reduce to the usual binary notions.

4<sub>1</sub>) [1] For set S with at least n elements, the family  $P_n$  of subsets of S is a partition of type n, iff (1) each member of  $P_n$  has at least n elements and (2) each n different elements

2) The notion of an iA<sub>2</sub>-transitive relation is from [4]. It is obvious that one can define transitive relations without or with one star in 3<sub>2</sub>), 3<sub>3</sub>) and 3<sub>4</sub>) as in 3<sub>1</sub>). It has not been done here since the purpose of this article is to treat the transitivity connected with generalized equivalence relations.

3) M-transitivities appeared in investigation of generalized orderings.

$\bigwedge_{i=1}^n P_i$  denotes a logical conjunction  $P_1 \wedge \dots \wedge P_n$ .



$S$  belong to exactly one member of  $P_n$ .

4<sub>2</sub>)  $|2|$   $(n+1)$ -ary relation  $E_n$  on  $S$  is a generalized equivalence relation on  $S$  iff it satisfies:

E1n:  $(1, n+1)$ -reflexivity,

E2n: symmetry, and

E3n:  $n\bar{A}_1$ -transitivity.

4<sub>3</sub>) In  $|2|$  it is shown that  $(n+1)$ -ary (i.e. generalized) equivalence relation  $E_n$  on  $S$  induces on  $S$  a partition of type  $n$ , and contrary, that each partition of type  $n$  on  $S$  can be connected with the generalized,  $(n+1)$ -ary equivalence relation on the same set.

\* \*

PROPOSITION 1. If  $(n+1)$ -ary relation  $R$  on  $S$  is  $(1, i+1)$ -reflexive and  $i\bar{A}_1$ -transitive, then it is  $i\bar{A}_2$ -transitive.

P r o o f. Let

$$a) \quad (x_0, x_1^{i-1}, x_i, x_{i+1}^n) \in R \quad \text{and}$$

$$b) \quad (x_i, x_1^{i-1}, x_{i+1}^n, x_{n+1}) \in R,$$

where  $x_i \neq x_j$  for  $i \neq j$ ,  $i, j \in \{1, \dots, n\}$ . Then

$$c_1) \quad (x_{i-1}, x_i, x_1^{i-2}, x_{i-1}, x_{i+1}^n) \in R \quad ((1, i+1)\text{-reflexivity}).$$

From  $(c_1)$  and  $(b)$ , by  $i\bar{A}_1$ -transitivity, it follows

$b_1) \quad (x_{i-1}, x_i, x_1^{i-2}, x_{i+1}^n, x_{n+1}) \in R$ . Applying  $i\bar{A}_1$ -transitivity on  $(b_1)$  and

$$c_2) \quad (x_{i-2}, x_{i-1}, x_i, x_1^{i-3}, x_{i-2}, x_{i+1}^n) \in R \quad ((1, n+1)\text{-reflexivity}),$$

we get

$$b_2) \quad (x_{i-2}, x_{i-1}, x_i, x_1^{i-3}, x_{i+1}^{n+1}) \in R.$$

This procedure leads to the conclusion

$(\bar{b}) \quad (x_1^{i-1}, x_i, x_{i+1}^{n+1}) \in R$ . Finally, from  $(a)$  and  $(\bar{b})$ , by  $i\bar{A}_1$ -transitivity it follows that

$(x_0, x_1^{i-1}, x_{i+1}^{n+1}) \in R$ , which was to be proved.

COROLLARY 2.  $(n+1)$ -ary  $(1, n+1)$ -reflexive and  $n\bar{A}_1$ -transitive relation  $R$  on  $S$  is  $n\bar{A}_2$ -transitive.

REMARK 2.

$(1, n+1)$ -reflexivity and  $n\bar{A}_2$ -transitivity do not imply  $n\bar{A}_1$ -transitivity, which can be shown by the following example, for  $n=2$ .  $R$  is a ternary relation on  $\{a, b, c, d\}$  consisting of all triples with equal first and third coordinates and of  $(a, b, c)$ ,  $(c, b, d)$ ,  $(a, b, d)$  and  $(b, d, a)$ . It is easy to check that  $R$  satisfies  $(1, 3)$ -reflexivity and  $2\bar{A}_2$ -transitivity, but that it is not  $2\bar{A}_1$ -transitive.

The following corollary is a consequence of the proof of Proposition 1.

COROLLARY 3.  $(n+1)$ -ary  $(1, i+1)$ -reflexive and  $i\bar{A}_1$ -transitive relation  $R$  on  $S$  satisfies the property

$$(\gamma_i) : (\forall a_1, \dots, a_{n+1} \in S) ((a_j \neq a_k, j \neq k, j, k \in \{1, \dots, n\}) \wedge$$

$$(a_1^{n+1}) \in R \Rightarrow (a_{\gamma_i(1)}, \dots, a_{\gamma_i(n+1)}) \in R),$$

$$\gamma_i = (i, 1, \dots, i-1, i+1, \dots, n+1) \in \{1, \dots, n+1\}!.$$

PROPOSITION 4. If  $(n+1)$ -ary  $(1, i+1)$ -reflexive and  $i\bar{A}_2$ -transitive relation  $R$  on  $S$  satisfies  $(\gamma_i)$ , then  $R$  is  $i\bar{A}_1$ -transitive ( $i \in \{1, \dots, n\}$ ).

P r o o f. If

$$(x_0^{i-1}, x_i, x_{i+1}^n) \in R \text{ and } (x_1^{i-1}, x_i, x_{i+1}^{n+1}) \in R, x_i \neq x_j \text{ for } i \neq j,$$

$i, j \in \{1, \dots, n\}$ , then by  $(\gamma_i)$  it follows that

$$(x_0^{i-1}, x_i, x_{i+1}^n) \in R \text{ and } (x_i x_1^{i-1}, x_{i+1}^{n+1}) \in R.$$

Thereby  $i\bar{A}_2$ -transitivity implies

$$(x_0^{i-1}, x_{i+1}^{n+1}) \in R, \text{ completing the proof of the lemma.}$$



Proposition 1, Corollary 3 and Proposition 4 imply the following proposition.

PROPOSITION 5. If  $(n+1)$ -ary  $(1, n+1)$ -reflexive relation  $R$  on  $S$  satisfies  $(\gamma_i)$ , then  $R$  is  $i\bar{A}_2$ -transitive iff it is  $i\bar{A}_1$ -transitive,  $(i \in \{1, \dots, n\})$ .

PROPOSITION 6. If  $(n+1)$ -ary relation  $R$  on  $S$  satisfies  $(i+1)\bar{M}_1$ -transitivity  $(i=1, \dots, n)$ ,  $(j, i+1)$ -reflexivity for all  $j \in \{2, \dots, i\}$  and  $(i+1, k)$ -reflexivity for all  $k \in \{i+2, \dots, n+1\}$ , then  $R$  satisfies  $i\bar{A}_1$ -transitivity.

P r o o f. Let  $(x_0^n) \in R$  and  $(x_1^{n+1}) \in R$ ,  $x_u \neq x_v$ ,  $u \neq v$ ,  $u, v \in \{1, \dots, n\}$ . Then

$$(x_0, x_1, \dots, x_{i-1}, x_1, x_{i+1}, \dots, x_n) \in R \text{ ((2, i+1)-reflexivity),}$$

$$(x_0, x_1, \dots, x_{i-1}, x_2, x_{i+1}, \dots, x_n) \in R \text{ ((3, i+1)-reflexivity),}$$

.....

$$(x_0, x_1, \dots, x_{i-1}, x_{i-1}, x_{i+1}, \dots, x_n) \in R \text{ ((i, i+1)-reflexivity),}$$

$$(x_0, x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_n) \in R \text{ (by assumption),}$$

$$(x_0, x_1, \dots, x_{i-1}, x_{i+1}, x_{i+1}, \dots, x_n) \in R \text{ ((i+1, i+2)-reflexivity),}$$

.....

$$(x_0, x_1, \dots, x_{i-1}, x_n, x_{i+1}, \dots, x_n) \in R \text{ ((i+1, n+1)-reflexivity),}$$

$$(x_1, \dots, x_{i-1}, x_n, x_{i+1}, \dots, x_n, x_{n+1}) \in R \text{ (by assumption).}$$

Thereby  $(i+1)\bar{M}_1$ -transitivity implies

$$(x_0, x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n, x_{n+1}) \in R, \text{ which was to be proved.}$$

COROLLARY 7. If  $(n+1)$ -ary  $(n+1)\bar{M}_2$ -transitive relation  $R$  on  $S$  satisfies  $(i, n+1)$ -reflexivity for all  $i \in \{2, \dots, n\}$ , then  $R$  satisfies  $n\bar{A}_1$ -transitivity.

REMARK 3.

The following example is a reflexive,  $n\bar{A}_1$ -transitive ternary relation on  $\{a, b, c, d, e\}$ , which is not  $(n+1)\bar{M}_2$ -transitive. Let  $R$  consist of all triples with at least two equal coordinates and of  $(a, b, c), (b, a, c), (a, b, d), (b, a, d), (c, d, e)$  and

(d,c,e). It is obvious that  $R$  is reflexive,  $2\bar{M}_2$ -transitive, but not  $3\bar{M}_2$ -transitive, since  $(a,b,c) \in R$ ,  $(a,b,d) \in R$ ,  $(c,d,e) \in R$ , but  $(a,b,e) \notin R$ .

**COROLLARY 8.** If  $(n+1)$ -ary relation  $R$  on  $S$  satisfies  $(i+1)\bar{M}_2$ -transitivity  $(i=1, \dots, n)$ ,  $(j, i+1)$ -reflexivity for all  $j \in \{2, \dots, n\}$ ,  $(i+1, k)$ -reflexivity for all  $k \in \{i+2, \dots, n+1\}$  and  $\gamma$ -symmetry for  $\gamma = (1, \dots, i, n+1, i+1, \dots, n) \in \{1, \dots, n+1\}!$ , then  $R$  satisfies  $i\bar{A}_1$ -transitivity.

**PROPOSITION 9.** If  $(n+1)$ -ary relation  $R$  on  $S$  satisfies  $(1, j)$ -reflexivity for all  $j \in \{2, \dots, i+1\}$ ,  $i\bar{A}_1$ - and  $(i-1)\bar{A}_1$ -transitivity,  $i \in \{1, \dots, n\}$ , then  $R$  is  $(i-2)\bar{A}_1$ -transitive  $(i-2, i-1, i \in \{1, \dots, n\})$ .

**P r o o f.**

(a)  $(a_0^{i-3}, a_{i-2}, a_{i-1}^n) \in R$  and  $(a_1^{i-3}, a_{i-2}, a_{i+1}^{n+1}) \in R$ ,  $a_i \neq a_j$ ,  $i \neq j$ ,  $i, j \in \{1, \dots, n\}$ .

i) Suppose first  $a_0 \neq a_i$ ,  $i=1, \dots, n$ . Then, by using the well known properties of permutations, by Corollary 3 and since  $R$  is  $i\bar{A}_1$ -,  $(i-1)\bar{A}_1$ -transitive and  $(1, i)$ -reflexive, (a) implies

( $\bar{a}$ )  $(a_0^{i-1}, a_{i-2}, a_i^n) \in R$  and  $(a_1^{i-1}, a_{i-2}, a_i^{n+1}) \in R$ .

By  $(i-1)\bar{A}_1$ -transitivity then  $(a_0^{i-3}, a_{i-1}^{n+1}) \in R$ .

(ii) Let  $a_0 \neq a_{i-2}$ , and

( $\bar{b}$ )  $(a_{i-2}, a_1^{i-3}, a_{i-2}, a_{i-1}^n) \in R$  and  $(a_1^{i-3}, a_{i-2}, a_{i-1}^{n+1}) \in R$ .

By using  $(1, i)$ -reflexivity and by the procedure used in i),

( $\bar{c}$ )  $(a_{i-2}, a_1^{i-3}, a_{i-1}, a_{i-2}, a_i^n) \in R$  and

( $\bar{d}$ )  $(a_1^{i-3}, a_{i-1}, a_{i-2}, a_i^{n+1}) \in R$  . . .

From ( $\bar{c}$ ) and ( $\bar{d}$ ), by  $(i-1)\bar{A}_1$ -transitivity, it follows that

$(a_{i-2}, a_1^{i-3}, a_{i-1}, a_i^{n+1}) \in R$  .



iii) Let  $a_0 = a_j$ ,  $j \in \{1, \dots, i-3, i-1, \dots, n\}$ , and

$$(a_t, a_1^{i-3}, a_{i-2}, a_{i-1}^{t-1}, a_t, a_{t+1}^n) \in R \quad \text{and} \quad (a_1^{i-3}, a_{i-2}, a_{i-1}^{t-1}, a_t, a_{t+1}^{n+1}) \in R,$$

where  $t < i$  or  $t > i$ ,  $t \in \{1, \dots, n\}$ .  $(1, t+1)$ -reflexivity now gives

$$(a_t, a_1^{i-3}, a_{i-1}, a_i^{t-1}, a_t, a_{t+1}^{n+1}) \in R.$$

i), ii) and iii) prove the proposition.

Using the fact that each permutation on  $\{1, \dots, n+1\}$  can be produced by two cycles  $\gamma_{n+1} = (n+1, 1, \dots, n)$  and  $\gamma_n = (n+1, \dots, n-1, n+1)$ , one can easily show that the following proposition is a consequence of the previously proved statements.

PROPOSITION 10. If  $(n+1)$ -ary relation  $R$  on  $S$  satisfies  $\gamma_{n+1}$  and  $\gamma_n$ -symmetry ( $\gamma_{n+1}$  and  $\gamma_n$  are given above), then

- 1)  $R$  is reflexive iff it is  $(1, n+1)$ -reflexive;
- 2)  $R$  is  $i\bar{A}_1$ -transitive,  $i \in \{1, \dots, n\}$ , iff it is  $n\bar{A}_1$ -transitive;
- 3)  $R$  is  $i\bar{A}_2$ -transitive,  $i \in \{1, \dots, n\}$ , iff it is  $n\bar{A}_2$ -transitive;
- 4)  $R$  is  $i\bar{M}_1$ -transitive,  $i \in \{2, \dots, n+1\}$ , iff it is  $(n+1)\bar{M}_1$ -transitive;
- 5)  $R$  is  $i\bar{M}_2$ -transitive,  $i \in \{2, \dots, n+1\}$ , iff it is  $(n+1)\bar{M}_2$ -transitive;
- 6)  $R$  is  $n\bar{A}_2$ -transitive, iff it is  $n\bar{A}_1$ -transitive.

PROPOSITION 11.  $(n+1)$ -ary relation  $R$  on  $S$  is the generalized equivalence relation on  $S$  in the sense of 4<sub>2</sub>) iff

- I  $R$  is reflexive;
- II  $R$  satisfies the property
 
$$(\tau) \quad (\forall a_1, \dots, a_{n+1} \in S) ((a_1^{n+1}) \in R \wedge (a_i \neq a_j, i \neq j, i, j \in \{1, \dots, n\}) \\ \Rightarrow (a_{\tau(1)}, \dots, a_{\tau(n+1)}) \in R, \text{ where } \tau = (n+1, 2, \dots, n, 1);$$
- III  $R$  is  $n\bar{A}_1$ -transitive.

**P r o o f.** The only nontrivial part of the proof is the one in which the symmetry has to be proved, under the assumption that  $R$  satisfies I, II and III.

Suppose  $(a_1^{n+1}) \in R$ .

If  $a_i = a_j$ , for some  $i \neq j$ ,  $i, j \in \{1, \dots, n+1\}$ , then this part of the symmetry follows directly from I and the definition of reflexivity.

If there are no equal elements among  $a_i$ ,  $i \in \{1, \dots, n+1\}$ , then Corollary 3, I, and III imply that if

$(a_1^{n+1}) \in R$  then all  $(n+1)$ -tuples produced by the cycle  $(n, 1, \dots, n-1, n+1)$  also belong to  $R$ . Since this cycle and  $\tau$  produce each permutation on  $\{1, \dots, n+1\}$ , using II, we get that for each permutation  $\pi \in \{1, \dots, n+1\}!$

$(a_{\pi(1)}, \dots, a_{\pi(n+1)}) \in R$ , proving that  $T$  satisfies the symmetry.

There is another characterization of the generalized equivalence relation, depending on reflexivity and two transitivities.

**PROPOSITION 12.**  $(n+1)$ -ary relation  $R$  on  $S$  is a generalized equivalence relation in the sense of  $4_2$  iff

- ( $\alpha$ )  $R$  is reflexive ;
- ( $\beta$ )  $R$  is  $(n-1)\bar{A}_1$ -transitive ;
- ( $\gamma$ )  $R$  is  $n\bar{A}_1$ -transitive .

**P r o o f.** Let  $(a_0, a_1^{n-2}, a_{n-1}, a_n) \in R$ . By  $(n-1, n+1)$ -reflexivity  $(a_1^{n-2}, a_{n-1}, a_n, a_{n-1})$  also belongs to  $R$ . Thereby, for different  $a_1, \dots, a_{n+1}$ , ( $\beta$ ) implies that if  $(a_1^{n+1}) \in R$ , then  $(a_1^{n-1}, a_{n+1}, a_n) \in R$ . The proof of the symmetry now follows from the procedure used in proving Proposition 11.

The following proposition shows that, assuming  $(1, n+1)$ -reflexivity and some of the symmetry,  $i\bar{A}_1$ -transitivity implies  $(i+1)\bar{M}_1$ -transitivity. Putting together this statement and Proposition 6., one gets the conditions for the equivalence of these two transitivities.



PROPOSITION 13. If  $(n+1)$ -ary relation  $R$  on  $S$  satisfies  $\gamma_{n+1}$ - and  $\gamma_n$ -symmetry ( $\gamma_{n+1} = (n+1, 1, \dots, n)$ ,  $\gamma_n = (n, 1, \dots, n-1, n+1)$ ),  $i\bar{A}_1$ -transitivity ( $i \in \{1, \dots, n\}$ ) and  $(1, n+1)$ -reflexivity, then  $R$  satisfies  $(i+1)\bar{M}_1$ -transitivity.

P r o o f. 1)  $R$  is symmetric, because it satisfies  $\gamma_{n+1}$ - and  $\gamma_n$ -symmetry (see the text preceding Proposition 10.).

2) Let  $(a_1^n, x_1) \in R, \dots, (a_1^n, x_n) \in R$ ,  $x_i \neq x_j$  for  $i \neq j$ ,  $i, j \in \{1, \dots, n\}$ . Now, if there are equal elements among  $a_1, \dots, a_n, y$ , reflexivity implies  $(a_1^n, y) \in R$ , proving  $(i+1)\bar{M}_1$ -transitivity for  $R$ .

Assume now that  $a_i \neq a_j$ ,  $a_i \neq y$   $i, j \in \{1, \dots, n\}$ . Also let  $a_i = x_i$ ,  $i = 1, \dots, k$ ,  $k \in \{0, \dots, n\}$  (for  $k=0$  there are no such equal elements). Assumption 2) is now given by

$$(a) \quad (x_1^k, a_{k+1}^n, x_1) \in R, \dots, (x_1^k, a_{k+1}^n, x_k) \in R,$$

$$(b) \quad (x_1^k, a_{k+1}^n, x_{k+1}) \in R, \dots, (x_1^k, a_{k+1}^n, x_n) \in R.$$

From (b), by symmetry, it follows that

$$(x_{k+1}, x_1^k, a_{k+1}^n) \in R \text{ and } (x_1^k, a_{k+1}^n, x_t) \in R, \quad t \in \{k+2, \dots, n\}.$$

$n\bar{A}_1$ -transitivity now implies

$$(c) \quad (x_{k+1}, x_1^k, a_{k+1}^{n-1}, x_t) \in R, \quad t \in \{k+2, \dots, n\}.$$

From this, by symmetry and  $n\bar{A}_1$ -transitivity, it follows that

$$(d) \quad (x_{k+2}, x_{k+1}, x_1^k, a_{k+1}^{n-2}, x_v) \in R, \quad v \in \{k+3, \dots, n\}.$$

Continuing this procedure, we finally get  $(x_1^n, a_{k+1}) \in R$ , i.e.

$$(e) \quad (a_{k+1}, x_1^n) \in R.$$

Since  $x_i = a_i$ ,  $i = 1, \dots, k$ , from (a), (b) and (e) it follows that  $(a_{k+1}, a_1^k, x_{k+1}^n) \in R$  and  $(a_1^k, x_{k+1}^n, y) \in R$ , and applying  $n\bar{A}_1$ -transitivity, we get

$$(f) \quad (a_{k+1}, a_1^k, x_{k+1}^{n-1}, y) \in R.$$

Applying  $n\bar{A}_1$ -transitivity on

$$(a_{k+2}, a_{k+1}, a_1^k, x_1^{n-1}) \in R, (a_{k+1}, a_1^k, x_1^{n-1}, y) \in R,$$

(the first  $(n+1)$ -tuple is from (d) i.e. from the procedure exposed there, and the second is (f)), we get

$$(g) \quad (a_{k+2}, a_{k+1}, a_1^k, x_{k+1}^{n-2}, y) \in R.$$

Continuing this procedure, we finally get

$$(a_n, a_{n-1}, \dots, a_{k+1}, a_1^k, y) \in R, \text{ i.e. } (a_1^n, y) \in R, \text{ proving the statement, if } i=n.$$

In the case when  $i \neq n$ , suppose that

$$(h) \quad (a_1^{n-1}, x_1, a_{i+1}^{n+1}) \in R, \dots, (a_1^{i-1}, x_n, a_{i+1}^{n+1}) \in R \text{ and}$$

$$(i) \quad (x_1^n, y) \in R, x_i \neq x_j, \text{ for } i \neq j, i, j \in \{1, \dots, n\}.$$

Since  $R$  is symmetric (1)), we have (from (h) and (i))

$$(j) \quad (a_1^{i-1}, a_{i+1}^{n+1}, x_1) \in R, \dots, (a_1^{i-1}, a_{i+1}^{n+1}, x_n) \in R \text{ and}$$

$$(k) \quad (x_1^n, y) \in R, x_i \neq x_j, \text{ for } i \neq j, i, j \in \{1, \dots, n\}.$$

Applying the symmetry and 4), Proposition 10, the proof in this case is the same as the one given for  $i=n$ .

**COROLLARY 14.** *If  $(n+1)$ -ary reflexive relation on  $S$  satisfies  $(n-1)\bar{A}_1$ - and  $n\bar{A}_1$ -transitivity, then it is  $(n+1)\bar{M}_1$ -transitive.*

**P r o o f.** This is a consequence of the two previous propositions.

**COROLLARY 15.**  *$(n+1)$ -ary  $(1, n+1)$ -reflexive and symmetric relation  $R$  on  $S$  is  $n\bar{A}_1$ -transitive iff it is  $(n+1)\bar{M}_1$ -transitive.*

**P r o o f.** Immediately by Proposition 6. and Proposition 13.



## REMARK 4.

Applying Corollary 8., and the first part of the proof of Proposition 13. concerning the symmetry, one can put " $(i+1)\bar{M}_2$ -" instead of " $(i+1)\bar{M}_1$ -" into the formulation of Proposition 13., which will remain true.

\* \* \*

In this part we shall show that the condition of  $n$  different elements in  $i\bar{A}_1$ -transitivity ((4) in  $(3)_1$ ), given by Pickett [2] can be weakened ((3) in  $3_1$ ), giving something new in the previous characterizations.

The following lemma follows immediately from the definitions of  $iA_1^*$ - and  $i\bar{A}_1$ -transitivity.

LEMMA 16. a)  $iA_1^*$ -transitive relation is  $i\bar{A}_1$ -transitive;

b) For  $n=2$  the relation  $R$  is  $i\bar{A}_1$ -transitive iff it is  $iA_1^*$ -transitive (i.e. these two definitions do not differ).

## REMARK 5.

If we consider reflexive relations, the condition  $a_j \neq a_1$  could not be weakened more, since for example, for  $n=2$ , from  $(a,b,b) \in R$  and  $(b,b,c) \in R$ , its absence implies  $(a,b,c) \in R$ . The reflexive relations would thus always consist of all triples  $((n+1)$ -tuples) on the given set.

PROPOSITION 17.  $(n+1)$ -ary relation  $R$  on  $S$  ( $|S| \geq n$ ), is the generalized equivalence relation on  $S$  in the sense of 4<sub>2</sub>) iff it satisfies:

(i) for each sequence of  $n$  different elements  $x, y_1, \dots, y_{n-1}$  of  $S$ , there is  $y$  in  $S$ ,  $y = y_{n-1}$ , such that

$$(x, y_1^{n-1}, y) \in R;$$

(ii)  $R$  is  $\gamma_{n+1}$ - and  $\gamma_n$ -symmetric, where

$$\gamma_{n+1} = (n+1, 1, \dots, n) \text{ and } \gamma_n = (n, 1, \dots, n-1, n+1)^1);$$

(iii)  $R$  is  $nA_1^*$  - transitive.

#### REMARK 6.

For  $n=1$ , (i) reduce to the statement that for each  $x \in S$ , there is  $y \in S$ , such that  $(x, y) \in R$ , and this is equivalent to the usual condition  $\varnothing R = S$ , in the binary case.

Proof of Proposition 17: We have to prove that  $R$  satisfies  $(1, n+1)$ -reflexivity (the rest is trivial), if it satisfies (i), (ii) and (iii).

Let  $x_0, \dots, x_{n-1} \in S$ ,  $x_i \neq x_j$ ,  $i, j \in \{0, \dots, n-1\}$ . Then by (i), there is  $y \in S$ ,  $y \neq x_{n-1}$ , and  $(x_0^{n-1}, y) \in R$ . From here, by symmetry, it follows that  $(x_1^{n-1}, y, x_0) \in R$ , and by (iii)

$$(x_0^{n-1}, x_0) \in R, \text{ i.e. (symmetry), } (\overset{2}{x}_0, x_1^{n-1}) \in R.$$

From  $(x_0^{n-1}, y) \in R$  and  $(\overset{2}{x}_0, x_1^{n-1}) \in R$ , (iii) implies

$$(\overset{2}{x}_0, x_1^{n-2}, x_0) \in R \text{ i.e. } (\overset{3}{x}_0, x_1^{n-2}) \in R.$$

Continuing this procedure, we finally get

(a)  $(\overset{i}{x}_0, x_1^{n-(i-1)}) \in R$ , for each  $i \in \{2, \dots, n+1\}$ , and for arbitrary different  $x_0, \dots, x_{n-1} \in S$ .

Applying the symmetry on (a), we get

$$(x_1, \overset{i}{x}_0, x_2^{n+1-i}) \in R, \text{ and } (\overset{i}{x}_0, x_2^{n+1-i}, x_1) \in R, \text{ and (iii) gives}$$

$$(x_1, \overset{i}{x}_0, x_2^{n-i}, x_1) \in R \text{ i.e. } (\overset{2}{x}_1, \overset{i}{x}_0, x_2^{n-i}) \in R, \text{ and again, by (iii),}$$

$$(\overset{3}{x}_1, \overset{i}{x}_0, x_2^{n-i-1}) \in R.$$

Continuing, we get

$$(\overset{i}{x}_0, \overset{j}{x}_1, x_2^{n+2-i-j}) \in R, \quad i+j \leq n+1.$$

The same procedure gives

1)  $\gamma_{n+1}$ -and  $\gamma_n$ -symmetry produce the (whole) symmetry. Instead of  $\gamma_n$  one can take an arbitrary transposition.



$$(x_0^{i_0}, x_1^{i_1}, \dots, x_j^{i_j}, x_{j+1}^{n+j+1-i_0-\dots-i_j}) \in R, \quad i_0 + \dots + i_j \leq n+1,$$

completing by (ii), the proof of the reflexivity.

#### REMARK 7.

Note that the "only if" part of the proof of the preceding proposition shows that "some" of  $nA_1^*$ -transitivity is included in reflexivity, in the case when there are equal element among  $x_1, \dots, x_{n-1}$ , for  $(x_0^n) \in R$  and  $(x_1^{n+1}) \in R$ .

The fact that reflexivity does much more in generalized equivalences than in the binary case, can be shown by the following example, for  $n=2$ . Let  $R$  consists of all triples with equal coordinates (i.e. for  $x \in S$ ,  $(x, x, x) \in R$ ), and of arbitrary triples with different coordinates  $((x_1, x_2, x_3) \in R, x_i \neq x_j, i \neq j)$ , provided that  $R$  is  $2\bar{A}_1$ -transitive. Then no part of the symmetry can be produced, since there are no triples in  $R$ , being of the form  $(x, y, x)$ ,  $x \neq y$ .

#### REFERENCES

- [1] Härtmanis, J., *Generalized Partitions and Lattice Embedding Theorems*, *Proc. of Symposia in Pure Mathematics*, Vol. II, *Lattice Theory*, Amer. Math. Soc. (1961) 22-30.
- [2] Pickett, H.E., *A Note on Generalized Equivalence Relations*, *Amer. Math. Monthly*, 1966, 73, No. 8, 860-61.
- [3] Ušan, J., Šešelja, B., Vojvodić, G., *Generalized Ordering and Partitions*, *Matematički Vesnik*, 3 (16)(31), 1979, 241-47.
- [4] Ušan J., Šešelja, B., *On the Generalized Implication Algebras*, *Zbornik Radova PMF*, No. 10 (1980), 209-213.

## REZIME

TRANZITIVNE n-ARNE RELACIJE I  
KARAKTERIZACIJE UOPŠTENIH EKVIVALENCIJA

Pickett [2] definiše uopštene relacije ekvivalencije i povezuje ih sa particijama tipa  $n$  koje je uveo Hartmanis [1]. U ovom radu dati su različiti tipovi uopštenih refleksivnih, simetričnih, kao i tranzitivnih relacija. Ispitane su osobine tih relacija i data su tvrdjenja koja ih povezuju. Najzad, dokazani su stavovi o različitim karakterizacijama uopštenih ekvivalencija.





*Zbornik radova Prirodno-matematičkog fakulteta-Univerzitet u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

# SOME PROPERTIES OF LINEAR OPERATORS OF DISCRETE FUNCTIONS

*Koriolan Gilezan*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslaviya*

Let  $(P, +, \cdot)$  be a commutative ring with an identity element 1, and let  $L \neq \emptyset$  be a finite set; and  $L^n$  its Cartesian product. Let us consider a set  $F = \{f/f: L^n \rightarrow R\}$ , where  $f$  is a discrete function. Some operators on  $L$  and  $F$  can be defined.

DEFINITION 1.  $\phi_j : L \rightarrow L$ ,  $j \in I$

$\partial : F \rightarrow F$

where

$$\phi_k(\phi_j x) = \phi_k x \quad j, k \in I, x \in L$$

$$\partial(f_{\phi_j}(x))_{x_i} = f(x_1, \dots, x_{i-1}, \phi_j x_i, x_{i+1}, \dots, x_n) - f(x), \quad x \in L.$$

THEOREM 1. All operators  $\phi_j, \phi_i$ , and  $\partial(j, i \in I)$  and all discrete functions  $f$  and  $g$  satisfy the following properties:

- (1)  $\partial(f_{\phi_j})_{x_i} = 0 \iff f = f(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)$
- (2)  $\partial((f+g)_{\phi_j})_{x_i} = \partial(f_{\phi_j})_{x_i} + \partial(g_{\phi_j})_{x_i}, \quad 1 \leq i \leq n$
- (3)  $\partial((kf)_{\phi_j})_{x_i} = k\partial(f_{\phi_j})_{x_i}, \quad k \in P, 1 \leq i \leq n$
- (4)  $\partial(\partial(f_{\phi_j})_{x_i})_{\phi_k} = -\partial(f_{\phi_j})_{x_i}, \quad 1 \leq i \leq n$



$$(5) \quad \partial((f \cdot g)\phi_j)_{x_i} = g \cdot \partial(f\phi_j)_{x_i} + f \cdot \partial(g\phi_j)_{x_i} + \\ + \partial(f\phi_j)_{x_i} \cdot \partial(g\phi_j)_{x_i}, \quad 1 \leq i \leq n$$

$$(6) \quad \partial((\partial f\phi_j)_{x_i}\phi_k)_{x_j} = \partial((\partial f\phi_k)_{x_j})_{x_i}, \quad i \neq j, \quad 1 \leq i \leq n, \quad 1 \leq j \leq n$$

$$(7) \quad \partial(\partial(\dots\partial(f\phi_j)_{x_i} \dots)\phi_j)_{x_i} = (-1)^{m+1} \partial(f\phi_j)_{x_i}, \quad 1 \leq i \leq n,$$

$\partial$  is applied  $m$ -times.

*P r o o f.* Relations (1), (3), (4) and (5) result immediately from Definition 1. Further, proving (2) let us use

$$(\phi_j \bar{x}_i) = (x_1, \dots, x_{i-1}, \phi_j x_i, \dots, x_{i+1}, \dots, x_n)$$

thus

$$\begin{aligned} \partial((f+g)\phi_j)_{x_i} &= f(\phi_j \bar{x}_i) + g(\phi_j \bar{x}_i) - f(x) - g(x) = \\ &= \partial(f\phi_j)_{x_i} + \partial(g\phi_j)_{x_i}, \quad 1 \leq i \leq n. \end{aligned}$$

The proof of (5)

$$\begin{aligned} \partial((f \cdot g)\phi_j)_{x_i} &= f(\phi_j \bar{x}_i) \cdot g(\phi_j \bar{x}_i) - f(x) \cdot g(x) = \\ &= f(x) \cdot [g(\phi_j \bar{x}_i) - g(x)] + g(x) \cdot [f(\phi_j \bar{x}_i) - f(x)] + \\ &+ [f(\phi_j \bar{x}_i) - f(x)] \cdot [g(\phi_j \bar{x}_i) - g(x)]. \end{aligned}$$

Finally (7) will be proved by induction. For  $m=1$  from (5) follows

$$\partial((\partial(f\phi_j)_{x_i})\phi_j)_{x_i} = -\partial(f\phi_j)_{x_i}.$$

This equality is true according to (4).

Let us suppose that (7) is satisfied for each  $n$ . Now, using Definition 1. again in (7) we shall get

$$\partial((-1)^{m+1} \partial(f\phi_j)_{x_i} \phi_j)_{x_i} = (-1)^{m+2} \partial(f\phi_j)_{x_i}.$$

And so relation (7) is proved.

**THEOREM 2.** For all discrete functions  $f$  and all operators  $\phi_j$  ( $j \in I$ ) the following equality is held

$$\begin{aligned} & \partial((\dots \partial(f_{\phi_{j_1}})_{x_{i_1}} \dots)_{\phi_{j_m}} x_{i_m}) = \\ (8) \quad & \sum_{k=1}^m (-1)^{m-k} \sum_{i_1, \dots, i_k}^{j_1, \dots, j_m} f((\phi_{j_1} \bar{x}_{i_1}), \dots, (\phi_{j_k} \bar{x}_{i_k})) + (-1)^m f(x), \end{aligned}$$

where  $\{i_1, \dots, i_k\}$  is a subset of  $\{j_1, \dots, j_m\}$ ,  $1 \leq m \leq n$ .

**P r o o f.** For  $m=1$  equality (8) becomes

$$\partial(f_{\phi_{j_1}})_{x_{i_1}} = f(\phi_{j_1} \bar{x}_{i_1}) - f(x),$$

it is true according to Definition 1.

Assume that equality (8) is true for every  $m$  ( $m < n$ ). Applying Definition 1. on (7) we get

$$\begin{aligned} & \partial((\partial((\dots \partial(f_{\phi_{j_1}})_{x_{i_1}} \dots)_{\phi_{j_m}} x_{i_m})_{\phi_{j_{m+1}}} x_{i_{m+1}}) = \\ & = \sum_{k=1}^{m+1} (-1)^{m+1-k} \sum_{i_1, \dots, i_k}^{j_1, \dots, j_{m+1}} f((\phi_{j_1} \bar{x}_{i_1}), \dots, (\phi_{j_k} \bar{x}_{i_k})) + \\ & + (-1)^{m+1} f(x), \end{aligned}$$

thus, the theorem is proved.

**THEOREM 3.** If

$$f(\phi_j^n(x_n)) = f(\phi_j x_1, \dots, \phi_j x_n)$$

$$f(\phi_i^n(x_n)) = f(\phi_i x_1, \dots, \phi_i x_n),$$

then for all operators  $\phi_j, \phi_i$ ,  $i, j \in I$  and all discrete functions the following equality holds:

$$\begin{aligned} (9) \quad & f(\phi_j^n(x_n)) - f(\phi_i^n(x_n)) = \sum_{m=1}^n \sum_{i_1, \dots, i_m}^{1, \dots, n} (\partial((\dots \partial(f_{\phi_j}(\phi_{i_1} x_1)_{x_{i_1}} \dots)_{\phi_{j_m}} x_{i_m})_{\phi_{j_m}} x_{i_m}) \quad (i_1 < i_2 < \dots < i_m). \end{aligned}$$



P r o o f. For  $m=1$  (8) becomes

$$(10) \quad f(\phi_j^1 x_1) - f(\phi_i^1 x_1) = \partial(f_{\phi_j}(\phi_i^1 x_1))_{x_1}$$

and it is true according to Definition 1.

Let us suppose that (9) is true for  $n-1$ , i.e.

$$(11) \quad f(\phi_j^{n-1}(x_{n-1}), x_n) - f(\phi_i^{n-1}(x_{n-1}), x_n) = \partial(f_{\phi_j}(x_n))_{x_{n-1}}$$

Applying Definition 1. on (11) it will be transformed into equality (9).

Thus theorem 3. is proved.

In the next examples we shall show that these linear operators, given by Definition 1., cover partial derivatives of pseudo-Boolean functions and some parts of the following operators: partial derivatives of Boolean functions, Newton differences and lattice derivatives of discrete functions.

EXAMPLE 1. Let  $f: L^n \rightarrow P$  be a generalized pseudo-Boolean function, and operators  $\phi_j$ ,  $j \in I$

$$\phi_j x_i = a, \quad j \in I, \quad a \in L,$$

where  $x_i$ ,  $1 \leq i \leq n$  are variables of generalized pseudo-Boolean functions. Operators  $\partial$  are generalized pseudo-Boolean functions.

$$\partial(f_{\phi_j}(x))_{x_i} = f(x_1, \dots, x_{i-1}, a, x_{i+1}, \dots, x_n) - f(x)$$

These operators  $\partial$  are partial derivatives of generalized pseudo-Boolean functions with respect to the variables  $x_i$ ,  $1 \leq i \leq n$  (see [2]).

EXAMPLE 2. A binary operation  $\oplus$  with the following properties is defined on  $L$ : for every  $a, b, c \in L$

$$a \oplus b = b \oplus a$$

$$(a \oplus b) \oplus c = a \oplus (b \oplus c)$$

$$(\exists e \in L) \quad e \oplus a = a \oplus e = a$$

$$(\forall a \in L) (\exists a' \in L) \quad a \oplus a' = a' \oplus a = e$$

$$a \oplus a = a.$$

$L \neq \emptyset$  is a finite set,  $R$  is the set of real numbers,  $f: L^n \rightarrow R$  is a real function. If the operators  $\phi_j$ ,  $j \in I$  are defined in the following way

$$\phi_j x_i = x_i + h, \quad h \in L,$$

then operators  $\partial$  are Newton differences

$$\partial(f_{\phi_j}(x))_{x_i} = f(x_1, \dots, x_{i-1}, x_i \oplus h, x_{i+1}, \dots, x_n) - f(x).$$

EXAMPLE 3. Let  $(P, V, \wedge)$  be a distributive lattice,  $L \neq \emptyset$  a finite subset of  $P$ , and  $f: L^n \rightarrow P$  a discrete function. If operators  $\phi_j$ ,  $j \in I$  are defined

$$\phi_j x_i = x_i \vee a, \quad a \in L,$$

where  $x_i$ ,  $1 \leq i \leq n$  are variables of discrete functions, then operators  $\partial$  are discrete functions on the lattice

$$\partial(f_{\phi_j}(x))_{x_i} = f(x_1, \dots, x_{i-1}, x_i \vee a, x_{i+1}, \dots, x_n) \vee f(x),$$

$$1 \leq i \leq n$$

(see [3]).

EXAMPLE 4. Let  $(P, +, \cdot)$  be a commutative ring with identity element 1.  $L \neq \emptyset$  a finite subset of  $P$ , and  $f: L^n \rightarrow P$ . If operator  $\phi_j$ ,  $j \in I$  are given by

$$\phi_j x_i = x_i + 1 \quad (\text{where } 1 + 1 = 1)$$

$x_i$ ,  $1 \leq i \leq n$  are variables of  $f$ , then operators  $\partial$  are

$$\partial(f_{\phi_j}(x))_{x_i} = f(x_1, \dots, x_{i-1}, x_i + 1, x_{i+1}, \dots, x_n) - f(x)$$

(see [3]).

## REFERENCES

- [1] Akers, Jr., On a theory of Boolean functions, *Siam J.* 487-498, 1956.



- [2] K. Gilezan, *Les dérivées partielles des fonctions pseudo-Booléennes généralisée*, *Discrete Applied Mathematics*, 4 (1982) 37-45, North-Holland Publishing Company.
- [3] M. Stojaković, *Sur L'algèbre distentielle*, *Mat. vesnik* 2(27) Sv. 3 1965.
- [4] A. Thayse et M. Davio, *Boolean Differential Calculus and its Application to Switching Theory*, *IEEE Transactions on Computers*, v. c-22, No. 4, IV 409-420, 1973.
- [5] S. Rudeanu, *Boolean functions and equations*, North-Holland, 1974.
- [6] M. Davio, J.P. Deschamps, A. Thayse, *Discrete and switching functions*, Gr. Publishing Company, 1978.

## REZIME

NEKA SVOJSTVA LINEARNIH OPERATORA DISKRETNIH  
FUNKCIJA

U ovom radu data je jedna nova definicija linearnih operatora koji pokrivaju parcijalne izvode generalisanih pseudo-Bulovih funkcija i delove sledećih operatora: parcijalne izvode Bulovih funkcija, neke Njutnove razlike, kao i neke latisne izvode diskretnih funkcija.

*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

# A NOTE ON GENERALIZED PSEUDO-BOOLEAN FUNCTIONAL EQUATIONS WITH CONSTANT COEFFICIENTS AND $n$ VARIABLES

*Koriolan Gilezan*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

Let  $L \neq \emptyset$  be a finite set;  $L^n$  its direct product, and let  $(P, \oplus, \cdot, I)$  be a commutative ring, and  $I$  its identity. A function  $f: L^n \rightarrow P$  is called a generalized pseudo-Boolean function.

DEFINITION 1. The partial derivative of a generalized pseudo-Boolean function  $f: L^n \rightarrow P$  with respect to variable  $x_i$  ( $1 \leq i \leq n$ ) is the generalized pseudo-Boolean function

$$\frac{\partial f}{\partial x_i} : L^n \rightarrow P, \quad a \in L, \quad (1 \leq i \leq n)$$

where

$$\frac{\partial f}{\partial x_i} (x) = f(x_1, \dots, x_{i-1}, a, x_{i+1}, \dots, x_n) - f(x)$$

$$a \in L, \quad x = (x_1, \dots, x_n), \quad (1 \leq i \leq n).$$

The following notations will be used:

$m(\alpha) = \alpha_1 + \alpha_2 + \dots + \alpha_n, \alpha_i \in \{0, 1\}$  (+ ordinary addition in the set of real numbers)

$$M_n = \{\alpha \mid \alpha = \alpha_1 \alpha_2 \dots \alpha_n, \alpha_i \in \{0, 1\}, m(\alpha) \geq 1\}$$

$$k(M_n) = 2^n - 1$$

$$\alpha_i \dot{+} \alpha_j, \alpha_i, \alpha_j \in \{0, 1\} \quad (\dot{+} \text{ addition mod. 2})$$

$$\partial x^\alpha = \partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}, \quad \alpha \in M_n$$



$$b_i^{[0]} = 1, \quad b_i^{[1]} = b_i, \quad i=1, \dots, n, \quad b_i \in L$$

$$1 \cdot b_2 = b_i \cdot 1 = b_i$$

$$\partial^0 x_i = 1$$

$$\frac{\partial^0 f_{b_i}}{\partial x_i^0} = 1, \quad \frac{\partial^2 f_{b_1 b_2}}{\partial x_i \partial x_j} = \frac{\partial}{\partial x_i} \left( \frac{\partial f_{b_2}}{\partial x_j} \right) b_1$$

$$P_i(\bar{a}_k, \bar{a}_j) = P_i(x_1, \dots, x_{k-1}, a_k, x_{k+1}, \dots, x_{j-1}, a_j, x_{j+1}, \dots, x_n)$$

The following theorem has been proved (see [1]).

**THEOREM 1.** *The system of pseudo-Boolean functional equations*

$$(1) \quad \frac{\partial f_{a_i}}{\partial x_i} = P_i(x), \quad i=1, \dots, n,$$

*has a solution if and only if*

$$(2.i) \quad P_i(x_1, \dots, x_{i-1}, a, x_{i+1}, \dots, x_n) = 0, \quad i=1, \dots, n,$$

$$(2.ij) \quad \frac{\partial^2 P_{ja_i}}{\partial x_i} = \frac{\partial^2 P_{ia_j}}{\partial x_j}, \quad i \neq j, \quad i, j=1, \dots, n.$$

*If conditions (2.i) and (2.ij) are fulfilled all the functions  $f$  are determined by the formula*

$$(3.i_1, \dots, i_n) \quad f(x) = c - \bigoplus_{k=1}^n P_{i_k}(\bar{a}_{i_{k+1}}, \dots, \bar{a}_{i_n}) \oplus P_{i_n}(x),$$

*where  $i_1 i_2 \dots i_n$  are permutations of set  $\{1, 2, \dots, n\}$  and  $c$  is a constant from  $P$ .*

The functional equation

$$(4) \quad a \frac{\partial f_{b_1}}{\partial x} \oplus b \frac{\partial f_{b_2}}{\partial y} \oplus c \frac{\partial^2 f_{b_1 b_2}}{\partial x \partial y} = dg(x, y)$$

where  $f: L^2 \rightarrow P$  is an unknown generalized pseudo-Boolean function  $g: L^2 \rightarrow P$  is a known generalized pseudo-Boolean function,

$a, b, c, d$  are constants from  $P$ , is obtained in [2], where the next theorem is proved.

**THEOREM 2.** *The functional equation (4) has a solution if and only if*

$$(i) \quad d = a b (a \oplus b - c) \neq 0$$

$$(ii) \quad \frac{\partial^2 g_{b_1 b_2}}{\partial x \partial y} \oplus \frac{\partial g_{b_1}}{\partial x} \oplus \frac{\partial g_{b_2}}{\partial y} \oplus g = 0.$$

Here we shall observe a generalization of Theorem 2. Let us consider a generalized pseudo-Boolean functional equation with constant coefficients

$$(5) \quad \sum_{\alpha \in M_n} a_\alpha \frac{\partial^{m(\alpha)} f_{b_1^{[\alpha_1]} \dots b_n^{[\alpha_n]}}}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}} = d R(x),$$

where  $f: L^n \rightarrow P$  is a unknown generalized pseudo-Boolean function  $R: L^n \rightarrow P$  is a known generalized function, and constants  $a_\alpha$ ,  $(\alpha \in M_n)$   $d$  are from  $P$ .

For every generalized pseudo-Boolean function with constant coefficients and  $n$  variables the following property can be proved.

**LEMMA 1.** *If the left-hand side of the equation contains only the unknown function  $f$  and its partial derivatives, it can be written in the form of the left-hand side of (5).*

The proof follows directly from the properties of partial derivatives.

$$\frac{\partial^2 f_{ab}}{\partial x_i \partial y_j} = \frac{\partial^2 f_{ba}}{\partial x_j \partial x_i}, \quad i \neq j, \quad (i, j = 1, \dots, n)$$

$$\frac{\partial^{m_f} f_{a_1 \dots a_m}}{\partial x_i^m} = (-1)^{m+1} \frac{\partial f_{a_m}}{\partial x_i}, \quad (1 \leq i \leq n)$$



$$\frac{\partial^m f_{a_1 \dots a_{k_1} \dots a_{k_2} \dots a_{k_p}}}{\partial x_{i_1}^{k_1} \dots \partial x_{i_p}^{k_p}} = (-1)^{m+p} \frac{\partial^p f_{a_{k_1} \dots a_{k_p}}}{\partial x_{i_1} \dots \partial x_{i_p}}$$

If  $n=2$ , equation (5) has the form (4), i.e.

$$a_{10} \frac{\partial f_{b_1}}{\partial x_1} \oplus a_{01} \frac{\partial f_{b_2}}{\partial x_2} \oplus a_{11} \frac{\partial^2 f_{b_1 b_2}}{\partial x_1 \partial x_2} = d^p(x_1, x_2) .$$

If  $n=2$ , equation (5) has the form

$$\begin{aligned} & a_{100} \frac{\partial f_{b_1}}{\partial x_1} \oplus a_{010} \frac{\partial f_{b_2}}{\partial x_2} \oplus a_{001} \frac{\partial f_{b_3}}{\partial x_3} \oplus \\ & \oplus a_{110} \frac{\partial^2 f_{b_1 b_2}}{\partial x_1 \partial x_2} \oplus a_{101} \frac{\partial^2 f_{b_1 b_3}}{\partial x_1 \partial x_3} \oplus \\ & \oplus a_{011} \frac{\partial^2 f_{b_2 b_3}}{\partial x_2 \partial x_3} \oplus a_{111} \frac{\partial^3 f_{b_1 b_2 b_3}}{\partial x_1 \partial x_2 \partial x_3} = d^R(x_1, x_2, x_3) . \end{aligned}$$

THEOREM 3. *Functional equation (5) has a solution if and only if*

$$\begin{aligned} (6.n) \quad d = & \prod_{m(\alpha)=1} a_{\alpha} \prod_{k=2}^n \left( \prod_{i=1}^{n-k} (a_{\alpha_1^i} \oplus \dots \oplus a_{\alpha_k^i} - \right. \\ & - a_{\alpha_1^i + \alpha_2^i} - a_{\alpha_1^i + \alpha_3^i} - \dots - a_{\alpha_{k-1}^i + \alpha_k^i} \oplus \\ & \oplus a_{\alpha_1^i + \alpha_2^i + \alpha_3^i} \oplus a_{\alpha_1^i + \alpha_2^i + \alpha_4^i} \oplus \dots \oplus a_{\alpha_{k-2}^i + \alpha_{k-1}^i + \alpha_k^i} \oplus \\ & \left. \oplus \dots \oplus (-1)^{k+1} a_{\alpha_1^i + \dots + \alpha_k^i} \right) \neq 0 , \end{aligned}$$

where  $m(\alpha_1^i) = \dots = m(\alpha_k^i) = 1$ ,  $\alpha_j^i \in M_n$ ,

and

$$(7.n) \quad \sum_{\alpha \in M_n} \frac{\partial^{m(\alpha)} R_{b_1 \dots b_n}}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}} \oplus R = 0.$$

P r o o f. The partial derivatives

$$\frac{\partial^{m(\beta)} F_{b_1 \dots b_n}}{\partial x_1^{\beta_1} \dots \partial x_n^{\beta_n}}, \quad \beta = \beta_1 \beta_2, \dots, \beta_n \in M_n \setminus \{11\dots 1\},$$

of functional equation (5) from a system of functional equations

$$\sum_{\alpha \in M_n} a_{\alpha} \frac{\partial^{m(\alpha)+m(\beta)} f_{b_1 \dots b_n}}{\partial x_1^{\alpha_1+\beta_1} \dots \partial x_n^{\alpha_n+\beta_n}} = d \frac{\partial^{m(\beta)} R_{b_1 \dots b_n}}{\partial x_1^{\beta_1} \dots \partial x_n^{\beta_n}}$$

$$\beta \in M_n \setminus \{11\dots 1\}.$$

System (8) has unique solution

$$\frac{\partial f_{b_1}}{\partial x_1}, \dots, \frac{\partial f_{b_n}}{\partial x_n} \quad \text{if and only if the rank}$$

of the augmented matrix  $A'_n$  of the system (8) is  $2^n - 1$ .

For  $n=2$  the augmented matrix of system (8) is equivalent to the matrix

$$A'_2 = \begin{bmatrix} a_{10} & a_{01} & a_{11} & R \\ 0 & a_{01} & a_{01} & R \oplus \frac{\partial R_{b_1}}{\partial x_1} \\ 0 & 0 & a_{01} \oplus a_{10} - a_{11} & R \oplus \frac{\partial R_{b_1}}{\partial x_1} \oplus \frac{\partial R_{b_2}}{\partial x_2} \\ 0 & 0 & 0 & R \oplus \frac{\partial R_{b_1}}{\partial x_1} \oplus \frac{\partial R_{b_2}}{\partial x_2} \oplus \frac{\partial^2 R_{b_1 b_2}}{\partial x_1 \partial x_2} \end{bmatrix}$$



Thus, rank  $A'_2 = 3$  if and only if

$$a_{10} a_{01} (a_{01} \oplus a_{01} - a_{11}) \neq 0$$

$$R \oplus \frac{\partial R_{b_1}}{\partial x_1} \oplus \frac{\partial R_{b_2}}{\partial x_2} \oplus \frac{\partial^2 R_{b_1 b_2}}{\partial x_1 \partial x_2} = 0.$$

This is proved in Theorem 1.

According to (6.n) for  $n=2$  it follows

$$(6.2') \quad \prod_{m(\alpha)=1} a_{\alpha} \prod_{k=1}^2 \left( \prod_{i=1}^{(2-k)} (a_{\alpha_1^i} \oplus a_{\alpha_2^i} - a_{\alpha_1^i + \alpha_2^i}) \right) \neq 0.$$

For  $n=3$  the rank of the augmented matrix  $A'_n$  is  $2^3-1$  if and only if

$$(7.3') \quad \prod_{m(\alpha)=1} a_{\alpha} \prod_{k=2}^3 \left( \prod_{i=1}^{(3-k)} (a_{\alpha_1^i} \oplus a_{\alpha_2^i} \oplus \dots \oplus a_{\alpha_k^i} - \right.$$

$$\left. - a_{\alpha_1^i + \alpha_2^i} - \dots - a_{\alpha_{k-1}^i + \alpha_k^i} \oplus a_{\alpha_1^i + \alpha_2^i + \alpha_3^i} \oplus \right.$$

$$\left. \oplus \dots \oplus a_{\alpha_{k-2}^i + \alpha_{k-1}^i + \alpha_k^i} \oplus \dots \oplus (-1)^{k+1} a_{\alpha_1^i + \dots + \alpha_k^i} \right) =$$

$$= \prod_{m(\alpha)=1} (a_{\alpha_1^1} \oplus a_{\alpha_2^1} - a_{\alpha_1^1 + \alpha_2^1}) (a_{\alpha_2^1} \oplus a_{\alpha_2^2} - a_{\alpha_1^1 + \alpha_2^2})$$

$$(a_{\alpha_1^3} \oplus a_{\alpha_2^3} - a_{\alpha_1^3 + \alpha_2^3}) (a_{\alpha_1^1} \oplus a_{\alpha_2^1} \oplus a_{\alpha_3^1} - a_{\alpha_1^1 + \alpha_2^1} -$$

$$- a_{\alpha_1^1 + \alpha_3^1} - a_{\alpha_2^1 + \alpha_3^1} \oplus a_{\alpha_1^1 + \alpha_2^1 + \alpha_3^1}) \neq 0,$$

$$m(\alpha_1^1) = m(\alpha_2^1) = m(\alpha_3^1) = 1.$$

According to (6.2') and (7.3') mathematical induction leads us to the proof of (6.n). (7.n) is proved in the same way according to (7.2) and (7.3). Thus, the theorem is proved.

REMARK. A new system of functional equations can be formed from the system of functional equations if it satisfies conditions (6.n) and (7.n)

$$(9) \quad \frac{\partial f_{b_i}}{\partial x_i} = P_i(x), \quad i=1, \dots, n.$$

System (9) has a solution if and only if conditions (2.i) and (2.ii) are fulfilled.

(2.i) and (2.ii) follows immediately from (6.n) and (7.n).

This, the system of functional equations (9) has a solution which is determined by the formula  $(3.1_{i_1} \dots i_n)$ .

#### REFERENCES

- [1] K.Gilezan, *Certaines équations fonctionnelles pseudo-Booléennes généralisées*, Publ. Inst. Math. Beograd, Nouvelle série, tome 20 (34), 1976.
- [2] K.Gilezan, *Équations fonctionnelles pseudo-Booléennes généralisées du deuxième order*, Zbornik radova PMF Novi Sad, br. 9, 1979, 105-109.
- [3] S.Rudeanu, *Boolean Functions and Equation*, North-Holland, 1974.

#### REZIME

GENERALISANE PSEUDO-BULOVE FUNKCIONALNE JEDNAČINE  
SA KONSTANTINIM KOEFICIJENTIMA I SA  $n$  PROMENLJIVIH

U ovom radu dati su potrebni uslovi (6.n) i (7.n) da generalisana funkcionalna jednačina (5) sa konstantnim koeficijentima i sa  $n$  promenljivih ima rešenja.





## SEMIGROUPS IN WHICH SOME BI-IDEAL IS A GROUP

*Stojan Bogdanović*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

Semigroups containing minimal ideals are considered by A.H. CLIFFORD, [2]. If semigroups  $S$  contains at least one minimal left and at least one minimal right ideal, then it has a completely simple kernel or equivalently it has a quasi-ideal which is a group (see Theorem 3.2. [2] and Theorem 5.14. [9]). The structural theorem of this class is given in [7] and [8]. Here we will characterize this class using the notions of bi-ideal and AB-ideal (Theorem 1.). Using Theorem 1 we give a characterization of a semigroup in which some quasi-ideal is a special power joined semigroup. At the end we give a characterization of a semigroup in which every proper subsemigroup is a special power joined semigroup (Theorem 3.).

For nondefined notions we refer to [5] and [9].

A nonempty subset  $G$  of a semigroup  $S$  is called a left- $A$ -ideal (right- $A$ -ideal) of  $S$  if  $sG \cap G \neq \emptyset$  ( $Gs \cap G \neq \emptyset$ ) for any  $s \in S$ . This notion is introduced by O. GROŠEK and L. SATKO, [4]. In this note introduce the concept of almost bi-ideal (AB-ideal).

**DEFINITION 1.** A nonempty subset  $B$  of a semigroup  $S$  is called an almost bi-ideal (AB-ideal) of  $S$  if  $Bs \cap B \neq \emptyset$  for every  $s \in S$ .

If a left (right)  $A$ -ideal is a semigroup, then it is an AB-ideal.



If  $B$  is an AB-ideal of a semigroup  $S$  and  $B \subset C \subset S$ , then  $C$  is an AB-ideal of  $S$ .

The union of two AB-ideals of a semigroup  $S$  is also an AB-ideal of  $S$ .

The proof of the following proposition is obvious.

**PROPOSITION 1.** *Every nonempty subset of a semigroup  $S$  is an AB-ideal of  $S$  if and only if  $S$  is a rectangular band.*

**PROPOSITION 2.** *A semigroup  $S$  has a proper AB-ideal if and only if there exists an element  $a \in S$  such that  $(S \setminus a)s(S \setminus a) \cap (S \setminus a) \neq \emptyset$  for every  $s \in S$ .*

**P r o o f.** If a semigroup  $S$  contains a proper AB-ideal  $B$  and  $a \notin B$ , then  $B \subset S \setminus a$  and  $S \setminus a$  is a proper AB-ideal of  $S$ , i.e.  $(S \setminus a)s(S \setminus a) \cap (S \setminus a) \neq \emptyset$  for every  $s \in S$ .

The converse is obvious.

As the corollary of Proposition 2. we have

**PROPOSITION 3.** *A semigroup  $S$  has no proper AB-ideals if and only if for every  $a \in S$  there exists  $s \in S$  such that  $(S \setminus a)s(S \setminus a) = a$ .*

**PROPOSITION 4.** *Let  $B$  be an AB-ideal of a semigroup  $S$ . Then  $xBy$  is an AB-ideal of  $S$  for every  $x, y \in S$ .*

**P r o o f.** First we have  $Bysx B \cap B \neq \emptyset$  for any  $x, y, s \in S$  and this implies  $xBysxBy \cap xBy \neq \emptyset$ , i.e. that  $xBy$  is an AB-ideal.

**PROPOSITION 5.** *If  $B$  is a subsemigroup of a semigroup  $S$  and a minimal AB-ideal of  $S$ , then  $B$  is a subgroup of  $S$ .*

**P r o o f.** Let  $B$  be a minimal AB-ideal of a semigroup  $S$  which is a subsemigroup of  $S$ . Then by Proposition 4.  $b_1 B b_2$  is an AB-ideal of  $S$ . Since  $B$  is a minimal AB-ideal we have  $B = b_1 B b_2$  for every  $b_1, b_2 \in B$ . This implies that  $B$  is a subgroup of  $S$ .

The following lemma is known.

LEMMA 1. [7]. Let  $B$  be a bi-ideal of a semigroup  $S$ . Then  $B$  is a minimal bi-ideal of  $S$  if and only if  $B$  is a group.

PROPOSITION 6. A group  $G$  is an AB-ideal of a semigroup  $S$  if and only if  $G$  is a minimal bi-ideal of  $S$ .

P r o o f. Let  $G$  be an AB-ideal of  $S$ . Then for every  $s \in S$  there exist  $g_1, g_2 \in G$  such that  $g_1 s g_2 \in G$  and  $g_1^{-1} g_1 s g_2 g_2^{-1} \in G$ , i.e.  $e s e \in G$ , where  $e$  is the identity of  $G$ . It follows from this that  $g s h \in G$  for every  $g, h \in G$ . Hence,  $G$  is a bi-ideal of  $S$ . By Lemma 1. we have that  $G$  is a minimal bi-ideal of  $S$ .

The converse follows immediately.

LEMMA 2. [7]. The union of all minimal bi-ideals of a semigroup  $S$  is an ideal of  $S$ .

THEOREM 1. Let  $S$  be a semigroup. Then the following conditions are equivalent:

- (i)  $S$  has Ab-ideal which is a group;
- (ii)  $S$  has a bi-ideal which is a group;
- (iii)  $S$  has a quasi-ideal which is a group;
- (iv)  $S$  contains a completely simple kernel.

P r o o f. (i)  $\Rightarrow$  (ii). This implication follows by Lemma 1. and by Proposition 6. (ii)  $\Rightarrow$  (iii). Let  $S$  has a bi-ideal which is a group and let  $K$  be the union of all bi-ideals which are groups. Then by Lemmas 1. and 2.  $K$  is an ideal of  $S$ . As  $K$  is completely regular semigroup we have that every bi-ideal of  $K$  is a quasi-ideal (Corollary 3.3. [6]). By Theorem 5.3. [9] we have that  $S$  contains a quasi-ideal which is a group. (iii)  $\Rightarrow$  (iv). This implication follows by Theorem 5.14. [9]. (iv)  $\Rightarrow$  (i). If a semigroup  $S$  contains a completely simple kernel  $K$ , then the maximal subgroups of  $K$  are bi-ideals of  $S$  and the assertion follows by Proposition 6.



COROLLARY 1. *Let  $S$  be a semigroup. The following conditions are equivalent:*

- (i)  $S$  is the union of its minimal bi-ideal;
- (ii)  $S$  is the union of its minimal quasi-ideal;
- (iii)  $S$  is the union of its AB-ideals which are groups;
- (iv)  $S$  is completely simple.

COROLLARY 2. *Let  $S$  be a semigroup. Then some left ideal of a semigroup  $S$  is a group if and only if  $S$  contains a kernel which is a right group.*

DEFINITION 2.  $|1|$   $S$  is a special power joined semigroup (s.p.j.) if for every  $a, b \in S$  there exists a  $n \in \mathbb{N}$  such that  $a^n = b^n$ .

LEMMA 3.  $|1|$   $S$  is a s.p.j. semigroup if and only if  $S$  is a nil-extension of a periodic group.

THEOREM 2. *Let  $S$  be a semigroup. Then some quasi-ideal of  $S$  is a s.p.j. semigroup if and only if  $S$  contains a completely simple periodic kernel.*

*P r o o f.* If some quasi-ideal  $Q$  of a semigroup  $S$  is a s.p.j. semigroup, then by Lemma 3.  $Q$  is a nil-extension of a periodic group  $G$ , and so  $G$  is a quasi-ideal of  $S$ . Hence, by Theorem 1. we have that  $S$  contains a completely simple kernel.

The converse is trivial.

COROLLARY 3. *Let  $S$  be a semigroup. Then some left ideal of  $S$  is a periodic group (s.p.j. semigroup) if and only if  $S$  contains a kernel which is a periodic right group.*

THEOREM 3. *Let  $S$  be a semigroup. Then the following conditions are equivalent:*

- (1) Every proper subsemigroup of  $S$  contains exactly one idempotent;
- (2)  $S$  satisfies one of the following conditions:
- (i)  $|S| = 2$ ;
  - (ii)  $S$  is s.p.j.;
- (3) Every proper subsemigroup of  $S$  is s.p.j. .

**P r o o f.** (1)  $\Rightarrow$  (2). Let  $S$  be a semigroup in which every proper subsemigroup has exactly one idempotent. It is clear that  $S$  is periodic. Let  $|S| > 2$ . If  $S$  has exactly one idempotent, then  $S$  is s.p.j. . If  $S$  has two or more than two idempotents, then  $S = \langle e, f \rangle$ , where  $e$  and  $f$  are distinct idempotents of  $S$ . If  $ef = fe$ , then  $S = \{e, f, ef\}$  which is a contradiction. If  $ef \neq fe$ , then

$$S = \{e, f\} \cup \langle ef \rangle \cup \langle efe \rangle \cup \langle fe \rangle \cup \langle fef \rangle .$$

In this case  $\langle ef \rangle \cup \langle efe \rangle$  is a proper subsemigroup of  $S$  with exactly one idempotent  $(ef)^n$ . If  $\{e\} \cup \langle ef \rangle \cup \langle efe \rangle$  is a proper subsemigroup of  $S$ , then  $e = (ef)^n$  and therefore  $e = ef$ . From this  $S = \{e, f, fe\}$ . As  $\{f, fe\}$  is a subsemigroup of  $S$  we have that  $f = fe$ . So  $|S| = 2$ , which is a contradiction. If  $S = \{e\} \cup \langle ef \rangle \cup \langle efe \rangle$ , then  $f = (ef)^n$  and so  $ef = f$ . From this  $S = \{e, f, fe\}$ . As  $\{f, fe\}$  is a subsemigroup of  $S$  we have that  $f = fe$ , which is not possible.

(2)  $\Rightarrow$  (3)  $\Rightarrow$  (1). These two implications follow immediately.

## REFERENCES

- [1] Bogdanović, S.: *Bands of periodic power joined semigroups*, (to appear).
- [2] Clifford, A.H.: *Semigroups containing minimal ideals*, *Amer.J. Math.* 70 (1948), 521-526.
- [3] Čupona, G.: *Semigroups in which some left ideal is a group*, *God.zbor. PMF, A.T* 14 (1963), 15-17.
- [4] Grošek, O. and L.Satko: *A new notion in the theory of semigroups*, *Semigroup Forum* Vol. 20 (1980), 233-240.



- [5] Howie, J.: *An introduction to semigroup theory*, Academic Press 1976.
- [6] Lajos., S.: *Generalized ideals in semigroups*, *Acta Sci.Math.*, 22 (1961), 217-222.
- [7] Milić, S. and V.Pavlović: *Semigroups in which some ideal is a completely simple semigroup*, *Publ.Inst.Math.*, (to appear).
- [8] Protić, P. and S.Bogdanović: *On a class of semigroups*, (to appear).
- [9] Steinfeld, O.: *Quasi - ideals in rings and semigroups*, *Akadémiai Kiadó, Budapest* 1978.

## REZIME

## POLUGRUPE U KOJIMA NEKI BI-IDEAL JESTE GRUPA

U ovom radu daju se nove karakterizacije (pomoću bi-ideala i skoro bi-ideala (AB-ideala) za polugrupe koje sadrže potpuno prosto jezgro. Na osnovu ovog rezultata opisuju se polugrupe u kojima neki kvazi-ideal jeste specijalno stepeno povezana polugrupa. Na kraju opisuju se polugrupe u kojima svaka prava podpolugrupa sadrži tačno jedan idempotent.

*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

## FUZZY GENERALIZED EQUIVALENCE RELATIONS AND PARTITIONS<sup>\*</sup>)

Branimir Šešelja, Gradimir Vojvodić

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

1. In [1], Pickett defined a generalized equivalence relation on a set  $X$  containing at least  $n$  elements, as the  $(n+1)$ -ary relation  $E_n$  on  $X$ , satisfying the following three conditions, where  $S_{n+1}$  denotes a set of permutations on  $\{1, \dots, n+1\}$ :

$E_{1n}$ : for all  $x_1, \dots, x_n, (x_1, x_2, \dots, x_n, x_1) \in E_n$ ;

$E_{2n}$ : for all  $x_1, \dots, x_{n+1}$  and for each permutation  $s \in S_{n+1}$ ,

if  $(x_1, x_2, \dots, x_{n+1}) \in E_n$ , then

$(x_{s(1)}, x_{s(2)}, \dots, x_{s(n+1)}) \in E_n$ ;

$E_{3n}$ : for all  $x_0, \dots, x_{n+1}$ ,  $x_i \neq x_j$ , for  $i \neq j$ ,  $i, j \in \{1, \dots, n\}$ ,

if  $(x_0, \dots, x_n) \in E_n$  and  $(x_1, \dots, x_{n+1}) \in E_n$  then

$(x_0, \dots, x_{n-1}, x_{n+1}) \in E_n$

2. Fuzzy relations are discussed in [2] in the following way:

Let  $X$  be a set and  $J([0, 1], \wedge, \vee, \bar{\phantom{x}}, 0, 1)$  the distributive lattice on the unit interval  $[0, 1]$ , where for  $a, b \in [0, 1]$ ,

<sup>\*</sup>) Presented November 10, 1981.



$$a \wedge b = \min(a, b), \quad a \vee b = \max(a, b), \quad \bar{a} = 1 - a.$$

3. The fuzzy  $n$ -ary relation  $R$  on  $X$  is defined by

$$\underline{R} \stackrel{\text{def}}{=} \{ ((x_1, \dots, x_n), m_{\underline{R}}(x_1, \dots, x_n)) \mid x_i \in X, i=1, \dots, n, \\ m_{\underline{R}} : X^n \rightarrow [0, 1] \}.$$

4. Hartmanis [3] defined a partition of type  $n$  on a set  $X$  with at least  $n$  elements, as a family  $\mathcal{P}_n$  of subsets of  $X$  satisfying:

- i) each  $P \in \mathcal{P}_n$  contains at least  $n$  elements; and
- ii) each  $n$  different elements from  $X$  belong to exactly one  $P \in \mathcal{P}_n$ .

As it is shown in [1], each partition of type  $n$  determines one generalized equivalence relation on the same set, and vice versa, each  $(n+1)$ -ary equivalence determines one partition of type  $n$ .

In this article a fuzzy generalized equivalence relation will be defined and its properties will be described. In the second part, the notion of a fuzzy partition of type  $n$  will be given and it will be shown that there is a natural connection between fuzzy generalized equivalences and these partitions.

\*

DEFINITION 1. Let  $X$  be a set and  $J$  the distributive lattice given in [2]. A fuzzy generalized equivalence relation on  $X$  is  $(n+1)$ -ary, fuzzy relation  $\underline{R}_n$  on  $X$ , satisfying the following conditions:

$$\underline{E}_{1n} : \text{for all } x_1, \dots, x_n, \quad m_{\underline{R}_n}(x_1, \dots, x_n, x_1) = 1$$

$$\underline{E}_{2n} : \text{for all } x_1, \dots, x_{n+1}, \text{ and for each permutation } s \in S_{n+1}$$

$$m_{\underline{R}_n}(x_1, \dots, x_{n+1}) = m_{\underline{R}_n}(x_{s(1)}, \dots, x_{s(n+1)})$$

$$\underline{E}_{3n} : \text{for all } x_0, \dots, x_{n+1}, \text{ if } m_{\underline{R}_n}(x_0, \dots, x_n) = a \text{ and}$$

$$m_{\underline{R}_n}(x_1, \dots, x_{n+1}) = b \text{ then } m_{\underline{R}_n}(x_0, \dots, x_{n-1}, x_{n+1}) \geq a \wedge b,$$

( $a$  and  $b$  are from  $[0, 1]$ , and  $x_i \neq x_j$  for  $i \neq j$ ,  $i, j \in \{1, \dots, n\}$ )

The following two propositions are the generalizations of the Theorems of decomposition and synthesis for fuzzy relations ( $|2|$ ), connecting the fuzzy generalized equivalences and  $(n+1)$ -ary equivalence relations given in  $|1|$ .

PROPOSITION 1. Let  $R_n$  be a fuzzy generalized equivalence relation on  $X$ . Then

$$\underline{R}_n = \bigcup_{a \in [0,1]} a \cdot R_a,$$

where

$$a_1 \leq a_2 \text{ implies } R_{a_2} \leq R_{a_1}$$

$R_a$  ( $a \in [0,1]$ ) is the generalized equivalence relation in the sense of  $|1|$ .

P r o o f. Let

$$R_a \stackrel{\text{def}}{=} \{ (x_1, \dots, x_{n+1}) \mid x_i \in E, i=1, \dots, n, \\ m_{\underline{R}_n}(x_1, \dots, x_{n+1}) \geq a, a \in [0,1] \}.$$

It is obvious that

$$a_i \leq a_j \text{ implies } R_{a_j} \leq R_{a_i}.$$

$R_a$ , thus, is not a fuzzy relation.

Let now for  $a$  and  $b$  from  $[0,1]$

$$m_b \cdot R_a(x_1, \dots, x_{n+1}) \stackrel{\text{def}}{=} b \wedge m_{R_a}(x_1, \dots, x_{n+1}).$$

Then,

$$m \bigcup_{a \in [0,1]} a \cdot R_a(x_1, \dots, x_{n+1}) = \bigvee_a a \cdot m_{R_a}(x_1, \dots, x_{n+1}) =$$

$$\bigvee (x_1, \dots, x_{n+1}) = m_{\underline{R}_n}(x_1, \dots, x_{n+1}),$$

$$a \leq m_{\underline{R}_n}$$

since

$$m_{R_a}(x_1, \dots, x_n) = \begin{cases} 1, & \text{for } a \leq m_{\underline{R}_n}(x_1, \dots, x_{n+1}) \\ 0, & \text{otherwise.} \end{cases}$$



The decomposition of  $\underline{R}_n$  into  $(n+1)$ -ary relations  $R_a$  is thus proved. Now we have to show that these relations are generalized equivalences.

Since  $\underline{R}_n$  satisfies  $E_{n1}$ , for all  $x, \dots, x_n$  from  $X$

$$m_{\underline{R}_n}(x_1, \dots, x_n, x_1) = 1,$$

then  $(x_1, \dots, x_n, x_1)$  belongs to  $R_a$  for each  $a$  from  $[0, 1]$ , i.e.  $R_a$  is reflexive (in the sense of  $E_{n1}$ ).

If  $(x_1, \dots, x_{n+1})$  is in  $R_a$ , this means that  $m_{\underline{R}_n}(x_1, \dots, x_{n+1}) \geq a$ , and by symmetry ( $E_{3n}$ ) for each  $s \in S_{n+1}$

$$m_{\underline{R}_n}(x_{s(1)}, \dots, x_{s(n+1)}) \geq a, \text{ and thus}$$

$(x_{s(1)}, \dots, x_{s(n+1)}) \in R_a$ , i.e. the condition  $E_{n2}$  is satisfied.

Finally if  $(x_0, \dots, x_n)$  and  $(x_1, \dots, x_{n+1})$  both belong to  $R_a$ , then

$$m_{\underline{R}_n}(x_0, \dots, x_n) \geq a \text{ and } m_{\underline{R}_n}(x_1, \dots, x_{n+1}) \geq a,$$

and by  $E_{3n}$

$$m_{\underline{R}_n}(x_0, \dots, x_{n-1}, x_{n+1}) \geq a,$$

i.e.  $(x_0, \dots, x_{n-1}, x_{n+1}) \in R_a$ ,

completing the proof.

It is obvious that the method used in the proof of the previous proposition can be applied in the opposite way also, i.e. that the converse is also true:

**PROPOSITION 2.** If  $R_a$  ( $a \in [0, 1]$ ) are generalized equivalence relations such that

$$a_i \leq a_j \text{ implies } R_{a_j} \leq R_{a_i},$$

then  $\underline{R}_n$ , defined by

$$\underline{R}_n = \bigcup_{a \in [0, 1]} a \cdot R_a$$

is a fuzzy generalized equivalence relation (in the sense of Definition 1).

\* \*

DEFINITION 2. Let  $\underline{R}$  be the fuzzy generalized equivalence relation on  $X$ . The equivalence class of the level  $p$  ( $p \in [0, 1]$ ) denote by  $|\{x_1^n\}|_p^*$ , for  $x_1, \dots, x_n \in X$  is defined by

$$|\{x_1^n\}|_p = \{y | m_{\underline{R}}(x_1, \dots, x_n, y) \geq p\}.$$

DEFINITION 3. For  $p \in [0, 1]$ , the quotient-set of the level  $p$  for  $\underline{R}$  on  $X$ , denoted by  $(X/\underline{R})_p$ , is

$$(X/\underline{R})_p = \{|\{x_1^n\}|_p \mid x_1, \dots, x_n \in X\}.$$

DEFINITION 4. The fuzzy quotient-set  $X/\underline{R}$  is a fuzzy set on  $\bigcup_{p \in [0, 1]} (X/\underline{R})_p$ , such that

$$m_{(X/\underline{R})}(|\{x_1^n\}|_p) = \sup \{p \mid |\{x_1^n\}|_p = |\{x_1^n\}|_p\}.$$

PROPOSITION 3. If  $\underline{R}$  is a fuzzy generalized equivalence relation on  $X$ , then  $(X/\underline{R})_p$ ,  $p \in [0, 1]$ , is a partition of type  $n$ , in the sense of 4.

P r o o f. By Proposition 1,  $R_p$  is a generalized equivalence relation. From that, and the fact that

$$|\{x_1^n\}|_p = \{y | m_{\underline{R}}(x_1, \dots, x_n, y) \geq p\} = \{y | (x_1, \dots, x_n, y) \in R_p\}$$

it follows that conditions (i) and (ii), 4., are satisfied.

DEFINITION 5. Let  $X$  be a set containing at least  $n$  elements. A fuzzy partition of type  $n$  on  $X$  is a fuzzy set  $\underline{\Pi}(X)$  on  $P(X)$ , satisfying:

$$a) \quad \{P \mid P \in P(X) \quad m_{\underline{\Pi}(X)}(P) = 1\}$$

is a partition of type  $n$  on  $X$ .

$$b) \quad \text{Let } P, Q \in P(X), \quad m_{\underline{\Pi}(X)}(P) \neq 0, \quad m_{\underline{\Pi}(X)}(Q) \neq 0. \text{ Now, if}$$

\*)  $|\{x_1^n\}|_p$  stands for  $|\{x_1, \dots, x_n\}|_p$



card  $(P \cap Q) \geq n$  then

$$m_{\sqcap}(x)(P) \leq m_{\sqcap}(x)(Q) \quad \text{iff} \quad Q \subseteq P$$

(where the equality holds on the left side iff it holds on the right).

The following proposition is the direct consequence of Definitions 4. and 5.

PROPOSITION 4. Let  $\underline{R}$  be the fuzzy generalized equivalence relation on  $X$ . Then  $X/\underline{R}$  is a fuzzy partition of type  $n$  on  $X$ .

PROPOSITION 5. Let  $\sqcap(X)$  be the fuzzy partition of type  $n$  on  $X$ . Then the fuzzy  $(n+1)$ -ary relation  $\underline{R}_{\sqcap}$  on  $X$  defined by

$$(*) \quad m_{\underline{R}_{\sqcap}}(x_1, \dots, x_{n+1}) = \begin{cases} p, & \text{if there is } P \in \mathcal{P}(x) \text{ such that} \\ & x_1, \dots, x_{n+1} \in P \\ & \text{and} \\ & m_{\sqcap}(x)(P) = p, \\ 0 & \text{otherwise,} \end{cases}$$

is a fuzzy equivalence relation on  $X$ .

*P r o o f.*  $\underline{R}_{\sqcap}$  is reflexive: (a) implies that

$$m_{\underline{R}_{\sqcap}}(x_1, \dots, x_n, x_1) = 1.$$

$\underline{R}_{\sqcap}$  is symmetric: If  $m_{\underline{R}_{\sqcap}}(x_1, \dots, x_{n+1}) = p$ , by  $(*)$  then there is  $P \in \mathcal{P}(x)$  such that  $x_1, \dots, x_{n+1} \in P$  and  $m_{\sqcap}(x)(P) = p$ , which holds for each permutation of  $x_1, \dots, x_{n+1}$ .

$\underline{R}_{\sqcap}$  is transitive: Let  $m_{\underline{R}_{\sqcap}}(x_0, \dots, x_n) = p$

$$m_{\underline{R}_{\sqcap}}(x_1, \dots, x_{n+1}) = q, \quad x_i \neq x_j, \text{ for } i \neq j, \quad i, j \in \{1, \dots, n\}.$$

Then there are  $P$  and  $Q$  in  $\mathcal{P}(X)$  such that

$$x_0, \dots, x_n \in P \quad \text{and} \quad x_1, \dots, x_{n+1} \in Q.$$

First let  $p < q$ . Then by (b)  $Q \subset P$  and  $x_0, \dots, x_{n+1} \in P$ , i.e.

$$m_{R_{\cap}}(x_0, \dots, x_{n-1}, x_{n+1}) = p \geq p \wedge q.$$

If  $p = q$ , then  $P = Q$ , by (b) (the part concerning the equality).  
Then again

$$x_0, x_1, \dots, x_n, x_{n+1} \in P = Q, \text{ and thus}$$

$$m_{R_{\cap}}(x_0, \dots, x_{n-1}, x_{n+1}) = p = q.$$

## REFERENCES

- [1] Pickett, H.E., *A note on generalized equivalence relations*, Amer. Math. Monthly, 1966. 73. No 8. pp. 860-861.
- [2] Kaufmann, A., *Introduction à la théorie des sous ensembles flous*, 1. Elements théoriques de base, Masson et cie. Paris, 1973.
- [3] Hartmanis, J., *Generalized partitions and lattice embedding theorems*, Proc. of Symposion in Pure Mathematics, Vol. II, Lattice Theory, Amer. Math. Soc. (1961), pp. 22-30.

## REZIME

### RASPLINUTE UOPŠTENE RELACIJE EKVIVALENCIJE

#### I PARTICIJE

U radu se definišu rasplinite uopštene relacije ekvivalencije i dokazuju stavovi o dekompoziciji i sintezi tih relacija, pomoću ekvivalencija definisanih u [1]. Daje se i pojam rasplinite particije tipa  $n$  (uopštenje pojma iz [3]), i pokazuje da postoji veza između tih particija i rasplinitih generalisanih ekvivalencija, preko odgovarajućih rasplinitih faktor - skupova.





*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

---

## STRUCTURE OF GENERALIZED EQUIVALENCES CONTAINED IN $(2, n\bar{A}_1)$ - RT RELATIONS

Branimir Šešelja, Janez Ušan

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

It is well-known that if  $\rho$  is a binary reflexive and transitive relation on  $S$ , then  $\sigma = \rho \cap \rho^{-1}$  is an equivalence on  $S$ , and that an ordering  $\chi$  can be defined on  $S/\sigma$  by:  $(X, Y) \in \chi$  iff  $(x, y) \in \rho$  for any  $x \in X, y \in Y$ . Binary relation  $\sigma$  is a maximal (in regard to the set inclusion) equivalence relation contained in  $\rho$ , and moreover, the set of all equivalences in  $\rho$  is a complete lattice.

The class of binary reflexive and transitive relations is uniquely determined. In [3] it is shown that this is not the case with  $(n+1)$ -ary relations, when  $n \geq 2$ . Here we consider 2-reflexive,  $n\bar{A}_1$ -transitive,  $(n+1)$ -ary relations on the given set  $S$ , denoted as  $(2, n\bar{A}_1)$ -RT relations, induced among some other classes of  $(n+1)$ -ary relations in [3]. The structure of generalized equivalences (defined in [1]) included in such an generalized quasi-order is the subject of this article. We show that this poset always has the maximal elements, and we give the necessary and sufficient conditions under which it is a complete lattice. Finally, we describe two generalized orderings induced on the corresponding partition of type  $n$  (Hartmanis, see [1]) by one class of  $(2, n\bar{A}_1)$ -RT relations. We note that the considerations of some of these problems, we started in [2].



\*

1.  $(n+1)$ -ary relation  $\rho$  on  $S$  is  $(i_1^t)$ -reflexive,  $i_1, \dots, i_t \in \{2, \dots, n+1\}$ , iff

$$(a_1^{i_1-1}, a, a_{i_1+1}^{i_2-1}, \dots, a_{i_{t-1}+1}^{i_t-1}, a, a_{i_t+1}^{n+1}) \in \rho,$$

for all  $a_1, \dots, a_{i_1-1}, a_{i_1+1}, \dots, a_{i_{t-1}-1}, a_{i_{t-1}+1}, \dots, a_{n+1}, a \in S^1$ .

$\rho$  is  $t$ -reflexive,  $t \in \{2, \dots, n+1\}$ , iff it is  $(i_1^t)$ -reflexive for all different  $i_1, \dots, i_t \in \{1, \dots, n+1\}^2$ . An  $(i_1^{n+1})$ -reflexive relation  $\rho$  is (trivially)  $(n+1)$ -reflexive, and it is described by the formula:

$$(\forall a \in S)((a^{n+1}) \in \rho).$$

2.  $(n+1)$ -ary relation  $\rho$  on  $S$  is  $k$ -antisymmetric,  $k \in \{2, \dots, n+1\}$ , iff for all  $a_1, \dots, a_k \in S$  the following is satisfied:

If all permutations of  $a_1, \dots, a_k$  are included in  $(n+1)$ -tuples of  $\rho$ , then  $a_1 = \dots = a_k$ .

3.  $(n+1)$ -ary relation  $\rho$  on  $S$  is  $n\bar{a}_1$ -transitive iff from  $(a_0^n) \in \rho$ ,  $(a_1^{n+1}) \in \rho$ , and  $a_i \neq a_j$  for  $i \neq j$ ,  $i, j \in \{1, \dots, n\}$ , it follows that  $(a_0^{n-1}, a_{n+1}) \in \rho$ , for all  $a_0, \dots, a_{n+1} \in S$ .

REMARK:

Some other generalizations of the antisymmetric and transitive relations are given in [3], [4] and [5].

4.  $(n+1)$ -ary relation  $\rho$  on  $S$  is symmetric, iff for all  $a_1, \dots, a_{n+1} \in S$ , the following is satisfied:  
 $(a_1^{n+1}) \in \rho$  implies  $(a_{\pi(1)}, \dots, a_{\pi(n+1)}) \in \rho$ , for each  $\pi \in \{1, \dots, n+1\}!$ .

1) For  $t=2$ , this is  $(i, j)$ -reflexivity from [5].

2) "Reflexive" in [5] is 2-reflexive here.

5.  $(n+1)$ -ary relation  $\rho$  on  $S$  is generalized equivalence, iff it is  $(1, n+1)$ -reflexive, symmetric, and  $n\bar{A}_1$ -transitive ( $|1|$ ).

6. We denote by  $d_2$  the intersection of all 2-reflexive  $(n+1)$ -ary relations on  $S$ , i.e.

$$d_2 = \{(a_i^n) | a_0, \dots, a_n \in S, a_i = a_j \text{ for some } i, j \in \{0, \dots, n\}\}.$$

( $d_2$  is  $n\bar{A}_1$ -transitive too, see  $|3|$ ).

In the following, we assume that  $|S| \geq n$ .

\* \*

To illustrate the problems that arise in considering the structure of equivalences contained in generalized quasi-order, we start with one example.

EXAMPLE 1.  $S = \{a, b, c, d, e\}$ ,  $n=2$ .

$$\begin{aligned} \rho = & \pi(a, b, c) \cup \pi(b, c, d) \cup \pi(b, c, e) \cup \pi(a, b, e) \cup \pi(a, c, e) \cup \\ & \{(a, b, d), (d, b, a), (a, c, d), (d, c, a), (b, a, d), (b, d, a), \\ & (c, a, d), (c, d, a), (e, a, d), (e, b, d), (e, c, d), (a, e, d), \\ & (b, e, d), (c, e, d), (d, b, e), (d, c, e), (b, d, e), (c, d, e)\}. \end{aligned}$$

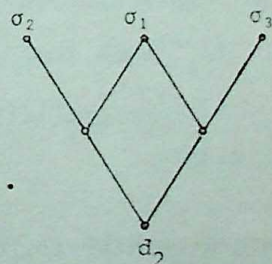
$\rho$  is  $(2, 2\bar{A}_1)$ -RT relation on  $S$ . The following relations are maximal ternary equivalences contained in  $\rho$ .

$$\sigma_1 = d_2 \cup \pi(a, b, c) \cup \pi(b, c, e) \cup \pi(a, c, e) \cup \pi(a, b, e);$$

$$\sigma_2 = d_2 \cup \pi(b, c, d) \cup \pi(a, b, a);$$

$$\sigma_3 = d_2 \cup \pi(b, c, d) \cup \pi(a, c, e);$$

Hasse diagram of the partial order set of equivalences in  $\rho$  illustrates the situation.



1)  $\pi(a, b, c)$  denotes  $\{(a, b, c), (a, c, b), (b, a, c), (b, c, a), (c, a, b), (c, b, a)\}$



**THEOREM 1.** Let  $\rho$  be  $(2, n\bar{A}_1)$ -RT relation on  $S$ . Now, if  $E$  denotes the set of all equivalence relations  $\sigma$  on  $S$ , such that  $\sigma \subseteq \rho$ , then the partially ordered set  $\langle E, \subseteq \rangle$  contains at least one maximal equivalence relation.

**P r o o f.**  $E \neq \emptyset$ , since  $d_2 \subseteq E$  (see [3]). Let  $\{\sigma_i; i \in I\}$  be a chain in  $\langle E, \subseteq \rangle$ .  $\bar{\sigma} = \bigcup_{i \in I} \sigma_i$  is an upper bound for that chain. Really,  $\bar{\sigma}$  is 2-reflexive, since  $d_2 \subseteq \bar{\sigma}$ .  $\bar{\sigma}$  is symmetric: if  $(a_1^{n+1}) \in \bar{\sigma}$  then  $(a_1^{n+1}) \in \sigma_i$ , for some  $i \in I$ , and since  $\sigma_i$  is symmetric,  $(a_{\pi(1)}, \dots, a_{\pi(n+1)}) \in \sigma_i$ , for every  $\pi \in \{1, \dots, n+1\}!$ , and thus  $(a_{\pi(1)}, \dots, a_{\pi(n+1)}) \in \bar{\sigma}$ , for every  $\pi$ .  $\bar{\sigma}$  is  $n\bar{A}_1$ -transitive: suppose that  $(a_o^n) \in \bar{\sigma}$  and  $(a_1^{n+1}) \in \bar{\sigma}$ , and  $a_1, \dots, a_n$  are different. Then  $(a_o^n) \in \sigma_i$  and  $(a_1^{n+1}) \in \sigma_j$ , for some  $i, j \in I$ . Let  $\sigma_i \subseteq \sigma_j$ . Then both  $(n+1)$ -tuples belong to  $\sigma_j$  and by  $n\bar{A}_1$ -transitivity it follows that  $(a_o^{n-1}, a_{n+1}) \in \sigma_j$ , and thus  $(a_o^{n-1}, a_{n+1}) \in \bar{\sigma}$ . By Zorn's Lemma we conclude that  $\langle E, \subseteq \rangle$  has a maximal element.

Generalizing binary case for  $(2, n\bar{A}_1)$ -RT relation  $\rho$  on  $S$ , we get the following definition of the relation  $\sigma_\rho$ :

- (1)  $(a_1^{n+1}) \in \sigma_\rho$  iff  $(a_{\pi(1)}, \dots, a_{\pi(n+1)}) \in \rho$ , for every  $\pi \in \{1, \dots, n+1\}!$ .

It is obvious that the following proposition holds.

**Lemma 2.** If  $\sigma \in E$ , then

- a)  $(a_1^{n+1}) \in \sigma$  implies  $(a_{\pi(1)}, \dots, a_{\pi(n+1)}) \in \rho$ , for every  $\pi \in \{1, \dots, n+1\}!$   
 b)  $d_2 \subseteq \sigma \subseteq \sigma_\rho$ .

Up to now we have found that for  $n > 1$

- i)  $\sigma_\rho$  is not always transitive;  
 ii)  $\langle E, \subseteq \rangle$  can have more than one maximal equivalence; and

thus iii)  $\langle E, \subseteq \rangle$  is not always a lattice.

If the following we discuss some of these problems.

THEOREM 3.  $\sigma_\rho = UE(\text{union of all } (n+1)\text{-ary equivalences in } E)$ .

P r o o f. 1)  $UE \subseteq \sigma_\rho$ . Really, if  $(a_1^{n+1}) \in \sigma$ ,  $\sigma \in E$ , then by a), Lemma 2,  $(a_1^{n+1}) \in \sigma_\rho$ .

2)  $\sigma_\rho \subseteq UE$ . Indeed, if  $(a_1^{n+1}) \in \sigma_\rho$ , then for every  $\pi \in \{1, \dots, n+1\}!$   $(a_{\pi(1)}, \dots, a_{\pi(n+1)}) \in \sigma_\rho$ , and  $(a_1^{n+1})$  belongs at least to equivalence relation  $\sigma = d_2 \cup \{(a_{\pi(1)}, \dots, a_{\pi(n+1)}); \pi \in \{1, \dots, n+1\}!\}$ . Thus,  $(a_1^{n+1}) \in UE$ .

It follows from 1) and 2) that  $\sigma_\rho = UE$ .

It is obvious that  $\langle E, \subseteq \rangle$  is a meet semilattice with zero  $d_2$ . Now we can give the necessary and sufficient conditions under which it is a lattice.

We start with the following definition of a special  $(n+1)$ -ary quasiorder.

$(n+1)$ -ary relation  $\rho$  on  $S$  is  $(2, n\bar{A}_1)^{\pm}$  RT relation iff it is  $(2, n\bar{A}_1)$ -RT relation and the following is satisfied:

(\*) If

(a)  $(a_{\alpha(0)}, \dots, a_{\alpha(n)}) \in \rho$  and  $(a_{\beta(1)}, \dots, a_{\beta(n+1)}) \in \rho$ ,

for each  $\alpha \in \{0, \dots, n\}!$  and for each  $\beta \in \{1, \dots, n+1\}!$ , and  $a_0, \dots, a_{n+1}$  are different elements of  $S$ , then, with each cosequence

(b)  $(b_1, \dots, b_{n+1}) \in \rho$ ,  $(b_1, \dots, b_{n+1}) \in \{a_0, \dots, a_{n+1}\}$ ,

for the corresponding premises of (a) by  $n\bar{A}_1$ -transitivity, in  $\rho$  is also

( $\bar{b}$ )  $(b_1, \dots, b_{n-1}, b_{n+1}, b_n)$ ,

for all  $a_0, \dots, a_{n+1} \in S$ .



THEOREM 4. If  $\rho$  is  $(2, n\bar{A}_1) \neq RT$  relation, then  $UE$  is  $(n+1)$ -ary equivalence relation on  $S$ .

P r o o f.

- a)  $UE$  is (by definition) 2-reflexive and symmetric.
- b)  $UE$  is  $n\bar{A}_1$ -transitive:

Let  $(a_0^n) \in UE$  and  $(a_1^{n+1}) \in UE$ ,  $a_i \neq a_j$ , for  $i \neq j$ ,  $i, j \in \{1, \dots, n\}$ . By  $(\bar{I})$  this is equivalent to (a) in  $(*)$ .

$b_1$ ) If  $a_0, \dots, a_{n+1}$  are not all different, and the conditions for the application of  $n\bar{A}_1$ -transitivity are satisfied, then  $(a_{\gamma(0)}, \dots, a_{\gamma(n-1)}, a_{\gamma(n+1)}) \in \rho$ , for each  $\gamma \in \{0, \dots, n-1, n+1\}!$ , because of

1) 2-reflexivity of  $\rho$ ; or

2) the consequence becomes one of the premises in (a).

Thus,  $(a_0, \dots, a_{n-1}, a_{n+1}) \in UE$ .

$b_2$ ) Suppose now that  $a_0, \dots, a_{n+1}$  are all different. Then, starting with (a), we get that with  $(a_0, \dots, a_{n-1}, a_{n+1})$ , all  $(n+1)$  tuples of the form

$$(a_0, a_{\eta(1)}, \dots, a_{\eta(n-1)}, a_{n+1}), \quad \eta \in \{1, \dots, n-1\}!$$

also belong to  $\rho$ . Since 2-reflexive and  $n\bar{A}_1$ -transitive relation admits all cyclic permutations of first  $n$  coordinates of its elements, and by  $(*)$ , it follows that for each

$$\gamma \in \{0, \dots, n-1, n+1\}!, \quad (a_{\gamma(0)}, \dots, a_{\gamma(n-1)}, a_{\gamma(n+1)}) \in \rho.$$

Thus,  $(a_0, \dots, a_{n-1}, a_{n+1}) \in UE$ , completing the proof of the proposition.

THEOREM 5.  $\langle E, \subseteq \rangle$  is a complete lattice iff  $\rho$  is  $(2, n\bar{A}_1) \neq RT$  relation.

P r o o f.

a) Let  $\rho$  be  $(2, n\bar{A}_1)^* \text{RT}$  relation on  $S$ . Then by Theorem 4.,  $UE \in E$ . That is why  $UE$  is the only maximal element in  $\langle E, \subseteq \rangle$ . and clearly, the greatest one.  $E$  is closed under arbitrary intersections, and thus, it is a complete lattice.

b) Let now  $\langle E, \subseteq \rangle$  be a complete lattice. Then it has a unit element  $UE$ .  $UE$  is thus  $(2, n\bar{A}_1)^* \text{RT}$  relation. Indeed, let

$$(o) \quad (a_o^n) \in UE \text{ and } (a_1^{n+1}) \in UE \text{ imply } (a_o^{n-1}, a_{n+1}) \in UE.$$

Then by  $(\bar{I})$

$$(a_o^n) \in UE \text{ iff } (a_{\alpha(o)}, \dots, a_{\alpha(n)}) \in \rho, \text{ for every } \alpha \in \{0, \dots, n\}!;$$

$$(a_1^{n+1}) \in UE \text{ iff } (a_{\beta(1)}, \dots, a_{\beta(n+1)}) \in \rho, \text{ for every } \beta \in \{1, \dots, n+1\}!$$

$$(a_o^{n-1}, a_{n+1}) \in UE \text{ iff } (a_{\gamma(o)}, \dots, a_{\gamma(n-1)}, a_{\gamma(n+1)}) \in \rho, \text{ for every } \gamma \in \{0, \dots, n-1, n+1\}!.$$

In this way it is shown that  $\rho$  satisfies  $(*)$ , and thus it is  $(2, n\bar{A}_1)^* \text{RT}$  relation.

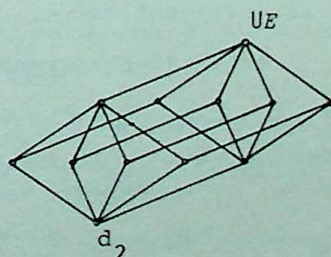
EXAMPLE 2.  $S = \{a, b, c, d, e, f\}$ ,  $n = 2$ .

$$\rho = d_2 \cup \pi(a, b, c) \cup \pi(a, b, d) \cup \pi(a, c, d) \cup \pi(b, c, d) \cup \pi(d, e, f) \quad (i)$$

$$\cup \{(a, b, e), (b, a, e), (a, c, e), (c, a, e), (a, b, e), (d, a, e), (b, c, e), \\ (c, b, e), (b, d, e), (d, b, e), (c, d, e), (d, c, e), (a, d, f), (b, a, f), \\ (a, c, f), (c, a, f), (a, d, f), (d, a, f), (b, c, f), (c, b, f), (b, d, f), \\ (d, b, f), (c, d, f), (d, c, f)\}.$$

$\rho$  is  $(2, n\bar{A}_1)^* \text{RT}$  relation.

The lattice  $\langle E, \subseteq \rangle$  is given by it's Hasse diagram, where zero is  $d_2$ , and unit is  $UE$ , described by (i) in  $\rho$ .





Since in binary case there is only one class of RT relations, and it satisfies (\*), the fact that for  $n=1$   $\langle E, \subseteq \rangle$  is a lattice is a direct consequence of Theorem 5.

\* \* \*

Consider now the binary relation  $\chi$ , defined at the beginning of the article, concerning the induced order on the partition. The following two theorems deal with the same problems for  $(n+1)$ -ary relations.

**THEOREM 6.** Let  $\rho$  be  $(2, n\bar{A}_1)^* \text{RT}$  relation on  $S$ , and denote  $UE$  by  $\sigma$ . Let  $S/\sigma$  be the corresponding partition of type  $n$ . Now, if  $\chi$  is  $(n+1)$ -ary relation on  $S/\sigma$ , defined by

$$(x) \quad (Q_1^{n+1}) \in \chi \text{ iff } (x_{i_1}, \dots, x_{i_{n+1}}) \in \rho, \text{ for all} \\ (x_{i_1}, \dots, x_{i_{n+1}}) \in Q_1 \times \dots \times Q_{n+1}, Q_1, \dots, Q_{n+1} \in S/\sigma,$$

then in this way induced (by  $\rho$ ) relation  $\chi$  is  $(n+1)$ -reflexive,  $(n+1)$ -antisymmetric, and  $n\bar{A}_1$ -transitive. 1)

P r o o f.

$$a) \quad (Q) \in \chi \text{ iff } (x_{i_1}, \dots, x_{i_{n+1}}) \in \rho, \text{ for all}$$

$$x_{i_1}, \dots, x_{i_{n+1}} \in Q, \text{ and this is true since } (x_{i_1}, \dots, x_{i_{n+1}}) \in \sigma \subseteq \rho.$$

Thus  $\chi$  is  $(n+1)$ -reflexive.

b)  $\chi$  is  $(n+1)$ -antisymmetric:

Let  $(Q_{\pi(1)}, \dots, Q_{\pi(n+1)}) \in \chi$ , for each  $\pi \in \{1, \dots, n+1\}!$ . Then

$$(x_{\pi(i_1)}, \dots, x_{\pi(i_{n+1})}) \in \rho, \text{ whenever this } (n+1)\text{-tuple belongs}$$

to  $Q_{\pi(1)} \times \dots \times Q_{\pi(n+1)}$ , i.e. when

1) These properties are consistent as shown in [3].

$(x_{i_1}, \dots, x_{i_{n+1}}) \in \sigma$ . But this means that  $x_{i_1}, \dots, x_{i_{n+1}}$  belong to the same class, i.e.  $Q_1 = \dots = Q_{n+1}$ .

c)  $\chi$  is  $n\bar{A}_1$ -transitive:

Let  $(Q_0^n) \in \chi$ ,  $(Q_1^{n+1}) \in \chi$ ,  $Q_i \neq Q_j$ , for  $i \neq j$ ,  $i, j \in \{1, \dots, n\}$ . This holds if and only if

$(x_{i_0}, \dots, x_{i_n}) \in \rho$ ,  $(x_{i_1}, \dots, x_{i_{n+1}}) \in \rho$ , whenever  $x_{i_j} \in Q_j$  ( $j \in \{0, \dots, n+1\}$ ).

Then  $(x_{i_0}, \dots, x_{i_{n-1}}, x_{i_{n+1}}) \in \rho$ ,  $x_{i_j} \in Q_j$ ,  $j \in \{0, \dots, n-1, n+1\}$ ,

since : a)  $\rho$  is 2-reflexive (if  $x_{i_1}, \dots, x_{i_n}$  are not all different) or b)  $\rho$  is  $n\bar{A}_1$ -transitive (otherwise).

By a), b) and c), the proof is complete.

Generalized ordering relation  $\chi$ , defined in the preceding proposition, in binary case reduces to the usual one. The same is with the relation  $\psi$ , given in the following proposition. This one has already been defined in [3], but with some unpreciseness included. That is why we repeat it here, together with one example, illustrating both,  $\chi$  and  $\psi$ .

**THEOREM 7.** Let  $|S| > n$ ,  $n \neq 2$  and  $\rho, \sigma$ , and  $S/\sigma$  be as in Theorem 6. Define  $(n+1)$ -ary relation  $\psi$  on  $S/\sigma$  in the following way:

For  $Q_1, \dots, Q_{n+1} \in S/\sigma$ , if

a)  $|\{Q_1, \dots, Q_{n+1}\}| \neq 2$ , then

$(Q_1^{n+1}) \in \psi$  if and only if there are  $x_1, \dots, x_{n+1} \in S$ ,  $x_i \neq x_j$ , for  $i \neq j$ ,  $i, j \in \{1, \dots, n+1\}$ , such that

I  $A_i = \{x_1, \dots, x_{n+1}\} \setminus \{x_i\} \subseteq Q_i$ ,  $i=1, \dots, n+1$ , and that  
 II  $(x_{i_1}, \dots, x_{i_{n+1}}) \in \rho$  when  $(x_{i_1}, \dots, x_{i_{n+1}}) \in A_1 \times \dots \times A_{n+1}$ ;  
 and if



b)  $|\{Q_1, \dots, Q_{n+1}\}| = 2$ , then

$(Q_1^{n+1}) \in \psi$  iff there is exactly one set with  $n+1$  element

$\{x_1, \dots, x_{n+1}\} \in S$ ,  $x_i \neq x_j$ , for  $i \neq j$ ,  $i, j \in \{1, \dots, n+1\}$ , such that

I and II hold.

Then  $\psi$  is  $(n+1)$ -reflexive,  $(n+1)$ -antisymmetric, and  $n\bar{A}_1$ -transitive relation on  $S/\sigma$ .

P r o o f.

a)  $(Q_1^{n+1}) \in \psi$  if and only if there are  $x_1, \dots, x_{n+1}$ ,  $x_i \neq x_j$ , such that  $A_1$  defined in I is a subset of  $Q_1$ , and that II is satisfied for  $A_i = A_1$ ,  $i=1, \dots, n+1$ . Since each class contains at least  $n$  elements,  $A_1$  always exists, and II is a consequence of the definition of  $S/\sigma$ . Thus,  $\psi$  is  $(n+1)$ -reflexive.

b) Let  $(Q_{\pi(1)}, \dots, Q_{\pi(n+1)}) \in \psi$ , for each  $\pi \in \{1, \dots, n+1\}!$ .

Then for each such  $\pi$ , there is exactly  $n+1$  element  $x_1, \dots, x_{n+1}$  such that I and II are satisfied, provided that  $Q_1, \dots, Q_{n+1}$  are not all equal. Really, if  $\{Q_1, \dots, Q_{n+1}\}$  consists of only two different classes, then this uniqueness is postulated. Otherwise, suppose that for some  $\alpha, \beta \in \{1, \dots, n+1\}!$   $Q_{\alpha(1)}, \dots, Q_{\alpha(n+1)}$  determines  $x_1, \dots, x_{i-1}, x_i, \dots, x_{n+1}$ , and  $Q_{\beta(1)}, \dots, Q_{\beta(n+1)}$  determines  $x_1, \dots, x_{i-1}, x'_i, \dots, x_{n+1}$ . Now, for  $Q_r$  and  $Q_s$ ,  $r, s \in \{1, \dots, n+1\} \setminus \{i\}$ ,  $Q_r \neq Q_s$ , we have

$$|Q_r \cap Q_s| = |\{x_1, \dots, x_{i-1}, x'_i, \dots, x_{n+1}\} \setminus \{x_i, x_s\}| = n \text{ which}$$

means that  $Q_r = Q_s$ , contrary to our assumption. So we can consider  $x_1, \dots, x_{n+1}$ . Each of these elements is in at least one class and thus all permutations of  $(x_1^{n+1})$  are in  $\rho$ , i.e. all those classes are equal, proving  $(n+1)$ -antisymmetry for  $\psi$ .

c)  $\psi$  is  $n\bar{A}_1$ -transitive: Let  $(Q_1^n) \in \psi$ ,  $(Q_1^{n+1}) \in \psi$  satisfy the conditions of  $n\bar{A}_1$ -transitivity. It means that there are  $x_0, \dots, x_n$

and  $y_1, \dots, y_{n+1}$ , satisfying I and II. By the definition of the sets  $A_i$ ,  $\{x_0, \dots, x_n\} = \{y_1, \dots, y_{n+1}\}$ , and we can deduce that  $x_i = y_i$  for  $i=1, \dots, n$ , and  $x_0 = y_{n+1}$ <sup>1)</sup>. Now,  $n\bar{A}_1$ -transitivity for  $\psi$  follows directly from the same property of  $\rho$ .

EXAMPLE 3.  $S = \{1, 2, 3, 4\}$ ,  $n=2$ .

$$\rho = d_2 \cup \pi(1, 2, 3) \cup \{(1, 2, 4), (2, 1, 4), (1, 3, 4), (3, 1, 4), (3, 4, 1), (4, 3, 1), (2, 3, 4), (3, 2, 4), (3, 4, 2), (4, 3, 2)\}.$$

$\rho$  is  $(2, 2\bar{A}_1)^*$ -RT relation on  $S$ .

$$\sigma = d_2 \cup \pi(1, 2, 3).$$

$$S/\sigma: Q_1 = \{1, 2, 3\}, Q_2 = \{1, 4\}, Q_3 = \{2, 4\}, Q_4 = \{3, 4\}.$$

3-reflexive, 3-antisymmetric and  $2\bar{A}_1$ -transitive relation  $\chi$ , defined in Theorem 6, is given by:

$$\begin{aligned} \chi = \{ & (Q_1, Q_1, Q_1), (Q_2, Q_2, Q_2), (Q_3, Q_3, Q_3), (Q_4, Q_4, Q_4), \\ & (Q_1, Q_1, Q_2), (Q_1, Q_1, Q_3), (Q_1, Q_1, Q_4), (Q_3, Q_4, Q_3), \\ & (Q_4, Q_3, Q_3), (Q_4, Q_4, Q_2), (Q_4, Q_2, Q_2), (Q_2, Q_4, Q_2), (Q_4, Q_4, Q_3) \}. \end{aligned}$$

3-reflexive, 3-antisymmetric and  $2\bar{A}_1$ -transitive relation  $\psi$ , defined in Theorem 7., is given by:

$$\begin{aligned} \psi = \{ & \{(Q_i^3)_{i=1,2,3,4}\} \cup \{(Q_1, Q_2, Q_2), (Q_2, Q_1, Q_2), (Q_1, Q_3, Q_3), (Q_3, Q_1, Q_3), \\ & (Q_4, Q_4, Q_1), (Q_1, Q_1, Q_2), (Q_1, Q_1, Q_3), (Q_1, Q_1, Q_4), (Q_4, Q_4, Q_2), \\ & (Q_4, Q_2, Q_2), (Q_2, Q_4, Q_2), (Q_4, Q_4, Q_3), (Q_4, Q_3, Q_3), (Q_3, Q_4, Q_3), \\ & (Q_4, Q_1, Q_3), (Q_1, Q_4, Q_3), (Q_1, Q_4, Q_2), (Q_4, Q_1, Q_2) \}. \end{aligned}$$

1) The statement holds in ternary case also if we require that  $x_1 = y_1$  and  $x_2 = y_2$ .



## REFERENCES

- [1] Pickett, H.E., *A note on Generalized Equivalence Relations*, *Amer.Math. Monthly*, 1966, 73, No. 8, 860-861.
- [2] Ušan, J., Šešelja, B., Vojvodić, G., *Generalized Ordering and Partitions*, *Matematički Vesnik*, 3 (16), (31), 1979, 241-247.
- [3] Ušan, J., Šešelja, B., *On Some Generalizations of RAT Relations*, *Proceedings of the Symposium n-ARY STRUCTURES*, Skoplje 1982, (to appear).
- [4] Ušan, J., Šešelja, B., *On Generalized Implication Algebras*, *Zbornik radova PMF u Novom Sadu*, 10 (1980), 209-213.
- [5] Ušan, J., Šešelja, B., *Transitive n-ary relations and Characterizations of Generalized Equivalences*, *Zbornik radova PMF u Novom Sadu* 11 (1981), 231-246.

## REZIME

STRUKTURA UOPŠTENIH EKVIVALENCIJA SADRŽANIH  
U  $(2, n\bar{A}_1)$ -RT RELACIJAMA

U radu se razmatra jedna klasa generalisanih relacija pretporetka  $((2, n\bar{A}_1)$ -RT relacija) i ispituje se struktura u njima sadržanih ekvivalencija. Daju se potrebni i dovoljni uslovi pod kojima je taj parcijalno uređen skup kompletna mreža. Takođe se pokazuje da se na odgovarajućim particijama tipa  $n$  može posmatrati uopšteni poredak, indukovan spomenutim generalisanim pretporetkom.

*Zbornik radova Prirodno-matematiškog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

---

## ТИПИ БАЗИСОВ ДЛЯ ОДНОЙ МОДИФИКАЦИИ АЛГЕБРЫ ЛОГИКИ

Ратко Тошич

Природно-математички факултет, Институт за  
математику, 21000 Нови Сад, ул. др Илије  
Ђуричића 4, Југославија

### 1. ВВЕДЕНИЕ

Е. Постом ([5], [7]) подробно изучена структура алгебры логики и ее основные свойства. А.И.Мальцев ([4]) предложил рассматривать алгебру  $n$ -значной логики как множество  $P_n$   $n$ -значных функций с операциями отождествления аргументов, их перестановки приписывания фиктивного аргумента и суперпозиции. Эта алгебра была названа  $n$ -значной алгеброй Поста. Алгебры Поста находят широкие приложения в теории автоматов. В.М. Глушков предложил рассматривать алгебры, которые являются модификациями алгебр Поста и связаны с операцией композиции  $\otimes$ , определяемой тождеством

$$(f \otimes g)(x_1, x_2, \dots, x_{m+n-1}) = f(g(x_1, x_2, \dots, x_n), \\ (g(x_2, x_3, \dots, x_{n+1}), \dots, g(x_m, x_{m+1}, \dots, x_{m+n-1}))),$$

где  $f, g$  - произвольные  $m$ -местная и  $n$ -местная функции. Эти алгебры находят приложения при рассмотрении логических структур ЭЦЕМ ([1], [2]).

Г.Е.Цейтлин ([6]) исследовал проблематику функциональной полноты для алгебры булевских функции система операции которой в отличие от алгебр Поста содержит вместо суперпозиции операцию  $\otimes$ . Г.Е.Цейтлин доказал теорему подобную теореме Поста - Яблонского для алгебры Поста, дающую необходимые и достаточные условия чтобы некоторая система функции являлась системой образующих в  $\Phi^0$ .



ОПРЕДЕЛЕНИЕ. Система функций  $K \subset \Phi^0$  называется функционально полной в  $\Phi^0$  если каждая функция из  $\Phi^0$  получается из функций системы  $K$  путём отождествления аргументов, их перестановки, приписывания фиктивного аргумента и применения операции композиции  $\circ$ .

ОПРЕДЕЛЕНИЕ. Конечная полная в  $\Phi^0$  система функций называется базисом, если никакая из её подсистем не является полной в  $\Phi^0$ .

Целью настоящей статьи является исследование типов базисов для алгебры  $\Phi^0$ . Подобную проблему для алгебры логики  $P_2$  исследовал Л.Нрнич ([8]).

## 2. НЕКОТОРЫЕ ОБОЗНАЧЕНИЯ

Дальше нам понадобятся нижеследующие множества:

$$T_{00} = \{f | f \in \Phi^0, f(0,0,\dots,0) = f(1,1,\dots,1) = 0\},$$

$$T_{01} = \{f | f \in \Phi^0, f(x,x,\dots,x) = x\},$$

$$T_{10} = \{f | f \in \Phi^0, f(x,x,\dots,x) = x'\},$$

$$T_{11} = \{f | f \in \Phi^0, f(0,0,\dots,0) = f(1,1,\dots,1) = 1\}.$$

Заметим, что каждое из множеств  $T_{00}, T_{01}, T_{10}, T_{11}$  содержит  $2^{n-2}$  функций от  $n$  аргументов  $x_1, x_2, \dots, x_n$ .

$$S = \{f | f \in \Phi^0, f(x_1, x_2, \dots, x_n) = f'(x_1', x_2', \dots, x_n')\}$$

т.е. множество всех самодвойственных булевских функций. Число самодвойственных функций от  $n$  аргументов равно  $2^{2^{n-1}}$ .

$$M_1 = \{f | f \in \Phi^0, \forall (\check{a}, \check{b}) \check{a} \leq \check{b} \Rightarrow f(\check{a}) \leq f(\check{b})\},$$

где  $\check{a} = (a_1, a_2, \dots, a_n)$ ,  $\check{b} = (b_1, b_2, \dots, b_n)$  и  $\check{a} \leq \check{b}$  если  $a_i \leq b_i$  ( $i = 1, 2, \dots, n$ ), т.е. множество всех изотонных булевских функций.

$$M_2 = \{f \mid f \in \phi^0, f' \in M_1\},$$

т.е. множество всех антитонных булевских функций.

Рассмотрим также следующие классы булевских функций:

$$M = M_1 \cup M_2,$$

т.е. множество всех монотонных булевских функций;

$$S_1 = T_{01} \cap S,$$

$$S_2 = T_{10} \cap S.$$

Число функций в каждом из множеств  $S_1, S_2$  равно  $2^{2^{n-1}-1}$ .

Дальше нам понадобятся нижеследующие множества:

$$A = T_{00} \cup T_{01} \cup T_{11},$$

$$B = T_{00} \cup T_{11} \cup S,$$

$$C = T_{00} \cup T_{11} \cup M,$$

$$D = T_{01} \cup T_{10} \cup \{0, 1\}.$$

Для каждого  $K \subset \phi^0$ , пусть  $K' = \phi^0 \setminus K$ . Тогда имеем:

$$A' = T_{10},$$

$$B' = (T_{01} \cup T_{10}) \setminus S,$$

$$C' = (T_{01} \cup T_{10}) \setminus M,$$

$$D' = (T_{00} \cup T_{11}) \setminus \{0, 1\}.$$

Теорема Цейтлина о функциональной полноте в  $\phi^0$ .

Для того чтобы система функций  $K \subset \phi^0$  была полной, необходимо и достаточно чтобы ней содержались

- по крайней мере одна функция, не принадлежащая A,
- по крайней мере одна функция, не принадлежащая B,
- по крайней мере одна функция, не принадлежащая C,
- по крайней мере одна функция, не принадлежащая D.

Из этой теоремы вытекает, что из всякой полной системы функций в  $\phi^0$  можно выбрать полную подсистему, состоящую не более чем из четырёх функций.



3. ТИПЫ БАЗИСОВ АЛГЕБРЫ  $\Phi^0$ 

Если функция  $f$  принадлежит классу  $X (X \in \{A, B, C, D\})$ , будем говорить, что она имеет свойство  $X$ . Если функция обладает, например, свойствами  $A, C$  и не обладает свойствами  $B, D$ , будем говорить что это функция типа  $A, C$  и обозначим её через  $/A, C/$ . Две функции, обладающие одними и теми же свойствами будем называть функциями одного и того же типа. Функция  $/\emptyset/$  не обладает ни одним из свойств  $A, B, C, D$ .

ТЕОРЕМА 1. Число различных типов функций из  $\Phi^0$  равно 9:

- |                     |                  |               |
|---------------------|------------------|---------------|
| 1. $/A, B, C, D/$ , | 4. $/A, C, D/$ , | 7. $/B, D/$ , |
| 2. $/A, B, C/$ ,    | 5. $/B, C, D/$ , | 8. $/C, D/$ , |
| 3. $/A, B, D/$ ,    | 6. $/A, D/$ ,    | 9. $/D/$ .    |

## ДОКАЗАТЕЛЬСТВО

I  $/A, B, C, D/$ ; множество функций этого типа:

$$A \cap B \cap C \cap D = (A \cap B) \cap (C \cap D) = (T_{00} \cup T_{11} \cup (T_{01} \cap S)) \cap M = \\ = (S_1 \cap M_1) \cap \{0, 1\}.$$

II  $/A, B, C/$ ; множество функций этого типа:

$$A \cap B \cap C \cap D' = (A \cap B) \cap (C \cap D') = (T_{00} \cup T_{11} \cup S) \cap ((T_{00} \cup T_{11}) \setminus \{0, 1\}) = \\ = (T_{00} \cup T_{11}) \setminus \{0, 1\}; \text{ такая, например, функция } f(x_1, x_2, x_3) = x_1 + x_2.$$

III  $/A, B, D/$ ; множество функций этого типа:

$$A \cap B \cap C' \cap D = (A \cap B) \cap (C' \cap D) = (T_{00} \cup T_{11} \cup (T_{01} \cap S)) \cap ((T_{01} \cup T_{10}) \setminus M) = \\ = (T_{01} \cap S) \setminus M = S_1 \setminus M_1; \text{ такая, например, функция } f(x_1, x_2, x_3) = \\ = x_1' x_2' x_3' x_1 x_2 x_3.$$

IV  $/A, C, D/$ ; множество функций этого типа:

$$A \cap B' \cap C \cap D = (A \cap C) \cap (B' \cap D) = (T_{00} \cup T_{11} \cup M_1) \cap ((T_{01} \cup T_{10}) \setminus S) = \\ = M_1 \setminus (\{0, 1\} \cup S_1); \text{ такая, например, функция } f(x_1, x_2, x_3) = x_1 \vee x_2.$$

V /B,C,D/; множество функций этого типа:

$$A' \cap B \cap C \cap D = (A' \cap D) \cap (B \cap C) = T_{10} \cap (T_{00} \cup T_{11} \cup (M \cap S)) = \\ = S_2 \cap M_2; \text{ такая, например, функция } f(x_1, x_2, x_3) = x_1'.$$

VI /A,B/; такая функция не существует, потому что

$$A \cap B \cap C' \cap D' = (A \cap B) \cap (C' \cap D') = (A \cap B) \cap ((T_{01} \cap T_{10}) \setminus M) \cap \\ \cap ((T_{00} \cup T_{11}) \setminus \{0, 1\}) = (A \cap B) \cap \emptyset = \emptyset$$

VII /A,C/; такая функция не существует, потому что

$$A \cap B' \cap C \cap D' = (A \cap C) \cap (B' \cap D') = (A \cap C) \cap ((T_{01} \cup T_{10}) \setminus S) \cap \\ \cap ((T_{00} \cup T_{11}) \setminus \{0, 1\}) = (A \cap C) \cap \emptyset = \emptyset.$$

VIII /A,D/; множество функций этого типа:

$$A \cap B' \cap C' \cap D = (A \cap B') \cap (C' \cap D) = (T_{01} \setminus S_1) \cap ((T_{01} \cup T_{10}) \setminus M) = \\ = T_{01} \setminus (S_1 \cup M_1); \text{ такая, например, функция } f(x_1, x_2, x_3) = \\ = x_1' x_2' x_3 \vee x_1 x_2 x_3.$$

IX /B,C/; такая функция не существует, потому что

$$A' \cap B \cap C \cap D' = (A' \cap D') \cap (B \cap C) = \emptyset \cap (B \cap C) = \emptyset.$$

X /B,D/; множество функций этого типа:

$$A' \cap B \cap C' \cap D = (A' \cap B) \cap (C' \cap D) = (T_{10} \cap S) \cap ((T_{01} \cup T_{10}) \setminus M) = \\ = S_2 \setminus M_2; \text{ такая, например, функция } f(x_1, x_2, x_3) = x_1' x_2 x_3 \vee x_1 x_2' \vee x_1' x_3'.$$

XI /C,D/; множество функций этого типа:

$$A' \cap B' \cap C \cap D = (A' \cap B') \cap (C \cap D) = (T_{10} \setminus S_2) \cap M = M_2 \setminus (\{0, 1\} \cup S_2); \\ \text{ такая, например, функция } f(x_1, x_2, x_3) = x_2' \vee x_3'.$$

XII /A/; такая функция не существует, потому что

$$A \cap B' \cap C' \cap D' = (A \cap B') \cap (C' \cap D') = (A \cap B') \cap \emptyset = \emptyset.$$

XIII /B/; такая функция не существует, потому что

$$A' \cap B \cap C' \cap D' = (A' \cap B) \cap (C' \cap D') = (A' \cap B) \cap \emptyset = \emptyset.$$

XIV /C/; такая функция не существует, потому что

$$A' \cap B' \cap C \cap D' = (A' \cap C) \cap (B' \cap D') = (A' \cap C) \cap \emptyset = \emptyset.$$



XV /D/; множество функций этого типа:

$$A' \cap B' \cap C' \cap D = (A' \cap D) \cap (B' \cap C') = T_{10} \cap ((T_{01} \cup T_{10}) \setminus (S \cup M)) = T_{10} \cap (S_2 \cup M_2); \text{ такая, например, функция } f(x_1, x_2, x_3) = x_1' x_2' \vee x_1 x_3'.$$

XVI /∅/; такая, функция не существует, потому что  $A' \cap B' \cap C' \cap D' = (A' \cap D') \cap (B' \cap C') = \emptyset \cap (B' \cap C') = \emptyset$

Теорема доказана.

Будем составлять базисы, выражая их через функции 1-9. Для построения базисов полезны нижеследующие замечания;

Замечание 1. Функция /A,B,C,D/ не содержится ни в одном базисе, потому что принадлежит всем классам A,B,C,D.

Замечание 2. Одночленные базисы не существуют, потому что не существуют функции типа /∅/.

Замечание 3. Для построения трёхчленных базисов не учитываем функций /D/.

Замечание 4. Для построения четырёхчленных базисов не учитываем функции /D/, /A,D/, /B,D/, /C,D/.

Теперь легко найдутся все типы базисов в  $\phi^0$ .

I Двухчленные типы базисов:

$$\{ /A,B,C/, /D/ \}.$$

II Трёхчленные типы базисов:

$$\begin{aligned} \{ /A,B,C/, /C,D/, /A,B,D/ \} &; \{ /A,B,C/, /C,D/, /A,D/ \} ; \\ \{ /A,B,C/, /C,D/, /B,D/ \} &; \{ /A,B,C/, /B,D/, /A,C,D/ \} ; \\ \{ /A,B,C/, /B,D/, /A,D/ \} &; \{ /A,B,C/, /A,D/, /B,C,D/ \} . \end{aligned}$$

III Четырёхчленные типы базисов:

$$\{ /A,B,C/, /A,B,D/, /A,C,D/, /B,C,D/ \}.$$

Таким образом, доказана следующая теорема:

ТЕОРЕМА 2. Число различных типов базисов в  $\phi^0$  равно 8: 1 двухчленный тип, 6 трёхчленных типов и 1 четырёхчленный тип.

Замечание 5. Функции  $x_1, x_2, \dots, x_n$  и константы 0 и 1 не содержатся ни в одном базисе.

Замечание 6. В каждом базисе содержится точно одна функция  $f$  для которой  $f(0, 0, \dots, 0) = f(1, 1, \dots, 1)$ .

Пусть  $t_n/A/$  обозначает число всех  $n$ -местных функции типа  $A/$ ,  $t_n/B, C/$  число всех  $n$ -местных функции типа  $B, C/$  в  $\phi^0$ , и т.п. Легко получается что

$$t_n/A, B, C/ = 2^{2^{n-1}-2},$$

$$t_n/A, B, D/ < 2^{2^{n-1}-1},$$

$$t_n/A, C, D/ < 2^{2^n-2},$$

$$t_n/B, C, D/ < 2^{2^{n-1}-1},$$

откуда получается следующее неравенство:

$$N_n^4 < 2^{3 \cdot 2^n - 5},$$

где  $N_n^4$  обозначает число четырёхчленных базисов в  $\phi^0$ , состоящих только из  $n$ -местных функций.

Заметим что

$$\lim_{n \rightarrow \infty} \frac{N_n^4}{\binom{2^{2^n}}{4}} = 0,$$

где  $\binom{2^{2^n}}{4}$  — число всех четырёхчленных систем  $n$ -местных функций в  $\phi^0$ .



## ЛИТЕРАТУРА

- [1] В.Г. Боднарчук, Г.Е. Цейтлин, Об алгебрах периодически определенных преобразований бесконечного регистра, Кибернетика, 1 (1969).
- [2] В.М. Глушков, Теория автоматов и вопросы проектирования структур цифровых машин, Кибернетика, 1 (1965).
- [3] Л.Нрнич, Типы базисов алгебры логики, Glasnik Mat.-Fiz. i Astr. 20 (1965) 23-32.
- [4] А.И.Мальцев, Итеративные алгебры и многообразия Поста, сб. "Алгебра и логики", т. 5, вып. 2, Новосибирск, 1966.
- [5] E. Post, Two-valued Iterative Systems of Mathematical Logic, Annals of Math. Studies, v. 5, Princeton Univ. Press, 1941.
- [6] Г.Е.Цейтлин, Вопросы функциональной полноты для одной модификации алгебры логики, Кибернетика, 4 (1969).
- [7] С.В. Яблонский, Г.П. Гаврилов, В.Б.Нудряцев, Функции алгебры логики и классы Поста, Наука, Москва, 1966.

## REZIME

ТИПОВИ БАЗА ЗА JEDNU MODIFIKACIJU ALGEBRE  
LOGIKE

U radu se ispituju medjusobni odnosi medju maksimalnim podalgebrama algebre  $\phi^0$  (jedne modifikacije algebre logike). Posmatra se relacija ekvivalencije takva da su dve funkcije  $f$  i  $g$  ekvivalentne tj. da su istog tipa ako za svaku maksimalnu podalgebru  $X$  od  $\phi^0$  важи tačno jedna od sledeće dve mogućnosti:

- 1°  $f \in X$  i  $g \in X$ ,
- 2°  $f \notin X$  i  $g \notin X$ .

ТЕОРЕМА 1. Има tačno 9 različitih tipova funkcija u  $\phi^0$ . Pomoću takvih funkcija izgrađuju se baze algebre  $\phi^0$ , pri čemu se koristi teorema Cejtлина ([6]). Na taj način, svaka baza

albegre  $\phi^0$  pripada odredjenom tipu baza.

TEOREMA 2. Postoji tačno 8 različitih tipova baza u algebri  $\phi^0$ : 1 tip dvočlanih, 6 tipova tročlanih i 1 tip četvoročlanih baza.





*Zbornik radova Prirodno-matematičkog fakulteta-Universität u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11(1981)*

---

# NOTE ON THE SPANNING TREES OF A CONNECTED DIGRAPH

*Dănuț Marcu*

*Faculty of Mathematics University of Bucharest*  
*Academiei 14 70109 Bucharest - Romania*

## ABSTRACT

Our aim in this paper is to give some relations between the spanning trees and some determinants obtained from the incidence matrix of a connected digraph. The spanning trees that differ by one edge are also investigated.

Let  $D = (V, E)$  be a connected digraph (directed graph) with  $V = \{v_1, v_2, \dots, v_p\}$  the set of vertices,  $E = \{e_1, e_2, \dots, e_q\}$  the set of edges, and  $S = (s_{ij})$ ,  $i=1, 2, \dots, p$ ;  $j=1, 2, \dots, q$ , the incidence matrix, where

$$s_{ij} = \begin{cases} 1, & \text{if } v_i \text{ is the initial vertex of } e_j, \\ -1, & \text{if } v_i \text{ is the terminal vertex of } e_j, \\ 0, & \text{otherwise.} \end{cases}$$

Let  $\bar{S}$  be the matrix obtained from  $S$  by deleting the line corresponding to the vertex  $v_p$ . If  $T = \{e_{j_1}, e_{j_2}, \dots, e_{j_m}\}$  ( $m=p-1$ ) is a spanning tree of  $D$ , we shall denote by  $\bar{S}(T)$  the square submatrix of  $\bar{S}$  obtained with the lines of  $\bar{S}$  and the columns  $j_1, j_2, \dots, j_m$ . Because  $T$  is a spanning tree, there exists a unique chain connecting any two vertices in the graph  $(V, T)$ . Let  $c_i(v_i, v_p)$  such a chain connecting  $v_i$  with  $v_p$ ,  $i=1, 2, \dots, m$ , and  $E(c_i)$  the



edge-set of  $c_i$ . Let  $e_{\alpha(i)}$ ,  $\alpha(i) \in \{j_1, j_2, \dots, j_m\}$  the unique edge incident with the vertex  $v_i$ ,  $i=1, 2, \dots, m$ , for which  $e_{\alpha(i)} \in E(c_i) \cap T$ , and  $\epsilon(i) \in \{1, 2, \dots, m\}$  such that  $\alpha(i) = j_{\epsilon(i)}$ .

We consider the matrix  $\bar{S}(T) = [\bar{S}(T)]_{i\beta}$ ,  $i, \beta=1, 2, \dots, m$ , where

$$[\bar{S}(T)]_{i\beta} = \begin{cases} 0, & \text{if } \beta \neq \epsilon(i), \\ [\bar{S}(T)]_{i, \epsilon(i)}, & \text{if } \beta = \epsilon(i). \end{cases}$$

THEOREM 1.  $\det[\bar{S}(T)] = \det[\bar{S}(T)]$ .

*P r o o f.* We denote by  $S(T)$  the submatrix of  $S$  obtained with the lines  $od$   $S$  and the columns  $j_1, j_2, \dots, j_m$ .

Let  $v_{t_1}$  ( $v_{t_1} \neq v_p$ ) a terminal vertex of  $T^{(1)} = T$ . Adding the line  $t_1$  of the matrix  $S(T)$  to the line corresponding to the other vertex of  $e_{\alpha(t_1)}$  we obtain the matrix  $S_1(T)$ . We consider now the tree  $T^{(2)}$  obtained from  $T^{(1)}$  by deleting the vertex  $v_{t_1}$  and the edge  $e_{\alpha(t_1)}$ . Let  $v_{t_2}$  ( $v_{t_2} \neq v_p$ ) a terminal vertex of  $T^{(2)}$ . Adding the line  $t_2$  of the matrix  $S_1(T)$  to the line corresponding to the other vertex of  $e_{\alpha(t_2)}$  we obtain the matrix  $S_2(T)$ . Repeating the above thus give rise to the matrix  $S_m(T)$ . For this matrix the  $p$ -th line is null.

Denoting by  $\bar{S}_k(T)$ ,  $k=1, 2, \dots, m$ , the matrix obtained from  $S_k(T)$  by deleting the  $p$ -th line, then  $\bar{S}(T) = \bar{S}_{p-1}(T)$ .

On the other hand, according to the properties of determinants we have

$$\det[\bar{S}(T)] = \det[\bar{S}_{p-1}(T)] = \det[\bar{S}_{p-2}(T)] = \dots = \det[\bar{S}_1(T)] = \det[\bar{S}(T)], \text{ and the theorem is proved.}$$

Let  $T_1$  and  $T_2$  two spanning trees of  $D$ . By [1] and theorem 1 it follows that

$$(1) \quad \det[\bar{S}(T_1)] = +1,$$

$$\det[\bar{S}(T_2)] = +1.$$

Obviously, in  $\bar{S}(T_1)$  and  $\bar{S}(T_2)$  each line and each column contains a single nonnull element (equal to  $\pm 1$ ). For an arbitrary column, if we want to have on the same place the nonnull element of  $\bar{S}(T_2)$  as in  $\bar{S}(T_1)$ , we must permute two columns in  $\bar{S}(T_2)$ . Let  $\pi$  the total number of permutations necessary for all nonnull elements of  $\bar{S}(T_2)$ .

Let  $\sigma$  the total number of exchanges of sign such that each nonnull element of  $\bar{S}(T_2)$  in the same place as in  $\bar{S}(T_1)$ , to have the same sign

But, every permutation and every exchange of sign multiplies the value of  $\det[\bar{S}(T_2)]$  by  $-1$ . Hence, by (1) we have

$$(2) \quad \det[\bar{S}(T_2)] = (-1)^{\pi+\sigma} \det[\bar{S}(T_1)].$$

By (2) and theorem 1 it follows that

$$(3) \quad \det[\bar{S}(T_1)] \det[\bar{S}(T_2)] = (-1)^{\pi+\sigma}.$$

Let  $T_1$  and  $T_2$  two spanning trees of  $D$  such that  $|T_1 - T_2| = k$ . Deleting the  $k$  distinct edges, every spanning tree becomes a graph containing  $k+1$  connected components. Moreover, the  $k+1$  connected components in  $T_1$  and  $T_2$  are identical, and only one of them contains the vertex  $v_p$ . The  $k$  components that do not contain  $v_p$  are called principal.

Obviously, every vertex of a principal component is connected by a unique chain with  $v_p$  in  $(V, T_i)$ ,  $i=1,2$ , and every chain (one of  $T_1$  and other of  $T_2$ ) contains an unique edge (one of  $T_1 - T_2$  and other of  $T_2 - T_1$ ) incident with the principal component. We call these edges principal.

If the principal edges have the same orientation related to the principal component, then this component is positive and negative otherwise.



We consider the graph having as vertices the  $k+1$  components and as edges the principal edges (from  $T_1$  and  $T_2$ ) incident to the above components. We denote by  $\sigma(T_1, T_2)$  the number of positive components from which we subtract the number of cycles in the graph above considered. By  $|2|$  we have

$$(4) \quad \det[\bar{S}(T_1)] \det[\bar{S}(T_2)] = (-1)^{\sigma(T_1, T_2)}.$$

Let  $T_1, T_2$  two spanning trees of  $D$  for which  $T_1 - T_2 = \{a\}$  and  $T_2 - T_1 = \{b\}$ ,  $a \neq b$ .

We denote by  $\omega(T_1, b)$  the unique cycle contained in  $(V, T_1 \cup U.\{b\})$ .

THEOREM 2.

$$\det[\bar{S}(T_1)] \det[\bar{S}(T_2)] = \begin{cases} -1, & \text{if } a \text{ and } b \text{ have the same} \\ & \text{orientation in } \omega(T_1, b), \\ 1, & \text{otherwise.} \end{cases}$$

*P r o o f.* Deleting the edge  $a$  from  $T_1$  we obtain a graph that contains two connected components; one of them contains the vertex  $v_p$  and the other is principal.

Obviously,  $a$  and  $b$  are principal edges. If  $a$  and  $b$  have the same orientation in  $\omega(T_1, b)$ , then the principal component is negative, i.e.,  $\sigma(T_1, T_2) = -1$ . This, by (4), it follows that

$$\det[\bar{S}(T_1)] \det[\bar{S}(T_2)] = -1.$$

If  $a$  and  $b$  have not the same orientation in  $\omega(T_1, b)$ , then the principal component is negative, i.e.,  $\sigma(T_1, T_2) = 0$ . Then by (4) it follows that  $\det[\bar{S}(T_1)] \det[\bar{S}(T_2)] = 1$ , and the theorem is proved. Let  $T = \{e_{j_1}, e_{j_2}, \dots, e_{j_m}\}$ ,  $(m=p-1)$  a spanning tree of  $D$ . Deleting from  $T$  the edge  $e_{j_h}$  ( $1 \leq h \leq m$ ) we obtain two connected components  $v_h$  and  $\bar{v}_h$ .

To the bipartition  $(v_h, \bar{v}_h)$  we can associate a cocycle  $C(e_{j_h}, T)$  that contains the edge  $e_{j_h}$ .

Obviously, if  $T_1$  and  $T_2$  are two spanning trees for which  $T_2 - T_1 = \{b\}$  and  $T_1 - T_2 = \{a\}$ , then  $b \in C(a, T_1)$ . Moreover, if  $c \in C(a, T_1)$ , then  $(T_1 - \{a\}) \cup \{c\}$  is a spanning tree.

Let  $T_0$  a spanning tree and  $T_1, T_2, \dots, T_r$  all spanning trees for which  $T_0 - T_k = \{a_0\}$  and  $T_k - T_0 = \{a(k)\}$ ,  $k=1, 2, \dots, r$ . Thus  $C(a_0, T_0) = \{a_0, a^{(1)}, \dots, a^{(r)}\}$ .

Moreover, if  $b \in C(a_0, T_0)$ , then  $(T_0 - \{a_0\}) \cup \{b\}$  is one of  $T_1, T_2, \dots, T_r$ .

Let  $A(T_0, a_0) = \{T_1, T_2, \dots, T_r\}$ . Obviously, we have

$$A(T_0, a_0) = \bigcup_{\substack{b \in C(a_0, T_0) \\ b \neq a_0}} \{(T_0 - \{a_0\}) \cup \{b\}\}.$$

If  $T_0 = \{e_{j_1}, e_{j_2}, \dots, e_{j_m}\}$ , then every spanning tree  $T$  with  $|T_0 - T| = 1$  belongs to one of  $A(T_0, e_{j_h})$ ,  $h=1, 2, \dots, m$ . Also, all spanning trees of  $A(T_0, e_{j_h})$  are distinct. Indeed, each  $T \in A(T_0, e_{j_t})$  does not contain the edge  $e_{j_t}$ .

On the other hand, for every  $T \in A(T_0, e_{j_t})$  holds  $|T_0 - T| = 1$ , i.e., all edges of  $T_0$  (except for  $e_{j_t}$ ) belong to  $T$ . Hence,  $T$  does not belong to  $A(T_0, e_{j_s})$  with  $t \neq s$ .

Let  $v_0 \in V$  and  $e_0 \in E$  arbitrary choosen, such that  $e_0$  is incident with the vertex  $v_0$ . We denote by  $C(v_0)$  the cocycle associated to the bipartition  $(\{v_0\}, V - \{v_0\})$ .

Let  $A(e_0)$  the set of spanning trees that contain the edge  $e_0$  and  $\bar{A}(e_0)$  the set of spanning trees that do not contain  $e_0$ .

### THEOREM 3.

$$(5) \quad \bar{A}(e_0) = \bigcup_{\substack{T \in A(e_0) \\ b \in C(e_0, T) \cap C(v_0)}} \{(T - \{e_0\}) \cup \{b\}\}.$$



**P r o o f.** Obviously, every spanning tree obtained by (5) belongs to  $\bar{A}(e_0)$ . Suppose now there exists  $T \in \bar{A}(e_0)$  such that it cannot be obtained by (5).

Let  $\omega(T, e_0)$  the unique cycle contained in the graph  $(V, TU\{e_0\})$ . If  $b(b \neq e_0)$  is an edge of  $\omega(T, e_0)$  incident with the vertex  $v_0$ , then the spanning tree  $T' = (T - \{b\}) \cup \{e_0\}$  belongs to  $A(e_0)$ . But  $b \in C(e_0, T') \cap C(v_0)$ , i.e.,  $T$  can be obtained from  $T'$  by (5); contradiction. Hence each spanning tree of  $\bar{A}(e_0)$  can be obtained by (5) and the theorem is proved.

**THEOREM 4.** *Every element of  $\bar{A}(e_0)$  is obtained only once by (5).*

**P r o o f.** Suppose that  $T$  is a spanning tree of  $\bar{A}(e_0)$  often generated by (5). In this case there exist at least two distinct edges  $c$  and  $d$  in  $T$  incident with the vertex  $v_0$  such that  $(T - \{c\}) \cup \{e_0\}$  and  $(T - \{d\}) \cup \{e_0\}$  are distinct elements of  $A(e_0)$ , i.e.,  $c$  and  $d$  belong to the unique cycle  $\omega(T, e_0)$ . This is impossible. Hence the theorem is true.

## REFERENCES

- [1] Berge C., *Théorie des graphes et ses applications*, Dunod, Paris, 1967.
- [2] Preda M., *A simplified way of determining the sign of common trees values in current and voltage graphs*, Rev. Roum. Sci. Tech. Électrotechn. et Énerg., 19, 49-62, (1974).

## REZIME

NOTA O POKRIVAJUĆIM STABLIMA  
ORIJENTISANOG DIGRAFA

U ovom radu se ispituju odnosi između pokrivajućih stabala orijentisanog digrafa i determinanata nekih podmatrica matrice incidencije toga digrafa. Takođe su ispitivani parovi pokrivajućih stabala digrafa koja se razlikuju u orijentaciji samo jedne grane.





*Zbornik radova Prirodno-matematičkog fakulteta-Univerzitet u Novom Sadu*  
*knjiga 11 (1981)*

*Review of Research Faculty of Science-University of Novi Sad, Volume 11 (1971)*

---

BIBLIOGRAPHY OF ARTICLES PUBLISHED IN THE "ZBORNIK  
RADOVA PRIRODNO-MATEMATIČKOG FAKULTETA. NOVI SAD.  
SERIJA ZA MATEMATIKU" (REVIEW OF RESEARCH FACULTY OF  
SCIENCE. NOVI SAD, MATHEMATICS SERIES)

*Dragica Čerevicki*

*Prirodno-matematički fakultet. Institut za matematiku*  
*21000 Novi Sad, ul. dr Ilije Djuričića 4, Jugoslavija*

The journal "Zbornik radova Prirodno-matematičkog fakulteta. Novi Sad. Serija za matematiku" (Review of Research, Faculty of Science. Novi Sad. Mathematics series) (in the following "Zbornik") first appeared in 1971 as the official publication of the Institute of Mathematics of the Faculty of Science, University of Novi Sad.

In Novi Sad the Department of Mathematics was founded in 1954 as a part of the Faculty of Arts and Natural Science, University of Novi Sad, and members of the department had been publishing their scientific contributions in "Godišnjak Filozofskog fakulteta u Novom Sadu" (Annuaire de la Faculté des lettres et sciences, Novi Sad) in volumes from I (1956) to XII (1969).

From 1969 the Department of Mathematics belongs to the Faculty of Science. In 1976 the Department of Mathematics became Institute of mathematics.

The number of papers which appear in "Zbornik" increases regularly.

Among the reviewers of papers which appear in "Zbornik" are known yugoslav and foreign mathematicians. This provides the appropriate level of accepted papers. A diversification of problems which are dealt with in "Zbornik" can be noticed.

From volume 11(1981) all papers will be published in one of the following world languages: English, Russian, German, French.

All published papers are reviewed regularly in: Mathematical Reviews; Реферативный журнал 13. Математика. Сводный том; Zentrallblatt für Mathematik und ihre Grenzgebiete, Mathematics Abstracts.

The increase of "Zbornik's" volume was followed by an increase in exchange with other similar institutions. At present "Zbornik" is exchanged with 295 foreign and 45 yugoslav institutions. Among the publications which are obtained for "Zbornik" are many known international journals.



The enclosed "Bibliography" contains a chronological list of titles which appeared in "Zbornik" from volume 1 (1971) to 10 (1980). In the appendix the Author Index and Subject Classification are given.

### CHRONOLOGICAL LIST OF TITLES

1971. 1

1. Hadžić, Olga, *Teoreme o neprekidnoj zavisnosti nepokretne tačke od parametra i primena na diferencijalne jednačine u lokalno konveksnim prostorima*, 3-14.  
*Theorems on continuous dependence of the fixed point on parameter and applications to differential equations in locally convex spaces*, 3-14.
2. Pejović, Pavle, *Približno rešavanje sistema nelinearnih diferencijalnih jednačina pomoću jednačina razmaka*, 15-25.  
*Approximative solution of the system of nonlinear differential equations by means of the system of interval differential equations*, 15-25.

1972. 2

3. Стојановић, Мирко, *О једном ставу Г.П. Барнера о троугаоним матрицама*, 1-5.  
*On a theorem of G.P. Barker on triangular matrices*, 1-5.

4. Првановић, Милева, О неким полчебишевским композицијама  
7-21.

*On some semi-Chebyshev compositions - 7-21*

1973. 3

5. Стојановић, Мирко, О једној методи за оптимизирање Булових  
функција, 1-9.

*A method of optimizing Boolean functions, 1-9.*

6. Skendžić, Marija, Integralna reprezentacija funkcija značaj-  
nih za operatorski račun, 11-21.

*The integral representation of functions which  
are important for the operational calculus,  
11-21.*

7. Nikolić-Despotović, Danica, UT- granica u polju operatora  
Mikusinskog, 23-33.

*UT - limit in the operator field Mikusiński,  
23-33.*

8. Hadžić, Olga, Generalizacija jedne teoreme G. Marinescu,  
35-39.

*Generalization of a theorem of G. Marinescu,  
35-39.*

1974. 4

9. Hadžić, Olga, Egzistencija implicitne funkcije u lokalno  
konveksnim prostorima, 1-8.

*Existence of implicit functions in locally  
convex spaces, 1-8.*

10. Ivić, Aleksandar, O nekim aritmetičkim funkcijama vezanim za  
raspodelu prostih brojeva, 9-17.

*On certain arithmetical functions connected with  
the distribution of prime numbers, 9-17.*



11. Биографије и библиографски подаци чланова Катедре за математику, 251-293.  
*Biographies and bibliographies of members of the Department of Mathematics, 251-293.*  
 1975. 5
12. Стојаковић, Мирно, Индуктивни и Пеанови модели, 1-8.  
*On inductive and Peano models, 1-8.*
13. Stanković, Bogoljub, O jednoj klasi operatora, 9-12.  
*On a class of operators, 9-12.*
14. Nikolić-Despotović, Danica, Nепrekidnost u tački jedne klase operatorskih funkcija, 13-18.  
*The continuity in the point of one class of operational functions, 13-18.*
15. Hadžić, Olga, O klasi  $U(X)$  Chi Song Wonga u lokalno konveksnim prostorima, 19-21.  
*On the Chi Song Wong's class  $U(X)$  in locally convex spaces, 19-21.*
16. Hadžić, Olga; Pap, Endre, Neke primene dijagonalne teoreme u funkcionalnoj analizi, 23-33.  
*Some applications of the diagonal theorem in functional analysis, 23-33.*
17. Belousov, Valentin D.; Stojaković, Zoran, Generalized entropy on infinitary quasigroup, 35-42.  
*Uopštena entropija na infinitarnim kvazigrupama, 35-42.*  
 1976. 6
18. Ivić, Aleksandar, On a number-theoretical system of functional equations, 1-5.  
*O jednom sistemu funkcionalnih jednačina teorije brojeva, 1-5.*

19. Pap, Endre, *n*-convex functions on a semigroup with a root function, 7-13.  
*n*-konveksne funkcije nad polugrupom sa funkcijom antistepenovanja, 7-13.
20. Stanković, Bogoljub, Majoracija koeficienata Tajlorovog reda kanoničkog elementa algebarske funkcije, 15-18.  
*Estimate of the Taylor series coefficients of a canonical element of the algebraic function*, 15-18.
21. Hadžić, Olga, Implicit differential equations  $\dot{x} = H(f_1, (t, x, g_1(t, x, \dot{x})), \dots, f_n(t, x, g_n(t, x, \dot{x})))x(t_0) = x_0$  in locally convex spaces, 19-23.  
*Implicitne diferencijalne jednačine  $\dot{x} = H(f_1(t, x, g_1(t, x, \dot{x})), \dots, f_n(t, x, g_n(t, x, \dot{x})))x(t_0) = x_0$  u lokalno konveksnim prostorima*, 19-23.
22. Hadžić, Olga; Paunić, Djura, Theorems on the fixed point for some classes of mappings in locally convex spaces, 25-31.  
*Teoreme o nepokretnoj tački za neke klase preslikavanja u lokalno konveksnim prostorima*, 25-31.
23. Pap, Endre, Uniformna ograničenost familije *f*-prebrojivo aditivnih višeznačnih skupovnih funkcija sa vrednostima u polugrupi, 33-40.  
*Uniform boundedness of a family of f-countable additive multivalued set functions with values in a semigroup*, 33-40.
24. Nikolić-Despotović, Danica, Reprezentacija i osobine jedne klase operatora, 41-48.  
*The representation and the properties of a class of operators*, 41-48.
25. Prvanović, Mileva, On two tensors in a locally decomposable Riemannian space, 49-57.  
*Dva tenzora lokalno dekomponovanog Rimanovog prostora*, 49-57.



26. Hadžić, Olga, *Probabilistic proof of a fixed point theorem in  $K$ -convex linear topological spaces*, 3-8.  
*Probabilistički dokaz jedne teoreme o nepokretnoj tački u  $K$ -konveksnim linearnim topološkim prostorima*, 3-8.
27. Pap, Endre ; Pilipović, Stevan, *Sequential theory of some semigroups in tempered distributions*, 9-16.  
*Sekvencijalna teorija nekih polugrupa nad temperiranim distribucijama*, 9-16.
28. Ivić, Aleksandar, *Two inequalities for the sum of divisors functions*, 17-22.  
*Dve nejednakosti za funkcije zbira delitelja*, 17-22.
29. Hadžić, Olga, *A fixed point theorem in random normed spaces*, 23-27.  
*Teorema o nepokretnoj tački u slučajnim normiranim prostorima*, 23-27.
30. Nikolić-Despotović, Danica, *On the convergence of the series of rational operators*, 29-35.  
*O konvergenciji redova racionalnih operatora*, 29-35.
31. Яанез, Ушан ; Жарнов, Добриной, *Об одной системе функциональных уравнений общей ассоциативности на алгебре инфинитарных квазигрупп*, 37-44.  
*O jednom sistemu funkcionalnih jednačina opšte asocijativnosti na algebri infinitarnih kvazigrupa*, 37-44.

32. Nikolić-Despotović, Danica, *The application of an approximation to a special operator*, 1-7.  
*Primena jedne aproksimacije na specijalni operator*, 1-7.

33. Hadžić, Olga, *A remark on nonarchimedean Menger spaces*, 9-12.  
*Jedna primedba o nearhimedovskim Mengerovim prostorima*, 9-12.
34. Hadžić, Olga, *Some fixed point theorems in Banach spaces*, 13-19.  
*Neke teoreme o nepokretnoj tački u Banahovim prostorima*, 13-19.
35. Hadžić, Olga ; Paunić, Djura, *An existence theorem for the system  $x=H(x,y)$ ,  $y=K(x,y)$  in probabilistic locally convex spaces*, 21-27.  
*Teorema o egzistenciji rešenja sistema  $x=H(x,y)$ ,  $y=K(x,y)$  uverovatnosnim lokalno konveksnim prostorima*, 21-27.
36. Pap, Endre, *Neki prilozi teoriji  $n$ -konveksnih funkcija*, 29-32.  
*Some remarks on the theory of  $n$ -convex functions*, 29-32.
37. Pilipović, Stevan, *Prostor uopštenih funkcija čiji elementi imaju Laquerre-ovu ekspanziju - sekvencijalni prilaz*, 33-39.  
*The space of generalized functions whose elements have Laquerre's expansion-the sequential approach*, 33-39.
38. Takači, Arpad, *O polju ekspanzija operatora*, 41-46.  
*On the field of exponential operators*, 41-46.
39. Ušan, Janez ; Stojaković, Zoran, *Orthogonal systems of partial operations*, 47-51.  
*Ortogonalni sistemi parcijalnih operacija*, 47-51.
40. Stojaković, Zoran; Ušan, Janez, *On a maximal system of functional equations on quasigroups*, 53-57.  
*O jednom maksimalnom sistemu funkcionalnih jednačina na kvazigrupama*, 53-57.



41. Gilezan, Koriolan, A note on the monotonicity and regularity of generalized pseudo-Boolean functions, 59-62.  
O regularnosti i monotoniji generalisanih pseudo-Bulovih funkcija, 59-62.
42. Tošić, Ratko, Neke osobine monotonih Bulovih funkcija nad konačnim Bulovim algebrama, 63-68.  
Some properties of the monotone Boolean functions over the finite Boolean algebras, 63-68.
43. Bogdanović, Stojan ; Crvenković, Siniša, On some classes of semigroups, 69-77.  
Neke klase semigrupa, 69-77.
44. Bogdanović, Stojan, Deux caracterisations des semigroupes anti - inverses, 79-81.  
Dve karakterizacije anti- inverznih semigrupa, 79-81.
45. Acketa, Dragan M., On the enumeration of matroids of rank 2, 83-90.  
O prebrajanju matroida ranga 2, 83-90.
46. Tošić, Ratko, An optimal search procedure, 91-94.  
Jedna optimalna istražna procedura, 91-94.
47. Herceg, Dragoslav, O neekvidistantnim diferencnim formulama Hermitovog tipa, 95-99.  
On nonequidistant difference formulae of the Hermite type, 95-99.
48. Herceg, Dragoslav, Numeričko rešavanje Fredholmove integralne jednačine sa nenegativnim jezgrom, 101-112.  
Die numerische Behandlung von Fredholmischen Integralgleichung mit nichtnegativen Kern, 101-112.
49. Surla, Katarina, Numeričko rešavanje Fredholmove integralne jednačine primenom splajn aproksimacija, 113-119.  
The numerical solution of Fredholm's integral equation by means of spline approximations, 113-119.

50. Surla, Katarina, *O izlaznom kriterijumu za interpolacione kvadraturne formule*, 121-124.  
*On the exit criteria for the interpolation quadrature formulae*, 121-124.
1979. 9
51. Hadžić, Olga ; Čerevicki, Dragica, *Dvadesetpetogodišnjica nastavno-naučne grupe za matematiku na Univerzitetu u Novom Sadu*, 1-7.  
*Twenty-five years of the group for education and research in mathematics at the University in Novi Sad*, 1-7.
52. Stanković, Bogoljub ; Takači Djurdjica, *Linear differential equations with coefficients in a field II*, 9-17.  
*Linearne diferencijalne jednačine sa koeficijentima u polju II*, 9-17.
53. Nikolić-Despotović, Danica, *One type of random diffusion equation*, 19-22.  
*Jedna slučajna difuzna jednačina*, 19-22.
54. Hadžić, Olga ; Nikolić-Despotović, Danica, *Fixed point theorems of Krasnoselski's type in probabilistic locally convex spaces*, 23-28.  
*Teoreme o nepokretnoj tački tipa Krasnoseljskog u verovatnosnim lokalno konveksnim prostorima*, 23-28.
55. Hadžić Olga, *Fixed point theorems for multivalued mappings in random normed-spaces*, 29-36.  
*Teoreme o nepokretnoj tački za višeznačna preslikavanja u slučajnim normiranim prostorima*, 29-36.
56. Hadžić, Olga ; Budinčević, Mirko, *A class of T-norms in the fixed point theory on PM spaces*, 37-41.  
*Jedna klasa T-normi u teoriji nepokretne tačke nad PM-prostorima*, 37-41.



57. Hadžić, Olga ; Stojaković, Mila, On the existence of a solution of the system  $x=H(x,y)$ ,  $y=K(x,y)$  in random normed spaces, 43-48.  
Egzistencija rešenja sistema  $x=H(x,y)$ ,  $y=K(x,y)$  u slučajnim normiranim prostorima, 43-48.
58. Hadžić, Olga ; Stojaković, Mila, Two random fixed point theorems, 49-52.  
Dve verovatnosne teoreme o nepokretnoj tački, 49-52.
59. Pilipović, Stevan, Konvolucija i Laplace-ova transformacija u  $L'$ , 53-58.  
Convolution and Laplace transformation u  $L'$ , 53-58.
60. Pap, Endre, An application of J. Mikusiński's lemma on convergence, 59-65.  
Jedna primena leme J. Mikusińskog o konvergenciji, 59-65.
61. Pap, Endre, On the ZED-integral, 67-73.  
O ZED-integralu, 67-73.
62. Takači, Arpad, On a class of distributions and asymptotic behavior, 75-81.  
O jednoj klasi distribucija i asimptotskom ponašanju, 75-81.
63. Takači, Arpad, On the Abelian theorems for the distributional Laplace transformation, 83-90.  
O Abelovim teoremama za uopštenu Laplasovu transformaciju, 83-90.
64. Prvanović, Mileva, Holomorphically semi-symmetric connections, 91-99.  
Holomorfno semi-simetrične konekcije, 91-99.
65. Stojaković, Mirko, O jednom formalno jezičkom tretiranju teorije grupa, 101-104.  
On a formal language for group theory, 101-104.

66. Gilezan, Koriolan, *Equations fonctionnelles pseudo-booléennes généralisées du deuxième ordre*, 105-109.  
Generalisane pseudo-Bulove funkcionalne jednačine drugog reda, 105-109.
67. Gilezan, Koriolan, *Generalized pseudo-Boolean functions on finite sets*, 111-113.  
Generalisane pseudo-Bulove transformacije na konačnom skupu, 111-113.
68. Tošić, Ratko, *An optimal identification algorithm for some subclasses of monotone Boolean functions*, 115-121.  
Jedan optimalni algoritam identifikacije za neke podklase monotonih Bulovih funkcija, 115-121.
69. Tošić, Ratko, *On a class of D-complete OSPK and complete error-correcting codes*, 123-126.  
O jednoj klasi D-punih OSPK i punih kodova koji ispravljaju greške, 123-126.
70. Vojvodić, Gradimir D., *On  $\epsilon$ -theoremi za raznovrednosni predikatski račun*, 127-131.  
On the  $\epsilon$  - theorem for mixed-valued predicate calculi, 127-131.
71. Acketa, Dragan, *On the construction of all matroids on 7 elements at most*, 133-152.  
O konstrukciji svih matroida sa najviše 7 elemenata, 133-152.
72. Crvenković, Siniša, *On some properties of a class of completely regular semigroups*, 153-160.  
O nekim osobinama jedne klase kompletno regularnih semigrupa, 153-160.
73. Milić, Svetozar, *On  $n$ -anti-inverznim semigrupama*, 161-167.  
On  $n$ -anti-inverse semigroups, 116-167.
74. Bogdanović, Stojan,  *$(m,n)$ -ideaux et les demi-groupes  $(m,n)$ -reguliers*, 169-173.  
 $(m,n)$ -ideali i  $(m,n)$ -regularne polugrupe, 169-173.



75. Ушан, Янез; Стоякович, Зоран, D-польные ортогональные системы частичных квазигрупп, 175-184.  
*D-puni ortogonalni sistemi parcijalnih kvazigrupa, 175-184.*
76. Stojaković, Zoran; Ušan, Janez, A classification of finite partial quasigroups, 185-190.  
*Jedna klasifikacija konačnih parcijalnih kvazigrupa, 185-190.*
77. Ушан, Янез; Тошич, Ратко; Сурла, Душан, Один способ построения систем ортогональных латинских прямоугольников, кодов и k-семисетей, 191-197.  
*Jedan način za konstrukciju ortogonalnih sistema latinskih pravougaonika, kodova i k-semirešetaka, 191-197.*
78. Herceg, Dragoslav, Nichtäquidistante Diskretisierung der Grenzsichtdifferentialgleichungen und einige Eigenschaften von diskreten Analoga, 199-219.  
*Neekvidistantna diskretizacija diferencijalnih jednačina sa fenomenom graničnog sloja i neke osobine diskretnog analogona, 199-219.*
79. Herceg, Dragoslav, Ein Differenzenverfahren zur Lösung von Randwertaufgaben, 221-232.  
*Jedan diferencni postupak za rešavanje konturnih problema, 221-232.*
80. Biografije i bibliografski podaci stalnih nastavnika i saradnika Instituta za matematiku Prirodno-matematičkog fakulteta u Novom Sadu, 235-303.  
*Biographies and bibliographies of permanent members and associates of the Institute for mathematics, Faculty of Science, Novi Sad, 235-303. 1980.. 10*
81. Stanković, Bogoljub, Equation of oscillation of a viscoelastic bar, 1-12.  
*Jednačina oscilacija žilavoelastičnog štapa, 1-12.*

82. Hadžić, Olga, A generalization of the contraction principle in probabilistic metric spaces, 13-20.  
Jedna generalizacija principa kontrakcije u verovatnosnim metričkim prostorima, 13-20.
83. Hadžić, Olga, A fixed point theorem in topological vector spaces, 23-29.  
Teorema o nepokretnoj tački u vektorsko topološkim prostorima 23-29
84. Hadžić, Olga, On the topological structure of random normed spaces, 31-35.  
O topološkoj strukturi slučajnih normiranih prostora, 31-35
85. Hadžić, Olga ; Stojaković, Mila, Some applications of Bocsan's fixed point theorems, 37-47.  
Neke primene teoreme Bocsana o nepokretnoj tački, 37-47.
86. Hadžić, Olga; Gajić, Ljiljana, Some fixed point theorems for multivalued mappings in topological vector spaces, 49-54.  
Neke teoreme o nepokretnoj tački za višeznačna preslikavanja u vektorsko topološkim prostorima, 49-54.
87. Pilipović, Stevan, The kernel theorem for some spaces, 55-61.  
Teorema o jezgru za neke prostore, 55-61.
88. Pilipović, Stevan; Takači Arpad, Convolutions in the countable union of exponential distributions, 63-70.  
Konvolucione jednačine u prebrojivoj uniji eksponencijalnih distribucija, 63-70.
89. Budinčević, Mirko, O jednoj klasi nelinearnih diferencijalnih jednačina  $n$ -tog reda, 71-76.  
On a class of nonlinear  $n$ -th order differential equations, 71-76.
90. Pap, Endre, Uniform boundedness of a family of triangle semigroup valued set functions, 77-83.  
Uniformna ograničenost familije trougaonih skupovnih funkcija sa vrednostima u polugrupi, 77-83.



91. Kovačević, Ilija, *Locally almost paracompact spaces*, 85-91.  
*Lokalno skoro parakompaktni prostori*, 85-91.
92. Herceg, Dragoslav, *O jednoj diferencnoj shemi za singularni perturbacioni problem*, 93-101.  
*Ein Differenzschema für steife Randwertaufgaben*, 93-101.
93. Herceg, Dragoslav, *O korišćenju neekvidistantne mreže kod diferencnih postupaka*, 103-112.  
*Über die Nutzung des nichtäquidistanten Gitters bei Differenzverfahren*, 103-112.
94. Uzelac, Zorica; Herceg, Dragoslav, *O neekvidistantnoj diskretizaciji Poasonove jednačine*, 113-121.  
*On irregular discretization of Poisson's equation*, 113-121.
95. Surla, Katarina, *Aposteriorna ocena greške i ubrzanje nestacionarnih iterativnih postupaka u slučaju kada je poznata jedna sopstvena vrednost operatora i odgovarajući sopstveni elemenat*, 123-136.  
*An a posteriori error estimation and acceleration of the nonstationary iterative procedure in a case when an eigenvalue and corresponding eigenelement of the operator are known*, 123-136.
96. Petrović, Vojislav, *Tenzori produkt-konformne i produkt-koncirkularne krivine*, 137-143.  
*Product-conformal and product-concircular curvature tensors*, 137-143.
97. Stojaković, Zoran; Paunić Djura, *Nonlinear multiquasigroups*, 145-148.  
*Nelinearne multikvazigrupe*, 145-148.
98. Bogdanović, Stojan, *r-semigrupe*, 149-152.  
*r-semigrupe*, 149-152.

99. Šešelja, Branimir, Characterization of fuzzy equivalence relations and of fuzzy congruence relations on algebras, 153-160.  
Karakterizacija rasplinutih relacija ekvivalencije i rasplinutih kongruencija na algebrama, 153-160.
100. Grulović, Milan, Primedba o forsingu, 161-171.  
A comment on forcing, 161-171.
101. Vojvodić, Gradimir D., The Craig interpolation theorem for fixed-valued predicate calculi, 173-175.  
Interpolaciona teorema Krejga za raznovrednosni predikatski račun, 173-175.
102. Gilezan, Koriolan, An application of pseudo-Boolean functions to the tree theory, 177-183.  
Primena generalisanih pseudo-Bulovih funkcija u teoriji stabala, 177-183.
103. Gilezan, Koriolan, Differentials of generalized pseudo-Boolean functions, 185-190.  
Diferencijali generalisanih pseudo-Bulovih funkcija, 185-190.
104. Čupona, Georgi; Vojvodić, Gradimir; Crvenković, Siniša, Subalgebras of semi-lattices, 191-195.  
Podalgebre rolumreže, 191-195.
105. Tošić, Ratko, On the class of constant-preserving Boolean functions over the finite Boolean algebras, 197-209.  
O klasi Bulovih funkcija koje čuvaju konstante nad konačnim Bulovim algebrama. 197-209.
106. Tošić, Ratko, Jedan način predstavljanja izotoničnih Bulovih funkcija nad  $B_2$ , 205-207.  
A way of representing isotone Boolean functions over  $B_2$ , 205-207.



---

107. Ušan, Janez; Šešelja, Branimir, *On generalized implication algebras*, 209-213.

*O uopštenim implikativnim algebrama*, 209-213.

## SUBJECT CLASSIFICATION

## 01 HISTORY AND BIOGRAPHY

- *Biography* - 11, 80
- *Čerevicki, Dragica* - 51
- *Hadžić, Olga* - 51

## 03 MATHEMATICAL LOGIC AND FOUNDATIONS

- *Grulović, Milan* - 100
- *Vojvodić, Gradimir D.* - 70, 101

## 05 COMBINATORICS

- *Acketa, Dragan* - 45, 71
- *Surla Dušan* - 77
- *Tošić, Ratko* - 46, 77
- *Ušan, Janez* - 77

## 06 ORDER, LATTICES, ORDERED ALGEBRAIC STRUCTURES

- *Gilezan, Koriolan* - 41, 67, 102, 103
- *Stojaković, Mirko* - 5
- *Tošić, Ratko* - 42, 68, 105, 106

## 08 GENERAL MATHEMATICAL SYSTEMS

- *Crvenković, Siniša* - 104
- *Čupona, Georgi* - 104
- *Šešelja, Branimir* - 99, 107
- *Ušan, Janez* - 107
- *Vojvodić, Gradimir* - 104

## 10 NUMBER THEORY

- *Ivić, Aleksandar* - 10, 18, 28
- *Stojaković, Mirko* - 12

## 15 LINEAR AND MULTILINEAR ALGEBRA, MATRIX THEORY

- *Stojaković, Mirko* - 3



## 20 GROUP THEORY AND GENERALIZATIONS

- Belousov, Valentin D. - 17
- Bogdanović, Stojan - 43, 44, 74, 98
- Crvenković, Siniša - 43, 72
- Milić, Svetozar - 73
- Pap, Endre - 19, 36, 61
- Paunić, Djura - 97
- Stojaković, Mirko - 65
- Stojaković, Zoran - 17, 39, 40, 75, 76, 97
- Surla, Dušan - 77
- Tošić, Ratko - 77
- Ušan, Janez - 31, 39, 40, 75, 76, 77
- Žarkov, Dobrivoj - 31

## 28 MEASURE AND INTEGRATION

- Pap, Endre - 23, 61, 90

## 34 ORDINARY DIFFERENTIAL EQUATIONS

- Budinčević, Mirko - 89
- Stanković, Bogoljub - 52
- Takači, Djurdjica - 52

## 35 PARTIAL DIFFERENTIAL EQUATIONS

- Gilezan, Koriolan - 66
- Stanković, Bogoljub - 52, 81
- Takači, Djurdjica - 52

## 39 FINITE DIFFERENCES AND FUNCTIONAL EQUATIONS

- Pap, Endre - 36
- Stojaković, Zoran - 40
- Ušan, Janez - 40

## 44 INTEGRAL\* TRANSFORMS, OPERATIONAL CALCULUS

- Nikolić-Despotović, Danica - 7, 14, 24, 30, 32
- Pap, Endre - 60
- Skendžić, Marija - 6,

- Stanković, Bogoljub - 13, 20, 52
- Takači Arpad - 38
- Takači Djurdjica - 52

#### 46 FUNCTIONAL ANALYSIS

- Hadžić, Olga - 8, 9, 16, 21, 33, 54
- Nikolić-Despotović, Danica - 54
- Pap, Endre - 16, 27, 60
- Pilipović, Stevan - 27, 37, 59, 87, 88
- Takači, Arpad - 38, 62, 63, 88

#### 47 OPERATOR THEORY

- Budinčević, Mirko - 56
- Gajić, Ljiljana - 86
- Hadžić, Olga - 1, 15, 22, 26, 29, 34, 35, 54, 55,  
56, 57, 58, 82, 83, 85, 86
- Nikolić-Despotović, Danica - 54
- Paunić, Djura - 22, 35
- Stojaković, Mila - 57, 58, 85

#### 53 DIFFERENTIAL GEOMETRY

- Petrović, Vojislav - 96
- Prvanović, Mileva - 4, 25, 64

#### 54 GENERAL TOPOLOGY

- Hadžić, Olga - 33, 84
- Kovačević, Ilija - 91

#### 60 PROBABILITY THEORY AND STOCHASTIC PROCESSES

- Hadžić, Olga - 26, 29, 35, 54, 55, 56, 57, 58, 82, 84, 85
- Nikolić-Despotović, Danica - 53
- Paunić, Djura - 35
- Stojaković, Mila - 57, 58, 85



## 65 NUMERICAL ANALYSIS

- Herceg, Dragoslav - 47, 48, 78, 79, 92, 93, 94
- Pejović, Pavle - 2
- Surla, Katarina - 49, 50, 95
- Uzelac, Zorica - 94

## 68 COMPUTER SCIENCE

- Tošić, Ratko - 46

## 90 ECONOMICS, OPERATIONS RESEARCH, PROGRAMMING, GAMES

- Tošić, Ratko - 46

## 94 INFORMATION AND COMMUNICATION, CIRCUITS

- Gilezan, Koriolan - 41
- Stojaković, Zoran - 39
- Surla, Dušan - 77
- Tošić, Ratko - 69, 77
- Ušan, Janez - 39, 77

## AUTHOR INDEX

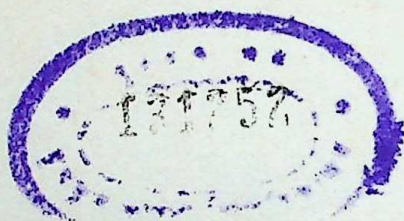
1. Acketa, Dragan M. - 45, 71
2. Belousov, Valentin D. - 17
3. Bogdanović, Stojan - 43, 44, 74, 98
4. Budinčević, Mirko - 56, 89
5. Crvenković, Siniša - 43, 72, 104
6. Čerevicki, Dragica - 51
7. Čupona, Georgi - 104
8. Gajić, Ljiljana - 86
9. Gilezan, Koriolan - 41, 66, 67, 102, 103
10. Grulović, Milan - 100
11. Hadžić, Olga - 1, 8, 9, 15, 16, 21, 22, 26, 29, 33, 34, 35, 51, 54, 55, 56,  
57, 58, 82, 83, 84, 85, 86
12. Herceg, Dragoslav - 47, 48, 78, 79, 92, 93, 94
13. Ivić, Aleksandar - 10, 18, 28
14. Kovačević, Ilija - 91
15. Milić, Svetozar - 73
16. Nikolić -Despotović, Danica - 7, 14, 24, 30, 32, 53, 54
17. Pap, Endre - 16, 19, 23, 27, 36, 60, 61, 90
18. Paunić, Djura - 22, 35, 97
19. Pejović, Pavle - 2
20. Petrović, Vojislav - 96
21. Pilipović, Stevan - 27, 37, 59, 87, 88
22. Prvanović, Mileva - 4, 25, 64
23. Skendžić, Marija - 6
24. Stanković, Bogoljub - 13, 20, 52, 81
25. Stojaković, Mila - 57, 58, 85
26. Stojaković, Mirko - 3, 5, 12, 65
27. Stojaković, Zoran - 17, 39, 40, 75, 76, 97
28. Surla, Dušan - 77
29. Surla, Katarina - 49, 50, 95
30. Šešelja, Branimir - 99, 107
31. Takači, Arpad - 38, 62, 63, 88
32. Takači, Djurdjica - 52
33. Tošić, Ratko - 42, 46, 68, 77, 105, 106



- 
34. Ušan, Janez - 31, 39, 40, 75, 76, 77, 107  
35. Uzelac, Zorica - 94  
36. Vojvodić, Gradimir D. - 70, 101, 104  
37. Žarkov, Dobrivoj - 31

















Entered in Database  
*[Signature]*  
Signature with Date  
21-7-07







